

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/23/2012 through 5/4/2012**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
O'CONNOR FUND OF FUNDS EVENT LLC	STAMFORD, CT	4/30/2012	00641110-01	Registered
O'CONNOR FUND OF FUNDS LONG SHORT CREDIT STRATEGIES LLC	STAMFORD, CT	4/30/2012	00641089-01	Pending
O'CONNOR FUND OF FUNDS LONG SHORT STRATEGIES LLC	STAMFORD, CT	4/30/2012	00641090-01	Registered
Number of Registration Filings: 3				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ONLY BELIEVE MINISTRIES INC	BOTKINS, OH	4/24/2012	00639932-08	Pending
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PRUDENTIAL INVESTMENT PORTFOLIOS 3 PRUDENTIAL JENNISON SELECT GROWTH FUND CLASS Q	NEWARK, NJ	5/2/2012	00640854-03	Registered
WILMINGTON FUNDS TR WILMINGTON ROCK MAPLE ALTERNATIVES FUND CLASS A	BALTIMORE, MD	5/2/2012	00640853-03	Registered
1ST EAGLE FUNDS 1ST EAGLE GLOBAL INCOME BUILDER FUND CLASS A	NEW YORK, NY	5/1/2012	00640813-03	Registered
1ST EAGLE FUNDS 1ST EAGLE GLOBAL INCOME BUILDER FUND CLASS C	NEW YORK, NY	5/1/2012	00640814-03	Registered
1ST EAGLE FUNDS 1ST EAGLE GLOBAL INCOME BUILDER FUND CLASS I	NEW YORK, NY	5/1/2012	00640815-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ARTISAN PORTNERS FUNDS INC ARTISAN SMALL CAP FUND INSTITUTIONAL SHARES	MILWAUKEE, IL	5/1/2012	00640891-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET CORE BOND FUND CLASS A	BALTIMORE, MD	5/1/2012	00640820-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET CORE BOND FUND CLASS C	BALTIMORE, MD	5/1/2012	00640836-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET CORE BOND FUND CLASS R	BALTIMORE, MD	5/1/2012	00640822-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET CORE PLUS BOND FUND CLASS R	BALTIMORE, MD	5/1/2012	00640840-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET CORE PLUS BOND FUND CLASS A	BALTIMORE, MD	5/1/2012	00640832-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET CORE PLUS BOND FUND CLASS C	BALTIMORE, MD	5/1/2012	00640824-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET GLOBAL MULTI SECTOR FUND CLASS C	BALTIMORE, MD	5/1/2012	00640839-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET GLOBAL MULTI SECTOR FUND CLASS A	BALTIMORE, MD	5/1/2012	00640837-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET GLOBAL MULTI SECTOR FUND CLASS R	BALTIMORE, MD	5/1/2012	00640841-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET HIGH YIELD FUND CLASS A	BALTIMORE, MD	5/1/2012	00640826-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET HIGH YIELD FUND CLASS C	BALTIMORE, MD	5/1/2012	00640834-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET HIGH YIELD FUND CLASS R	BALTIMORE, MD	5/1/2012	00640828-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET INFLATION INDEXED PLUS BOND FUND CLASS A	BALTIMORE, MD	5/1/2012	00640816-03	Registered

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WESTERN ASSET FUNDS INC WESTERN ASSET INFLATION INDEXED PLUS BOND FUND CLASS C	BALTIMORE, MD	5/1/2012	00640817-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET INFLATION INDEXED PLUS BOND FUND CLASS R	BALTIMORE, MD	5/1/2012	00640819-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET INTERMEDIATE BOND FUND CLASS C	BALTIMORE, MD	5/1/2012	00640823-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET INTERMEDIATE BOND FUND CLASS A	BALTIMORE, MD	5/1/2012	00640821-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET INTERMEDIATE BOND FUND CLASS R	BALTIMORE, MD	5/1/2012	00640825-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET LTD DURATION BOND FUND CLASS C	BALTIMORE, MD	5/1/2012	