

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 3/5/2012 through 3/16/2012**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
COMMITTED CAPITAL ACQUISITION CORP 2	NEW YORK, NY	3/12/2012	00637684-01	Pending
COMMITTED CAPITAL ACQUISITION CORP 3	NEW YORK, NY	3/12/2012	00637685-01	Pending
REDEEMER BIBLE CHURCH	MINNETONKA, MN	3/5/2012	00637575-02	Pending
Number of Registration Filings: 3				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
UNIFIED SERIES TR MARTIN FOCUSED VALUE FUND INSTITUTIONAL CLASS	INDIANAPOLIS, IN	3/15/2012	00637772-03	Pending-Cleared
UNIFIED SERIES TR MARTIN FOCUSED VALUE FUND RETAIL CLASS	INDIANAPOLIS, IN	3/15/2012	00637773-03	Pending-Cleared
DIVIDEND INCOME VALUE STRATEGY PORTFOLIO SERIES 2012 1Q	MONUMENT, CO	3/12/2012	00637692-05	Pending-Cleared
FT 3399 AGGRESSIVE PREFERRED SECURITIES PORTFOLIO SERIES 12	WHEATON, IL	3/12/2012	00637697-05	Pending-Cleared
FT 3408 BAKER BOYER CORE EQUITY PORTFOLIO SERIES 4	WHEATON, IL	3/12/2012	00637686-05	Pending-Cleared
FT 3410 INTERNATIONAL CAPITAL STRENGTH PORTFOLIO SERIES 11	WHEATON, IL	3/12/2012	00637694-05	Pending-Cleared
FT 3410 SMID CAPITAL STRENGTH PORTFOLIO SERIES 11	WHEATON, IL	3/12/2012	00637693-05	Pending-Cleared
FT 3411 STRATEGIC DIVIDEND PLUS PORTFOLIO SERIES 6	WHEATON, IL	3/12/2012	00637687-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 3459 EUROPEAN DEEP VALUE SUB 10 DIVIDEND PORTFOLIO SERIES	WHEATON, IL	3/12/2012	00637695-05	Pending-Cleared
FT 3468 DIVIDEND & INCOME SELECT CLOSED END PORTFOLIO SERIES 22	WHEATON, IL	3/12/2012	00637688-05	Pending-Cleared
FT 3469 INTEREST RATE HEDGE PORTFOLIO SERIES 41	WHEATON, IL	3/12/2012	00637696-05	Pending-Cleared
FT 3473 MUNICIPAL INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 9	WHEATON, IL	3/12/2012	00637689-05	Pending-Cleared
GLOBAL BASIC MATERIALS PORTFOLIO SERIS 2012 1	MONUMENT, CO	3/12/2012	00637691-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 875 COVERED CALL & INCOME PORTFOLIO OF CEFS SERIES 27	LISLE, IL	3/12/2012	00637699-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 875 DIVERSIFIED INCOME WAVE PORTFOLIO SERIES 29	LISLE, IL	3/12/2012	00637698-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 876 INCOME & TREASURY LTD DURATION PORTFOLIO OF FUNDS SERIES 19	LISLE, IL	3/12/2012	00637700-05	Pending-Cleared
PREFERRED INCOME OPPORTUNITIES PORTFOLIO 2 YR SERIES 2012-1	MONUMENT, CO	3/12/2012	00637690-05	Pending-Cleared
PRUDENTIAL WORLD FUND INC PRUDENTIAL JENNISON GLOBAL OPPORTUNITIES FUND CLASS A	NEWARK, NJ	3/12/2012	00637503-03	Pending-Cleared
PRUDENTIAL WORLD FUND INC PRUDENTIAL JENNISON GLOBAL OPPORTUNITIES FUND CLASS C	NEWARK, NJ	3/12/2012	00637504-03	Pending-Cleared
PRUDENTIAL WORLD FUND INC PRUDENTIAL JENNISON GLOBAL OPPORTUNITIES FUND CLASS Z	NEWARK, NJ	3/12/2012	00637505-03	Pending-Cleared
HANCOCK JOHN FUNDS 3 HANCOCK JOHN CORE HIGH YIELD FUND CLASS A	BOSTON, MA	3/9/2012	00637482-03	Registered

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Firm Name	Location	Date Received	File Number	Status
HANCOCK JOHN FUNDS 3 HANCOCK JOHN CORE HIGH YIELD FUND CLASS I	BOSTON, MA	3/9/2012	00637483-03	Registered
FT 3409 EMERGING MARKETS STRENGTH PORTFOLIO SERIES 13	WHEATON, IL	3/8/2012	00637530-05	Pending-Cleared
FT 3412 INFLATION HEDGE OPPORTUNITY PORTFOLIO SERIES 12	WHEATON, IL	3/8/2012	00637529-05	Pending-Cleared
FT 3438 HIGH YIELD CLOSED END & SHORT TREASURY ETF PORTFOLIO SERIES 5	WHEATON, IL	3/8/2012	00637528-05	Pending-Cleared
INVESTMENT MANAGERS SERIES TR CHARTWELL SMALL CAP VALUE CLASS I	MILWAUKEE, WI	3/8/2012	00637526-03	Pending-Cleared
PIMCO FUNDS PIMCO INTERNATIONAL STOCKSPLUS TR STRATEGY FUND US DOLLAR HEDGED CLASS P	NEWPORT BEACH, CA	3/8/2012	00637353-03	Registered
