

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/28/2012 through 6/8/2012**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
MOODY NATIONAL REIT 1 INC FOLLOW ON OFFERING	HOUSTON, TX	5/30/2012	00643715-01	Pending
UNITED METHODIST DEVELOPMENT FUND	NEW YORK, NY	5/30/2012	00643709-02	Pending
Number of Registration Filings: 2				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
BEESFREE INC	WEST PALM BEACH, FL	6/5/2012	00644277-10	Not Disallowed
PINON HILLS COMMUNITY CHURCH	FARMINGTON, NM	6/4/2012	00644247-10	Not Disallowed
MILE HI CHURCH OF RELIGIOUS SCIENCE	LAKEWOOD, CO	6/1/2012	00644242-10	Not Disallowed
NORTHRIDGE CHURCH & CO OBLIGOR	PLYMOUTH, MI	6/1/2012	00644243-10	Not Disallowed
SUMMIT CHURCH HOMESTEAD HEIGHTS BAPTIST CHURCH INC	DURHAM, NC	6/1/2012	00644240-10	Not Disallowed
YOUNG MENS CHRISTIAN ASSOC AT PABST FARMS INC	OCONOMOC, WI	6/1/2012	00644241-10	Not Disallowed
HINES REAL ESTATE INVESTMENT TR	HOUSTON, TX	5/29/2012	00643422-08	Pending
NEW PILGRIM BAPTIST CHURCH	SALT LAKE CITY, WI	5/29/2012	00643430-10	Not Disallowed
REDEEMER BIBLE CHURCH	MINNETONKA, MN	5/29/2012	00643431-10	Not Disallowed
UNIVERSITY OF NOTRE DAME DU LAC TAXABLE FIXED RATE BONDS SERIES 2012	NOTRE DAME, IN	5/29/2012	00643428-08	Pending
WOODMEN VALLEY CHAPEL & CENTER FOR STRATEGIC MINISTRY	COLORADO SPRINGS, CO	5/29/2012	00643429-08	Pending
Number of Exemption Filings: 11				

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/28/2012 through 6/8/2012**

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 3599 BALANCED INCOME PORTFOLIO SERIES 34	WHEATON, IL	6/5/2012	00644279-05	Pending-Cleared
FT 3637 EQUITY CLOSED END PORTFOLIO SERIES 22	WHEATON, IL	6/5/2012	00644278-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1237 CLOSED END STRATEGY COVERED CALL INCOME PORTFOLIO 2012 3	OAKBROOK TER, IL	6/5/2012	00644275-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1237 CLOSED END STRATEGY MASTER INCOME PORTFOLIO SERIES 32	OAKBROOK TER, IL	6/5/2012	00644274-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1237 CLOSED END STRATEGY MASTER MUNICIPAL INCOME PORTFOLIO SERIES 28	OAKBROOK TER, IL	6/5/2012	00644273-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1237 CLOSED END STRATEGY VALUE EQUITY & INCOME PORTFOLIO 2012 3	OAKBROOK TER, IL	6/5/2012	00644276-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1239 ALL CAP CORE STRATEGY 2012 3	OAKBROOK TER, IL	6/5/2012	00644272-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1239 LARGE CAP CORE STRATEGY 2012 3	OAKBROOK TER, IL	6/5/2012	00644268-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1239 MID CAP CORE STRATEGY 2012 3	OAKBROOK TER, IL	6/5/2012	00644269-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1239 S&P POWERPICKS PORTFOLIO 2012 3	OAKBROOK TER, IL	6/5/2012	00644271-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1239 SMALL CAP CORE STRATEGY 2012 3	OAKBROOK TER, IL	6/5/2012	00644270-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1240 CENTRAL EQUITY TR DIVERSIFIED INCOME SERIES 44	OAKBROOK TER, IL	6/5/2012	00644267-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1241 DIVIDEND INCOME & VALUE PORTFOLIO 2012 3	OAKBROOK TER, IL	6/5/2012	00644266-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1242 CLOSED END STRATEGY DIVERSIFIED CREDIT OPPORTUNITIES PORTFOLIO SERIES 7	OAKBROOK TER, IL	6/5/2012	00644265-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/28/2012 through 6/8/2012**

