

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 9/3/2012 through 9/14/2012**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
GRIFFIN CAPITAL NET LEASE REIT INC	EL SEGUNDO, CA	9/4/2012	00649530-01	Pending
NAOS INC	GLENDALE, AZ	9/4/2012	00649523-02	Pending
<b>Number of Registration Filings: 2</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
BAYSIDE COMMUNITY CHURCH OF SARASOTA INC	BRADENTON, FL	9/4/2012	00649524-08	Not Disallowed
<b>Number of Exemption Filings: 1</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
1ST TR COMBINED SERIES 407 INSURED TX EX MUNICIPAL INCOME TR SERIES 250	WHEATON, IL	9/10/2012	00649641-05	Pending-Cleared
ADVISORS DISCIPLINED TR 894 MUONICIPAL CLOSED END PORTFOLIO SERIES 2012 2	MONUMENT, CO	9/10/2012	00649642-05	Pending-Cleared
ADVISORS DISCIPLINED TR 895 CONVERTIBLE & INCOME CLOSED END PORTFOLIO SERIES 2012 2	MONUMENT, CO	9/10/2012	00649636-05	Pending-Cleared
ADVISORS DISCIPLINED TR 896 GLOBAL BASIC MATERIALS PORTFOLIO SERIES 2012 2	MONUMENT, CO	9/10/2012	00649637-05	Pending-Cleared

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ADVISORS DISCIPLINED TR 918 ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 59	MONUMENT, CO	9/10/2012	00649635-05	Pending-Cleared
FT 3711 COVERED CALL SELECT CLOSED END PORTFOLIO SERIES 32	WHEATON, IL	9/10/2012	00649607-05	Pending-Cleared
FT 3712 STRATEGIC INCOME SELECT CLOSED END PORTFOLIO SERIES 9	WHEATON, IL	9/10/2012	00649640-05	Pending-Cleared
FT 3717 AUTOMATED QUANTITATIVE ANALYSIS AQA PORTFOLIO SERIES 5	WHEATON, IL	9/10/2012	00649638-05	Pending-Cleared
FT 3760 MUNICIPAL INCOME PLUS CLOSED END PORTFOLIO SERIES 30	WHEATON, IL	9/10/2012	00649639-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 944 INCOME & LTD DURATION PORTFOLIO OF FUNDS SERIES 23	LISLE, IL	9/10/2012	00649609-05	Pending-Cleared
JPMORGAN TR 1 JPMORGAN QUANTITATIVE LARGE CAP PLUS FUND CLASS A	BOSTON, MA	9/10/2012	00649647-03	Registered
JPMORGAN TR 1 JPMORGAN QUANTITATIVE LARGE CAP PLUS FUND CLASS C	BOSTON, MA	9/10/2012	00649646-03	Registered
JPMORGAN TR 1 JPMORGAN QUANTITATIVE LARGE CAP PLUS FUND SELECT CLASS SHARES	BOSTON, MA	9/10/2012	00649644-03	Registered
JPMORGAN TR 1 JPMORGAN QUANTITATIVE LARGE CAP PLUS FUND R5 CLASS SHARES	BOSTON, MA	9/10/2012	00649645-03	Registered
JPMORGAN TR 1 JPMORGAN QUANTITATIVE LARGE CAP PLUS FUND R2 CLASS SHARES	BOSTON, MA	9/10/2012	00649648-03	Registered