ICON FUNDS ICON EQUITY INCOME CLASS S SHARES	CENTENNIAL, CO	3/7/2012	00637414-03	Registered
1ST TR COMBINED SERIES 374 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO LONG TERM SERIES	WHEATON, IL	3/5/2012	00637566-05	Pending-Cleared
1ST TR COMBINED SERIES 396 TX EX MUNICIPAL INCOME TR SERIES 276	WHEATON, IL	3/5/2012	00637568-05	Pending-Cleared
FT 3428 MUNICIPAL ADVANTAGE CLOSED END & ETF PORTFOLIO SERIES 11	WHEATON, IL	3/5/2012	00637565-05	Pending-Cleared
FT 3430 INSURED MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIS 40	WHEATON, IL	3/5/2012	00637573-05	Pending-Cleared
FT 3463 HIGH YIELD INCOME CLOSED END PORTFOLIO SERIES 47	WHEATON, IL	3/5/2012	00637567-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 873 COVERED CALL & INCOME PORTFOLIO OF CEFS 15 MONTH SERIES 30	LISLE, IL	3/5/2012	00637570-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
GUGGENHEIM DEFINED PORTFOLIOS SERIES 873 DIVERSIFIED INCOME WAVE PORTFOLIO 15 MONTH SERIES 28	LISLE, IL	3/5/2012	00637571-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 874 INCOME & TREASURY LTD DURATION PORTFOLIO OF FUNDS 15 MONTH SERIES 18	LISLE, IL	3/5/2012	00637574-05	Pending-Cleared
SENIOR VARIABLE RATE & INCOME CLOSED END PORTFOLIO 2 YR SERIES 2012 1	MONUMENT, CO	3/5/2012	00637569-05	Pending-Cleared
SMART TR HIGH DIVIDEND STRATEGY TR SERIES 1	PARSIPPANY, NJ	3/5/2012	00637572-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1199 GLOBAL AGRICULTURE PORTFOLIO 2012 2	OAKBROOK TER, IL	3/5/2012	00637560-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1199 GLOBAL INFRASTRUCTURE STRATEGY 2012 2	OAKBROOK TER, IL	3/5/2012	00637561-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1199 GLOBAL WATER PORTFOLIO 2012 2	OAKBROOK TER, IL	3/5/2012	00637559-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1205 DOW JONES SELECT DIVIDEND INDEX STRATEGY PORTFOLIO 2012 2	OAKBROOK TER, IL	3/5/2012	00637557-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1205 EAFE SELECT 20 PORTFOLIO 2012 2	OAKBROOK TER, IL	3/5/2012	00637558-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1205 GLOBAL 45 DIVIDEND STRATEGY PORTFOLIO 2012 2	OAKBROOK TER, IL	3/5/2012	00637553-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1205 SELECT 5 INDUSTRIAL PORTFOLIO 2012 2	OAKBROOK TER, IL	3/5/2012	00637556-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1205 SELECT S&P CORE PORTFOLIO 2012 2	OAKBROOK TER, IL	3/5/2012	00637554-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1205 SELECT S&P INDUSTRIAL PORTFOLIO 2012 2	OAKBROOK TER, IL	3/5/2012	00637555-05	Pending-Cleared

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VAN KAMPEN UNIT TR SERIES 1206 BUYOUT OPPORTUNITY PORTFOLIO 2012 1	OAKBROOK TER, IL	3/5/2012	00637552-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1207 CLOSED END STRATEGY COVERED CALL INCOME PORTFOLIO 2012 2	OAKBROOK TER, IL	3/5/2012	00637550-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1207 CLOSED END STRATEGY MASTER MUNICIPAL INCOME PORTFOLIO NATIONAL SERIES 27	OAKBROOK TER, IL	3/5/2012	00637548-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1207 CLOSED END STRATEGY MASTER INCOME PORTFOLIO SERIES 31	OAKBROOK TER, IL	3/5/2012	00637549-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1207 CLOSED END STRATEGY VALUE EQUITY & INCOME PORTFOLIO 2012 2	OAKBROOK TER, IL	3/5/2012	00637551-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1208 S&P DIVIDEND GROWTH OPPORTUNITIES TR SERIES 28	OAKBROOK TER, IL	3/5/2012	00637547-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1209 ALL CAP CORE STRATEGY 2012 2	OAKBROOK TER, IL	3/5/2012	00637546-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1209 