

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/30/2012 through 5/11/2012**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
O'CONNOR FUND OF FUNDS EVENT LLC	STAMFORD, CT	4/30/2012	00641110-01	Registered
O'CONNOR FUND OF FUNDS LONG SHORT CREDIT STRATEGIES LLC	STAMFORD, CT	4/30/2012	00641089-01	Pending
O'CONNOR FUND OF FUNDS LONG SHORT STRATEGIES LLC	STAMFORD, CT	4/30/2012	00641090-01	Registered
Number of Registration Filings: 3				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CRACTION LLC	NEW BERLIN, WI	5/3/2012	00641678-14	Filed
PORT CITY COMMUNITY CHURCH	WILMINGTON, NC	5/3/2012	00641679-08	Pending
Number of Exemption Filings: 2				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 51	MONUMENT, CO	5/7/2012	00642101-05	Pending-Cleared
CONFLUENCE REIT PORTFOLIO SERIES 2012 1	MONUMENT, CO	5/7/2012	00642096-05	Pending-Cleared
DIVIDEND ADVANTAGE PORTFOLIO SERIES 2012 2Q	MONUMENT, CO	5/7/2012	00642098-05	Pending-Cleared
ENHANCED EQUITY INCOME PORTFOLIO SERIES 2012 2Q	MONUMENT, CO	5/7/2012	00642097-05	Pending-Cleared
FIDELITY ADVISOR SERIES 8 FIDELITY ADVISOR GLOBAL EQUITY INCOME FUND CLASS C	BOSTON, MA	5/7/2012	00641854-03	Registered
FIDELITY ADVISOR SERIES 8 FIDELITY ADVISOR GLOBAL EQUITY INCOME FUND INSTITUTIONAL CLASS	BOSTON, MA	5/7/2012	00641852-03	Registered

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for 4/30/2012 through 5/11/2012**

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Firm Name	Location	Date Received	File Number	Status
FIDELITY ADVISOR SERIES 8 FIDELITY ADVISOR GLOBAL EQUITY INCOME FUND CLASS A	BOSTON, MA	5/7/2012	00641851-03	Registered
FIDELITY ADVISOR SERIES 8 FIDELITY ADVISOR GLOBAL EQUITY INCOME FUND CLASS T	BOSTON, MA	5/7/2012	00641853-03	Registered
FIDELITY INVESTMENT TR FIDELITY GLOBAL EQUITY INCOME FUND	BOSTON, MA	5/7/2012	00641855-03	Registered
FORWARD FUNDS FORWARD ENDURANCE LONG SHORT FUND INSTITUTIONAL CLASS	SAN FRANCISCO, CA	5/7/2012	00641845-03	Registered
FORWARD FUNDS FORWARD ENDURANCE LONG SHORT FUND INVESTOR CLASS	SAN FRANCISCO, CA	5/7/2012	00641846-03	Registered
FORWARD FUNDS FORWARD GLOBAL CREDIT LONG SHORT FUND INSTITUTIONAL CLASS	SAN FRANCISCO, CA	5/7/2012	00641847-03	Registered
FORWARD FUNDS FORWARD GLOBAL CREDIT LONG SHORT FUND CLASS C	SAN FRANCISCO, CA	5/7/2012	00641850-03	Registered
FORWARD FUNDS FORWARD GLOBAL CREDIT LONG SHORT FUND CLASS M	SAN FRANCISCO, CA	5/7/2012	00641848-03	Registered
FORWARD FUNDS FORWARD GLOBAL CREDIT LONG SHORT FUND INVESTOR CLASS	SAN FRANCISCO, CA	5/7/2012	00641849-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 895 DIVERSIFIED INCOME WAVE PORTFOLIO SERIES 31	LISLE, IL	5/7/2012	00642095-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 895 INFRASTRUCTURE & MLP PORTFOLIO OF CEFS SERIES 12	LISLE, IL	5/7/2012	00642094-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 895 SENIOR LOAN & INCOME PORTFOLIO OF CEFS SERIES 19	LISLE, IL	5/7/2012	00642093-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 905 GUGGENHEIM US CAPITAL STRENGTH PORTFOLIO SERIES 1	LISLE, IL	5/7/2012	00642102-05	Pending-Cleared