00640829-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET LTD DURATION BOND FUND CLASS A	BALTIMORE, MD	5/1/2012	00640827-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET NON US OPPORTUNITY BOND FUND CLASS A	BALTIMORE, MD	5/1/2012	00640831-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET NON US OPPORTUNITY BOND FUND CLASS R	BALTIMORE, MD	5/1/2012	00640835-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET NON US OPPORTUNITY BOND FUND CLASS C	BALTIMORE, MD	5/1/2012	00640833-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET TOTAL RETURN UNCONSTRAINED FUND CLASS A	BALTIMORE, MD	5/1/2012	00640838-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET TOTAL RETURN UNCONSTRAINED FUND CLASS R	BALTIMORE, MD	5/1/2012	00640830-03	Registered

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WESTERN ASSET FUNDS INC WESTERN ASSET TOTAL RETURN UNCONSTRAINED FUND CLASS C	BALTIMORE, MD	5/1/2012	00640818-03	Registered
ADVISORS SERIES TR ORINDA SKYVIEW MACRO OPPORTUNITIES FUND CLASS I	MILWAUKEE, WI	4/30/2012	00641106-03	Registered
ADVISORS SERIES TR ORINDA SKYVIEW MACRO OPPORTUNITIES FUND CLASS A	MILWAUKEE, WI	4/30/2012	00641107-03	Registered
BARON SELECT FUNDS BARON GLOBAL ADVANTAGE FUND INSTITUTIONAL SHARES	NEW YORK, NY	4/30/2012	00640802-03	Registered
BARON SELECT FUNDS BARON GLOBAL ADVANTAGE FUND RETAIL SHARES	NEW YORK, NY	4/30/2012	00640803-03	Registered
BROOKFIELD INVESTMENT FUNDS BROOKFIELD GLOBAL LISTED INFRASTRUCTURE FUND CLASS C	MILWAUKEE, WI	4/30/2012	00641091-03	Registered
BROOKFIELD INVESTMENT FUNDS BROOKFIELD GLOBAL LISTED REAL ESTATE FUND CLASS C	MILWAUKEE, WI	4/30/2012	00641093-03	Registered
BROOKFIELD INVESTMENT FUNDS BROOKFIELD GLOBAL LISTED REAL ESTATE FUND CLASS A	MILWAUKEE, WI	4/30/2012	00641092-03	Registered
BROOKFIELD INVESTMENT FUNDS BROOKFIELD HIGH YIELD FUND CLASS C	MILWAUKEE, WI	4/30/2012	00641095-03	Registered
BROOKFIELD INVESTMENT FUNDS BROOKFIELD HIGH YIELD FUND CLASS A	MILWAUKEE, WI	4/30/2012	00641094-03	Registered
FT 3488 DORSEY WRIGHT RELATIVE STRENGTH DIVIDEND PORTFOLIO SERIES 5	WHEATON, IL	4/30/2012	00641114-05	Pending-Cleared
FT 3491 STRATEGIC DIVIDEND SELECT PORTFOLIO SERIES 5	WHEATON, IL	4/30/2012	00641113-05	Pending-Cleared
FT 3527 HIGH YIELD CLOSED END & SHORT TREASURY ETF PORTFOLIO SERIES 6	WHEATON, IL	4/30/2012	00641111-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
FT 3546 MUNICIPAL INCOME CLOSED END PORTFOLIO SERIES 47	WHEATON, IL	4/30/2012	00641112-05	Pending-Cleared
HANCOCK JOHN FUNDS 2 HANCOCK JOHN RETIREMENT CHOICES AT 2010 PORTFOLIO CLASS R4	BOSTON, MA	4/30/2012	00640804-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN RETIREMENT CHOICES AT 2015 PORTFOLIO CLASS R4	BOSTON, MA	4/30/2012	00640809-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN RETIREMENT CHOICES AT 2020 PORTFOLIO CLASS R4	BOSTON, MA	4/30/2012	00640807-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN RETIREMENT CHOICES AT 2025 PORTFOLIO CLASS R4	BOSTON, MA	4/30/2012	00640811-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN RETIREMENT CHOICES AT 2030 PORTFOLIO CLASS R4	BOSTON, MA	4/30/2012	00640805-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN RETIREMENT CHOICES AT 2035 PORTFOLIO CLASS R4	BOSTON, MA	4/30/2012	00640806-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN RETIREMENT CHOICES AT 2040 PORTFOLIO CLASS R4	BOSTON, MA	4/30/2012	00640808-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN RETIREMENT CHOICES AT 2045 PORTFOLIO CLASS R4	BOSTON, MA	4/30/2012	00640810-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN RETIREMENT CHOICES AT 2050 PORTFOLIO CLASS R4	BOSTON, MA	4/30/2012	00640812-03	Registered
