

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/20/2012 through 8/31/2012**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FORWARD FUNDS FORWARD INTERNATIONAL REAL ESTATE FUND CLASS M	SAN FRANCISCO, CA	8/29/2012	00647977-03	Registered
ING MUTUAL FUNDS ING EMERGING MARKETS EQUITY FUND CLASS B	SCOTTSDALE, AZ	8/29/2012	00647976-03	Registered
THRIVENT MUTUAL FUNDS THRIVENT PARTNER EMERGING MARKETS EQUITY FUND CLASS A SHARES	MINNEAPOLIS, MN	8/29/2012	00647978-03	Pending-Cleared
THRIVENT MUTUAL FUNDS THRIVENT PARTNER EMERGING MARKETS EQUITY FUND CLASS I SHARES	MINNEAPOLIS, MN	8/29/2012	00647979-03	Pending-Cleared
EATON VANCE GROWTH TR EATON VANCE HEXAVEST EMERGING MARKETS EQUITY FUND CLASS I SHARES	BOSTON, MA	8/28/2012	00648664-03	Pending-Cleared
EATON VANCE GROWTH TR EATON VANCE HEXAVEST EMERGING MARKETS EQUITY FUND CLASS A SHARES	BOSTON, MA	8/28/2012	00648662-03	Pending-Cleared
EATON VANCE GROWTH TR EATON VANCE HEXAVEST GLOBAL EQUITY FUND CLASS A SHARES	BOSTON, MA	8/28/2012	00648660-03	Pending-Cleared
EATON VANCE GROWTH TR EATON VANCE HEXAVEST GLOBAL EQUITY FUND CLASS I SHARES	BOSTON, MA	8/28/2012	00648663-03	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
EATON VANCE GROWTH TR EATON VANCE HEXAVEST INTERNATIONAL EQUITY FUND CLASS I SHARES	BOSTON, MA	8/28/2012	00648661-03	Pending-Cleared
EATON VANCE GROWTH TR EATON VANCE HEXAVEST INTERNATIONAL EQUITY FUND CLASS A SHARES	BOSTON, MA	8/28/2012	00648665-03	Pending-Cleared
EATON VANCE GROWTH TR EATON VANCE HEXAVEST US EQUITY FUND CLASS I SHARES	BOSTON, MA	8/28/2012	00648666-03	Pending-Cleared
EATON VANCE GROWTH TR EATON VANCE HEXAVEST US EQUITY FUND CLASS A SHARES	BOSTON, MA	8/28/2012	00648667-03	Pending-Cleared
HUNTINGTON FUNDS HUNTINGTON INCOME GENERATION FUND CLASS C SHARES	INDIANAPOLIS, IN	8/23/2012	00648656-03	Registered
HUNTINGTON FUNDS HUNTINGTON INCOME GENERATION FUND TR SHARES	INDIANAPOLIS, IN	8/23/2012	00648657-03	Registered
ABERDEEN FUNDS ABERDEEN EMERGING MARKETS DEBT FUND INSTITUTIONAL SERVICE CLASS	PHILADELPHIA, PA	8/20/2012	00647928-03	Pending-Cleared
ABERDEEN FUNDS ABERDEEN EMERGING MARKETS DEBT FUND INSTITUTIONAL CLASS	PHILADELPHIA, PA	8/20/2012	00647929-03	Pending-Cleared
ABERDEEN FUNDS ABERDEEN EMERGING MARKETS DEBT FUND CLASS A	PHILADELPHIA, PA	8/20/2012	00647931-03	Pending-Cleared
ABERDEEN FUNDS ABERDEEN EMERGING MARKETS DEBT FUND CLASS C	PHILADELPHIA, PA	8/20/2012	00647930-03	Pending-Cleared
ABERDEEN FUNDS ABERDEEN EMERGING MARKETS DEBT FUND CLASS R	PHILADELPHIA, PA	8/20/2012	00647927-03	Pending-Cleared
FT 3559 CORPORATE HIGH INCOME PORTFOLIO SERIES 5	WHEATON, IL	8/20/2012	00647913-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
FT 3694 GLOBAL BOND INCOME CLOSED END PORTFOLIO SERIES 7	WHEATON, IL	8/20/2012	00647915-05	Pending-Cleared
FT 3703 US EQUITY CLOSED END PORTFOLIO SERIES 4	WHEATON, IL	8/20/2012	00647916-05	Pending-Cleared
