

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 6/18/2012 through 6/29/2012**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CHRISTIAN FINANCIAL RESOURCES INC	LAKE MARY, FL	6/19/2012	00644672-02	Pending
CHRISTIAN FINANCIAL RESOURCES INC	LAKE MARY, FL	6/19/2012	00644673-02	Pending
MACKENZIE REALTY CAPITAL INC	ST. LOUIS, MO	6/18/2012	00644715-01	Pending
Number of Registration Filings: 3				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
NANOPHASE TECHNOLOGIES CORP	ROMEORVILLE, IL	6/20/2012	00645346-08	Not Disallowed
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
NATIXIS FUNDS TR 2 VAUGHAN NELSON SELECT FUND CLASS A	BOSTON, MA	6/27/2012	00645339-03	Pending-Cleared
NATIXIS FUNDS TR 2 VAUGHAN NELSON SELECT FUND CLASS C	BOSTON, MA	6/27/2012	00645340-03	Pending-Cleared
NATIXIS FUNDS TR 2 VAUGHAN NELSON SELECT FUND CLASS Y	BOSTON, MA	6/27/2012	00645341-03	Pending-Cleared
PACIFIC LIFE FUNDS PL FLOATING RATE INCOME FUND ADVISOR CLASS	NEWPORT BEACH, CA	6/27/2012	00645325-03	Pending-Cleared
PACIFIC LIFE FUNDS PL HIGH INCOME FUND ADVISOR CLASS	NEWPORT BEACH, CA	6/27/2012	00645328-03	Pending-Cleared
PACIFIC LIFE FUNDS PL HIGH INCOME FUND CLASS A	NEWPORT BEACH, CA	6/27/2012	00645326-03	Pending-Cleared
PACIFIC LIFE FUNDS PL HIGH INCOME FUND CLASS C	NEWPORT BEACH, CA	6/27/2012	00645329-03	Pending-Cleared
PACIFIC LIFE FUNDS PL HIGH INCOME FUND CLASS I	NEWPORT BEACH, CA	6/27/2012	00645331-03	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
PACIFIC LIFE FUNDS PL INCOME FUND ADVISOR CLASS	NEWPORT BEACH, CA	6/27/2012	00645327-03	Pending-Cleared
PACIFIC LIFE FUNDS PL MONEY MARKET FUND CLASS B	NEWPORT BEACH, CA	6/27/2012	00645323-03	Pending-Cleared
PACIFIC LIFE FUNDS PL MONEY MARKET FUND CLASS C	NEWPORT BEACH, CA	6/27/2012	00645324-03	Pending-Cleared
PACIFIC LIFE FUNDS PL SHORT DURATION INCOME FUND ADVISOR CLASS	NEWPORT BEACH, CA	6/27/2012	00645333-03	Pending-Cleared
PACIFIC LIFE FUNDS PL SHORT DURATION INCOME FUND CLASS A	NEWPORT BEACH, CA	6/27/2012	00645335-03	Pending-Cleared
PACIFIC LIFE FUNDS PL SHORT DURATION INCOME FUND CLASS C	NEWPORT BEACH, CA	6/27/2012	00645337-03	Pending-Cleared
PACIFIC LIFE FUNDS PL SHORT DURATION INCOME FUND CLASS I	NEWPORT BEACH, CA	6/27/2012	00645330-03	Pending-Cleared
PACIFIC LIFE FUNDS PL STRATEGIC INCOME FUND ADVISOR CLASS	NEWPORT BEACH, CA	6/27/2012	00645334-03	Pending-Cleared
PACIFIC LIFE FUNDS PL STRATEGIC INCOME FUND CLASS A	NEWPORT BEACH, CA	6/27/2012	00645332-03	Pending-Cleared
PACIFIC LIFE FUNDS PL STRATEGIC INCOME FUND CLASS C	NEWPORT BEACH, CA	6/27/2012	00645336-03	Pending-Cleared
PACIFIC LIFE FUNDS PL STRATEGIC INCOME FUND CLASS I	NEWPORT BEACH, CA	6/27/2012	00645338-03	Pending-Cleared
SEI INSTITUTIONAL INVESTMENTS TR LONG DURATION CORPORATE BOND FUND CLASS A SHARES	OAKS, PA	6/27/2012	00645322-03	Registered
ASTON FUNDS ASTON RIVER RD DIVIDEND ALL CAP VALUE FUND 2 CLASS I SHARES	CHICAGO, IL	6/25/2012	00645265-03	Registered
ASTON FUNDS ASTON RIVER RD DIVIDEND ALL CAP VALUE FUND 2 CLASS N SHARES	CHICAGO, IL	6/25/2012	00645266-03	Registered
MANNING & NAPIER FUND INC TARGET 2015 SERIES CLASS C SHARES	FAIRPORT, NY	6/21/2012	00645228-03	Registered