PROFESSIONALLY MANAGED PORTFOLIOS BOSTON COMMON US EQUITY FUND BOSTON COMMON US EQUITY	MILWAUKEE, WI	4/30/2012	00641105-03	Registered
TR FOR PROFESSIONAL MANAGERS COLLINS ALTERNATIVE SOLUTIONS FUND INSTITUTIONAL CLASS SHARES	MILWAUKEE, WI	4/30/2012	00641108-03	Registered

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TRANSAMERICA FUNDS TRANSAMERICA EMERGING MARKETS EQUITY FUND CLASS C SHARES	ST. PETERSBURG, FL	4/30/2012	00640794-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA EMERGING MARKETS EQUITY FUND CLASS A SHARES	ST. PETERSBURG, FL	4/30/2012	00640793-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA EMERGING MARKETS EQUITY FUND CLASS I SHARES	ST. PETERSBURG, FL	4/30/2012	00640795-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA LARGE CAP GROWTH FUND CLASS I SHARES	ST. PETERSBURG, FL	4/30/2012	00640796-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA LARGE CAP GROWTH FUND CLASS A SHARES	ST. PETERSBURG, FL	4/30/2012	00640800-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA LARGE CAP GROWTH FUND CLASS C SHARES	ST. PETERSBURG, FL	4/30/2012	00640798-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA SMALL CAP VALUE FUND CLASS A SHARES	ST. PETERSBURG, FL	4/30/2012	00640797-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA SMALL CAP VALUE FUND CLASS C SHARES	ST. PETERSBURG, FL	4/30/2012	00640799-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA SMALL CAP VALUE FUND CLASS I SHARES	ST. PETERSBURG, FL	4/30/2012	00640801-03	Registered
VAN ECK FUNDS MULTI MANAGER ALTERNATIVES FUND CLASS C	NEW YORK, NY	4/30/2012	00641088-03	Pending-Cleared
WALL STREET EWM FUNDS TR EVERCORE WEALTH MANAGEMENT SERVICES LLC	MILWAUKEE, WI	4/30/2012	00641109-03	Registered
BLACKROCK FUNDS 3 BLACKROCK COREALPHA BOND FUND INVESTOR A SHARES	SAN FRANCISCO, CA	4/27/2012	00640741-03	Pending-Cleared

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BLACKROCK FUNDS 3 BLACKROCK COREALPHA BOND FUND INVESTOR C SHARES	SAN FRANCISCO, CA	4/27/2012	00640742-03	Pending-Cleared
EATON VANCE GROWTH TR EATON VANCE GLOBAL NATURAL RESOURCES FUND CLASS I SHARES	BOSTON, MA	4/27/2012	00640744-03	Registered
EATON VANCE GROWTH TR EATON VANCE GLOBAL NATURAL RESOURCES FUND CLASS A SHARES	BOSTON, MA	4/27/2012	00640743-03	Registered
RAINIER INVESTMENT MANAGEMENT MUTUAL FUNDS RAINIER INTERNATIONAL DISCOVERY FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	4/27/2012	00640860-03	Registered
FT 3479 INCOME ALLOCATION CLOSED END MAY 12	WHEATON, IL	4/26/2012	00640576-05	Registered
FT 3480 DOW TARGET 10 MAY 12 TERM 6/7/13	WHEATON, IL	4/26/2012	00640580-05	Registered
FT 3480 TARGET FOCUS 4 MAY 12 TERM 8/9/13	WHEATON, IL	4/26/2012	00640582-05	Registered
FT 3480 TARGET TRIAD MAY 12 TERM 8/9/13	WHEATON, IL	4/26/2012	00640581-05	Registered
FT 3480 TARGET VIP MAY 12 TERM 8/9/13	WHEATON, IL	4/26/2012	00640575-05	Registered
FT 3489 GLOBAL DIVIDEND PORTFOLIO SERIES 29	WHEATON, IL	4/26/2012	00640579-05	Registered
FT 3535 INTEREST RATE HEDGE PORTFOLIO SERIES 44	WHEATON, IL	4/26/2012	00640578-05	Registered
FT 3547 TACTICAL INCOME PORTFOLIO SERIES 13	WHEATON, IL	4/26/2012	00640577-05	Registered
UBS FUNDS UBS MULTI ASSET INCOME FUND CLASS A	BOSTON, MA	4/26/2012	00640562-03	Registered
UBS FUNDS UBS MULTI ASSET INCOME FUND CLASS C	BOSTON, MA	4/26/2012	00640563-03	Registered
UBS FUNDS UBS MULTI ASSET INCOME FUND CLASS Y	BOSTON, MA	4/26/2012	00640564-03	Registered
VAN KAMPEN UNIT TR SERIES 1226 CONSERVATIVE INCOME ALLOCATION PORTFOLIO SERIES 12	OAKBROOK TER, IL	4/26/2012	00640574-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