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
VAN KAMPEN UNIT TR SERIES 1242 CLOSED END STRATEGY GLOBAL INCOME PORTFOLIO SERIES 11	OAKBROOK TER, IL	6/5/2012	00644264-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1242 CLOSED END STRATEGY SENIOR LOAN & LTD DURATION PORTFOLIO SERIES 33	OAKBROOK TER, IL	6/5/2012	00644263-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1243 DIVIDEND SUSTAINABILITY PORTFOLIO 2012 3	OAKBROOK TER, IL	6/5/2012	00644261-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1243 INTERNATIONAL DIVIDEND SUSTAINABILITY PORTFOLIO 2012 3	OAKBROOK TER, IL	6/5/2012	00644262-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1245 PRECIOUS METALS & MINING PORTFOLIO 2012 3	OAKBROOK TER, IL	6/5/2012	00644260-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 909 COVERED CALL & INCOME PORTFOLIO OF CEFS 15 MONTH SERIES 32	LISLE, IL	6/4/2012	00644254-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 909 DIVERSIFIED INCOME WAVE PORTFOLIO 15 MONTH SERIES 30	LISLE, IL	6/4/2012	00644255-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 910 INCOME & TREASURY LTD DURATION PORTFOLIO OF FUNDS SERIES 20	LISLE, IL	6/4/2012	00644249-05	Pending-Cleared
INCAPITAL UNIT TR SERIES 3 BALANCE SHEET STRENGTH PORTFOLIO SERIES 1	CHICAGO, IL	6/4/2012	00644253-05	Pending-Cleared
JPMORGAN TR 1 JPMORGAN PRIME MONEY MARKET FUND IM	BOSTON, MA	6/4/2012	00644252-03	Registered
JPMORGAN TR 2 JPMORGAN US GOVERNMENT MONEY MARKET FUND IM	BOSTON, MA	6/4/2012	00644250-03	Registered
JPMORGAN TR 2 JPMORGAN US TREASURY PLUS MONEY MARKET FUND IM	BOSTON, MA	6/4/2012	00644251-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/28/2012 through 6/8/2012**

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
SMART TR VALUE ARCHITECTS EQUITY ADVANTAGE TR SERIES 1	PARSIPPANY, NJ	6/4/2012	00644256-05	Pending-Cleared
TR FOR PROFESSIONAL MANAGERS SCHOONER FUND CLASS I SHARES	MILWAUKEE, WI	6/4/2012	00644257-03	Registered
TX PORTFOLIO SERIES 2012 1	MONUMENT, CO	6/4/2012	00644248-05	Pending-Cleared
CHARLES SCHWAB FAMILY OF FUNDS SCHWAB TREASURY OBLIGATIONS MONEY FUND SWEEP SHARES	SAN FRANCISCO, CA	6/1/2012	00644167-03	Pending-Cleared
MANAGED PORTFOLIO SERIES MPS REINHART MID CAP PRIVATE MARKET VALUE FUND ADVISOR CLASS	MILWAUKEE, WI	6/1/2012	00644245-03	Registered
PIMCO FUNDS PIMCO TOTAL RETURN FUND 4 CLASS C	NEWPORT BEACH, CA	6/1/2012	00644172-03	Registered
PRUDENTIAL WORLD FUND INC PRUDENTIAL JENNISON INTERNATIONAL OPPORTUNITIES FUND CLASS A	NEWARK, NJ	6/1/2012	00644168-03	Pending-Cleared
PRUDENTIAL WORLD FUND INC PRUDENTIAL JENNISON INTERNATIONAL OPPORTUNITIES FUND CLASS Z	NEWARK, NJ	6/1/2012	00644169-03	Pending-Cleared
PRUDENTIAL WORLD FUND INC PRUDENTIAL JENNISON INTERNATIONAL OPPORTUNITIES FUND CLASS C	NEWARK, NJ	6/1/2012	00644170-03	Pending-Cleared
PYXIS FUNDS 2 PYXIS ALTERNATIVE INCOME FUND CLASS A	DALLAS, TX	6/1/2012	00644171-03	Registered
ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 53	MONUMENT, CO	5/31/2012	00644224-05	Pending-Cleared
ARBITRAGE FUNDS ARBITRAGE EVENT DRIVEN FUND CLASS C	NEW YORK, NY	5/31/2012	00644140-03	Registered
ARBITRAGE FUNDS ARBITRAGE FUND CLASS C	NEW YORK, NY	5/31/2012	00644139-03	Registered
CONFLUENCE UTILITIES PORTFOLIO SERIES 2012 1	MONUMENT, CO	5/31/2012	00644225-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/28/2012 through 6/8/2012**