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for 4/30/2012 through 5/11/2012**

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Firm Name	Location	Date Received	File Number	Status
GUGGENHEIM DEFINED PORTFOLIOS SERIES 906 GUGGENHEIM EUROPEAN CAPITAL STRENGTH PORTFOLIO SERIS 1	LISLE, IL	5/7/2012	00642103-05	Pending-Cleared
INFLATION INCOME STRATEGY PORTFOLIO SERIES 2012 1	MONUMENT, CO	5/7/2012	00642100-05	Pending-Cleared
STRATEGIC HIGH 80 DIVIDEND PORTFOLIO SERIES 2012 2Q	MONUMENT, CO	5/7/2012	00642099-05	Pending-Cleared
1ST TR COMBINED SERIES 398 TX EX MUNICIPAL INCOME TR SERIES 277	WHEATON, IL	5/4/2012	00642075-05	Pending-Cleared
FT 3493 STRATEGIC INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 9	WHEATON, IL	5/4/2012	00642076-05	Pending-Cleared
FT 3497 EQUITY INCOME PORTFOLIO SERIES 34	WHEATON, IL	5/4/2012	00642080-05	Pending-Cleared
FT 3498 MARKET STRENGTH ALLOCATION SELECT PORTFOLIO SERIES 5	WHEATON, IL	5/4/2012	00642073-05	Pending-Cleared
FT 3499 NATURAL GAS PORTFOLIO SERIES 14	WHEATON, IL	5/4/2012	00642077-05	Pending-Cleared
FT 3500 DEEP VALUE SUB 10 DIVIDEND PORTFOLIO SERIES 4	WHEATON, IL	5/4/2012	00642072-05	Pending-Cleared
FT 3502 HIGH DIVIDEND EMERGING MARKETS EQUITY PORTFOLIO SERIES 3	WHEATON, IL	5/4/2012	00642083-05	Pending-Cleared
FT 3503 MARKET STRENGTH ALLOCATION PLUS PORTFOLIO SERIES 4	WHEATON, IL	5/4/2012	00642071-05	Pending-Cleared
FT 3537 SENIOR LOAN & LTD DURATION CLOSED END PORTFOLIO SERIES 13	WHEATON, IL	5/4/2012	00642081-05	Pending-Cleared
FT 3549 GLOBAL AGRICULTURE PORTFOLIO SERIES 21	WHEATON, IL	5/4/2012	00642079-05	Pending-Cleared
FT 3551 GLOBAL COMMODITIES PORTFOLIO SERIES 20	WHEATON, IL	5/4/2012	00642082-05	Pending-Cleared
FT 3552 GLOBAL HARD ASSETS PORTFOLIO SERIES 12	WHEATON, IL	5/4/2012	00642074-05	Pending-Cleared
FT 3586 GLOBAL GORILLAS PORTFOLIO SERIES 2	WHEATON, IL	5/4/2012	00642078-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/30/2012 through 5/11/2012**

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Firm Name	Location	Date Received	File Number	Status
FORUM FUNDS AUXIER FOCUS FUND INSTITUTIONAL SHARES	PORTLAND, ME	5/3/2012	00642069-03	Registered
PRUDENTIAL INVESTMENT PORTFOLIOS 3 PRUDENTIAL JENNISON SELECT GROWTH FUND CLASS Q	NEWARK, NJ	5/2/2012	00640854-03	Registered
WILMINGTON FUNDS TR WILMINGTON ROCK MAPLE ALTERNATIVES FUND CLASS A	BALTIMORE, MD	5/2/2012	00640853-03	Registered
1ST EAGLE FUNDS 1ST EAGLE GLOBAL INCOME BUILDER FUND CLASS A	NEW YORK, NY	5/1/2012	00640813-03	Registered
1ST EAGLE FUNDS 1ST EAGLE GLOBAL INCOME BUILDER FUND CLASS C	NEW YORK, NY	5/1/2012	00640814-03	Registered
1ST EAGLE FUNDS 1ST EAGLE GLOBAL INCOME BUILDER FUND CLASS I	NEW YORK, NY	5/1/2012	00640815-03	Registered
ARTISAN PORTNERS FUNDS INC ARTISAN SMALL CAP FUND INSTITUTIONAL SHARES	MILWAUKEE, IL	5/1/2012	00640891-03	Registered
BAIRD FUNDS INC BAIRD SMALLCAP VALUE FUND INSTITUTIONAL CLASS	MILWAUKEE, IL	5/1/2012	00641664-03	Registered
BAIRD FUNDS INC BAIRD SMALLCAP VALUE FUND INVESTOR CLASS	MILWAUKEE, IL	5/1/2012	00641665-03	Registered
FT 3487 DIVIDEND STRENGTH PORTFOLIO SERIES 14	WHEATON, IL	5/1/2012	00641673-05	Pending-Cleared