VAN KAMPEN UNIT TR SERIES 1227 INSIDE BUY STRATEGY SERIES 2012 2	OAKBROOK TER, IL	4/26/2012	00640573-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1228 ETF ALLOCATION PORTFOLIO SERIES 11	OAKBROOK TER, IL	4/26/2012	00640572-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1228 ETF DIVERSIFIED INCOME PORTFOLIO SERIES 9	OAKBROOK TER, IL	4/26/2012	00640571-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1229 DEFENSIVE EQUITY & INCOME PORTFOLIO SERIES 4	OAKBROOK TER, IL	4/26/2012	00640570-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1230 DOW JONES TOTAL MARKET PORTFOLIO ENHANCED INDEX STRATEGY 2012 3	OAKBROOK TER, IL	4/26/2012	00640569-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1230 ENHANCED SECTOR STRATEGY SECTOR ROTATION PORTFOLIO 2012 3	OAKBROOK TER, IL	4/26/2012	00640568-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1232 TECHNOLOGY PORTFOLIO 2012 3	OAKBROOK TER, IL	4/26/2012	00640567-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1233 NEW WORLD LEADERS PORTFOLIO SERIES 6	OAKBROOK TER, IL	4/26/2012	00640566-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1235 RETURN OF CAPITAL LEADERS PORTFOLIO 2012 SERIES	OAKBROOK TER, IL	4/26/2012	00640565-05	Pending-Cleared
BLAIR WILLIAM FUNDS BLAIR WILLIAM COMMODITY STRATEGY LONG SHORT FUND INSTITUTIONAL CLASS SHARES	CHICAGO, IL	4/25/2012	00640537-03	Registered
BLAIR WILLIAM FUNDS BLAIR WILLIAM COMMODITY STRATEGY LONG SHORT FUND CLASS I SHARES	CHICAGO, IL	4/25/2012	00640538-03	Registered
BLAIR WILLIAM FUNDS BLAIR WILLIAM COMMODITY STRATEGY LONG SHORT FUND CLASS N SHARES	CHICAGO, IL	4/25/2012	00640539-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PRICE T ROWE INTERNATIONAL FUNDS INC PTR EMERGING MARKETS CORPORATE BOND FUND	BALTIMORE, MD	4/25/2012	00640560-03	Pending-Cleared
PRICE T ROWE INTERNATIONAL FUNDS INC PTR EMERGING MARKETS CORPORATE BOND FUND ADVISOR CLASS	BALTIMORE, MD	4/25/2012	00640561-03	Pending-Cleared
FT 3458 UTILITIES SELECT PORTFOLIO SERIES 21	WHEATON, IL	4/24/2012	00639966-05	Pending-Cleared
FT 3496 GEMANY PORTFOLIO SERIES 3	WHEATON, IL	4/24/2012	00639964-05	Pending-Cleared
FT 3544 HIGH YIELD INCOME CLOSED END PORTFOLIO SERIS 49	WHEATON, IL	4/24/2012	00639965-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 918 SHALE & OIL SANDS PORTFOLIO SERIES 1	LISLE, IL	4/24/2012	00639963-05	Pending-Cleared
INVESTMENT MANAGERS SERIES TR PALMER SQUARE SSI ALTERNATIVE INCOME FUND CLASS A	MILWAUKEE, IL	4/24/2012	00639961-03	Registered
INVESTMENT MANAGERS SERIES TR PALMER SQUARE SSI ALTERNATIVE INCOME FUND CLASS I	MILWAUKEE, IL	4/24/2012	00639960-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 907 DIVIDEND GROWTH PORTFOLIO SERIES 1	LISLE, IL	4/23/2012	00639931-05	Pending-Cleared
Number of Investment Company Filings: 105				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ADAPTA MEDICAL INC	COLORADO SPRINGS, CO	4/30/2012	00640903-07	Filed
EXEO ENTERTAINMENT INC	LAS VEGAS, NV	4/30/2012	00640904-07	Filed
SAN SIMON ESTATE LAND CO LLC	BOWIE, AZ	4/30/2012	00640905-07	Filed
GNB BANCORP	GRUNDY CENTER, IA	4/27/2012	00640857-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
VON WIN CAPITAL MANAGEMENT LP	NEW YORK, NY	4/27/2012	00640856-07	Filed
ALTURAS CAPITAL CASTLE FUND LP	EVANSTON, IL	4/26/2012	00640859-07	Filed
LSGI TECHNOLOGY VENTURE LP	DUNCANVILLE, TX	4/26/2012	00640858-07	Filed
SERVICE FINANCE FUNDING 5 LLC	BOCA RATON, FL	4/25/2012	00640540-07	Filed
DESERT ROSE OF MESA INC	WISCONSIN DELLS, WI	4/24/2012	00639933-07	Filed
7 PEAKS KBR CO OPTIVIST B FUND 2 LLC	REDWOOD CITY, CA	4/23/2012	00639930-07	Filed