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 3563 BAKKEN SHALE PORTFOLIO SERIES 6	WHEATON, IL	5/31/2012	00644235-05	Pending-Cleared
FT 3564 EUROPEAN DEEP VALUE SUB 10 DIVIDEND PORTFOLIO SERIES 2	WHEATON, IL	5/31/2012	00644230-05	Pending-Cleared
FT 3573 REIT GROWTH & INCOME PLUS PORTFOLIO SERIES 11	WHEATON, IL	5/31/2012	00644222-05	Pending-Cleared
FT 3579 SENIOR LOAN & LTD DURATION CLOSED END PORTFOLIO SERIES 15	WHEATON, IL	5/31/2012	00644234-05	Pending-Cleared
FT 3588 HEDGE FUND MANAGER HOLIDNGS PORTFOLIO SERIES 2	WHEATON, IL	5/31/2012	00644223-05	Pending-Cleared
FT 3597 DIVIDEND & INCOME SELECT CLOSED END PORTFOLIO SERIES 24	WHEATON, IL	5/31/2012	00644226-05	Pending-Cleared
FT 3598 INVESTMENT GRADE SELECT CLOSED END PORTFOLIO SERIES 33	WHEATON, IL	5/31/2012	00644228-05	Pending-Cleared
FT 3601 HIGH YIELD INCOME CLOSED END PORTFOLIO SERIES 50	WHEATON, IL	5/31/2012	00644232-05	Pending-Cleared
FT 3602 MUNICIPAL INCOME CLOSED END PORTFOLIO SERIES 48	WHEATON, IL	5/31/2012	00644231-05	Pending-Cleared
FT 3603 GLOBAL STRENGTH PORTFOLIO SERIES	WHEATON, IL	5/31/2012	00644227-05	Pending-Cleared
FT 3635 TX ADVANTAGED MUNICIPAL CLOSED END PORTFOLIO SERIES 27	WHEATON, IL	5/31/2012	00644233-05	Pending-Cleared
FT 3636 STRATEGIC INCOME PLUS CLOSED END PORTFOLIO SERIES 42	WHEATON, IL	5/31/2012	00644229-05	Pending-Cleared
HARTFORD MUTUAL FUNDS INC HARTFORD UNCONSTRAINED BOND FUND CLASS I	SIMSBURY, CT	5/31/2012	00644236-03	Pending-Cleared
JANUS INVESTMENT FUND JANUS 40 FUND CLASS N SHARES	DENVER, CO	5/31/2012	00644136-03	Registered
JANUS INVESTMENT FUND JANUS BALANCED FUND CLASS N SHARES	DENVER, CO	5/31/2012	00644124-03	Registered
JANUS INVESTMENT FUND JANUS FLEXIBLE BOND FUND CLASS N SHARES	DENVER, CO	5/31/2012	00644126-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/28/2012 through 6/8/2012**