FT 3490 MEGA CAP PORTFOLIO SERIES 23	WHEATON, IL	5/1/2012	00641675-05	Pending-Cleared
FT 3492 DIVERSIFIED INCOME & GROWTH PORTFOLIO SUMMER 2012	WHEATON, IL	5/1/2012	00641672-05	Pending-Cleared
FT 3536 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIS 54	WHEATON, IL	5/1/2012	00641674-05	Pending-Cleared
FT 3545 STRATEGIC INCOME SELECT CLOSED END PORTFOLIO SERIES 7	WHEATON, IL	5/1/2012	00641671-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/30/2012 through 5/11/2012**

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GUGGENHEIM DEFINED PORTFOLIOS SERIES 894 GUGGENHEIM DISCOUNT OPPORTUNITY STRATEGY PORTFOLIO OF CEFS SERIES 2	LISLE, IL	5/1/2012	00641666-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 894 GUGGENHEIM GROWTH & INCOME STRATEGY PORTFOLIO OF CEFS SERIES 2	LISLE, IL	5/1/2012	00641668-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 894 GUGGENHEIM TX EX STRATEGY PORTFOLIO OF CEFS SERIES 2	LISLE, IL	5/1/2012	00641667-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 896 NATIONAL MUNICIPAL PORTFOLIO OF CEFS SERIES 21	LISLE, IL	5/1/2012	00641670-05	Pending-Cleared
INCAPITAL UNIT TR SERIES 2 ZACKS SELECT US EQUITIES BLEND PORTFOLIO SERIES 2Q 2012	CHICAGO, IL	5/1/2012	00641669-05	Pending-Cleared
INTEGRITY FUNDS INTEGRITY DIVIDEND HARVEST FUND	MINOT, ND	5/1/2012	00641677-03	Pending-Cleared
WESTERN ASSET FUNDS INC WESTERN ASSET CORE BOND FUND CLASS A	BALTIMORE, MD	5/1/2012	00640820-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET CORE BOND FUND CLASS C	BALTIMORE, MD	5/1/2012	00640836-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET CORE BOND FUND CLASS R	BALTIMORE, MD	5/1/2012	00640822-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET CORE PLUS BOND FUND CLASS R	BALTIMORE, MD	5/1/2012	00640840-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET CORE PLUS BOND FUND CLASS C	BALTIMORE, MD	5/1/2012	00640824-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET CORE PLUS BOND FUND CLASS A	BALTIMORE, MD	5/1/2012	00640832-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/30/2012 through 5/11/2012**

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Firm Name	Location	Date Received	File Number	Status
WESTERN ASSET FUNDS INC WESTERN ASSET GLOBAL MULTI SECTOR FUND CLASS A	BALTIMORE, MD	5/1/2012	00640837-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET GLOBAL MULTI SECTOR FUND CLASS R	BALTIMORE, MD	5/1/2012	00640841-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET GLOBAL MULTI SECTOR FUND CLASS C	BALTIMORE, MD	5/1/2012	00640839-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET HIGH YIELD FUND CLASS R	BALTIMORE, MD	5/1/2012	00640828-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET HIGH YIELD FUND CLASS A	BALTIMORE, MD	5/1/2012	00640826-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET HIGH YIELD FUND CLASS C	BALTIMORE, MD	5/1/2012	00640834-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET INFLATION INDEXED PLUS BOND FUND CLASS A	BALTIMORE, MD	5/1/2012	00640816-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET INFLATION INDEXED PLUS BOND FUND CLASS