ALWAYSONVACATION INC	SAN RAMON, CA	4/23/2012	00639929-07	Filed
AMERICAN HOME RECOVERY FUND	PLOVER, WI	4/23/2012	00639962-07	Filed
Number of Regulation D Notice Filings: 12				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
101 Mobility Franchise Systems, LLC	Wilmington, NC	5/4/2012	604264	Registered
AUTOMOTIVE TECHNOLOGIES INC / WIRELESS ZONE	MIDDLETON, CT	5/4/2012	604262	Registered
BurgerFi International, LLC	North Palm Beach, FL	5/4/2012	604265	Registered
IHA Franchising, LLC / Ice House America	Jacksonville, FL	5/4/2012	604268	Registered
Multivista Systems, LLC	Phoenix, AZ	5/4/2012	604269	Registered
WHEEL REPAIR SOLUTIONS INTERNATIONAL INC / Alloy Wheel Repair Specialists	NORCROSS, GA	5/4/2012	604263	Registered
AAMCO TRANSMISSIONS INC	HORSHAM, PA	5/3/2012	604253	Registered
ABRA AUTOMOTIVE SYSTEMS INC	BROOKLYN CENTER, MN	5/3/2012	604254	Registered
BizCard Express, LLC	Flagler, FL	5/3/2012	604266	Registered
Community Insurance Services, LLC / The Murphy Insurance Group	Waukegan, WI	5/3/2012	604267	Registered
EDIBLE ARRANGEMENTS INTERNATIONAL INC	WILLINGFORD, CT	5/3/2012	604249	Registered
ESIO Franchising, LLC	Mesa, AZ	5/3/2012	604250	Registered

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MIDWEST LAWN CARE LLC / Weed Man	MIDDLETON, WI	5/3/2012	604255	Registered
NITELITES FRANCHISE SYSTEMS INC / NITELITES	FRANKLIN, OH	5/3/2012	604251	Registered
Welcyon Franchising, LLC	Edina, MN	5/3/2012	604252	Registered
Captain D's, LLC / Captain D's	Nashville, TN	5/2/2012 1	604234	Registered
Vein Clinics of America, Inc. / Vein Clinics of America	Downers Grove, IL	5/2/2012 1	604233	Registered
LearningRx Franchise Corporation / LearningRx Training Center	COLORADO SPRINGS, CO	5/2/2012 9	604232	Registered
ALAMO DRAFTHOUSE CINEMAS LTD	AUSTIN, TX	5/2/2012	604260	Registered
BOSTON PIZZA RESTAURANTS LP	DALLAS, TX	5/2/2012	604261	Registered
McAlister's Corp	RIDGELAND, MS	5/2/2012	604258	Registered
PACIFIC PRIDE SERVICES LLC	SALME, OR	5/2/2012	604257	Registered
PONDEROSA FRANCHISING CO	PLANO, TX	5/2/2012	604256	Registered
PRIMROSE SCHOOL FRANCHISING CO / PRIMROSE SCHOOLS	ACWORTH, GA	5/1/2012 5	604226	Registered
The Extreme Pita Franchising USA, Inc. / Extreme Pita	Scottsdale, AZ	5/1/2012 3	604225	Registered
Caring Senior Service Franchise Partnership, L.P. / Caring Senior Service	San Antonio, TX	5/1/2012 1	604192	Registered
BENNIGANS FRANCHISING CO LP	PLANO, TX	5/1/2012	604229	Registered
BRUEGGERS FRANCHISE CORP	BURLINGTON, VT	5/1/2012	604230	Registered
Cajun Global, LLC / CHURCHES CHICKEN	ATLANTA, GA	5/1/2012	604239	Registered
Coffee News USA, Inc. / Coffee News	Bangor, ME	5/1/2012	604227	Registered
HARRIS RESEARCH INC / CHEM DRY	Nashville, TN	5/1/2012	604246	Registered
HARRIS RESEARCH INC / N-HANCE	Nashville, TN	5/1/2012	604247	Registered
JAN-PRO FRANCHISING INTERNATIONAL INC / Jan-Pro Cleaning Systems	ALPHARETTA, GA	5/1/2012	604245	Registered
KAMPGROUNDS OF AMERICA INC	BILLINGS, MT	5/1/2012	604243	Registered

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MMI-GOC, LLC / Geeks On Call	Virginia Beach, VA	5/1/2012	604244	Registered
TEMPLAR FRANCHISE CO	SAN DIEGO, CA	5/1/2012	604228	Registered
WINGSTOP RESTAURANTS INC	RICHARDSON, TX	5/1/2012	604238	Registered
Shula Burger, LLC	Ft. Lauderdale, FL	4/30/2012	604182	Registered
Global Orange Development, LLC / BIGGBY COFFEE	East Lansing, MI	4/30/2012	604174	Registered
Worldwide Refinishing Systems, Inc. / DreamMaker Bath & Kitchen by Worldwide	Waco, TX	4/30/2012	604173	Registered
STEAMATIC INC	FORT WORTH, TX	4/30/2012	604169	Registered
R.E.JOHNSEN, LLC / Jan-Pro of Milwaukee	West Allis, WI	4/30/2012	604159	Registered
