

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 7/9/2012 through 7/20/2012**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AQR FUNDS AQR EMERGING DEFENSIVE EQUITY FUND CLASS I SHARES	GREENWICH, CT	7/18/2012	00646028-03	Registered
AQR FUNDS AQR EMERGING DEFENSIVE EQUITY FUND CLASS N SHARES	GREENWICH, CT	7/18/2012	00646023-03	Registered
AQR FUNDS AQR INTERNATIONAL DEFENSIVE EQUITY FUND CLASS I SHARES	GREENWICH, CT	7/18/2012	00646027-03	Registered
AQR FUNDS AQR INTERNATIONAL DEFENSIVE EQUITY FUND CLASS N SHARES	GREENWICH, CT	7/18/2012	00646025-03	Registered
AQR FUNDS AQR RISK BALANCED COMMODITIES STRATEGY FUND CLASS I SHARES	GREENWICH, CT	7/18/2012	00646024-03	Registered
AQR FUNDS AQR RISK BALANCED COMMODITIES STRATEGY FUND CLASS N SHARES	GREENWICH, CT	7/18/2012	00646026-03	Registered
AQR FUNDS AQR US DEFENSIVE EQUITY FUND CLASS I SHARES	GREENWICH, CT	7/18/2012	00646021-03	Registered
AQR FUNDS AQR US DEFENSIVE EQUITY FUND CLASS N SHARES	GREENWICH, CT	7/18/2012	00646022-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 7/9/2012 through 7/20/2012**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
EATON VANCE GROWTH TR EATON VANCE MULTI CAP GROWTH FUND CLASS I SHARES	BOSTON, MA	7/17/2012	00646012-03	Pending-Cleared
RIVERNORTH FUNDS RIVERNORTH MANNING & NAPIER DIVIDEND INCOME FUND CLASS R	CHICAGO, IL	7/16/2012	00645940-03	Registered
RIVERNORTH FUNDS RIVERNORTH MANNING & NAPIER DIVIDEND INCOME FUND CLASS I	CHICAGO, IL	7/16/2012	00645939-03	Registered
SHELTON FUNDS NASDAQ 100 INDEX FUND DIRECT SHARES	SAN FRANCISCO, CA	7/16/2012	00645941-03	Registered
JANUS INVESTMENT FUND JANUS ENTERPRISE FUND CLASS N SHARES	DENVER, CO	7/11/2012	00645938-03	Registered
ADVISORS INNER CIRCLE FUND 2 CORE BOND FUND CLASS A SHARES	BOSTON, MA	7/10/2012	00645922-03	Registered
ADVISORS INNER CIRCLE FUND 2 CORE BOND FUND INSTITUTIONAL CLASS SHARES	BOSTON, MA	7/10/2012	00645924-03	Registered
ADVISORS INNER CIRCLE FUND 2 GROWTH FUND INSTITUTIONAL CLASS SHARES	BOSTON, MA	7/10/2012	00645923-03	Registered
FT 3620 EQUITY INCOME SELECT PORTFOLIO SERIES 32	WHEATON, IL	7/10/2012	00645584-05	Pending-Cleared
FT 3682 SENIOR LOAN & LTD DURATION OPPORTUNITIES CLOSED END PORTFOLIO SERIES 13	WHEATON, IL	7/10/2012	00645590-05	Pending-Cleared
GLOBAL CLOSED END PORTFOLIO SERIES 2012 2	MONUMENT, CO	7/10/2012	00645585-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 921 DIVERSIFIED INCOME WAVE PORTFOLIO SERIES 33	LISLE, IL	7/10/2012	00645592-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 921 MULTIPLE ASSET PORTFOLIO PLUS SERIES 42	LISLE, IL	7/10/2012	00645591-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 7/9/2012 through 7/20/2012**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GUGGENHEIM DEFINED PORTFOLIOS SERIES 922 GUGGENHEIM US HIGH DIVIDEND STRATEGY PORTFOLIO SERIES 5	LISLE, IL	7/10/2012	00645587-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 922 GUGGENHEIM US SMID HIGH DIVIDEND STRATEGY PORTFOLIO SERIES 5	LISLE, IL	7/10/2012	00645588-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 922 GUGGENHEIM INFLATION DEFENSE & DIVIDEND PORTFOLIO SERIES 8	LISLE, IL	7/10/2012	00645589-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 939 FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 29	LISLE, IL	7/10/2012	00645586-05	Pending-Cleared
