

**WI Securities Law and Franchise Law Filings
for 3/26/2012 through 4/6/2012**

| Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| Number of Registration Filings: 0 | | | | |

| Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|--|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| CHEQUAMEGON BAY GOLF CLUB INC | ASHLAND, WI | 3/27/2012 | 00638642-06 | Pending |
| Number of Exemption Filings: 1 | | | | |

| Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|--|-----------------|----------------------|--------------------|-----------------|
| Firm Name | Location | Date Received | File Number | Status |
| INVESTMENT MANAGERS SERIES TR FAMCO MLP & ENERGY INCOME FUND CLASS C | MILWAUKEE, WI | 3/26/2012 | 00638644-03 | Pending-Cleared |
| Number of Investment Company Filings: 1 | | | | |

| Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|--|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| Number of Regulation D Notice Filings: 0 | | | | |

| Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|---|--------------------|----------------------|--------------------|---------------|
| Legal Name / Trade Name | Location | Date Received | File Number | Status |
| CULVER FRANCHISING SYSTEM INC | PRAIRIE DU SAC, WI | 3/30/2012 | 603755 | Registered |
| The Pond People, Inc. | Seymour, WI | 3/30/2012 | 603754 | Registered |
| Swimtastic Corpoation / Swimtastic Swim School | Waukesha, WI | 3/29/2012 | 603705 | Registered |
| ADVENTURES IN ADVERTISING FRANCHISE LLC | NEENAH, WI | 3/29/2012 | 603726 | Registered |

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|---|-----------------|----------------------|--------------------|---------------|
| Legal Name / Trade Name | Location | Date Received | File Number | Status |
| BATTERIES PLUS LLC | HARTLAND, WI | 3/29/2012 | 603728 | Registered |
| GWB ENTERPRISES INC / Fantastic Sams Hair Salons | EAU CLAIRE, WI | 3/27/2012 | 603623 | Registered |
| Number of Franchise Filings: 6 | | | | |