A CORP	N BILLERICA, MA	4/30/2012	604205	Registered
AVALAR NETWORK INC	LAS VEGAS, NV	4/30/2012	604223	Registered
BEN & JERRYS FRANCHISING INC / Ben & Jerry's Scoop Shop	SOUTH BURLINGTON, VT	4/30/2012	604211	Registered
BEN & JERRYS FRANCHISING INC / Special Venue Scoop Shop Program	SOUTH BURLINGTON, VT	4/30/2012	604186	Registered
BL Restaurant Franchises, LLC / Bar Louie	Addison, TX	4/30/2012	604183	Registered
CA CLOSET CO INC	Berkley, CA	4/30/2012	604187	Registered
CBD FRANCHISING INC	CYPRESS, CA	4/30/2012	604202	Registered
Charter Practices International, LLC / Banfield The Pet Hospital	Portland, OR	4/30/2012	604190	Registered
CHICAGO FRANCHISE SYSTEMS INC / Nancy's Pizza	Mokena, IL	4/30/2012	604217	Registered
COIT SERVICES INC	BURLINGAME, CA	4/30/2012	604184	Registered
COUNSELOR REALTY FRANCHISING INC	SPRING LAKE PARK, MN	4/30/2012	604220	Registered
CREST FOODS INC	RICHARDSON, TX	4/30/2012	604206	Registered
DFO LLC / Denny's	SPARTANBURG, SC	4/30/2012	604224	Registered
Dr. Energy Saver, LLC	Seymour, CT	4/30/2012	604212	Registered
E & G FRANCHISE SYSTEMS INC / Erbert & Gerbert's Sandwich shops	EAU CLAIRE, WI	4/30/2012	604195	Registered
Energy Conservation Opportunities, Inc. / Soil to Sun	Mossville, IL	4/30/2012	604215	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
FARRELL'S EXTREME BODYSHAPING INC	WEST DES MOINES, IA	4/30/2012	604208	Registered
GENERAL NUTRITION CORP / GNC	PITTSBURG, PA	4/30/2012	604201	Registered
GREASE MONKEY FRANCHISING LLC	GREENWOOD VILLAGE, CO	4/30/2012	604196	Registered
Hickory River Smokehouse, Inc.	Tipp City, OH	4/30/2012	604214	Registered
JET BLACK INTERNATIONAL INC	EAGAN, MN	4/30/2012	604203	Registered
KIDVILLE FRANCHISE CO LLC	NEW YORK, NY	4/30/2012	604210	Registered
KILWINS CHOCOLATES FRANCHISE INC	PETOSKEY, MI	4/30/2012	604200	Registered
La Madeleine Franchising Co., Inc.	Dallas, TX	4/30/2012	604219	Registered
MARCOS FRANCHISING LLC / Marco's Pizza	TOLEDO, OH	4/30/2012	604216	Registered
MARCOS FRANCHISING LLC / AREA REPRESENTATIVE	TOLEDO, OH	4/30/2012	604213	Registered
MEDI WEGHTLOSS FRANCHISING USA LLC	TAMPA, FL	4/30/2012	604222	Registered
MENCHIES GROUP INC	ENCINO, CA	4/30/2012	604204	Registered
MGM LIQUOR STORES INC	ST PAUL, MN	4/30/2012	604207	Registered
New Uses LLC	Minnetonka, MN	4/30/2012	604198	Registered
POTBELLY FRANCHISING LLC	CHICAGO, IL	4/30/2012	604221	Registered
REAL LIVING REAL ESTATE, LLC	COLUMUS, OH	4/30/2012	604209	Registered
RETROFITNESS LLC	COLTS NECK, NJ	4/30/2012	604188	Registered
Stanley Black & Decker, Inc. / through its Mac Tools division	Westerville, OH	4/30/2012	604218	Registered
UNIGLOBE TRAVEL USA LLC / InHouse Program	Irvine, CA	4/30/2012	604185	Registered
UNIGLOBE TRAVEL USA LLC / Conversion/V Program	Irvine, CA	4/30/2012	604199	Registered
UNIGLOBE TRAVEL USA LLC / Corporate Agency Program	Irvine, CA	4/30/2012	604197	Registered
SUPERAMERICA FRANCHISING LLC	Ridgefield, CT	4/28/2012	604154	Registered
TUTORING CLUB LLC	HENDERSON, NV	4/27/2012	604153	Registered
EXIT Realty Corp. USA	Woburn, MA	4/27/2012	604152	Registered
Bevintel, LLC / Bevintel	Louisville, KY	4/27/2012	604151	Registered
FASTSIGNS International, Inc.	Carrollton, TX	4/27/2012	604145	Registered

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ACG PIZZA PARTNERS LLC / Stevie B's Pizza	Atlanta, GA	4/27/2012	604160	Registered
AGE ADVANTAGE HOME CARE FRANCHISING INC	El Cajon, CA	4/27/2012	604157	Registered
BARKLEY VENTURES INC / Central Bark Doggy Day Care	Milwaukee, WI	4/27/2012	604156	Registered
BP PRODUCTS NORTH AMERICA INC / ampm Mini Market	La Palma, CA	4/27/2012	604164	Registered
BURGER KING CORP	MIAMI, FL	4/27/2012	604172	Registered
