

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 8/6/2012 through 8/17/2012**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
IZEA INC	ORLANDO, FL	8/15/2012	00647647-01	Pending
Number of Registration Filings: 1				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Number of Exemption Filings: 0				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
DIAMOND HILL FUNDS DIAMOND HILL SMALL CAP FUND CLASS Y	COLUMBUS, OH	8/15/2012	00647527-03	Registered
BLAIR WILLIAM FUNDS INTERNATIONAL LEADERS FUND CLASS I SHARES	CHICAGO, IL	8/14/2012	00647522-03	Registered
BLAIR WILLIAM FUNDS INTERNATIONAL LEADERS FUND CLASS N SHARES	CHICAGO, IL	8/14/2012	00647524-03	Registered
BLAIR WILLIAM FUNDS INTERNATIONAL LEADERS FUND INSTITUTIONAL CLASS SHARES	CHICAGO, IL	8/14/2012	00647523-03	Registered
LEGG MASON PARTNERS INCOME TR WESTERN ASSET STRATEGIC INCOME FUND CLASS C	NEW YORK, NY	8/14/2012	00647526-03	Registered
RUSSELL INVESTMENT CO RUSSELL STRATEGIC CALL OVERWRITING FUND CLASS S	SEATTLE, WA	8/14/2012	00647525-03	Registered
VALUED ADVISERS TR ANGEL OAK MULTI STRATEGY INCOME FUND INSTITUTIONAL CLASS	INDIANAPOLIS, IN	8/14/2012	00647518-03	Registered
DOW VALUE 10 PORTFOLIO SERIES 2012 3Q	MONUMENT, CO	8/13/2012	00647701-05	Pending-Cleared

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<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 3663 STRATEGIC INCOME CLOSED END PORTFOLIO SERIES 42	WHEATON, IL	8/13/2012	00647707-05	Pending-Cleared
FT 3664 CAPITAL STRENGTH PORTFOLIO SERIES 17	WHEATON, IL	8/13/2012	00647706-05	Pending-Cleared
FT 3665 HIGH DIVIDEND EQUITY PORTFOLIO SERIES 11	WHEATON, IL	8/13/2012	00647705-05	Pending-Cleared
FT 3691 1ST TR ETF ALLOCATION PORTFOLIO SERIES 3	WHEATON, IL	8/13/2012	00647709-05	Pending-Cleared
FT 3692 SMID HIGH DIVIDEND PORTFOLIO SERIES 6	WHEATON, IL	8/13/2012	00647708-05	Pending-Cleared
FT 3724 MUNICIPAL INCOME CLOSED END PORTFOLIO SERIES 50	WHEATON, IL	8/13/2012	00647700-05	Pending-Cleared
FT 3725 STRATEGIC INCOME PLUS CLOSED END PORTFOLIO SERIES 44	WHEATON, IL	8/13/2012	00647702-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIO SERIES 932 ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO SERIES 18	LISLE, IL	8/13/2012	00647699-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIO SERIES 933 GUGGENHEIM US HIGH DIVIDEND STRATEGY PORTFOLIO 2 YEAR SERIES 2	LISLE, IL	8/13/2012	00647703-05	Pending-Cleared
INCAPITAL UNIT TR SERIES 7 EMERGING MARKETS GDP GROWTH PORTFOLIO SERIES 1	CHICAGO, IL	8/13/2012	00647704-05	Pending-Cleared
OPPENHEIMER GLOBAL VALUE FUND CLASS I SHARES	DENVER, CO	8/13/2012	00647733-03	Pending-Cleared
OPPENHEIMER INTERNATIONAL DIVERSIFIED FUND CLASS I SHARES	DENVER, CO	8/13/2012	00647732-03	Pending-Cleared
OPPENHEIMER REAL ESTATE FUND CLASS I SHARES	DENVER, CO	8/13/2012	00647731-03	Pending-Cleared
RUSSELL INVESTMENT CO RUSSELL US DYNAMIC EQUITY FUND CLASS A	SEATTLE, WA	8/13/2012	00647452-03	Registered
RUSSELL INVESTMENT CO RUSSELL US DYNAMIC EQUITY FUND CLASS Y	SEATTLE, WA	8/13/2012	00647453-03	Registered

