

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 5/21/2012 through 6/1/2012**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
TRILINC GLOBAL IMPACT FUND LLC	MANHATTAN BCH, CA	5/24/2012	00643392-01	Pending
SQN ALTERNATIVE INVESTMENT FUND 3 LP	NEW YORK, NY	5/21/2012	00643364-01	Pending
Number of Registration Filings: 2				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AMERICAN BEACON FUNDS AMERICAN BEACON LONDON CO INCOME EQUITY FUND C CLASS	FORT WORTH, TX	5/25/2012	00643292-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON LONDON CO INCOME EQUITY FUND INVESTOR CLASS	FORT WORTH, TX	5/25/2012	00643293-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON LONDON CO INCOME EQUITY FUND A CLASS	FORT WORTH, TX	5/25/2012	00643291-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON LONDON CO INCOME EQUITY FUND Y CLASS	FORT WORTH, TX	5/25/2012	00643295-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON LONDON CO INCOME EQUITY FUND INSTITUTIONAL CLASS	FORT WORTH, TX	5/25/2012	00643294-03	Registered
NORTHERN LIGHTS FUND TR 2 CROW POINT HEDGED GLOBAL EQUITY INCOME FUND CLASS A SHARES	HAUPPAUGE, NY	5/25/2012	00643399-03	Registered
NORTHERN LIGHTS FUND TR SOUTHERNSUN US EQUITY FUND INVESTOR SHARES	HAUPPAUGE, NY	5/25/2012	00643400-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PRINCIPAL FUNDS INC BLUE CHIP FUND INSTITUTIONAL CLASS	DES MOINES, IA	5/25/2012	00643299-03	Pending-Cleared
PRINCIPAL FUNDS INC GLOBAL MULTI STRATEGY FUND CLASS C	DES MOINES, IA	5/25/2012	00643300-03	Pending-Cleared
PRINCIPAL FUNDS INC OPPORTUNISTIC MUNICIPAL FUND CLASS A	DES MOINES, IA	5/25/2012	00643296-03	Pending-Cleared
PRINCIPAL FUNDS INC OPPORTUNISTIC MUNICIPAL FUND CLASS C	DES MOINES, IA	5/25/2012	00643297-03	Pending-Cleared
PRINCIPAL FUNDS INC SMALL MIDCAP DIVIDEND INCOME FUND CLASS C	DES MOINES, IA	5/25/2012	00643298-03	Pending-Cleared
VERTICAL CAPITAL INCOME FUND	HAUPPAUGE, NY	5/25/2012	00643398-03	Registered
ABERDEEN FUNDS ABERDEEN EMERGING MARKETS FUND CLASS A	PHILADELPHIA, PA	5/23/2012	00643372-03	Registered
ABERDEEN FUNDS ABERDEEN EMERGING MARKETS FUND CLASS C	PHILADELPHIA, PA	5/23/2012	00643373-03	Registered
ABERDEEN FUNDS ABERDEEN EMERGING MARKETS FUND CLASS R	PHILADELPHIA, PA	5/23/2012	00643374-03	Registered
FIDELITY SCHOOL ST TR FIDELITY GLOBAL BOND FUND FIDELITY GLOBAL BOND FUND	BOSTON, MA	5/22/2012	00643204-03	Registered
FIDELITY SCHOOL ST TR FIDELITY GLOBAL BOND FUND FIDELITY ADVISOR GLOBAL BOND FUND INSTITUTIONAL CLASS	BOSTON, MA	5/22/2012	00643208-03	Registered
FIDELITY SCHOOL ST TR FIDELITY GLOBAL BOND FUND FIDELITY ADVISOR GLOBAL BOND FUND CLASS T	BOSTON, MA	5/22/2012	00643212-03	Registered
FIDELITY SCHOOL ST TR FIDELITY GLOBAL BOND FUND FIDELITY ADVISOR GLOBAL BOND FUND CLASS A	BOSTON, MA	5/22/2012	00643206-03	Registered
FIDELITY SCHOOL ST TR FIDELITY GLOBAL BOND FUND FIDELITY ADVISOR GLOBAL BOND FUND CLASS C	BOSTON, MA	5/22/2012	00643210-03	Registered

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FIDELITY SCHOOL ST TR FIDELITY INTERNATIONAL BOND FUND FIDELITY INTERNATIONAL BOND FUND	BOSTON, MA	5/22/2012	00643205-03	Registered