C	BALTIMORE, MD	5/1/2012	00640817-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET INFLATION INDEXED PLUS BOND FUND CLASS R	BALTIMORE, MD	5/1/2012	00640819-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET INTERMEDIATE BOND FUND CLASS C	BALTIMORE, MD	5/1/2012	00640823-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET INTERMEDIATE BOND FUND CLASS A	BALTIMORE, MD	5/1/2012	00640821-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET INTERMEDIATE BOND FUND CLASS R	BALTIMORE, MD	5/1/2012	00640825-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/30/2012 through 5/11/2012**

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Firm Name	Location	Date Received	File Number	Status
WESTERN ASSET FUNDS INC WESTERN ASSET LTD DURATION BOND FUND CLASS C	BALTIMORE, MD	5/1/2012	00640829-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET LTD DURATION BOND FUND CLASS A	BALTIMORE, MD	5/1/2012	00640827-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET NON US OPPORTUNITY BOND FUND CLASS A	BALTIMORE, MD	5/1/2012	00640831-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET NON US OPPORTUNITY BOND FUND CLASS R	BALTIMORE, MD	5/1/2012	00640835-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET NON US OPPORTUNITY BOND FUND CLASS C	BALTIMORE, MD	5/1/2012	00640833-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET TOTAL RETURN UNCONSTRAINED FUND CLASS A	BALTIMORE, MD	5/1/2012	00640838-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET TOTAL RETURN UNCONSTRAINED FUND CLASS R	BALTIMORE, MD	5/1/2012	00640830-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET TOTAL RETURN UNCONSTRAINED FUND CLASS C	BALTIMORE, MD	5/1/2012	00640818-03	Registered
ADVISORS SERIES TR ORINDA SKYVIEW MACRO OPPORTUNITIES FUND CLASS I	MILWAUKEE, WI	4/30/2012	00641106-03	Registered
ADVISORS SERIES TR ORINDA SKYVIEW MACRO OPPORTUNITIES FUND CLASS A	MILWAUKEE, WI	4/30/2012	00641107-03	Registered
BARON SELECT FUNDS BARON GLOBAL ADVANTAGE FUND INSTITUTIONAL SHARES	NEW YORK, NY	4/30/2012	00640802-03	Registered
BARON SELECT FUNDS BARON GLOBAL ADVANTAGE FUND RETAIL SHARES	NEW YORK, NY	4/30/2012	00640803-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/30/2012 through 5/11/2012**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BROOKFIELD INVESTMENT FUNDS BROOKFIELD GLOBAL LISTED REAL ESTATE FUND CLASS A	MILWAUKEE, WI	4/30/2012	00641092-03	Registered
BROOKFIELD INVESTMENT FUNDS BROOKFIELD GLOBAL LISTED INFRASTRUCTURE FUND CLASS C	MILWAUKEE, WI	4/30/2012	00641091-03	Registered
BROOKFIELD INVESTMENT FUNDS BROOKFIELD GLOBAL LISTED REAL ESTATE FUND CLASS C	MILWAUKEE, WI	4/30/2012	00641093-03	Registered
BROOKFIELD INVESTMENT FUNDS BROOKFIELD HIGH YIELD FUND CLASS C	MILWAUKEE, WI	4/30/2012	00641095-03	Registered
BROOKFIELD INVESTMENT FUNDS BROOKFIELD HIGH YIELD FUND CLASS A	MILWAUKEE, WI	4/30/2012	00641094-03	Registered
FT 3488 DORSEY WRIGHT RELATIVE STRENGTH DIVIDEND PORTFOLIO SERIES 5	WHEATON, IL	4/30/2012	00641114-05	Pending-Cleared
FT 3491 STRATEGIC DIVIDEND SELECT PORTFOLIO SERIES 5	WHEATON, IL	4/30/2012	00641113-05	Pending-Cleared