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Firm Name	Location	Date Received	File Number	Status
MANNING & NAPIER FUND INC TARGET 2015 SERIES CLASS I SHARES	FAIRPORT, NY	6/21/2012	00645233-03	Registered
MANNING & NAPIER FUND INC TARGET 2015 SERIES CLASS K SHARES	FAIRPORT, NY	6/21/2012	00645231-03	Registered
MANNING & NAPIER FUND INC TARGET 2015 SERIES CLASS R SHARES	FAIRPORT, NY	6/21/2012	00645229-03	Registered
MANNING & NAPIER FUND INC TARGET 2025 SERIES CLASS C SHARES	FAIRPORT, NY	6/21/2012	00645230-03	Registered
MANNING & NAPIER FUND INC TARGET 2025 SERIES CLASS I SHARES	FAIRPORT, NY	6/21/2012	00645232-03	Registered
MANNING & NAPIER FUND INC TARGET 2025 SERIES CLASS K SHARES	FAIRPORT, NY	6/21/2012	00645234-03	Registered
MANNING & NAPIER FUND INC TARGET 2025 SERIES CLASS R SHARES	FAIRPORT, NY	6/21/2012	00645235-03	Registered
MANNING & NAPIER FUND INC TARGET 2035 SERIES CLASS C SHARES	FAIRPORT, NY	6/21/2012	00645242-03	Registered
MANNING & NAPIER FUND INC TARGET 2035 SERIES CLASS I SHARES	FAIRPORT, NY	6/21/2012	00645238-03	Registered
MANNING & NAPIER FUND INC TARGET 2035 SERIES CLASS K SHARES	FAIRPORT, NY	6/21/2012	00645246-03	Registered
MANNING & NAPIER FUND INC TARGET 2035 SERIES CLASS R SHARES	FAIRPORT, NY	6/21/2012	00645240-03	Registered
MANNING & NAPIER FUND INC TARGET 2045 SERIES CLASS C SHARES	FAIRPORT, NY	6/21/2012	00645244-03	Registered
MANNING & NAPIER FUND INC TARGET 2045 SERIES CLASS I SHARES	FAIRPORT, NY	6/21/2012	00645236-03	Registered
MANNING & NAPIER FUND INC TARGET 2045 SERIES CLASS K SHARES	FAIRPORT, NY	6/21/2012	00645237-03	Registered
MANNING & NAPIER FUND INC TARGET 2045 SERIES CLASS R SHARES	FAIRPORT, NY	6/21/2012	00645239-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MANNING & NAPIER FUND INC TARGET 2055 SERIES CLASS C SHARES	FAIRPORT, NY	6/21/2012	00645241-03	Registered
MANNING & NAPIER FUND INC TARGET 2055 SERIES CLASS I SHARES	FAIRPORT, NY	6/21/2012	00645243-03	Registered
MANNING & NAPIER FUND INC TARGET 2055 SERIES CLASS K SHARES	FAIRPORT, NY	6/21/2012	00645245-03	Registered
MANNING & NAPIER FUND INC TARGET 2055 SERIES CLASS R SHARES	FAIRPORT, NY	6/21/2012	00645247-03	Registered
MONEY MARKET OBLIGATIONS TR FEDERATED PRIME OBLIGATIONS FUND CAPITAL SHARES	WARRENDALE, PA	6/21/2012	00644650-03	Pending-Cleared
FORWARD FUNDS FORWARD MANAGED FUTURES STRATEGY FUND INSTITUTIONAL CLASS	SAN FRANCISCO, CA	6/20/2012	00645220-03	Registered
FORWARD FUNDS FORWARD MANAGED FUTURES STRATEGY FUND CLASS C	SAN FRANCISCO, CA	6/20/2012	00645218-03	Registered
FORWARD FUNDS FORWARD MANAGED FUTURES STRATEGY FUND CLASS M	SAN FRANCISCO, CA	6/20/2012	00645219-03	Registered
FORWARD FUNDS FORWARD MANAGED FUTURES STRATEGY FUND INVESTOR CLASS	SAN FRANCISCO, CA	6/20/2012	00645221-03	Registered
TRANSPARENT VALUE TR TRANSPARENT VALUE DOW JONES RBP DIRECTIONAL ALLOCATION INDEX FUND CLASS I SHARES	NEW YORK, NY	6/20/2012	00645225-03	Registered
TRANSPARENT VALUE TR TRANSPARENT VALUE DOW JONES RBP DIRECTIONAL ALLOCATION INDEX FUND CLASS F 1 SHARES	NEW YORK, NY	6/20/2012	00645223-03	Registered
TRANSPARENT VALUE TR TRANSPARENT VALUE DOW JONES RBP DIRECTIONAL ALLOCATION INDEX FUND CLASS A SHARES	NEW YORK, NY	6/20/2012	00645222-03	Registered