DOCTORS ASSOC INC / Subway	PLANTATION, FL	4/27/2012	604191	Incomplete
EPCON COMMUNITIES FRANCHISING INC	DUBLIN, OH	4/27/2012	604181	Registered
FRSTEAM INC	HAYWARD, CA	4/27/2012	604168	Registered
GLOBAL RECRUITERS NETWORK INC / GRN Global Recruiters	DOWNERS GROVE, IL	4/27/2012	604167	Registered
Grand Mark Solutions, Inc. / HomeStory	Rocklin, CA	4/27/2012	604155	Registered
Griswold International, LLC / Griswold Home Care	Erdenheim, PA	4/27/2012	604163	Registered
HOMEWATCH INTERNATIONAL INC / Homewatch CareGivers	GREENWOOD VILLAGE, CO	4/27/2012	604193	Registered
HOOTERS OF AMERICA INC	ATLANTA, GA	4/27/2012	604180	Registered
INTEGRA REALTY RESOURCES INC	NEW YORK, NY	4/27/2012	604194	Registered
Lou Mitchell's Franchise, LLC	Chicago, IL	4/27/2012	604176	Registered
MAIL BOXES ETC INC / The UPS Store	SAN DIEGO, CA	4/27/2012	604177	Registered
MAIL BOXES ETC INC / Non Traditional	SAN DIEGO, CA	4/27/2012	604161	Registered
MAINSTREAM FASHIONS FRANCHISING INC / Mainstream Boutique	APPLE VALLEY, MN	4/27/2012	604162	Registered
MR SANDLESS FRANCHISE LLC	ASTON, PA	4/27/2012	604171	Registered
PRO ONE JANITORIAL INC	Green Bay, WI	4/27/2012	604170	Registered
PSP Franchising, LLC / Pet Supplies "Plus"	Livonia, MI	4/27/2012	604158	Registered
ROCKSOLID GRANIT USA INC / Granite Transformation	Miramar, FL	4/27/2012	604165	Registered

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Legal Name / Trade Name	Location	Date Received	File Number	Status
SEARS HOME & BUSINESS FRANCHISES, INC. / Sears Garage Door	HOFFMAN ESTATES, IL	4/27/2012	604178	Registered
SEARS HOME & BUSINESS FRANCHISES, INC. / Carpet Cleaning Franchise Program	Lewis Center, OH	4/27/2012	604166	Registered
SEARS HOME & BUSINESS FRANCHISES, INC. / Sears Air Duct Cleaning	Lewis Center, OH	4/27/2012	604175	Registered
Welcomemat Franchising, LLC	Atlanta, GA	4/27/2012	604179	Registered
Naples Flatbread, LLC	Estero, FL	4/26/2012	604116	Registered
GUARD-A-KID FRANCHISING LLC	Tampa, FL	4/26/2012	604115	Registered
Bull Chicks Franchise, LLC / Bull Chicks (Unit Franchise Filing)	Corpus Christi, TX	4/26/2012	604114	Registered
Bull Chicks Franchise, LLC / Bull Chicks (Regional Developer Franchise Filing)	Corpus Christi, TX	4/26/2012	604112	Registered
LMLC Holdings, Inc. / Little Minds Learning Center	Hudson, WI	4/26/2012	604110	Registered
Fuzziwig's Candy Factory / Fuzziwig's Candy Factory & Sweets From Heaven	Durango, CO	4/26/2012	604150	Registered
Go Yo!	Boynton Beach, FL	4/26/2012	604141	Registered
GREEN MILL RESTAURANTS INC	ARDEN HILLS, MN	4/26/2012	604138	Registered
Heits Building Services, Inc.	Hasbrouck Heights, NJ	4/26/2012	604125	Registered
LIL ANGELS LLC	MEMPHIS, TN	4/26/2012	604189	Registered
PFG VENTURES LP	CLEVELAND, OH	4/26/2012	604136	Registered
RAPID REFILL LLC	Edina, MN	4/26/2012	604140	Registered
SAFEGUARD FRANCHISE SYSTEMS INC	DALLAS, TX	4/26/2012	604124	Registered
STRENGTHTIME LLC	Winnetka, IL	4/26/2012	604142	Registered
TMS Franchising, Inc. / Town Money Saver	Lucas, OH	4/26/2012	604126	Registered
Vision Quest Franchising, LLC	Highland Park, IL	4/26/2012	604143	Registered
AUTO DRIVEAWAY FRANCHISE SYSTEMS LLC / Auto Driveaway	CHICAGO, IL	4/25/2012	604108	Registered
Zerorez Franchising Systems, Inc. / Zerorez	Murray, UT	4/25/2012	604107	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Hamburger Mary's International, LLC / Hamburger Mary's Bar and Grille	Chicago, IL	4/25/2012	604106	Registered
YO! Sushi Americas Ltd. / YO! sushi Restaurant	LONDON,	4/25/2012	604105	Registered
AdvantaClean Systems, Inc.	Huntersville, NC	4/25/2012	604139	Registered
