

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 6/4/2012 through 6/15/2012**

| Securities Law Registration Filings Made with DFI's Securities Division | | | | |
|--|-------------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| GRIFFIN AMERICAN HEALTHCARE REIT 2 INC | NEWPORT BEACH, CA | 6/11/2012 | 00644438-01 | Pending |
| KBS LEGACY PARTNERS APARTMENT REIT INC FOLLOW ON OFFERING | RALEIGH, NC | 6/7/2012 | 00644388-01 | Pending |
| Number of Registration Filings: 2 | | | | |

| Securities Law Exemption Filings Made with DFI's Securities Division | | | | |
|---|---------------------|----------------------|--------------------|----------------|
| Firm Name | Location | Date Received | File Number | Status |
| MADRONA VENTURE FUND 5 LP | SEATTLE, WA | 6/8/2012 | 00644465-06 | Pending |
| BEEFREE INC | WEST PALM BEACH, FL | 6/5/2012 | 00644277-10 | Not Disallowed |
| PINON HILLS COMMUNITY CHURCH | FARMINGTON, NM | 6/4/2012 | 00644247-10 | Not Disallowed |
| Number of Exemption Filings: 3 | | | | |

| Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|-----------------|
| Firm Name | Location | Date Received | File Number | Status |
| LEGG MASON GLOBAL ASSET MANAGEMENT TR LEGG MASON BW ABSOLUTE RETURN OPPORTUNITIES FUND CLASS IS | BALTIMORE, MD | 6/13/2012 | 00644447-03 | Registered |
| LEGG MASON PARTNERS MONEY MARKET TR WESTERN ASSET TAX FREE RESERVES CLASS C | NEW YORK, NY | 6/12/2012 | 00644440-03 | Registered |
| FT 3565 EMERGING MARKETS STRENGTH PORTFOLIO SERIES 14 | WHEATON, IL | 6/11/2012 | 00644433-05 | Pending-Cleared |
| GUGGENHEIM DEFINED PORTFOLIOS SERIES 911 COVERED CALL & INCOME PORTFOLIO OF CEFS SERIES 29 | LISLE, IL | 6/11/2012 | 00644431-05 | Pending-Cleared |

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| Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division | | | | |
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| Firm Name | Location | Date Received | File Number | Status |
| GUGGENHEIM DEFINED PORTFOLIOS SERIES 911 EQUITY & INCOME PORTFOLIO OF CEFS SERIES 32 | LISLE, IL | 6/11/2012 | 00644430-05 | Pending-Cleared |
| GUGGENHEIM DEFINED PORTFOLIOS SERIES 911 STRATEGIC INCOME PORTFOLIO SERIES 63 | LISLE, IL | 6/11/2012 | 00644429-05 | Pending-Cleared |
| GUGGENHEIM DEFINED PORTFOLIOS SERIES 912 INCOME & TREASURY LTD DURATION PORTFOLIO OF FUNDS SERIES 21 | LISLE, IL | 6/11/2012 | 00644432-05 | Pending-Cleared |
| FT 3566 INTERNATIONAL CAPITAL STRENGTH PORTFOLIO SERIES 12 | WHEATON, IL | 6/8/2012 | 00644475-05 | Pending-Cleared |
| FT 3566 SMID CAPITAL STRENGTH PORTFOLIO SERIES 12 | WHEATON, IL | 6/8/2012 | 00644467-05 | Pending-Cleared |
| FT 3571 STRATEGIC DIVIDEND PLUS PORTFOLIO SERIES 7 | WHEATON, IL | 6/8/2012 | 00644469-05 | Pending-Cleared |
| FT 3572 INFLATION HEDGE OPPORTUNITY PORTFOLIO SERIES 13 | WHEATON, IL | 6/8/2012 | 00644470-05 | Pending-Cleared |
| FT 3580 INTEREST RATE HEDGE PORTFOLIO SERIES 47 | WHEATON, IL | 6/8/2012 | 00644474-05 | Pending-Cleared |
| FT 3613 US EQUITY CLOSED END PORTFOLIO SERIES 3 | WHEATON, IL | 6/8/2012 | 00644472-05 | Pending-Cleared |
| FT 3640 MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 40 | WHEATON, IL | 6/8/2012 | 00644473-05 | Pending-Cleared |
| FT 3641 PREFERRED INCOME PORTFOLIO SERIES 53 | WHEATON, IL | 6/8/2012 | 00644468-05 | Pending-Cleared |
| FT 3643 HOMEBUILDERS RECOVERY PORTFOLIO SERIES 2 | WHEATON, IL | 6/8/2012 | 00644471-05 | Pending-Cleared |
| FIDELITY ADVISOR SERIES I FIDELITY ADVISOR STOCK SELECTOR MID CAP FUND FIDELITY STOCK SELECTOR MID CAP FUND | BOSTON, MA | 6/7/2012 | 00644359-03 | Registered |
| HSBC FUNDS HSBC RMB FIXED INCOME FUND CLASS A | COLUMBUS, OH | 6/7/2012 | 00644287-03 | Registered |
| HSBC FUNDS HSBC RMB FIXED INCOME FUND CLASS I | COLUMBUS, OH | 6/7/2012 | 00644288-03 | Registered |