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
JANUS INVESTMENT FUND JANUS FUND CLASS N SHARES	DENVER, CO	5/31/2012	00644128-03	Registered
JANUS INVESTMENT FUND JANUS HIGH YIELD FUND CLASS N SHARES	DENVER, CO	5/31/2012	00644125-03	Registered
JANUS INVESTMENT FUND JANUS INTERNATIONAL EQUITY FUND CLASS N SHARES	DENVER, CO	5/31/2012	00644132-03	Registered
JANUS INVESTMENT FUND JANUS OVERSEAS FUND CLASS N SHARES	DENVER, CO	5/31/2012	00644129-03	Registered
JANUS INVESTMENT FUND JANUS RESEARCH FUND CLASS N SHARES	DENVER, CO	5/31/2012	00644127-03	Registered
JANUS INVESTMENT FUND JANUS SHORT TERM BOND FUND CLASS N SHARES	DENVER, CO	5/31/2012	00644130-03	Registered
JANUS INVESTMENT FUND JANUS TRITON FUND CLASS N SHARES	DENVER, CO	5/31/2012	00644131-03	Registered
JANUS INVESTMENT FUND JANUS VENTURE FUND CLASS N SHARES	DENVER, CO	5/31/2012	00644137-03	Registered
JANUS INVESTMENT FUND PERKINS GLOBAL VALUE FUND CLASS N SHARES	DENVER, CO	5/31/2012	00644133-03	Registered
JANUS INVESTMENT FUND PERKINS LARGE CAP VALUE FUND CLASS N SHARES	DENVER, CO	5/31/2012	00644134-03	Registered
JANUS INVESTMENT FUND PERKINS MID CAP VALUE FUND CLASS N SHARES	DENVER, CO	5/31/2012	00644135-03	Registered
JANUS INVESTMENT FUND PERKINS SMALL CAP VALUE FUND CLASS N SHARES	DENVER, CO	5/31/2012	00644138-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE EMERGING MARKETS LOCAL BOND FUND CLASS A	SAN FRANCISCO, CA	5/31/2012	00644142-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE EMERGING MARKETS LOCAL BOND FUND CLASS C	SAN FRANCISCO, CA	5/31/2012	00644146-03	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/28/2012 through 6/8/2012**

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE EMERGING MARKETS EQUITY INCOME FUND CLASS A	SAN FRANCISCO, CA	5/31/2012	00644141-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE EMERGING MARKETS EQUITY INCOME FUND INSTITUTIONAL CLASS	SAN FRANCISCO, CA	5/31/2012	00644145-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE EMERGING MARKETS LOCAL BOND FUND ADMINISTRATOR CLASS	SAN FRANCISCO, CA	5/31/2012	00644144-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE EMERGING MARKETS LOCAL BOND FUND INSTITUTIONAL CLASS	SAN FRANCISCO, CA	5/31/2012	00644148-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE EMERGING MARKETS EQUITY INCOME FUND ADMINISTRATOR CLASS	SAN FRANCISCO, CA	5/31/2012	00644143-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE EMERGING MARKETS EQUITY INCOME FUND CLASS C	SAN FRANCISCO, CA	5/31/2012	00644147-03	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 917 GUGGENHEIM BMAC COMMODITY PRODUCERS STRATEGY PORTFOLIO SERIES 10	LISLE, IL	5/30/2012	00643714-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 917 GUGGENHEIM GLOBAL TELECOM STRATEGY PORTFOLIO SERIES 10	LISLE, IL	5/30/2012	00643713-05	Pending-Cleared
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZ NFJ INTERNATIONAL SMALL CAP VALUE FUND INSTITUTIONAL CLASS	NEW YORK, NY	5/29/2012	00643670-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZ NFJ INTERNATIONAL SMALL CAP VALUE FUND CLASS A	NEW YORK, NY	5/29/2012	00643668-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/28/2012 through 6/8/2012**