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ADVISORS SERIES TR TACTICALSHARES DYNAMIC ALLOCATION FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	8/10/2012	00647496-03	Registered
ASPIRIANT GLOBAL EQUITY TR ASPIRIANT RISK MANAGED GLBOAL EQUITY FUND INSTITUTIONAL SHARES	W LOS ANGELES, CA	8/10/2012	00647697-03	Pending-Cleared
ASPIRIANT GLOBAL EQUITY TR ASPIRIANT RISK MANAGED GLBOAL EQUITY FUND ADVISOR SHARES	W LOS ANGELES, CA	8/10/2012	00647698-03	Pending-Cleared
BLUE CHIP PORTFOLIO SERIES 2012 2	MONUMENT, CO	8/10/2012	00647504-05	Pending-Cleared
CONFULUENCE HARD ASSETS PORTFOLIO SERIES 2012 2	MONUMENT, CO	8/10/2012	00647497-05	Pending-Cleared
ENERGY OPPORTUNITIES PORTFOLIO SERIES 2012 2	MONUMENT, CO	8/10/2012	00647502-05	Pending-Cleared
GLOBAL COVERED CALL & INCOME STRATEGIES CLOSED END PORTFOLIO SERIES 2012 2	MONUMENT, CO	8/10/2012	00647505-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 930 DIVERSIFIED MUNICIPAL INCOME STRATEGY PORTFOLIO SERIES 3	LISLE, IL	8/10/2012	00647500-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 930 GUGGENHEIM GROWTH & INCOME STRATEGY PORTFOLIO OF CEFS SERIES 3	LISLE, IL	8/10/2012	00647498-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 930 GUGGENHEIM DISCOUNT OPPORTUNITY STRATEGY PORTFOLIO OF CEFS SERIES 3	LISLE, IL	8/10/2012	00647499-05	Pending-Cleared
HIGH YIELD BOND PORTFOLIO SERIES 2012 AUGUST	MONUMENT, CO	8/10/2012	00647501-05	Pending-Cleared
TACTICAL INCOME CLOSED END PORTFOLIO SERIES 2012 3	MONUMENT, CO	8/10/2012	00647503-05	Pending-Cleared

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
1ST TR COMBINED SERIES 403 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO LONG TERM SERIES 5	WHEATON, IL	8/9/2012	00647507-05	Pending-Cleared
1ST TR COMBINED SERIES 404 TX EX MUNICIPAL INCOME TR LONG TERM SERIES 2	WHEATON, IL	8/9/2012	00647506-05	Pending-Cleared
FT 3670 HIGH DIVIDEND EQUITY ALLOCATION PORTFOLIO SERIES 4	WHEATON, IL	8/9/2012	00647508-05	Pending-Cleared
NEUBERGER BERMAN ALTERNA FUNDS NB RISK BALANCED COMMODITY STRATEGY FUND INSTITUTIONAL CLASS SHARES	NEW YORK, NY	8/9/2012	00647511-03	Pending-Cleared
NEUBERGER BERMAN ALTERNATIVE FUNDS NB RISK BALANCED COMMODITY STRATEGY FUND CLASS C SHARES	NEW YORK, NY	8/9/2012	00647510-03	Pending-Cleared
NEUBERGER BERMAN ALTERNATIVE FUNDS NB RISK BALANCED COMMODITY STRATEGY FUND CLASS A SHARES	NEW YORK, NY	8/9/2012	00647509-03	Pending-Cleared
SCOUT FUNDS SCOUT LOW DURATION BOND FUND	KANSAS CITY, MO	8/9/2012	00647512-03	Pending-Cleared
STERLING CAPITAL FUNDS STERLING CAPITAL TOTAL RETURN BOND FUND CLASS A SHARES	RALEIGH, NC	8/9/2012	00647431-03	Registered
VIRTUS OPPORTUNITIES TR VIRTUS GLOBAL OPPORTUNITIES FUND CLASS I	GREENFIELD, MA	8/8/2012	00647419-03	Registered
VIRTUS OPPORTUNITIES TR VIRTUS HIGH YIELD FUND CLASS I	GREENFIELD, MA	8/8/2012	00647420-03	Registered
VAN KAMPEN UNIT TR SERIES 1250 ETF ALLOCATION PORTFOLIO SERIES 12	OAKBROOK TER, IL	8/7/2012	00647462-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1250 ETF DIVERSIFIED INCOME PORTFOLIO SERIES 10	OAKBROOK TER, IL	8/7/2012	00647463-05	Pending-Cleared