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| Firm Name | Location | Date Received | File Number | Status |
| HSBC FUNDS HSBC RMB FIXED INCOME FUND CLASS S | COLUMBUS, OH | 6/7/2012 | 00644289-03 | Registered |
| NUVEEN INVESTMENT TR NUVEEN INTELLIGENT RISK CONSERVATIVE ALLOCATION FUND CLASS C | CHIGACO, IL | 6/7/2012 | 00644363-03 | Registered |
| NUVEEN INVESTMENT TR NUVEEN INTELLIGENT RISK CONSERVATIVE ALLOCATION FUND CLASS A | CHIGACO, IL | 6/7/2012 | 00644370-03 | Registered |
| NUVEEN INVESTMENT TR NUVEEN INTELLIGENT RISK CONSERVATIVE ALLOCATION FUND CLASS R3 | CHIGACO, IL | 6/7/2012 | 00644360-03 | Registered |
| NUVEEN INVESTMENT TR NUVEEN INTELLIGENT RISK CONSERVATIVE ALLOCATION FUND CLASS I | CHIGACO, IL | 6/7/2012 | 00644368-03 | Registered |
| NUVEEN INVESTMENT TR NUVEEN INTELLIGENT RISK GROWTH ALLOCATION FUND CLASS R3 | CHIGACO, IL | 6/7/2012 | 00644364-03 | Registered |
| NUVEEN INVESTMENT TR NUVEEN INTELLIGENT RISK GROWTH ALLOCATION FUND CLASS C | CHIGACO, IL | 6/7/2012 | 00644361-03 | Registered |
| NUVEEN INVESTMENT TR NUVEEN INTELLIGENT RISK GROWTH ALLOCATION FUND CLASS A | CHIGACO, IL | 6/7/2012 | 00644365-03 | Registered |
| NUVEEN INVESTMENT TR NUVEEN INTELLIGENT RISK GROWTH ALLOCATION FUND CLASS I | CHIGACO, IL | 6/7/2012 | 00644362-03 | Registered |
| NUVEEN INVESTMENT TR NUVEEN INTELLIGENT RISK MODERATE ALLOCATION FUND CLASS R3 | CHIGACO, IL | 6/7/2012 | 00644366-03 | Registered |
| NUVEEN INVESTMENT TR NUVEEN INTELLIGENT RISK MODERATE ALLOCATION FUND CLASS I | CHIGACO, IL | 6/7/2012 | 00644371-03 | Registered |
| NUVEEN INVESTMENT TR NUVEEN INTELLIGENT RISK MODERATE ALLOCATION FUND CLASS A | CHIGACO, IL | 6/7/2012 | 00644367-03 | Registered |

