

**WI Securities Law and Franchise Law Filings
for 8/27/2012 through 9/7/2012**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GPS FUNDS 2 GUIDEPATH ALTEGRIS MULTI STRATEGY ALLTERNATIVE ALLOCATION FUND INSTITUTIONAL SHARES	MILWAUKEE, WI	8/31/2012	00649026-03	Registered
GPS FUNDS 2 GUIDEPATH ALTEGRIS MULTI STRATEGY ALLTERNATIVE ALLOCATION FUND SERVICE SHARES	MILWAUKEE, WI	8/31/2012	00649025-03	Registered
GSP FUNDS 2 GUIDEPATH FIXED INCOME ALLOCATION FUND INSTITUTIONAL SHARES	MILWAUKEE, WI	8/31/2012	00649024-03	Registered
GSP FUNDS 2 GUIDEPATH FIXED INCOME ALLOCATION FUND SERVICE SHARES	MILWAUKEE, WI	8/31/2012	00649023-03	Registered
GSP FUNDS 2 GUIDEPATH MULTI ASSET INCOME ASSET ALLOCATION INSTITUTIONAL SHARES	MILWAUKEE, WI	8/31/2012	00649022-03	Registered
GSP FUNDS 2 GUIDEPATH MULTI ASSET INCOME ASSET ALLOCATION FUND SERVICE SHARES	MILWAUKEE, WI	8/31/2012	00649021-03	Registered
LORD ASSET MANAGEMENT TR THOMAS WHITE EMERGING MARKETS FUND CLASS C	MILWAUKEE, WI	8/31/2012	00649031-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division

Firm Name	Location	Date Received	File Number	Status
LORD ASSET MANAGEMENT TR THOMAS WHITE EMERGING MARKETS FUND CLASS A	MILWAUKEE, WI	8/31/2012	00649032-03	Registered
LORD ASSET MANAGEMENT TR THOMAS WHITE EMERGING MARKETS FUND CLASS I	MILWAUKEE, WI	8/31/2012	00649030-03	Registered
LORD ASSET MANAGEMENT TR THOMAS WHITE INTERNATIONAL FUND CLASS A	MILWAUKEE, WI	8/31/2012	00649034-03	Registered
LORD ASSET MANAGEMENT TR THOMAS WHITE INTERNATIONAL FUND CLASS C	MILWAUKEE, WI	8/31/2012	00649035-03	Registered
LORD ASSET MANAGEMENT TR THOMAS WHITE INTERNATIONAL FUND CLASS I	MILWAUKEE, WI	8/31/2012	00649033-03	Registered

Number of Investment Company Filings: 12

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division

Firm Name	Location	Date Received	File Number	Status
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Number of Regulation D Notice Filings: 0

Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division

Legal Name / Trade Name	Location	Date Received	File Number	Status
DANCE WORKS OF WI LLC	PEWAUKEE, WI	8/28/2012	604655	Registered
PIGGLY WIGGLY MIDWEST LLC	SHEBOYGAN, WI	8/28/2012	604681	Registered

Number of Franchise Filings: 2