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TRANSPARENT VALUE TR TRANSPARENT VALUE DOW JONES RBP DIRECTIONAL ALLOCATION INDEX FUND CLASS C SHARES	NEW YORK, NY	6/20/2012	00645224-03	Registered
COLUMBIA FUNDS SERIES TR I COLUMBIA RISK ALLOCATION FUND CLASS A	BOSTON, MA	6/19/2012	00645162-03	Pending-Cleared
COLUMBIA FUNDS SERIES TR I COLUMBIA RISK ALLOCATION FUND CLASS W	BOSTON, MA	6/19/2012	00645166-03	Pending-Cleared
COLUMBIA FUNDS SERIES TR I COLUMBIA RISK ALLOCATION FUND CLASS R	BOSTON, MA	6/19/2012	00645165-03	Pending-Cleared
COLUMBIA FUNDS SERIES TR I COLUMBIA RISK ALLOCATION FUND CLASS C	BOSTON, MA	6/19/2012	00645163-03	Pending-Cleared
COLUMBIA FUNDS SERIES TR I COLUMBIA RISK ALLOCATION FUND CLASS R4	BOSTON, MA	6/19/2012	00645167-03	Pending-Cleared
COLUMBIA FUNDS SERIES TR I COLUMBIA RISK ALLOCATION FUND CLASS R5	BOSTON, MA	6/19/2012	00645164-03	Pending-Cleared
COLUMBIA FUNDS SERIES TR I COLUMBIA RISK ALLOCATION FUND CLASS Z	BOSTON, MA	6/19/2012	00645168-03	Pending-Cleared
FT 3612 COVERED CALL SELECT CLOSED END PORTFOLIO SERIES 31	WHEATON, IL	6/19/2012	00644682-05	Pending-Cleared
FT 3614 MULTI SECTOR INCOME PORTFOLIO SERIES 9	WHEATON, IL	6/19/2012	00644681-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 870 GUGGENHEIM SHORT DURATION HIGH YIELD TR SERIES 16	LISLE, IL	6/19/2012	00644683-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 915 GLOBAL DOW 10 PORTFOLIO SERIES 2	LISLE, IL	6/19/2012	00644680-05	Pending-Cleared
NORTHERN LIGHTS FUND TR 2 SUSTAINABLE OPPORTUNITIES FUND CLASS I	HAUPPAUGE, NY	6/19/2012	00644678-03	Registered
RIDGEWORTH FUNDS SHORT TERM MUNICIPAL BOND FUND I SHARES	ATLANTA, GA	6/19/2012	00644684-03	Registered

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Firm Name	Location	Date Received	File Number	Status
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1096 INVESTORS QUALITY TX EX TR SERIES 167	OAKBROOK TER, IL	6/19/2012	00644697-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1097 INVESTORS QUALITY TX EX TR SERIES 168	OAKBROOK TER, IL	6/19/2012	00644696-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1098 INSURED MUNICIPALS INCOME TR SERIES 650	OAKBROOK TER, IL	6/19/2012	00644695-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1099 INSURED MUNICIPALS INCOME TR SERIES 651	OAKBROOK TER, IL	6/19/2012	00644694-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1100 QUALITY MUNICIPALS INCOME TR 63RD LTD MATURITY SERIES	OAKBROOK TER, IL	6/19/2012	00644693-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1101 QUALITY MUNICIPALS INCOME TR 64TH LTD MATURITY SERIES	OAKBROOK TER, IL	6/19/2012	00644692-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1253 GLOBAL HIGH DIVIDEND PORTFOLIO 2012 3	OAKBROOK TER, IL	6/19/2012	00644685-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 403 INVESTMENT GRADE INCOME TR 7 PLUS YEAR SERIES 24	OAKBROOK TER, IL	6/19/2012	00644689-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 404 INVESTMENT GRADE INCOME TR 7 PLUS YEAR SERIES 25	OAKBROOK TER, IL	6/19/2012	00644688-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 405 INVESTMENT GRADE INCOME TR 10 20 YEAR SERIES 29	OAKBROOK TER, IL	6/19/2012	00644691-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 406 INVESTMENT GRADE INCOME TR 10 20 YEAR SERIES 30	OAKBROOK TER, IL	6/19/2012	00644690-05	Pending-Cleared