FIDELITY SCHOOL ST TR FIDELITY INTERNATIONAL BOND FUND FIDELITY ADVISOR INTERNATIONAL BOND FUND CLASS C	BOSTON, MA	5/22/2012	00643209-03	Registered
FIDELITY SCHOOL ST TR FIDELITY INTERNATIONAL BOND FUND FIDELITY ADVISOR INTERNATIONAL BOND FUND CLASS T	BOSTON, MA	5/22/2012	00643213-03	Registered
FIDELITY SCHOOL ST TR FIDELITY INTERNATIONAL BOND FUND FIDELITY ADVISOR INTERNATIONAL BOND FUND CLASS A	BOSTON, MA	5/22/2012	00643207-03	Registered
FIDELITY SCHOOL ST TR FIDELITY INTERNATIONAL BOND FUND FIDELITY ADVISOR INTERNATIONAL BOND FUND INSTITUTIONAL CLASS	BOSTON, MA	5/22/2012	00643211-03	Registered
IVY FUNDS IVY GLOBAL EQUITY INCOME FUND CLASS A	SHAWNEE MISSION, KS	5/22/2012	00643361-03	Pending-Cleared
IVY FUNDS IVY GLOBAL EQUITY INCOME FUND CLASS B	SHAWNEE MISSION, KS	5/22/2012	00643360-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE ADJUSTABLE RATE GOVERNMENT FUND ADMINISTRATOR CLASS	SAN FRANCISCO, CA	5/22/2012	00643225-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE ASIA PACIFIC FUND ADMINISTRATOR CLASS	SAN FRANCISCO, CA	5/22/2012	00643216-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE COMMON STOCK FUND ADMINISTRATOR CLASS	SAN FRANCISCO, CA	5/22/2012	00643217-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE DISCIPLINED US CORE FUND INSTITUTIONAL CLASS	SAN FRANCISCO, CA	5/22/2012	00643232-03	Registered

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WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE DIVERSIFIED INCOME BUILDER FUND ADMINISTRATOR CLASS	SAN FRANCISCO, CA	5/22/2012	00643228-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE DIVERSIFIED CAPITAL BUILDER FUND ADMINISTRATOR CLASS	SAN FRANCISCO, CA	5/22/2012	00643227-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE INTRINSIC VALUE FUND ADMINISTRATOR CLASS	SAN FRANCISCO, CA	5/22/2012	00643223-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE LARGE CAP GROWTH FUND INSTITUTIONAL CLASS	SAN FRANCISCO, CA	5/22/2012	00643221-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE LARGE CAP GROWTH FUND ADMINISTRATOR CLASS	SAN FRANCISCO, CA	5/22/2012	00643218-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE LARGE CAP GROWTH FUND CLASS A	SAN FRANCISCO, CA	5/22/2012	00643220-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE LARGE CAP GROWTH FUND CLASS C	SAN FRANCISCO, CA	5/22/2012	00643219-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE OMEGA GROWTH FUND INSTITUTIONAL CLASS	SAN FRANCISCO, CA	5/22/2012	00643230-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE PRECIOUS METALS FUND ADMINISTRATOR CLASS	SAN FRANCISCO, CA	5/22/2012	00643226-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE SHORT TERM MUNICIPAL BOND FUND ADMINISTRATOR CLASS	SAN FRANCISCO, CA	5/22/2012	00643231-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE SMALL CO VALUE FUND INSTITUTIONAL CLASS	SAN FRANCISCO, CA	5/22/2012	00643214-03	Registered

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Firm Name	Location	Date Received	File Number	Status