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| Firm Name | Location | Date Received | File Number | Status |
| NUVEEN INVESTMENT TR NUVEEN INTELLIGENT RISK MODERATE ALLOCATION FUND CLASS C | CHIGACO, IL | 6/7/2012 | 00644369-03 | Registered |
| ADVISORS SERIES TR DAVIDSON MULTI CAP EQUITY FUND ADVISOR CLASS A | MILWAUKEE, WI | 6/6/2012 | 00644449-03 | Registered |
| MORGAN STANLEY INSTITUTIONAL FUND INC | NEW YORK, NY | 6/6/2012 | 00644457-03 | Registered |
| MORGAN STANLEY INSTITUTIONAL FUND INC ACTIVE INTERNATIONAL ALLOCATION PORTFOLIO CLASS L SHARES | NEW YORK, NY | 6/6/2012 | 00644453-03 | Registered |
| MORGAN STANLEY INSTITUTIONAL FUND INC ACTIVE INTERNATIONAL ALLOCATION PORTFOLIO CLASS H SHARES | NEW YORK, NY | 6/6/2012 | 00644454-03 | Registered |
| MORGAN STANLEY INSTITUTIONAL FUND INC INTERNATIONAL EQUITY PORTFOLIO CLASS H SHARES | NEW YORK, NY | 6/6/2012 | 00644452-03 | Registered |
| MORGAN STANLEY INSTITUTIONAL FUND INC INTERNATIONAL EQUITY PORTFOLIO CLASS L SHARES | NEW YORK, NY | 6/6/2012 | 00644451-03 | Registered |
| MORGAN STANLEY INSTITUTIONAL FUND INC MULTI ASSET PORTFOLIO CLASS I SHARES | NEW YORK, NY | 6/6/2012 | 00644455-03 | Registered |
| MORGAN STANLEY INSTITUTIONAL FUND INC MULTI ASSET PORTFOLIO CLASS P SHARES | NEW YORK, NY | 6/6/2012 | 00644456-03 | Registered |
| MORGAN STANLEY INSTITUTIONAL FUND INC MULTI ASSET PORTFOLIO CLASS H SHARES | NEW YORK, NY | 6/6/2012 | 00644458-03 | Registered |
| MORGAN STANLEY INSTITUTIONAL FUND TR MID CAP GROWTH PORTFOLIO CLASS L SHARES | NEW YORK, NY | 6/6/2012 | 00644459-03 | Registered |
| MORGAN STANLEY INSTITUTIONAL FUND TR MID CAP GROWTH PORTFOLIO CLASS H SHARES | NEW YORK, NY | 6/6/2012 | 00644450-03 | Registered |

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|---|------------------|----------------------|--------------------|-----------------|
| Firm Name | Location | Date Received | File Number | Status |
| FT 3599 BALANCED INCOME PORTFOLIO SERIES 34 | WHEATON, IL | 6/5/2012 | 00644279-05 | Pending-Cleared |
| FT 3637 EQUITY CLOSED END PORTFOLIO SERIES 22 | WHEATON, IL | 6/5/2012 | 00644278-05 | Pending-Cleared |
| VAN KAMPEN UNIT TR SERIES 1237 CLOSED END STRATEGY COVERED CALL INCOME PORTFOLIO 2012 3 | OAKBROOK TER, IL | 6/5/2012 | 00644275-05 | Pending-Cleared |
| VAN KAMPEN UNIT TR SERIES 1237 CLOSED END STRATEGY MASTER MUNICIPAL INCOME PORTFOLIO SERIES 28 | OAKBROOK TER, IL | 6/5/2012 | 00644273-05 | Pending-Cleared |
| VAN KAMPEN UNIT TR SERIES 1237 CLOSED END STRATEGY MASTER INCOME PORTFOLIO SERIES 32 | OAKBROOK TER, IL | 6/5/2012 | 00644274-05 | Pending-Cleared |
| VAN KAMPEN UNIT TR SERIES 1237 CLOSED END STRATEGY VALUE EQUITY & INCOME PORTFOLIO 2012 3 | OAKBROOK TER, IL | 6/5/2012 | 00644276-05 | Pending-Cleared |
| VAN KAMPEN UNIT TR SERIES 1239 ALL CAP CORE STRATEGY 2012 3 | OAKBROOK TER, IL | 6/5/2012 | 00644272-05 | Pending-Cleared |
| VAN KAMPEN UNIT TR SERIES 1239 LARGE CAP CORE STRATEGY 2012 3 | OAKBROOK TER, IL | 6/5/2012 | 00644268-05 | Pending-Cleared |
| VAN KAMPEN UNIT TR SERIES 1239 MID CAP CORE STRATEGY 2012 3 | OAKBROOK TER, IL | 6/5/2012 | 00644269-05 | Pending-Cleared |
| VAN KAMPEN UNIT TR SERIES 1239 S&P POWERPICKS PORTFOLIO 2012 3 | OAKBROOK TER, IL | 6/5/2012 | 00644271-05 | Pending-Cleared |
| VAN KAMPEN UNIT TR SERIES 1239 SMALL CAP CORE STRATEGY 2012 3 | OAKBROOK TER, IL | 6/5/2012 | 00644270-05 | Pending-Cleared |
| VAN KAMPEN UNIT TR SERIES 1240 CENTRAL EQUITY TR DIVERSIFIED INCOME SERIES 44 | OAKBROOK TER, IL | 6/5/2012 | 00644267-05 | Pending-Cleared |
| VAN KAMPEN UNIT TR SERIES 1241 DIVIDEND INCOME & VALUE PORTFOLIO 2012 3 | OAKBROOK TER, IL | 6/5/2012 | 00644266-05 | Pending-Cleared |
| VAN KAMPEN UNIT TR SERIES 1242 CLOSED END STRATEGY DIVERSIFIED CREDIT OPPORTUNITIES PORTFOLIO SERIES 7 | OAKBROOK TER, IL | 6/5/2012 | 00644265-05 | Pending-Cleared |