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JPMORGAN TR 1 JPMORGAN SMARTALLOCATION EQUITY FUND CLASS A	BOSTON, MA	9/10/2012	00649649-03	Registered
JPMORGAN TR 1 JPMORGAN SMARTALLOCATION EQUITY FUND CLASS C	BOSTON, MA	9/10/2012	00649650-03	Registered
JPMORGAN TR 1 JPMORGAN SMARTALLOCATION EQUITY FUND R2 CLASS	BOSTON, MA	9/10/2012	00649651-03	Registered
JPMORGAN TR 1 JPMORGAN SMARTALLOCATION EQUITY FUND R5 CLASS	BOSTON, MA	9/10/2012	00649652-03	Registered
JPMORGAN TR 1 JPMORGAN SMARTALLOCATION EQUITY FUND R6 CLASS	BOSTON, MA	9/10/2012	00649653-03	Registered
JPMORGAN TR 1 JPMORGAN SMARTALLOCATION EQUITY FUND SELECT CLASS SHARES	BOSTON, MA	9/10/2012	00649654-03	Registered
JPMORGAN TR 1 JPMORGAN SMARTALLOCATION INCOME FUND CLASS A	BOSTON, MA	9/10/2012	00649655-03	Registered
JPMORGAN TR 1 JPMORGAN SMARTALLOCATION INCOME FUND CLASS C	BOSTON, MA	9/10/2012	00649656-03	Registered
JPMORGAN TR 1 JPMORGAN SMARTALLOCATION INCOME FUND R2 CLASS	BOSTON, MA	9/10/2012	00649657-03	Registered
JPMORGAN TR 1 JPMORGAN SMARTALLOCATION INCOME FUND R5 CLASS	BOSTON, MA	9/10/2012	00649658-03	Registered
JPMORGAN TR 1 JPMORGAN SMARTALLOCATION INCOME FUND R6 CLASS	BOSTON, MA	9/10/2012	00649659-03	Registered
JPMORGAN TR 1 JPMORGAN SMARTALLOCATION INCOME FUND SELECT CLASS SHARES	BOSTON, MA	9/10/2012	00649660-03	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
MORGAN STANLEY INSTITUTIONAL FUND INC FRONTIER EMERGING MARKETS PORTFOLIO CLASS H	NEW YORK, NY	9/10/2012	00649606-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC FRONTIER EMERGING MARKETS PORTFOLIO CLASS I SHARES	NEW YORK, NY	9/10/2012	00649605-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC FRONTIER EMERGING MARKETS PORTFOLIO CLASS L SHARES	NEW YORK, NY	9/10/2012	00649604-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC FRONTIER EMERGING MARKETS PORTFOLIO CLASS P SHARES	NEW YORK, NY	9/10/2012	00649603-03	Registered
NORTHERN LIGHTS FUND TR IRON HORSE FUND CLASS A SHARES	HAUPPAUGE, NY	9/10/2012	00649634-03	Registered
TOUCHSTONE STRATEGIC TR TOUCHSTONE MICRO CAP VALUE FUND CLASS A	CINCINNATI, OH	9/10/2012	00649426-03	Registered
TOUCHSTONE STRATEGIC TR TOUCHSTONE STRATEGIC INCOME FUND CLASS Y	CINCINNATI, OH	9/10/2012	00649428-03	Registered
TOUCHSTONE STRATEGIC TR TOUCHSTONE STRATEGIC INCOME FUND CLASS C	CINCINNATI, OH	9/10/2012	00649427-03	Registered
FT 3709 REIT GROWTH & INCOME SELECT PORTFOLIO SERIES 21	WHEATON, IL	9/7/2012	00649550-05	Pending-Cleared
FT 3761 SENIOR LOAN & LTD DURATION OPPORTUNITIES CLOSED END PORTFOLIO SERIES 14	WHEATON, IL	9/7/2012	00649548-05	Pending-Cleared
FT 3795 STRATEGIC INCOME PLUS CLOSED END PORTFOLIO SERIES 45	WHEATON, IL	9/7/2012	00649549-05	Pending-Cleared