Artisan Cabinet Franchising, Inc. / Savewood Kitchen	Plainfield, IL	4/25/2012	604137	Registered
DRX Urgent Care, LLC / Doctors Express	Scottsdale, AZ	4/25/2012	604146	Registered
ELLIOTTS OFF BROADWAY DELI INC	OAK BROOK, IL	4/25/2012	604129	Registered
HH FRANCHISING SYSTEMS INC / Home Helpers	CINCINNATI, OH	4/25/2012	604148	Registered
JIMMY JOHNS FRANCHISE LLC	CHAMPAIGN, IL	4/25/2012	604132	Registered
KFC CORP / KFC EXPRESS FRANCHISE PROGRAM	LOUISVILLE, KY	4/25/2012	604134	Registered
KFC CORP / KFC TRADITIONAL FRANCHISE PROGRAM	LOUISVILLE, KY	4/25/2012	604117	Registered
LUBEPROS INTERNATIONAL INC	CHERRY VALLEY, IL	4/25/2012	604135	Registered
MORAN INDUSTRIES INC / Mr. Transmission	MIDLOTHIAN, IL	4/25/2012	604127	Registered
MORAN INDUSTRIES INC / Milex Tune Up & Brakes/Milex Complete Auto Care	MIDLOTHIAN, IL	4/25/2012	604128	Registered
NEXTAGE REALTY INTERNATIONAL LLC	JOHNS CREEK, GA	4/25/2012	604131	Registered
SALSARITAS INC	CHARLOTTE, NC	4/25/2012	604147	Registered
SUNSET TAN FRANCHISING LP	LAS VEGAS, NV	4/25/2012	604144	Registered
King Lombardi Acquisitions, Inc. / VR	Fort Lauderdale, FL	4/24/2012	604101	Registered
Craters & Freighters Franchise Company / Craters & Freighters	Golden, CO	4/24/2012	604087	Registered
FRESHII DEVELOPMENT LLC	TORONTO ONTARIO, ON	4/24/2012	604093	Registered
HOMEVESTORS OF AMERICA INC	DALLAS, TX	4/24/2012	604094	Registered
Hyatt House Franchising, LLC / Hyatt House Hotels	CHICAGO, IL	4/24/2012	604092	Registered
HYATT PLACE FRANCHISING LLC / Hyatt Place Hotels	CHICAGO, IL	4/24/2012	604123	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Lango, LLC	San Francisco, CA	4/24/2012	604097	Registered
MINT CONDITION FRANCHISING INC	FORT MILL, SC	4/24/2012	604122	Registered
SPICE & TEA EXCHANGE FRANCHISING LLC	ST AUGUSTINE, FL	4/24/2012	604096	Registered
VERLO MATTRESS FACTORY STORES LLC	FORT ATKINSON, WI	4/24/2012	604121	Registered
Vive Bene Enterprises, Inc / Papa Saverio's	ELGIN, IL	4/24/2012	604111	Registered
Widestream Enterprises, Inc. / 1-800-TAXICAB	Carlsbad, CA	4/24/2012	604113	Registered
WOODHOUSE SPAS CORP / Regional Developer	VICTORIA, TX	4/24/2012	604098	Registered
ZAGG Retail, Inc.	Salt Lake City, UT	4/24/2012	604149	Registered
Yogurtland Franchising, Inc. / YOGURTLAND	Irvine, CA	4/23/2012	604072	Registered
Lil' Kickers LLC	Redmond, WA	4/23/2012	604057	Registered
Assisting Hands HomeCare, LLC	Nampa, ID	4/23/2012	604089	Registered
Atlanta Bread Company International, Inc. / Atlanta Bread	Smyrna, GA	4/23/2012	604120	Registered
CANYONS BURGER FRANCHISING LLC	CYPRESS, CA	4/23/2012	604118	Registered
GINGER HARTMAN / MASSAGE ENVY FRANCHISING, LLC / Massage Envy Spa	LAKE MILLS, WI	4/23/2012	604080	Registered
GYM CONSULTING INC / My Gym & My Gym Children's Fitness Centers	SHERMAN OAKS, CA	4/23/2012	604082	Registered
JACK & JOES FRANCHISING INC / SQUEEGEE SQUAD	Brookln Park, MN	4/23/2012	604079	Registered
LA SALSA FRANCHISE, LLC / LA SALSA	Irvine, CA	4/23/2012	604085	Registered
MASSAGE ENVY FRANCHISING, LLC	Scottsdale, AZ	4/23/2012	604081	Registered
TRAVEL LEADERS FRANCHISE GROUP LLC / Cruise Holiday's	Plymouth, MN	4/23/2012	604076	Registered
TRIUNE CORP	Irvine, CA	4/23/2012	604091	Registered
VISION SOURCE LP	KINGWOOD, TX	4/23/2012	604077	Registered
We Olive Franchising, LLC	Paso Robles, CA	4/23/2012	604086	Registered
WOODHOUSE SPAS CORP	VICTORIA, TX	4/23/2012	604088	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Zoom Room, Inc.	Los Angeles, CA	4/23/2012	604090	Registered
Number of Franchise Filings: 174				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
RE GREAT WOLF TENDER OFFER	4/27/2012
Number of Takeover Filings: 1	