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
VAN KAMPEN UNIT TR SERIES 1257 DEFENSIVE EQUITY & INCOME PORTFOLIO SERIES 5	OAKBROOK TER, IL	8/7/2012	00647461-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1258 DOW JONES TOTAL MARKET PORTFOLIO ENHANCED INDEX STRATEGY 2012 4	OAKBROOK TER, IL	8/7/2012	00647460-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1258 ENHANCED SECTOR STRATEGY SECTOR ROTATION PORTFOLIO 2012 4	OAKBROOK TER, IL	8/7/2012	00647459-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1259 BRIC OPPORTUNITY PORTFOLIO 2012 4	OAKBROOK TER, IL	8/7/2012	00647458-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1260 TECHNOLOGY PORTFOLIO 2012 4	OAKBROOK TER, IL	8/7/2012	00647457-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1261 NEW WORLD LEADERS PORTFOLIO SERIES 7	OAKBROOK TER, IL	8/7/2012	00647456-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 1248 SELECT 10 INDUSTRIAL PORTFOLIO 2012 5	OAKBROOK TER, IL	8/7/2012	00647464-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 411 INVESTMENT GRADE INCOME TR 20 PLUS YEAR SERIES 30	OAKBROOK TER, IL	8/7/2012	00647466-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 412 INVESTMENT GRADE INCOME TR 20 PLUS YEAR SERIES 31	OAKBROOK TER, IL	8/7/2012	00647465-05	Pending-Cleared
ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 57	MONUMENT, CO	8/6/2012	00647309-05	Pending-Cleared
FT 3667 DEEP VALUE SUB 10 DIVIDEND PORTFOLIO SERIES 5	WHEATON, IL	8/6/2012	00647299-05	Pending-Cleared
FT 3669 TECHNOLOGY SELECT PORTFOLIO SERIES 45	WHEATON, IL	8/6/2012	00647308-05	Pending-Cleared
FT 3697 HIGH YIELD CORPORATE TR SHORT INTERMEDIATE SERIES 6	WHEATON, IL	8/6/2012	00647307-05	Pending-Cleared

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 3718 INVESTMENT GRADE SELECT CLOSED END PORTFOLIO SERIES 35	WHEATON, IL	8/6/2012	00647306-05	Pending-Cleared
FT 3722 MUNICIPAL INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 13	WHEATON, IL	8/6/2012	00647305-05	Pending-Cleared
FT 3734 INTEREST RATE HEDGE PORTFOLIO SERIES 51	WHEATON, IL	8/6/2012	00647298-05	Pending-Cleared
FT 3736 SENIOR LOAN & LTD DURATION CLOSED END PORTFOLIO SERIES 20	WHEATON, IL	8/6/2012	00647310-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 931 CORPORATE HIGH YIELD & INCOME PORTFOLIO OF CEFS SERIES 21	LISLE, IL	8/6/2012	00647303-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 931 STRATEGIC INCOME PORTFOLIO SERIES 65	LISLE, IL	8/6/2012	00647304-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 950 BLUE CHIP GROWTH PORTFOLIO SERIES 1	LISLE, IL	8/6/2012	00647300-05	Pending-Cleared
Number of Investment Company Filings: 67				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
1ST ANALYSIS PRIVATE EQUITY FUND 5 LP	CHICAGO, IL	8/15/2012	00647645-07	Filed
DISCOVERY GLOBAL OPPORTUNITY FUND LTD	GRAND CAY CAY ISL, AK	8/15/2012	00647646-07	Filed
EMINENCE FUND LTD	GRAND CAYMAN, AK	8/15/2012	00647643-07	Filed
GRAND ENERGY 2012 84 LTD	ADDISON, TX	8/15/2012	00647642-07	Filed
DOUBLELINE LEVERAGED FUND LTD	LOS ANGELES, CA	8/14/2012	00647533-07	Filed
MERU CAYMAN HFF LTD	GRAND CAYMAN KY1-1104, AK	8/14/2012	00647534-07	Filed
GOLDEN POINT CAPITAL PARTNERS LP	CHICAGO, IL	8/13/2012	00647744-07	Filed