FT 3527 HIGH YIELD CLOSED END & SHORT TREASURY ETF PORTFOLIO SERIES 6	WHEATON, IL	4/30/2012	00641111-05	Pending-Cleared
FT 3546 MUNICIPAL INCOME CLOSED END PORTFOLIO SERIES 47	WHEATON, IL	4/30/2012	00641112-05	Pending-Cleared
HANCOCK JOHN FUNDS 2 HANCOCK JOHN RETIREMENT CHOICES AT 2010 PORTFOLIO CLASS R4	BOSTON, MA	4/30/2012	00640804-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN RETIREMENT CHOICES AT 2015 PORTFOLIO CLASS R4	BOSTON, MA	4/30/2012	00640809-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN RETIREMENT CHOICES AT 2020 PORTFOLIO CLASS R4	BOSTON, MA	4/30/2012	00640807-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN RETIREMENT CHOICES AT 2025 PORTFOLIO CLASS R4	BOSTON, MA	4/30/2012	00640811-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/30/2012 through 5/11/2012**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
HANCOCK JOHN FUNDS 2 HANCOCK JOHN RETIREMENT CHOICES AT 2030 PORTFOLIO CLASS R4	BOSTON, MA	4/30/2012	00640805-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN RETIREMENT CHOICES AT 2035 PORTFOLIO CLASS R4	BOSTON, MA	4/30/2012	00640806-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN RETIREMENT CHOICES AT 2040 PORTFOLIO CLASS R4	BOSTON, MA	4/30/2012	00640808-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN RETIREMENT CHOICES AT 2045 PORTFOLIO CLASS R4	BOSTON, MA	4/30/2012	00640810-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN RETIREMENT CHOICES AT 2050 PORTFOLIO CLASS R4	BOSTON, MA	4/30/2012	00640812-03	Registered
PROFESSIONALLY MANAGED PORTFOLIOS BOSTON COMMON US EQUITY FUND BOSTON COMMON US EQUITY	MILWAUKEE, WI	4/30/2012	00641105-03	Registered
TR FOR PROFESSIONAL MANAGERS COLLINS ALTERNATIVE SOLUTIONS FUND INSTITUTIONAL CLASS SHARES	MILWAUKEE, WI	4/30/2012	00641108-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA EMERGING MARKETS EQUITY FUND CLASS I SHARES	ST. PETERSBURG, FL	4/30/2012	00640795-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA EMERGING MARKETS EQUITY FUND CLASS C SHARES	ST. PETERSBURG, FL	4/30/2012	00640794-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA EMERGING MARKETS EQUITY FUND CLASS A SHARES	ST. PETERSBURG, FL	4/30/2012	00640793-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA LARGE CAP GROWTH FUND CLASS C SHARES	ST. PETERSBURG, FL	4/30/2012	00640798-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/30/2012 through 5/11/2012**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
TRANSAMERICA FUNDS TRANSAMERICA LARGE CAP GROWTH FUND CLASS I SHARES	ST. PETERSBURG, FL	4/30/2012	00640796-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA LARGE CAP GROWTH FUND CLASS A SHARES	ST. PETERSBURG, FL	4/30/2012	00640800-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA SMALL CAP VALUE FUND CLASS A SHARES	ST. PETERSBURG, FL	4/30/2012	00640797-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA SMALL CAP VALUE FUND CLASS C SHARES	ST. PETERSBURG, FL	4/30/2012	00640799-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA SMALL CAP VALUE FUND CLASS I SHARES	ST. PETERSBURG, FL	4/30/2012	00640801-03	Registered