FT 3738 SENIOR LOAN & LTD DURATION CLOSED END PORTFOLIO SERIES 62	WHEATON, IL	8/20/2012	00647914-05	Pending-Cleared
FT 3739 SENIOR LOAN & LTD DURATION CLOSED END PORTFOLIO SERIES 21	WHEATON, IL	8/20/2012	00647917-05	Pending-Cleared
FT 3741 HIGH YIELD INCOME CLOSED END PORTFOLIO SERIES 52	WHEATON, IL	8/20/2012	00647912-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 927 GUGGENHEIM SHORT DURATION HIGH YIELD TR SERIES 18	LISLE, IL	8/20/2012	00647910-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 934 DIVIDEND STRENGTH PORTFOLIO SERIES 8	LISLE, IL	8/20/2012	00647909-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 934 LARGE CAP CORE PORTFOLIO SERIES 18	LISLE, IL	8/20/2012	00647908-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 935 ZACKS INTERNATIONAL INCOME ADVANTAGE STRATEGY PORTFOLIO SERIES 3	LISLE, IL	8/20/2012	00647911-05	Pending-Cleared
Number of Investment Company Filings: 29				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BROOKWOOD RB INVESTORS LLC	BEVERLY, MA	8/29/2012	00648680-07	Filed
CIC BANCSHARES INC	DENVER, CO	8/29/2012	00648681-07	Filed
CIC BANCSHARES INC	DENVER, CO	8/29/2012	00648682-07	Filed
ANTRIABIO INC	DENVER, CO	8/20/2012	00647943-07	Filed
Number of Regulation D Notice Filings: 4				

**All Securities Law, Franchise Law, and Take-Over Law Filings
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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
FOR Franchising, LLC / Window Genie	Cincinnati, OH	8/31/2012	604678	Registered
HUDDLE HOUSE INC / HUDDLE HOUSE	ATLANTA, GA	8/31/2012	604677	Registered
Flat Top Grill Development Company, LLC / FlatTop Grill®	Chicago, IL	8/30/2012	604670	Registered
ARCpoint Franchise Group, LLC. / ARCpoint	Greenville, SC	8/30/2012	604659	Registered
SMART COW FRANCHISING, LLC / SMART COW YOGURT BAR	Denver, CO	8/29/2012	604657	Registered
DANCE WORKS OF WI LLC	PEWAUKEE, WI	8/28/2012	604655	Registered
Charter Fitness Franchise llc	Orland Park, IL	8/24/2012	604679	Registered
OMEX INTERNATIONAL INC / OMEX	CAMP HILL, PA	8/23/2012	604651	Registered
JACKSON HEWITT INC	PARSIPPANY, NJ	8/23/2012	604664	Registered
Massage Advantage Franchising Co., LLC	Sherwood, AK	8/23/2012	604666	Registered
YGFY, LLC / Yumz gourmet frozen yogurt	Elgin, IL	8/23/2012	604663	Registered
FIXRIM MOBILE WHEEL REPAIR LLC	DALLAS, TX	8/22/2012	604660	Registered
SMOOTHIE KING FRANCHISES INC	COVINGTON, LA	8/22/2012	604662	Registered
TMC FRANCHISE CORP / On the Run	TEMPE, AZ	8/22/2012	604661	Registered
Precision Concrete Cutting	Provo, UT	8/21/2012	604649	Registered
Newk's Franchise Company LLC	Jackson, MS	8/21/2012	604669	Registered
TUTOR DOCTOR SYSTEMS INC	WILMINGTON, DE	8/21/2012	604656	Registered
Number of Franchise Filings: 17				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	