

**WI Securities Law and Franchise Law Filings
for 9/17/2012 through 9/28/2012**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INVESTMENT MANAGERS SERIES TR CAPITAL INNOVATIONS GLOBAL AGRI TIMBER INFRASTRUCTURE FUND CLASS C	MILWAUKEE, WI	9/25/2012	00650300-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR CAPITAL INNOVATIONS GLOBAL AGRI TIMBER INFRASTRUCTURE FUND JINSTITUTIONAL CLASS	MILWAUKEE, WI	9/25/2012	00650301-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR CAPITAL INNOVATIONS GLOBAL AGRI TIMBER INFRASTRUCTURE FUND CLASS A	MILWAUKEE, WI	9/25/2012	00650299-03	Pending-Cleared
MANAGED PORTFOLIO SERIES AC 1 CHINA FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	9/25/2012	00650302-03	Registered
MANAGED PORTFOLIO SERIES AC 1 CHINA FUND INVESTOR CLASS	MILWAUKEE, WI	9/25/2012	00650303-03	Registered
INVESTMENT MANAGERS SERIES TR RAMIUS STRATEGIC VOLATILITY FUND CLASS I	MILWAUKEE, WI	9/21/2012	00650085-03	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INVESTMENT MANAGERS SERIES TR RAMIUS STRATEGIC VOLATILITY FUND CLASS A	MILWAUKEE, WI	9/21/2012	00650084-03	Pending-Cleared
Number of Investment Company Filings: 7				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Regulation D Notice Filings: 0				