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VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 407 INVESTMENT GRADE INCOME TR 20 PLUS YEAR SERIES 26	OAKBROOK TER, IL	6/19/2012	00644687-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 408 INVESTMENT GRADE INCOME TR 20 PLUS YEAR SERIES 27	OAKBROOK TER, IL	6/19/2012	00644686-05	Pending-Cleared
ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 54	MONUMENT, CO	6/18/2012	00644729-05	Pending-Cleared
COLUMBIA FUNDS SERIES TR 2 COLUMBIA COMMODITY STRATEGY FUND CLASS C	MINNEAPOLIS, MN	6/18/2012	00644527-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA COMMODITY STRATEGY FUND CLASS A	MINNEAPOLIS, MN	6/18/2012	00644532-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA COMMODITY STRATEGY FUND CLASS R	MINNEAPOLIS, MN	6/18/2012	00644526-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA COMMODITY STRATEGY FUND CLASS Z	MINNEAPOLIS, MN	6/18/2012	00644528-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA EUROPEAN EQUITY FUND CLASS W	MINNEAPOLIS, MN	6/18/2012	00644530-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA US GOVERNMENT MORTGAGE FUND CLASS W	MINNEAPOLIS, MN	6/18/2012	00644525-03	Registered
COLUMBIA FUNDS SERIES TR I COLUMBIA GREATER CHINA FUND CLASS W	BOSTON, MA	6/18/2012	00644529-03	Registered
COLUMBIA FUNDS SERIES TR I COLUMBIA INTERNATIONAL BOND FUND CLASS W	BOSTON, MA	6/18/2012	00644531-03	Registered
COLUMBIA FUNDS SERIES TR I COLUMBIA PACIFIC ASIA FUND CLASS W	BOSTON, MA	6/18/2012	00644523-03	Registered
COLUMBIA FUNDS SERIES TR I COLUMBIA US TREASURY INDEX FUND CLASS W	BOSTON, MA	6/18/2012	00644524-03	Registered
FIDELITY RUTLAND SQUARE TR 2 STRATEGIC ADVISER CORE INCOME MULTI MANAGER FUND	BOSTON, MA	6/18/2012	00644534-03	Registered

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Firm Name	Location	Date Received	File Number	Status
FIDELITY RUTLAND SQUARE TR 2 STRATEGIC ADVISERS INCOME OPPORTUNITIES FUND OF FUNDS	BOSTON, MA	6/18/2012	00644533-03	Registered
FT 3582 SENIOR LOAN & LTD DURATION CLOSED END PORTFOLIO SERIES 16	WHEATON, IL	6/18/2012	00644735-05	Pending-Cleared
FT 3589 CLOUD COMPUTING PORTFOLIO SERIES 6 8	WHEATON, IL	6/18/2012	00644733-05	Pending-Cleared
FT 3604 STRATEGIC INCOME ADVANTAGE SELECT CLOSED END PORTFOLIO SERIES 31	WHEATON, IL	6/18/2012	00644732-05	Pending-Cleared
FT 3648 STRATEGIC INCOME SELECT CLOSED END PORTFOLIO SERIES 8	WHEATON, IL	6/18/2012	00644734-05	Pending-Cleared
FT 3654 GLOBAL EQUITY INCOME CLOSED END PORTFOLIO SERIES 24	WHEATON, IL	6/18/2012	00644730-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 916 BLUEPRINTS TACTICAL HIGH DIVIDEND PORTFOLIO SERIES 5	LISLE, IL	6/18/2012	00644728-05	Pending-Cleared
NORTHERN LIGHTS FUND TR SIERRA CORE RETIREMENT FUND CLASS 2 SHARES	HAUPPAUGE, NY	6/18/2012	00644713-03	Registered
NORTHERN LIGHTS FUND TR SIERRA CORE RETIREMENT FUND CLASS A1 SHARES	HAUPPAUGE, NY	6/18/2012	00644714-03	Registered