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE SPECIALIZED TECHNOLOGY FUND ADMINISTRATOR CLASS	SAN FRANCISCO, CA	5/22/2012	00643215-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE STRATEGIC LARGE CAP GROWTH FUND ADMINISTRATOR CLASS	SAN FRANCISCO, CA	5/22/2012	00643224-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE ULTRA SHORT TERM MUNICIPAL INCOME FUND ADMINISTRATOR CLASS	SAN FRANCISCO, CA	5/22/2012	00643222-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE UTILITY & TELECOMMUNICATIONS FUND ADMINISTRATOR CLASS	SAN FRANCISCO, CA	5/22/2012	00643229-03	Registered
AVE MUTUAL FUNDS TR AVE CREDIT STRATEGIES FUND INSTITUTIONAL CLASS SHARES	NEW YORK, NY	5/21/2012	00643326-03	Pending-Cleared
AVE MUTUAL FUNDS TR AVE CREDIT STRATEGIES FUND INVESTOR CLASS SHARES	NEW YORK, NY	5/21/2012	00643327-03	Pending-Cleared
FIDELITY SALEM ST TR SPARTAN INFLATION PROTECTED BOND INDEX FUND FIDELITY ADVANTAGE INSTITUTIONAL CLASS	BOSTON, MA	5/21/2012	00643105-03	Registered
FIDELITY SALEM ST TR SPARTAN INFLATION PROTECTED BOND INDEX FUND FIDELITY ADVANTAGE CLASS	BOSTON, MA	5/21/2012	00643104-03	Registered
FIDELITY SALEM ST TR SPARTAN INFLATION PROTECTED BOND INDEX FUND INVESTOR CLASS	BOSTON, MA	5/21/2012	00643106-03	Registered
FIDELITY SALEM ST TR SPARTAN INFLATION PROTECTED BOND INDEX FUND INSTITUTIONAL CLASS	BOSTON, MA	5/21/2012	00643107-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GUGGENHEIM DEFINED PORTFOLIOS SERIES 900 GUGGENHEIM EMERGING MARKETS DIVIDEND STRATEGY PORTFOLIO SERIES 2	LISLE, IL	5/21/2012	00643330-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 901 ZACKS INTERNATIONAL INCOME ADVANTAGE STRATEGY PORTFOLIO SERIES 2	LISLE, IL	5/21/2012	00643328-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 902 ENERGY PORTFOLIO SERIES 13	LISLE, IL	5/21/2012	00643329-05	Pending-Cleared
MORGAN STANLEY INSTITUTIONAL FUND INC TOTAL EMERGING MARKETS PORTFOLIO CLASS P SHARES	NEW YORK, NY	5/21/2012	00643321-03	Pending-Cleared
MORGAN STANLEY INSTITUTIONAL FUND INC TOTAL EMERGING MARKETS PORTFOLIO CLASS P SHARES	NEW YORK, NY	5/21/2012	00643325-03	Pending-Cleared
MORGAN STANLEY INSTITUTIONAL FUND INC TOTAL EMERGING MARKETS EXTERNAL DEBT PORTFOLIO CLASS H SHARES	NEW YORK, NY	5/21/2012	00643318-03	Pending-Cleared
MORGAN STANLEY INSTITUTIONAL FUND INC TOTAL EMERGING MARKETS PORTFOLIO CLASS H SHARES	NEW YORK, NY	5/21/2012	00643322-03	Pending-Cleared
MORGAN STANLEY INSTITUTIONAL FUND INC TOTAL EMERGING MARKETS EXTERNAL DEBT PORTFOLIO CLASS L SHARES	NEW YORK, NY	5/21/2012	00643320-03	Pending-Cleared
MORGAN STANLEY INSTITUTIONAL FUND INC TOTAL EMERGING MARKETS PORTFOLIO CLASS L SHARES	NEW YORK, NY	5/21/2012	00643324-03	Pending-Cleared
MORGAN STANLEY INSTITUTIONAL FUND INC TOTAL EMERGING MARKETS EXTERNAL DEBT PORTFOLIO CLASS I SHARES	NEW YORK, NY	5/21/2012	00643319-03	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
MORGAN STANLEY INSTITUTIONAL FUND INC TOTAL EMERGING MARKETS PORTFOLIO CLASS I SHARES	NEW YORK, NY	5/21/2012	00643323-03	Pending-Cleared
Number of Investment Company Filings: 64				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BIOSIG TECHNOLOGIES INC	LOS ANGELES, CA	5/25/2012	00643396-07	Filed
EXETER INDUSTRIAL VALUE FUND 2 LP	PLYMOUTH MEETING, PA	5/25/2012	00643397-07	Filed
NYPFA FUND 1 LLC	NEW YORK, NY	5/24/2012	00643394-07	Filed
SRS HOLDINGS LLC	IRVING, TX	5/24/2012	00643395-07	Filed