VAN ECK FUNDS MULTI MANAGER ALTERNATIVES FUND CLASS C	NEW YORK, NY	4/30/2012	00641088-03	Registered
WALL STREET EWM FUNDS TR EVERCORE WEALTH MANAGEMENT SERVICES LLC	MILWAUKEE, WI	4/30/2012	00641109-03	Registered
Number of Investment Company Filings: 116				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CALDERA EQUITY FUND LP	CHICAGO, IL	5/7/2012	00642092-07	Filed
PAULSON PARTNERS ENHANCED LP	NEW YORK, NY	5/7/2012	00642090-07	Filed
WLR RECOVERY INVESTORS 4 LLC	ATLANTA, GA	5/7/2012	00642091-07	Filed
13 PARTNERS OFFSHORE LTD	NEW YORK, NY	5/4/2012	00642070-07	Filed
BELL 2 MULTIWELL JOINT VENTURE	PLANO, TX	5/3/2012	00641680-07	Filed
W TECHNOLOGY INVESTORS LLC	STAMFORD, CT	5/3/2012	00641681-07	Filed
ADAPTA MEDICAL INC	COLORADO SPRINGS, CO	4/30/2012	00640903-07	Filed
EXEO ENTERTAINMENT INC	LAS VEGAS, NV	4/30/2012	00640904-07	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/30/2012 through 5/11/2012**

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SAN SIMON ESTATE LAND CO LLC	BOWIE, AZ	4/30/2012	00640905-07	Filed
Number of Regulation D Notice Filings: 9				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Bagger Dave's Franchising Corporation / Bagger Dave's Legendary Burger Tavern	Southfield, MI	5/11/2012	604290	Registered
Jake's Franchising LLC / Jake's Wayback Burgers	Cheshire, CT	5/9/2012 4	604284	Registered
Astral Health & Beauty, Inc. / Aloette	Atlanta, GA	5/9/2012 3	604283	Registered
VALPAK DIRECT MARKETING SYSTEMS INC / VALPAK	LARGO, FL	5/9/2012 3	604282	Registered
Greener Energy,LLC / SuperGreen Solutions	West Palm Beach, FL	5/9/2012 2	604281	Registered
FirstLight HomeCare Franchising, LLC	Cincinnati, OH	5/9/2012	604286	Registered
RUTHS CHRIS STEAK HOUSE FRANCHISE INC	Winter Park, FL	5/9/2012	604288	Registered
Senior Care Business Investments, Inc. / Golden Heart Senior Care	Las Vegas, NV	5/9/2012	604287	Registered
SFF, LLC / SweetFrog	Reston, VA	5/9/2012	604289	Registered
SYLVAN LEARNING INC	BALTIMORE, MD	5/9/2012	604285	Registered
BROADWAY STATION RESTAURANTS INC	WOODBURY, MN	5/8/2012	604276	Registered
Crooked Pint Ale House	St. Paul, MN	5/8/2012	604275	Registered
HYATT FRANCHISING LLC	CHICAGO, IL	5/8/2012	604274	Registered
IKOR	Kennett Square, PA	5/8/2012	604273	Registered
The Center MMA Franchise, LLC / The Center Mixed Martial Arts	Orlando, FL	5/8/2012	604277	Registered
Uptown Cheapskate, LLC / Uptown Cheapskate	Salt Lake City, UT	5/7/2012 2	604271	Registered
PITA PIT INC. / THE PITA PIT	COEUR D'ALENE, ID	5/7/2012 1	604270	Registered
101 Mobility Franchise Systems, LLC	Wilmington, NC	5/4/2012	604264	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/30/2012 through 5/11/2012**

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AUTOMOTIVE TECHNOLOGIES INC / WIRELESS ZONE	MIDDLETON, CT	5/4/2012	604262	Registered
BurgerFi International, LLC	North Palm Beach, FL	5/4/2012	604265	Registered
IHA Franchising, LLC / Ice House America	Jacksonville, FL	5/4/2012	604268	Registered
Multivista Systems, LLC	Phoenix, AZ	5/4/2012	604269	Registered
WHEEL REPAIR SOLUTIONS INTERNATIONAL INC / Alloy Wheel Repair Specialists	NORCROSS, GA	5/4/2012	604263	Registered