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZ NFJ INTERNATIONAL SMALL CAP VALUE FUND CLASS P	NEW YORK, NY	5/29/2012	00643672-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZ NFJ INTERNATIONAL SMALL CAP VALUE FUND CLASS C	NEW YORK, NY	5/29/2012	00643671-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZ NFJ INTERNATIONAL SMALL CAP VALUE FUND CLASS D	NEW YORK, NY	5/29/2012	00643669-03	Registered
FT 3522 TOP PICKS MAY 2012 PORTFOLIO	WHEATON, IL	5/29/2012	00643450-05	Pending-Cleared
FT 3528 INCOME ALLOCATION CLOSED END JUNE 12	WHEATON, IL	5/29/2012	00643452-05	Pending-Cleared
FT 3529 DOW TARGET 10 JUNE 12 TERM 7/9/13	WHEATON, IL	5/29/2012	00643458-05	Pending-Cleared
FT 3529 TARGET FOCUS 4 JUNE 12 TERM 9/9/13	WHEATON, IL	5/29/2012	00643457-05	Pending-Cleared
FT 3529 TARGET TRIAD JUNE 12 TERM 9/9/13	WHEATON, IL	5/29/2012	00643459-05	Pending-Cleared
FT 3529 TARGET VIP JUNE 12 TERM 9/9/13	WHEATON, IL	5/29/2012	00643460-05	Pending-Cleared
FT 3550 TARGET DIVERSIFIED GLOBAL ALLOCATION PORTFOLIO SERIES 4	WHEATON, IL	5/29/2012	00643453-05	Pending-Cleared
FT 3562 DORSEY WRIGHT RELATIVE STRENGTH TOP 50 SERIES 6	WHEATON, IL	5/29/2012	00643462-05	Pending-Cleared
FT 3577 INTEREST RATE HEDGE PORTFOLIO SERIES 46	WHEATON, IL	5/29/2012	00643454-05	Pending-Cleared
FT 3578 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 56	WHEATON, IL	5/29/2012	00643456-05	Pending-Cleared
FT 3590 MUNICIPAL ADVANTAGE CLOSED END & ETF PORTFOLIO SERIES 13	WHEATON, IL	5/29/2012	00643455-05	Pending-Cleared
FT 3595 BALANCED INCOME SELECT PORTFOLIO SERIES 27	WHEATON, IL	5/29/2012	00643451-05	Pending-Cleared
FT 3596 MUNICIPAL INCOME PLUS CLOSED END PORTFOLIO SERIES 27	WHEATON, IL	5/29/2012	00643461-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/28/2012 through 6/8/2012**

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
GUGGENHEIM DEFINED PORTFOLIOS SERIES 908 GUGGENHEIM ABC HIGH DIVIDEND STRATEGY PORTFOLIO SERIES 10	LISLE, IL	5/29/2012	00643463-05	Pending-Cleared
MA INVESTORS GROWTH STOCK FUND CLASS R5 SHARES	BOSTON, MA	5/29/2012	00643680-03	Pending-Cleared
MA INVESTORS TR CLASS R5 SHARES	BOSTON, MA	5/29/2012	00643682-03	Pending-Cleared
MFS SERIES TR 10 MFS EMERGING MARKETS EQUITY FUND CLASS R5 SHARES	BOSTON, MA	5/29/2012	00643678-03	Pending-Cleared
MFS SERIES TR 11 MFS BLENDED RESEARCH CORE EQUITY FUND CLASS R5 SHARES	BOSTON, MA	5/29/2012	00643681-03	Pending-Cleared
MFS SERIES TR 3 MFS HIGH INCOME FUND CLASS R5 SHARES	BOSTON, MA	5/29/2012	00643675-03	Pending-Cleared
MFS SERIES TR 5 MFS INTERNATIONAL NEW DISCOVERY FUND CLASS R5 SHARES	BOSTON, MA	5/29/2012	00643684-03	Pending-Cleared
MFS SERIES TR 5 MFS TOTAL RETURN FUND CLASS R5 SHARES	BOSTON, MA	5/29/2012	00643677-03	Pending-Cleared
MFS SERIES TR 6 MFS GLOBAL EQUITY FUND CLASS R5 SHARES	BOSTON, MA	5/29/2012	00643674-03	Pending-Cleared
MFS SERIES TR 6 MFS GLOBAL TOTAL RETURN FUND CLASS R5 SHARES	BOSTON, MA	5/29/2012	00643676-03	Pending-Cleared
MFS SERIES TR 6 MFS UTILITIES FUND CLASS R5 SHARES	BOSTON, MA	5/29/2012	00643673-03	Pending-Cleared
MFS SERIES TR 9 MFS BOND FUND CLASS R5 SHARES	BOSTON, MA	5/29/2012	00643679-03	Pending-Cleared
MFS SERIES TR I MFS NEW DISCOVERY FUND CLASS R5 SHARES	BOSTON, MA	5/29/2012	00643683-03	Pending-Cleared
MUNDER SERIES TR MUNDER INTERNATIONAL SMALL CAP FUND CLASS R6 SHARES	BIRMINGHAM, MI	5/29/2012	00643667-03	Pending-Cleared
MUNDER SERIES TR MUNDER MID CAP CORE GROWTH FUND CLASS R6 SHARES	BIRMINGHAM, MI	5/29/2012	00643666-03	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/28/2012 through 6/8/2012**