PERONI GROWTH PORTFOLIO SUMMER 2012 SERIES	MONUMENT, CO	6/18/2012	00644731-05	Pending-Cleared
SMART TR DYNAMIC SECTOR INCOME TR SERIES 3	PARSIPPANY, NJ	6/18/2012	00644727-05	Pending-Cleared
Number of Investment Company Filings: 100				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SHERIDAN LODGING ASSOC LLC	MIDDLETON, WI	6/20/2012	00645348-07	Filed
INRANGE SYSTEMS INC	ALTOONA, PA	6/19/2012	00644674-07	Filed
ZEPPELINN ENERGY LP	SAN ANTONIO, TX	6/19/2012	00645347-07	Filed
BELLBROOK LABS LLC	MADISON, WI	6/18/2012	00644712-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CAIS MILLENNIUM USA LLC	NEW YORK, NY	6/18/2012	00644708-07	Filed
DEGREEN PARTNERS LP	SCOTTSDALE, AZ	6/18/2012	00644709-07	Filed
INVESTMENT DIAMONDS LLC	SUNRISE, FL	6/18/2012	00644710-07	Filed
Number of Regulation D Notice Filings: 7				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Big O Tires, LLC	Juno Beach, FL	6/29/2012	604469	Registered
Big O Tires, LLC	Juno Beach, FL	6/29/2012	604468	Registered
MEGADANCE USA CORP. / FRED ASTAIRE FRANCHISED DANCE STUDIO	LONGMEADOW, MA	6/27/2012	604459	Registered
EXIT Realty Corp. International	Mississauga, ON	6/26/2012	604454	Registered
1 800 FLOWERS.COM FRANCHISE CO INC	CARLE PLACE, NY	6/26/2012	604463	Registered
CARSOUP FRANCHISING LLC / CarSoup.com	BLOOMINGTON, MN	6/26/2012	604462	Registered
DOLLAR RENT A CAR INC	TULSA, OK	6/26/2012	604464	Registered
Sky Zone Franchise Group LLC	Los Angeles, CA	6/26/2012	604461	Registered
Springbok Franchising LLC / Dirty Dash Color Me Rad	Cottonwood Heights, UT	6/26/2012	604458	Registered
THRIFTY RENT A CAR SYSTEM INC	TULSA, OK	6/26/2012	604460	Registered
TONI&GUY HAIRDRESSING ACADEMY FRANCHISE LP	CARROLTON, TX	6/26/2012	604466	Registered
World Inspection Network, Inc. / WIN Home Inspection	Madison, AL	6/25/2012	604448	Registered
SOLA FRANCHISE CORP / Sola Salon Studios	DENVER, CO	6/25/2012	604447	Registered
BIKRAM INC	LOS ANGELES, CA	6/25/2012	604457	Registered
Buff & Coat International Inc	Eagan, MN	6/25/2012	604456	Registered
JUST DRIVE INC	PORT WASHINGTON, WI	6/25/2012	604452	Registered
PB Art Franchise, Inc. / Brow Art 23	Wilmette, IL	6/22/2012	604435	Registered
STORK VISION SYSTEMS, INC.	KELLER, TX	6/22/2012	604450	Registered
SUPER WASH INC	MORRISON, IL	6/22/2012	604449	Registered

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Seniors Helping Seniors, LLC / Seniors Helping Seniors	Wyomissing Hills, PA	6/21/2012	604436	Registered
RadioShack Corporation / RadioShack - Royalty Version	Fort Worth, TX	6/21/2012	604433	Registered
RADIOSHACK CORPORATION / RadioShack - NonRoyalty Version	FORT WORTH, TX	6/21/2012	604432	Registered
BONANZA RESTAURANT CO	PLANO, TX	6/21/2012	604451	Registered
DaVi Nails Salon and Spa, LLC	West Valley City, UT	6/20/2012	604443	Registered
HappyFeet-Legends International, Inc. / HappyFeet-Legends International	Lenexa, KS	6/19/2012	604431	Registered
QUAKER STEAK & LUBE FRANCHISING CORP	SHARON, PA	6/19/2012	604439	Registered
EAGLE FRANCHISE SYSTEMS INC / Parcel Plus	CYPRESS, TX	6/18/2012	604438	Registered
Number of Franchise Filings: 27				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	