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 3800 MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 44	WHEATON, IL	9/7/2012	00649547-05	Pending-Cleared
MANAGED PORTFOLIO SERIES ATAC INFLATION ROTATION FUND INVESTOR CLASS	MILWAUKEE, IL	9/7/2012	00649546-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 941 DIVERSIFIED INCOME WAVE PORTFOLIO 15 MONTH SERIES 32	LISLE, IL	9/5/2012	00649555-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 960 NATURAL GAS PORTFOLIO SERIES 1	LISLE, IL	9/5/2012	00649554-05	Pending-Cleared
ADVISORS DISCIPLINED TR 892 HEALTH CARE OPPORTUNITIES PORTFOLIO SERIES 2012 2	MONUMENT, CO	9/4/2012	00649540-05	Pending-Cleared
ADVISORS DISCIPLINED TR 893 SENIOR VARIABLE RATE & INCOME CLOSED END PORTFOLIO 2 YR SERIES 2012 2	MONUMENT, CO	9/4/2012	00649541-05	Pending-Cleared
FT 3705 INTERNATIONAL CAPITAL STRENGTH PORTFOLIO SERIES 13	WHEATON, IL	9/4/2012	00649543-05	Pending-Cleared
FT 3705 SMID CAPITAL STRENGTH PORTFOLIO SERIES 13	WHEATON, IL	9/4/2012	00649542-05	Pending-Cleared
FT 3707 HOMEBUILDERS RECOVERY PORTFOLIO SERIES 3	WHEATON, IL	9/4/2012	00649531-05	Pending-Cleared
FT 3710 STRATEGIC INCOME ADVANTAGE SELECT CLOSED END PORTFOLIO SERIES 32	WHEATON, IL	9/4/2012	00649538-05	Pending-Cleared
FT 3743 MUNICIPAL ADVANTAGE CLOSED END & ETF PORTFOLIO SERIES 15	WHEATON, IL	9/4/2012	00649533-05	Pending-Cleared

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FT 3745 HIGH YIELD CLOSED END & TREASURY ETF PORTFOLIO SERIES 8	WHEATON, IL	9/4/2012	00649534-05	Pending-Cleared
FT 3748 SENIOR LOAN & LTD DURATION CLOSED END PORTFOLIO SERIES 22	WHEATON, IL	9/4/2012	00649535-05	Pending-Cleared
FT 3749 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 63	WHEATON, IL	9/4/2012	00649537-05	Pending-Cleared
FT 3758 PREFERRED INCOME PORTFOLIO SERIES 56	WHEATON, IL	9/4/2012	00649536-05	Pending-Cleared
FT 3765 EMERGING MARKETS STRENGTH PORTFOLIO SERIES 15	WHEATON, IL	9/4/2012	00649544-05	Pending-Cleared
FT 3775 MUNICIPAL INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 14	WHEATON, IL	9/4/2012	00649539-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 942 INCOME & TREASURY LTD DURATION PORTFOLIO OF FUNDS SERIES 22	WHEATON, IL	9/4/2012	00649532-05	Pending-Cleared
TR FOR PROFESSIONAL MANAGERS SAMSON STRONG NATIONS CURRENCY FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	9/4/2012	00649525-03	Registered
TR FOR PROFESSIONAL MANAGERS SAMSON STRONG NATIONS CURRENCY FUND INVESTOR CLASS	MILWAUKEE, WI	9/4/2012	00649526-03	Registered
VIRTUS OPPORTUNITIES TR VIRTUS EMERGING MARKETS DEBT FUND CLASS A	GREENFIELD, MA	9/4/2012	00648966-03	Registered
VIRTUS OPPORTUNITIES TR VIRTUS EMERGING MARKETS DEBT FUND CLASS I	GREENFIELD, MA	9/4/2012	00648967-03	Registered