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
MUNDER SERIES TR MUNDER VERACITY SMALL CAP VALUE FUND CLASS R6 SHARES	BIRMINGHAM, MI	5/29/2012	00643665-03	Pending-Cleared
PIMCO FUNDS PIMCO CA MUNICIPAL BOND FUND CLASS A	NEWPORT BEACH, CA	5/29/2012	00643695-03	Registered
PIMCO FUNDS PIMCO CA MUNICIPAL BOND FUND CLASS C	NEWPORT BEACH, CA	5/29/2012	00643699-03	Registered
PIMCO FUNDS PIMCO CA MUNICIPAL BOND FUND CLASS D	NEWPORT BEACH, CA	5/29/2012	00643691-03	Registered
PIMCO FUNDS PIMCO CA MUNICIPAL BOND FUND CLASS P	NEWPORT BEACH, CA	5/29/2012	00643692-03	Registered
PIMCO FUNDS PIMCO CA MUNICIPAL BOND FUND INSTITUTIONAL CLASS	NEWPORT BEACH, CA	5/29/2012	00643697-03	Registered
PIMCO FUNDS PIMCO NATIONAL INTERMEDIATE MUNICIPAL BOND FUND CLASS A	NEWPORT BEACH, CA	5/29/2012	00643687-03	Registered
PIMCO FUNDS PIMCO NATIONAL INTERMEDIATE MUNICIPAL BOND FUND CLASS D	NEWPORT BEACH, CA	5/29/2012	00643685-03	Registered
PIMCO FUNDS PIMCO NATIONAL INTERMEDIATE MUNICIPAL BOND FUND CLASS C	NEWPORT BEACH, CA	5/29/2012	00643698-03	Registered
PIMCO FUNDS PIMCO NATIONAL INTERMEDIATE MUNICIPAL BOND FUND INSTITUTIONAL CLASS	NEWPORT BEACH, CA	5/29/2012	00643686-03	Registered
PIMCO FUNDS PIMCO NATIONAL INTERMEDIATE MUNICIPAL BOND FUND CLASS P	NEWPORT BEACH, CA	5/29/2012	00643688-03	Registered
PIMCO FUNDS PIMCO SHORT ASSET INVESTMENT FUND ADMINISTRATIVE CLASS	NEWPORT BEACH, CA	5/29/2012	00643690-03	Registered
PIMCO FUNDS PIMCO SHORT ASSET INVESTMENT FUND CLASS A	NEWPORT BEACH, CA	5/29/2012	00643689-03	Registered
PIMCO FUNDS PIMCO SHORT ASSET INVESTMENT FUND CLASS D	NEWPORT BEACH, CA	5/29/2012	00643693-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/28/2012 through 6/8/2012**

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
PIMCO FUNDS PIMCO SHORT ASSET INVESTMENT FUND CLASS P	NEWPORT BEACH, CA	5/29/2012	00643696-03	Registered
PIMCO FUNDS PIMCO SHORT ASSET INVESTMENT FUND INSTITUTIONAL CLASS	NEWPORT BEACH, CA	5/29/2012	00643694-03	Registered
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1086 QUALITY MUNICIPALS INCOME TR 61ST LTD MATURITY SERIES	OAKBROOK TER, IL	5/29/2012	00643446-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1087 QUALITY MUNICIPALS INCOME TR 62ND LTD MATURITY SERIES	OAKBROOK TER, IL	5/29/2012	00643445-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1088 INVESTMENT GRADE MUNICIPAL TR INTERMEDIATE SERIES 35	OAKBROOK TER, IL	5/29/2012	00643444-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1089 INVESTMENT GRADE MUNICIPAL TR INTERMEDIATE SERIES 36	OAKBROOK TER, IL	5/29/2012	00643443-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1090 INVESTMENT GRADE MUNICIPAL TR SERIES 151	OAKBROOK TER, IL	5/29/2012	00643442-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1091 INVESTMENT GRADE MUNICIPAL TR SERIES 152	OAKBROOK TER, IL	5/29/2012	00643441-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIS 1092 INVESTORS QUALITY TX EX TR SERIES 165	OAKBROOK TER, IL	5/29/2012	00643440-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIS 1093 INVESTORS QUALITY TX EX TR SERIES 166	OAKBROOK TER, IL	5/29/2012	00643439-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIS 1236 EAFE SELECT 20 PORTFOLIO 2012 3	OAKBROOK TER, IL	5/29/2012	00643438-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/28/2012 through 6/8/2012**