MOJAVE SOLAR 1ST MORTGAGE INVESTORS LP	SANTA BARBARA, CA	5/23/2012	00643393-07	Filed
STANLEY MORGAN HEDGEPREMIER AVENUE FUND LP	NEW YORK, NY	5/23/2012	00643368-07	Filed
ALPINE HERITAGE LP	CRESSKILL, NJ	5/22/2012	00643358-07	Filed
IDEAL IMPLANT INC	IRVING, TX	5/22/2012	00643355-07	Filed
INTUITIVE WEB SOLUTIONS LLC	SPRINGFIELD, MO	5/22/2012	00643357-07	Filed
TIDAL PETROLEUM INC	SCHERTZ, TX	5/22/2012	00643356-07	Filed
ENCAP FLATROCK MIDSTREAM FUND 2 LP	SAN ANTONIO, TX	5/21/2012	00643311-07	Filed
GTIS US RESIDENTIAL STRATEGIES FUND LP	NEW YORK, NY	5/21/2012	00643313-07	Filed
HIGH GRAVITY RESOURCES	BOWLING GREEN, KY	5/21/2012	00643310-07	Filed
SCOLR PHARMA INC	BELLEVUE, WA	5/21/2012	00643312-07	Filed
Number of Regulation D Notice Filings: 14				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Penn Station, Inc.	Milford, OH	6/1/2012 2	604383	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
COVERALL NORTH AMERICA, INC	DEERFIELD BEACH, FL	6/1/2012 1	604382	Registered
Amarnani Franchise Inc / ALOHA	North Brunswick, NJ	5/31/2012	604381	Registered
SYNERGY HOMECARE FRANCHISING LLC / Regional Developer	GILBERT, AZ	5/30/2012	604377	Registered
SYNERGY HOMECARE FRANCHISING LLC / unit	GILBERT, AZ	5/30/2012	604376	Registered
Clean Franchising, LLC / Araya Clean - Unit	Phoenix, AZ	5/30/2012	604375	Registered
Clean Franchising, LLC / Araya Clean - Regional Developer	Phoenix, AZ	5/30/2012	604374	Registered
Forevermark US, Inc.	Stamford, CT	5/30/2012	604380	Registered
USA Mobile Drug Testing, LLC	Tampa, FL	5/30/2012	604378	Registered
ActiKare, Inc. / ActiKare In Home Care Services	Tampa, FL	5/29/2012	604369	Registered
Assisted Transitions, LLC	Long Beach, CA	5/29/2012	604379	Registered
Fuzzy's Taco Opportunities, LLC / Fuzzy's Taco Shop	Fort Worth, TX	5/29/2012	604371	Registered
GOIN POSTAL FRANCHISE CORP	ZEPHYRHILLS, FL	5/29/2012	604372	Registered
Joint Corp	Scottsdale, AZ	5/29/2012	604373	Registered
SBARRO INC	MELVILLE, NY	5/29/2012	604367	Registered
Sit Means Sit Franchise, Inc. / Sit Means Sit	Las Vegas, NV	5/25/2012	604353	Registered
INXPRESS LLC	Sandy, UT	5/25/2012	604354	Registered
BRER Affiliates Inc. / Prudential Real Estate	Irvine, CA	5/24/2012	604352	Registered
5 GUYS ENTERPRISES LLC / Five Guys	LORTON, VA	5/24/2012	604363	Registered
DEL TACO LLC	LAKE FOREST, CA	5/23/2012	604357	Registered
DUNN BROTHERS COFFEE FRANCHISING INC	MINNEAPOLIS, MN	5/23/2012	604358	Registered
GAMES 2 U, Inc.	AUSTIN, TX	5/23/2012	604355	Registered
PAYLESS CAR SALES INC / Payless Car Sales	ST PETERSBURG, FL	5/23/2012	604356	Registered
HEALTHSOURCE CHIROPRACTIC INC / REGIONAL DEVELOPER	VERMILLION, OH	5/22/2012	604350	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Dental Support Plus Franchise, LLC / Dental Support Plus	Scottsdale, AZ	5/21/2012	604348	Registered
Bin There USA, LLC / Bin There Dump That	Mississauga Ontario, ON	5/21/2012	604346	Registered
CBC RESTAURANT CORP	DALLAS, TX	5/21/2012	604345	Registered
Hire Quest, LLC / ACRUX, Trojan Labor	North Charleston, SC	5/21/2012	604365	Registered
Topper's Pizza, Inc. / Toppers Pizza	Whitewater, WI	5/21/2012	604364	Registered
Number of Franchise Filings: 29				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	