LAUDUS TR LAUDUS MONDRIAN GLOBAL FIXED INCOME FUND	SAN FRANCISCO, CA	7/9/2012	00645565-03	Registered
SALIENT MF TR SALIENT RISK PARITY FUND CLASS I	HOUSTON, TX	7/9/2012	00645560-03	Registered
Number of Investment Company Filings: 27				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ALPHA ENERGY PARTNERS DRILLING PROGRAM 2012 LP	GETZVILLE, NY	7/10/2012	00645583-07	Filed
INTUITIVE CALIENT 2 LLC	LAKE COMO, NJ	7/9/2012	00645577-07	Filed
UBS US TRUMBULL PROPERTY INCOME FUND LP	HARTFORD, CT	7/9/2012	00645582-07	Filed
VO2 PARTNERS 1 LLC	NEW YORK, NY	7/9/2012	00645578-07	Filed
Number of Regulation D Notice Filings: 4				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Decor Group, Inc.	Lubbock, TX	7/20/2012	604566	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 7/9/2012 through 7/20/2012**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Zaxby's Franchising, Inc. / Zaxby's	Athens, GA	7/19/2012	604564	Registered
NZONE SPORTS OF AMERICA, INC. / NZONE SPORTS	Tampa, FL	7/19/2012	604562	Registered
FIREHOUSE OF AMERICA LLC / Area Representative Franchise	JACKSONVILLE, FL	7/18/2012	604546	Registered
FIREHOUSE OF AMERICA LLC	JACKSONVILLE, FL	7/18/2012	604545	Registered
Country Hearth Inn, Inc.	Atlanta, GA	7/18/2012	604544	Registered
Budgetel Inn, Inc.	Atlanta, GA	7/18/2012	604543	Registered
America's Best Inn, Inc.	Atlanta, GA	7/18/2012	604542	Registered
KOKO FITCLUB LLC	Rockland, MA	7/18/2012	604561	Registered
GOLDS GYM FRANCHISING LLC / Express Program - Heritage version	IRVING, TX	7/17/2012	604557	Registered
HAPPY TO BE HERE INC	NORTH BETHESDA, MD	7/17/2012	604555	Registered
INTERSTATE BATTERY FRANCHISING & DEVELOPMENT INC	DES MOINES, IA	7/17/2012	604556	Registered
StearClear Holdings LLC	Wyckoff, NJ	7/16/2012	604558	Registered
GOLDS GYM FRANCHISING LLC / Gold's Gym Express	IRVING, TX	7/13/2012	604521	Registered
M&T PIZZA INC / Carbone's Pizza	ST PAUL, MN	7/13/2012	604525	Registered
Elevation Franchise Ventures, LLC / Elevation Burger	Arlington, VA	7/12/2012	604532	Registered
VPC Pizza Franchise, LLC / Giordano's® Restaurants	Chicago, IL	7/11/2012	604509	Registered
JTH TAX INC / Liberty Tax Service	VIRGINIA BEACH, VA	7/11/2012	604508	Registered
JTH TAX INC / Area Developer	VIRGINIA BEACH, VA	7/11/2012	604507	Registered
AlignLife Systems, LLC	Peoria, IL	7/11/2012	604538	Registered
ATC HEALTHCARE SERVICES INC	LAKE SUCCESS, NY	7/11/2012	604533	Registered
Brass Tap Franchisor, LLC	Tampa, FL	7/11/2012	604535	Registered
Burger 21 International, Inc.	Tampa, FL	7/11/2012	604539	Registered
COAST TO COAST ENGINEERING SERVICES INC / Criterium Engineers	PORTLAND, ME	7/11/2012	604529	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 7/9/2012 through 7/20/2012**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
STC Franchising, LLC / Sun Tan City	Elizabethtown, KY	7/10/2012	604500	Registered
CASH PLUS INC	TUSTIN, CA	7/10/2012	604531	Registered
Alliance Cost Containment, LLC	Louisville, KY	7/9/2012 2	604477	Registered
FOOT SOLUTIONS INC	MARIETTA, GA	7/9/2012	604498	Registered
Yoasis Franchising Systems, LLC	Salt Lake City, UT	7/9/2012	604499	Registered
Number of Franchise Filings: 29				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	