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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
MAC ATLANTA SOUTH INVESTORS LP	DALLAS, TX	8/13/2012	00647739-07	Filed
WAVELAND RESOURCE PARTNERS 2 LP	IRVINE, CA	8/13/2012	00647740-07	Filed
CANNAE LLC	DOYLESTOWN, PA	8/10/2012	00647493-07	Filed
VISTA GOLD CORP	LITTLETON, CO	8/10/2012	00647495-07	Filed
CROWN CLEVELAND 6 JOINT VENTURE	CARROLLTON, TX	8/9/2012	00647513-07	Filed
AUDAX PRIVATE EQUITY FUND 4 LP	BOSTON, MA	8/8/2012	00647357-07	Filed
OH EQUITY FUND FOR HOUSING LP 22	COLUMBUS, OH	8/7/2012	00647471-07	Filed
ESSENTIA PHARMA MIDWEST LLC	ROSENBERG, TX	8/6/2012	00647297-07	Filed
GRAND ENERGY INC	ADDISON, TX	8/6/2012	00647311-07	Filed
PIMCO ABSOLUTE RETURN STRATEGY 4 FUND LLC	NEWPORT BEACH, CA	8/6/2012	00647302-07	Filed
VA WOOLF BROADWAY LLC	NEW YORK, NY	8/6/2012	00647296-07	Filed
YOGURT LAB 2 LLC	MINNEAPOLIS, MN	8/6/2012	00647301-07	Filed
Number of Regulation D Notice Filings: 19				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Kahala Franchising, L.L.C. / Great Steak & Potato Company and/or Great Steak & Potato	Scottsdale, AZ	8/17/2012	604648	Registered
Kahala Franchising, L.L.C. / Surf City Squeeze	Scottsdale, AZ	8/17/2012	604647	Registered
Kahala Franchising, L.L.C. / Samurai Sam's and/or Samurai Sam's Teriyaki Grill	Scottsdale, AZ	8/17/2012	604646	Registered
Kahala Franchising, L.L.C. / Cold Stone Creamery with Rocky Mountain Chocolate Factory	Scottsdale, AZ	8/17/2012	604645	Registered
Kahala Franchising, L.L.C. / Cold Stone Creamery	Scottsdale, AZ	8/17/2012	604644	Registered
Kahala Franchising, L.L.C. / Nrgize Lifestyle Cafe	Scottsdale, AZ	8/17/2012	604643	Registered
Kahala Franchising, L.L.C. / Blimpie	Scottsdale, AZ	8/17/2012	604642	Registered

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<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Creative World Schools Franchising Company, Inc. / Creative World School	Bonita Springs, FL	8/16/2012	604641	Registered
Fast-Teks, Inc. / Fast-Teks On-Site Computer Services	Tampa, FL	8/16/2012	604638	Registered
TSS PHOTOGRAPHY INC	DULUTH, GA	8/16/2012	604639	Registered
Great Lakes Chocolate Co., Inc. / Great Lakes Chocolate and Coffee Company	Sturgis, MI	8/15/2012	604634	Registered
COTTMAN TRANSMISSION SYSTEMS, LLC	HORSHAM, PA	8/15/2012	604640	Registered
HEALTHSOURCE CHIROPRACTIC INC / Single Unit	Avon, OH	8/10/2012	604632	Registered
The Willow Group LLC / 'Til We Meet Again	Wichita, KS	8/9/2012 4	604621	Registered
COMPUTERTOTS INC / Computer Explorers	CYPRESS, TX	8/9/2012	604631	Registered
Groutsmith Franchising, Inc.	Sarasota, FL	8/8/2012	604627	Registered
JOHNNY ROCKETS LICENSING CORP	Aliso Viejo, CA	8/8/2012	604628	Registered
Endurance House International, LLC / Endurance House	Middleton, WI	8/7/2012 2	604620	Registered
GODFATHERS PIZZA INC	OMAHA, NE	8/7/2012	604630	Registered
HERTZ SYSTEM, INC. / HERTZ	PARK RIDGE, NJ	8/6/2012 2	604618	Registered
Super-Lube Franchise, LLC / Super-Lube	Tallahassee, FL	8/6/2012 1	604616	Registered
Donatos Pizzeria, LLC	Columbus, OH	8/6/2012	604625	Registered
Frost Gelato Franchising, LLC	Tuscan, AZ	8/6/2012	604622	Registered
Rocky Mountain Chocolate Factory, Inc. / Rocky Mountain Chocolate Factory	Durango, CO	8/6/2012	604626	Registered
Number of Franchise Filings: 24				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
Number of Takeover Filings: 0	