AAMCO TRANSMISSIONS INC	HORSHAM, PA	5/3/2012	604253	Registered
ABRA AUTOMOTIVE SYSTEMS INC	BROOKLYN CENTER, MN	5/3/2012	604254	Registered
BizCard Express, LLC	Flagler, FL	5/3/2012	604266	Registered
Community Insurance Services, LLC / The Murphy Insurance Group	Wauwaukee, WI	5/3/2012	604267	Registered
EDIBLE ARRANGEMENTS INTERNATIONAL INC	WILLINGFORD, CT	5/3/2012	604249	Registered
ESIO Franchising, LLC	Mesa, AZ	5/3/2012	604250	Registered
MIDWEST LAWN CARE LLC / Weed Man	MIDDLETON, WI	5/3/2012	604255	Registered
NITELITES FRANCHISE SYSTEMS INC / NITELITES	FRANKLIN, OH	5/3/2012	604251	Registered
Welcyon Franchising, LLC	Edina, MN	5/3/2012	604252	Registered
Captain D's, LLC / Captain D's	Nashville, TN	5/2/2012 1	604234	Registered
Vein Clinics of America, Inc. / Vein Clinics of America	Downers Grove, IL	5/2/2012 1	604233	Registered
LearningRx Franchise Corporation / LearningRx Training Center	COLORADO SPRINGS, CO	5/2/2012 9	604232	Registered
ALAMO DRAFTHOUSE CINEMAS LTD	AUSTIN, TX	5/2/2012	604260	Registered
BOSTON PIZZA RESTAURANTS LP	DALLAS, TX	5/2/2012	604261	Registered
McAlister's Corp	RIDGELAND, MS	5/2/2012	604258	Registered
PACIFIC PRIDE SERVICES LLC	SALME, OR	5/2/2012	604257	Registered
PONDEROSA FRANCHISING CO	PLANO, TX	5/2/2012	604256	Registered
PRIMROSE SCHOOL FRANCHISING CO / PRIMROSE SCHOOLS	ACWORTH, GA	5/1/2012 5	604226	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/30/2012 through 5/11/2012**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
The Extreme Pita Franchising USA, Inc. / Extreme Pita	Scottsdale, AZ	5/1/2012 3	604225	Registered
Caring Senior Service Franchise Partnership, L.P. / Caring Senior Service	San Antonio, TX	5/1/2012 1	604192	Registered
BENNIGANS FRANCHISING CO LP	PLANO, TX	5/1/2012	604229	Registered
BRUEGGERS FRANCHISE CORP	BURLINGTON, VT	5/1/2012	604230	Registered
Cajun Global, LLC / CHURCHES CHICKEN	ATLANTA, GA	5/1/2012	604239	Registered
Coffee News USA, Inc. / Coffee News	Bangor, ME	5/1/2012	604227	Registered
HARRIS RESEARCH INC / CHEM DRY	Nashville, TN	5/1/2012	604246	Registered
HARRIS RESEARCH INC / N-HANCE	Nashville, TN	5/1/2012	604247	Registered
JAN-PRO FRANCHISING INTERNATIONAL INC / Jan-Pro Cleaning Systems	ALPHARETTA, GA	5/1/2012	604245	Registered
KAMPGROUNDS OF AMERICA INC	BILLINGS, MT	5/1/2012	604243	Registered
MMI-GOC, LLC / Geeks On Call	Virginia Beach, VA	5/1/2012	604244	Registered
TEMPLAR FRANCHISE CO	SAN DIEGO, CA	5/1/2012	604228	Registered
WINGSTOP RESTAURANTS INC	RICHARDSON, TX	5/1/2012	604238	Registered
Shula Burger, LLC	Ft. Lauderdale, FL	4/30/2012	604182	Registered
Global Orange Development, LLC / BIGGBY COFFEE	East Lansing, MI	4/30/2012	604174	Registered
Worldwide Refinishing Systems, Inc. / DreamMaker Bath & Kitchen by Worldwide	Waco, TX	4/30/2012	604173	Registered
STEAMATIC INC	FORT WORTH, TX	4/30/2012	604169	Registered
R.E.JOHNSEN, LLC / Jan-Pro of Milwaukee	West Allis, WI	4/30/2012	604159	Registered
A CORP	N BILLERICA, MA	4/30/2012	604205	Registered
AVALAR NETWORK INC	LAS VEGAS, NV	4/30/2012	604223	Registered
BEN & JERRYS FRANCHISING INC / Ben & Jerry's Scoop Shop	SOUTH BURLINGTON, VT	4/30/2012	604211	Registered