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
VAN KAMPEN UNIT TR SERIES 1236 DOW JONES SELECT DIVIDEND INDEX STRATEGY PORTFOLIO 2012 3	OAKBROOK TER, IL	5/29/2012	00643437-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1236 GLOBAL 45 DIVIDEND STRATEGY PORTFOLIO 2012 3	OAKBROOK TER, IL	5/29/2012	00643433-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1236 SELECT 10 INDUSTRIAL PORTFOLIO 2012 4	OAKBROOK TER, IL	5/29/2012	00643432-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1236 SELECT 5 INDUSTRIAL PORTFOLIO 2012 3	OAKBROOK TER, IL	5/29/2012	00643436-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1236 SELECT S&P CORE PORTFOLIO 2012 3	OAKBROOK TER, IL	5/29/2012	00643434-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1236 SELECT S&P INDUSTRIAL PORTFOLIO 2012 3	OAKBROOK TER, IL	5/29/2012	00643435-05	Pending-Cleared
Number of Investment Company Filings: 142				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
CGCC INC	LONE TREE, CO	6/5/2012	00644259-07	Filed
ASSOC HOUSE CUSTODIAL ACCOUNT LLC	LITTLE ROCK, AR	6/4/2012	00644246-07	Filed
BLUESTEM DIVERSIFIED ASSETS LLC	SIOUX FALLS, SD	6/4/2012	00644258-07	Filed
FM 4 P LP	NEW YORK, NY	6/1/2012	00644244-07	Filed
ARDEN REAL ESTATE PARTNERS 1 LP	PHILADELPHIA, PA	5/31/2012	00644204-07	Filed
ARROWGRASS PARTNERSHIP LP	CAMANA BAY, AK	5/31/2012	00644205-07	Filed
FIBROCELL SCIENCE INC	EXTON, PA	5/31/2012	00644203-07	Filed
WORLD INSURANCE ASSOC LLC	TINTON FALLS, NJ	5/31/2012	00644206-07	Filed
2011 BAYOU CITY SQUEEZE BOX OFFSET PROGRAM LP	BOWLING GREEN, KY	5/30/2012	00643712-07	Filed
ACON EQUITY PARTNERS 3 LP	WASHINGTON, DC	5/30/2012	00643710-07	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/28/2012 through 6/8/2012**