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| Firm Name | Location | Date Received | File Number | Status |
| VAN KAMPEN UNIT TR SERIES 1242 CLOSED END STRATEGY GLOBAL INCOME PORTFOLIO SERIES 11 | OAKBROOK TER, IL | 6/5/2012 | 00644264-05 | Pending-Cleared |
| VAN KAMPEN UNIT TR SERIES 1242 CLOSED END STRATEGY SENIOR LOAN & LTD DURATION PORTFOLIO SERIES 33 | OAKBROOK TER, IL | 6/5/2012 | 00644263-05 | Pending-Cleared |
| VAN KAMPEN UNIT TR SERIES 1243 DIVIDEND SUSTAINABILITY PORTFOLIO 2012 3 | OAKBROOK TER, IL | 6/5/2012 | 00644261-05 | Pending-Cleared |
| VAN KAMPEN UNIT TR SERIES 1243 INTERNATIONAL DIVIDEND SUSTAINABILITY PORTFOLIO 2012 3 | OAKBROOK TER, IL | 6/5/2012 | 00644262-05 | Pending-Cleared |
| VAN KAMPEN UNIT TR SERIES 1245 PRECIOUS METALS & MINING PORTFOLIO 2012 3 | OAKBROOK TER, IL | 6/5/2012 | 00644260-05 | Pending-Cleared |
| GUGGENHEIM DEFINED PORTFOLIOS SERIES 909 COVERED CALL & INCOME PORTFOLIO OF CEFS 15 MONTH SERIES 32 | LISLE, IL | 6/4/2012 | 00644254-05 | Pending-Cleared |
| GUGGENHEIM DEFINED PORTFOLIOS SERIES 909 DIVERSIFIED INCOME WAVE PORTFOLIO 15 MONTH SERIES 30 | LISLE, IL | 6/4/2012 | 00644255-05 | Pending-Cleared |
| GUGGENHEIM DEFINED PORTFOLIOS SERIES 910 INCOME & TREASURY LTD DURATION PORTFOLIO OF FUNDS SERIES 20 | LISLE, IL | 6/4/2012 | 00644249-05 | Pending-Cleared |
| INCAPITAL UNIT TR SERIES 3 BALANCE SHEET STRENGTH PORTFOLIO SERIES 1 | CHICAGO, IL | 6/4/2012 | 00644253-05 | Pending-Cleared |
| JPMORGAN TR 1 JPMORGAN PRIME MONEY MARKET FUND IM | BOSTON, MA | 6/4/2012 | 00644252-03 | Registered |
| JPMORGAN TR 2 JPMORGAN US GOVERNMENT MONEY MARKET FUND IM | BOSTON, MA | 6/4/2012 | 00644250-03 | Registered |
| JPMORGAN TR 2 JPMORGAN US TREASURY PLUS MONEY MARKET FUND IM | BOSTON, MA | 6/4/2012 | 00644251-03 | Registered |

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| Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|-----------------|
| Firm Name | Location | Date Received | File Number | Status |
| SMART TR VALUE ARCHITECTS EQUITY ADVANTAGE TR SERIES 1 | PARSIPPANY, NJ | 6/4/2012 | 00644256-05 | Pending-Cleared |
| TR FOR PROFESSIONAL MANAGERS SCHOONER FUND CLASS I SHARES | MILWAUKEE, WI | 6/4/2012 | 00644257-03 | Registered |
| TX PORTFOLIO SERIES 2012 1 | MONUMENT, CO | 6/4/2012 | 00644248-05 | Pending-Cleared |
| Number of Investment Company Filings: 72 | | | | |

| Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division | | | | |
|---|-------------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| ATHOC INC | SAN MATEO, CA | 6/8/2012 | 00644460-07 | Filed |
| DABBLE INC | CHICAGO, IL | 6/8/2012 | 00644462-07 | Filed |
| EVENTUP INC | SANTA MONICA, CA | 6/8/2012 | 00644461-07 | Filed |
| IDENTIFIED INC | SAN FRANCISCO, CA | 6/8/2012 | 00644463-07 | Filed |
| LC PACIFIC COAST CAPITAL PARTNERS EQUITY 6 LP | LOS ANGELES, CA | 6/8/2012 | 00644464-07 | Filed |
| MEDICAL REFERRAL SOURCE INC | TUCSON, AZ | 6/8/2012 | 00644426-07 | Filed |
| MINEWEST SILVER & GOLD INC | RICHMOND, ON | 6/8/2012 | 00644427-07 | Filed |
| SE SANDERS INVESTORS LLC | CHICAGO, IL | 6/8/2012 | 00644466-07 | Filed |
| FLOWONIX MEDICAL INC | MT OLIVE, NJ | 6/7/2012 | 00644375-07 | Filed |
| RAPID DIGNOSTEK INC | HUDSON, WI | 6/7/2012 | 00644377-07 | Filed |
| SEG PARTNERS 2 LP | NEW YORK, NY | 6/7/2012 | 00644376-07 | Filed |
| HARVEST STRATEGIC ASSOC 6 LP | NEW YORK, NY | 6/6/2012 | 00644448-07 | Filed |
| CGCC INC | LONE TREE, CO | 6/5/2012 | 00644259-07 | Filed |
| ASSOC HOUSE CUSTODIAL ACCOUNT LLC | LITTLE ROCK, AR | 6/4/2012 | 00644246-07 | Filed |
| BLUESTEM DIVERSIFIED ASSETS LLC | SIOUX FALLS, SD | 6/4/2012 | 00644258-07 | Filed |
| Number of Regulation D Notice Filings: 15 | | | | |

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 6/4/2012 through 6/15/2012**

| Franchise Law Filings Made with DFI's Securities Division | | | | |
|--|----------------------|----------------------|--------------------|---------------|
| Legal Name / Trade Name | Location | Date Received | File Number | Status |
| British Swim Centers Franchising, LLC / British Swim School | Sunrise, FL | 6/12/2012 | 604418 | Registered |
| E & G FRANCHISE SYSTEMS INC / Erbert & Gerbert's Bistro | EAU CLAIRE, WI | 6/12/2012 | 604417 | Registered |
| Dryer Vent Wizard International LLC / Dryer Vent Wizard | Farmington Hills, MI | 6/11/2012 | 604414 | Registered |
| HEALTH MART SYSTEMS INC | SAN FRANCISCO, CA | 6/8/2012 6 | 604413 | Registered |
| GOLDS GYM FRANCHISING LLC / Full Amenity program - Royalty Version | IRVING, TX | 6/8/2012 | 604411 | Registered |
| PAYLESS CAR SALES INC / Payless Car Rental | ST PETERSBURG, FL | 6/8/2012 | 604412 | Registered |
| Mom Corps Franchise Company, LLC / Mom Corps | Marietta, GA | 6/7/2012 5 | 604410 | Registered |
| LUXOTTICA RETAIL NORTH AMERICA, INC. / PEARLE VISION | MASON, OH | 6/7/2012 | 604407 | Registered |
| Phoenix Franchise Group, LLC / Rodizio Grill | Sandy, UT | 6/7/2012 | 604409 | Registered |
| Security Franchisors, LLC / Security 101 | West Palm Beach, FL | 6/7/2012 | 604408 | Registered |
| WOW CAFE & WINGERY FRANCHISING ACCOUNT LLC | COVINGTON, LA | 6/6/2012 | 604406 | Registered |
| BH Acquisition, LLC / BONEHEADS | Atlanta, GA | 6/5/2012 4 | 604403 | Registered |
| TUTORING CENTER FRANCHISE CORP | LONG BEACH, CA | 6/5/2012 | 604405 | Registered |
| BluCrate Franchise Systems, LLC | St. Cloud, MN | 6/4/2012 | 604400 | Registered |
| CHHJ Franchising, LLC / College Hunks Hauling Junk | Tampa, FL | 6/4/2012 | 604399 | Registered |
| KARCHER CARL ENTERPRISES INC / Non Traditional | CARPINTERIA, CA | 6/4/2012 | 604395 | Registered |
| KARCHER CARL ENTERPRISES INC / Traditional | CARPINTERIA, CA | 6/4/2012 | 604396 | Registered |
| KRISPY KREME DOUGHNUT CORP | WINSTON SALEM, NC | 6/4/2012 | 604397 | Registered |
| LONG JOHN SILVERS LLC | LOUISVILLE, KY | 6/4/2012 | 604394 | Registered |
| MCCOLLA ENTERPRISES LTD | TOPEKA, KS | 6/4/2012 | 604392 | Registered |
| Number of Franchise Filings: 20 | | | | |

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| Corporate Take-Over Law Filings Made with DFI's Securities Division | |
|--|----------------------|
| Takeover Filing Reference | Date Received |
| Number of Takeover Filings: 0 | |