BEN & JERRYS FRANCHISING INC / Special Venue Scoop Shop Program	SOUTH BURLINGTON, VT	4/30/2012	604186	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/30/2012 through 5/11/2012**

Franchise Law Filings Made with DFI's Securities Division				
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BL Restaurant Franchises, LLC / Bar Louie	Addison, TX	4/30/2012	604183	Registered
CA CLOSET CO INC	Berkley, CA	4/30/2012	604187	Registered
CBD FRANCHISING INC	CYPRESS, CA	4/30/2012	604202	Registered
Charter Practices International, LLC / Banfield The Pet Hospital	Portland, OR	4/30/2012	604190	Registered
CHICAGO FRANCHISE SYSTEMS INC / Nancy's Pizza	Mokena, IL	4/30/2012	604217	Registered
COIT SERVICES INC	BURLINGAME, CA	4/30/2012	604184	Registered
COUNSELOR REALTY FRANCHISING INC	SPRING LAKE PARK, MN	4/30/2012	604220	Registered
CREST FOODS INC	RICHARDSON, TX	4/30/2012	604206	Registered
DFO LLC / Denny's	SPARTANBURG, SC	4/30/2012	604224	Registered
Dr. Energy Saver, LLC	Seymour, CT	4/30/2012	604212	Registered
E & G FRANCHISE SYSTEMS INC / Erbert & Gerbert's Sandwich shops	EAU CLAIRE, WI	4/30/2012	604195	Registered
Energy Conservation Opportunities, Inc. / Soil to Sun	Mossville, IL	4/30/2012	604215	Registered
FARRELL'S EXTREME BODYSHAPING INC	WEST DES MOINES, IA	4/30/2012	604208	Registered
GENERAL NUTRITION CORP / GNC	PITTSBURG, PA	4/30/2012	604201	Registered
GREASE MONKEY FRANCHISING LLC	GREENWOOD VILLAGE, CO	4/30/2012	604196	Registered
Hickory River Smokehouse, Inc.	Tipp City, OH	4/30/2012	604214	Registered
JET BLACK INTERNATIONAL INC	EAGAN, MN	4/30/2012	604203	Registered
KIDVILLE FRANCHISE CO LLC	NEW YORK, NY	4/30/2012	604210	Registered
KILWINS CHOCOLATES FRANCHISE INC	PETOSKEY, MI	4/30/2012	604200	Registered
La Madeleine Franchising Co., Inc.	Dallas, TX	4/30/2012	604219	Registered
MARCOS FRANCHISING LLC / Marco's Pizza	TOLEDO, OH	4/30/2012	604216	Registered
MARCOS FRANCHISING LLC / AREA REPRESENTATIVE	TOLEDO, OH	4/30/2012	604213	Registered
MEDI WEGHTLOSS FRANCHISING USA LLC	TAMPA, FL	4/30/2012	604222	Registered
MENCHIES GROUP INC	ENCINO, CA	4/30/2012	604204	Registered
MGM LIQUOR STORES INC	ST PAUL, MN	4/30/2012	604207	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/30/2012 through 5/11/2012**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
New Uses LLC	Minnetonka, MN	4/30/2012	604198	Registered
POTBELLY FRANCHISING LLC	CHICAGO, IL	4/30/2012	604221	Registered
REAL LIVING REAL ESTATE, LLC	COLUMUS, OH	4/30/2012	604209	Registered
RETROFITNESS LLC	COLTS NECK, NJ	4/30/2012	604188	Registered
Stanley Black & Decker, Inc. / through its Mac Tools division	Westerville, OH	4/30/2012	604218	Registered
UNIGLOBE TRAVEL USA LLC / InHouse Program	Irvine, CA	4/30/2012	604185	Registered
UNIGLOBE TRAVEL USA LLC / Conversion/V Program	Irvine, CA	4/30/2012	604199	Registered
UNIGLOBE TRAVEL USA LLC / Corporate Agency Program	Irvine, CA	4/30/2012	604197	Registered
Number of Franchise Filings: 96				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
RE GREAT WOLF TENDER OFFER	5/1/2012
Number of Takeover Filings: 1	