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
DEEP FORK 18 1 JOINT VENTURE	SAN ANTONIO, TX	5/30/2012	00643711-07	Filed
BABCOCK LUMBER CO	PITTSBURGH, PA	5/29/2012	00643425-07	Filed
MONDRIAN EMERGING MARKETS DEBT FUND LP	WILMONGTON, DE	5/29/2012	00643427-07	Filed
RIVERSTONE PVR STRATEGIC LP	NEW YORK, NY	5/29/2012	00643426-07	Filed
VMO SYSTEMS INC	BOULDER, CO	5/29/2012	00643424-07	Filed
Number of Regulation D Notice Filings: 15				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
HEALTH MART SYSTEMS INC	SAN FRANCISCO, CA	6/8/2012 6	604413	Registered
GOLDS GYM FRANCHISING LLC / Full Amenity program - Royalty Version	IRVING, TX	6/8/2012	604411	Registered
PAYLESS CAR SALES INC / Payless Car Rental	ST PETERSBURG, FL	6/8/2012	604412	Registered
Mom Corps Franchise Company, LLC / Mom Corps	Marietta, GA	6/7/2012 5	604410	Registered
LUXOTTICA RETAIL NORTH AMERICA, INC. / PEARLE VISION	MASON, OH	6/7/2012	604407	Registered
Phoenix Franchise Group, LLC / Rodizio Grill	Sandy, UT	6/7/2012	604409	Registered
Security Franchisors, LLC / Security 101	West Palm Beach, FL	6/7/2012	604408	Registered
WOW CAFE & WINGERY FRANCHISING ACCOUNT LLC	COVINGTON, LA	6/6/2012	604406	Registered
BH Acquisition, LLC / BONEHEADS	Atlanta, GA	6/5/2012 4	604403	Registered
TUTORING CENTER FRANCHISE CORP	LONG BEACH, CA	6/5/2012	604405	Registered
BluCrate Franchise Systems, LLC	St. Cloud, MN	6/4/2012	604400	Registered
CHHJ Franchising, LLC / College Hunks Hauling Junk	Tampa, FL	6/4/2012	604399	Registered
KARCHER CARL ENTERPRISES INC / Non Traditional	CARPINTERIA, CA	6/4/2012	604395	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/28/2012 through 6/8/2012**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
KARCHER CARL ENTERPRISES INC / Traditional	CARPINTERIA, CA	6/4/2012	604396	Registered
KRISPY KREME DOUGHNUT CORP	WINSTON SALEM, NC	6/4/2012	604397	Registered
LONG JOHN SILVERS LLC	LOUISVILLE, KY	6/4/2012	604394	Registered
MCCOLLA ENTERPRISES LTD	TOPEKA, KS	6/4/2012	604392	Registered
Penn Station, Inc.	Milford, OH	6/1/2012 2	604383	Registered
COVERALL NORTH AMERICA, INC	DEERFIELD BEACH, FL	6/1/2012 1	604382	Registered
Go Mini's Franchising LLC	Simi Valley, CA	6/1/2012	604401	Registered
HARDEES FOOD SYSTEMS INC / St. Louis	ST LOUIS, MO	6/1/2012	604387	Registered
HARDEES FOOD SYSTEMS INC / Anaheim	ST LOUIS, MO	6/1/2012	604384	Registered
HARDEES FOOD SYSTEMS INC / Non Traditional	ST LOUIS, MO	6/1/2012	604385	Registered
HARDEES FOOD SYSTEMS INC / Multi Unit	ST LOUIS, MO	6/1/2012	604386	Registered
ROYAL FRANCHISING INC / JANI KING OF MILWAUKEE	BROOKFIELD, WI	6/1/2012	604389	Registered
Amarnani Franchise Inc / ALOHA	North Brunswick, NJ	5/31/2012	604381	Registered
CHICAGO BEEF INC / Al's Chicago's #1 Italian Beef Restaurants	Mokena, IL	5/31/2012	604391	Registered
SYNERGY HOMECARE FRANCHISING LLC / Regional Developer	GILBERT, AZ	5/30/2012	604377	Registered
SYNERGY HOMECARE FRANCHISING LLC / unit	GILBERT, AZ	5/30/2012	604376	Registered
Clean Franchising, LLC / Araya Clean - Unit	Phoenix, AZ	5/30/2012	604375	Registered
Clean Franchising, LLC / Araya Clean - Regional Developer	Phoenix, AZ	5/30/2012	604374	Registered
Forevermark US, Inc.	Stamford, CT	5/30/2012	604380	Registered
USA Mobile Drug Testing, LLC	Tampa, FL	5/30/2012	604378	Registered
ActiKare, Inc. / ActiKare In Home Care Services	Tampa, FL	5/29/2012	604369	Registered
Assisted Transitions, LLC	Long Beach, CA	5/29/2012	604379	Registered
Fuzzy's Taco Opportunities, LLC / Fuzzy's Taco Shop	Fort Worth, TX	5/29/2012	604371	Registered
GOIN POSTAL FRANCHISE CORP	ZEPHYRHILLS, FL	5/29/2012	604372	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/28/2012 through 6/8/2012**

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Joint Corp	Scottsdale, AZ	5/29/2012	604373	Registered
SBARRO INC	MELVILLE, NY	5/29/2012	604367	Registered
Number of Franchise Filings: 39				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
Number of Takeover Filings: 0	