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VIRTUS OPPORTUNITIES TR VIRTUS EMERGING MARKETS DEBT FUND CLASS C	GREENFIELD, MA	9/4/2012	00648968-03	Registered
VIRTUS OPPORTUNITIES TR VIRTUS EMERGING MARKETS EQUITY INCOME FUND CLASS I	GREENFIELD, MA	9/4/2012	00648979-03	Registered
VIRTUS OPPORTUNITIES TR VIRTUS EMERGING MARKETS EQUITY INCOME FUND CLASS A	GREENFIELD, MA	9/4/2012	00648969-03	Registered
VIRTUS OPPORTUNITIES TR VIRTUS EMERGING MARKETS EQUITY INCOME FUND CLASS C	GREENFIELD, MA	9/4/2012	00648970-03	Registered
VIRTUS OPPORTUNITIES TR VIRTUS HERZFELD FUND CLASS A	GREENFIELD, MA	9/4/2012	00648973-03	Registered
VIRTUS OPPORTUNITIES TR VIRTUS HERZFELD FUND CLASS C	GREENFIELD, MA	9/4/2012	00648977-03	Registered
VIRTUS OPPORTUNITIES TR VIRTUS HERZFELD FUND CLASS I	GREENFIELD, MA	9/4/2012	00648975-03	Registered
VIRTUS OPPORTUNITIES TR VIRTUS INTERNATIONAL SMALL CAP FUND CLASS C	GREENFIELD, MA	9/4/2012	00648972-03	Registered
VIRTUS OPPORTUNITIES TR VIRTUS INTERNATIONAL SMALL CAP FUND CLASS I	GREENFIELD, MA	9/4/2012	00648974-03	Registered
VIRTUS OPPORTUNITIES TR VIRTUS INTERNATIONAL SMALL CAP FUND CLASS A	GREENFIELD, MA	9/4/2012	00648971-03	Registered
VIRTUS OPPORTUNITIES TR VIRTUS WEALTH MASTERS FUND CLASS A	GREENFIELD, MA	9/4/2012	00648976-03	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
VIRTUS OPPORTUNITIES TR VIRTUS WEALTH MASTERS FUND CLASS C	GREENFIELD, MA	9/4/2012	00648978-03	Registered
VIRTUS OPPORTUNITIES TR VIRTUS WEALTH MASTERS FUND CLASS I	GREENFIELD, MA	9/4/2012	00648980-03	Registered
<b>Number of Investment Company Filings: 73</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
LOOMIS SAYLES CORE PLUS FIXED INCOME TR	BOSTON, MA	9/10/2012	00649598-07	Filed
SERIES 1 OF RMB FIXED INCOME OPPORTUNITIES QP LLC	CHICAGO, IL	9/10/2012	00649610-07	Filed
SMASHBURGER MASTER LLC	DENVER, CO	9/10/2012	00649643-07	Filed
TEXSTAR 20 WELL LP	LULING, TX	9/10/2012	00649608-07	Filed
PRINCETON FUTURES FUND LP	PRINCETON, NJ	9/6/2012	00649519-07	Filed
TRUTEX EXPLORATION	FORT WORTH, TX	9/6/2012	00649520-07	Filed
APACHE 20 WELL LP	LULING, TX	9/5/2012	00649557-07	Filed
CELATOR PHARMACEUTICALS INC	PRINCETON, NJ	9/5/2012	00649585-07	Filed
FLAT6 LLC	MILWAUKEE, WI	9/5/2012	00649518-07	Filed
JP MORGAN ACCESS MULTISTRATEGY FUND LLC	NEW YORK, NY	9/5/2012	00649590-07	Filed
SA IGNITE INC	ELMHURST, IL	9/4/2012	00649521-07	Filed
<b>Number of Regulation D Notice Filings: 11</b>				

**All Securities Law, Franchise Law, and Take-Over Law Filings  
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<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
360BRANDS, INC. / 360clean	Charleston, SC	9/11/2012	604714	Registered
DICKEYS BARBECUE RESTAURANTS INC	Plano, TX	9/11/2012	604722	Registered
Dinner Detective Productions LLC	Long Beach, CA	9/11/2012	604720	Registered
OnSight Solutions, LLC / OnSight Solutions	Albany, NY	9/7/2012 1	604705	Registered
HOSS'S FRANCHISE CORP INC	DUCANSVILLE, PA	9/7/2012	604717	Registered
OE Franchise LLC / Office Evolution	Boulder, CO	9/7/2012	604716	Registered
Sniff K9's FC LLC / Sniff K9s	Cambridge, MA	9/6/2012 3	604701	Registered
First General Services United States Inc	Fort Lauderdale, FL	9/4/2012	604708	Registered
JUST BETWEEN FRIENDS FRANCHISE SYSTEM INC	BROKEN ARROW, OK	9/4/2012	604697	Registered
Qualicare of America Inc	Toronto Ontario, QC	9/4/2012	604707	Registered
<b>Number of Franchise Filings: 10</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	