LARGE CAP CORE STRATEGY 2012 2	OAKBROOK TER, IL	3/5/2012	00637545-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1209 MID CAP CORE STRATEGY 2012 2	OAKBROOK TER, IL	3/5/2012	00637544-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1209 S&P POWERPICKS PORTFOLIO 2012 2	OAKBROOK TER, IL	3/5/2012	00637542-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1209 SMALL CAP CORE STRATEGY 2012 2	OAKBROOK TER, IL	3/5/2012	00637543-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1210 CENTRAL EQUITY TR DIVERSIFIED INCOME SERIES 43	OAKBROOK TER, IL	3/5/2012	00637541-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1211 DIVIDEND INCOME & VALUE PORTFOLIO 2012 2	OAKBROOK TER, IL	3/5/2012	00637540-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
VAN KAMPEN UNIT TR SERIES 1212 CLOSED END STRATEGY SENIOR LOAN & LTD DURATION PORTFOLIO SERIES 32	OAKBROOK TER, IL	3/5/2012	00637537-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1212 CLOSED END STRATEGY DIVERSIFIED CREDIT OPPORTUNITIES PORTFOLIO SERIES 6	OAKBROOK TER, IL	3/5/2012	00637538-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1212 CLOSED END STRATEGY GLOBAL INCOME PORTFOLIO SERIES 10	OAKBROOK TER, IL	3/5/2012	00637539-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1213 S&P DIVIDEND SUSTAINABILITY PORTFOLIO 2012 2	OAKBROOK TER, IL	3/5/2012	00637536-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1214 PRECIOUS METALS & MINING PORTFOLIO 2012 2	OAKBROOK TER, IL	3/5/2012	00637535-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 380 INVESTMENT GLOBAL BOND INCOME TR SERIES 2	OAKBROOK TER, IL	3/5/2012	00637562-05	Pending-Cleared
VICTORY PORTFOLIOS INTERNATIONAL FUND CLASS Y	COLUMBUS, OH	3/5/2012	00637261-03	Registered
VICTORY PORTFOLIOS INTERNATIONAL SELECT FUND CLASS Y	COLUMBUS, OH	3/5/2012	00637260-03	Registered
Number of Investment Company Filings: 68				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
NORTHGATE V B LP	DANVILLE, CA	3/12/2012	00637683-07	Filed
GLOBAL INNOVATION SPV 1 LTD	GEORGE TOWN, AK	3/9/2012	00637680-07	Filed
PISTA LAND CO LLC	BOWIE, AZ	3/9/2012	00637679-07	Filed
DISCOUNT RETAIL PORTFOLIO 3 DST	OAK BROOK, IL	3/8/2012	00637534-07	Filed
MANHATTAN PHARMACEUTICALS INC	NEW YORK, NY	3/8/2012	00637533-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MERLIN NEXUS 4 LP	NEW YORK, NY	3/8/2012	00637531-07	Filed
ORBIS INTERNATIONAL EQUITY LP	HAMILTON, AK	3/8/2012	00637532-07	Filed
GRANDPARENTSCOM INC	NEW YORK, NY	3/7/2012	00637464-07	Filed
HFP DEVELOPMENT INC	NEW YORK, NY	3/7/2012	00637462-07	Filed
TIGER GLOBAL PRIVATE INVESTMENT PARTNERS 7 LP	NEW YORK, NY	3/7/2012	00637463-07	Filed
ADVANCED PROTEOME THERAPEUTICS CORP	BOSTON, MA	3/6/2012	00637465-07	Filed
AMERICAN BUSINESS FORMS INC	GLENWOOD, MN	3/5/2012	00637577-07	Filed
FMR LLC	BOSTON, MA	3/5/2012	00637576-07	Filed
Number of Regulation D Notice Filings: 13				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Liquid Capital of America Corp. / Liquid Capital	Irving, TX	3/16/2012	603535	Registered
SquareTwo Financial Corporation / SquareTwo Financial	Denver, CO	3/16/2012	603534	Registered
ProTect Painters International LLC / ProTect Painters	ANN ARBOR, MI	3/15/2012	603530	Registered
1 800 DRYCLEAN LLC	ANN ARBOR, MI	3/15/2012	603529	Registered
MR HANDYMAN INTERNATIONAL LLC	ANN ARBOR, MI	3/15/2012	603528	Registered
MOLLY MAID INC	ANN ARBOR, MI	3/15/2012	603527	Registered
MR GOODCENTS FRANCHISE SYSTEMS INC / Mr. Goodcents	DESOTA, KS	3/15/2012	603533	Registered
WEICHERT REAL ESTATE AFFILIATES INC	MORRIS PLAINS, NJ	3/15/2012	603532	Registered
HAPPY & HEALTHY PRODUCTS INC	BOCA ROTON, FL	3/14/2012	603526	Registered
WETZELS PRETZELS LLC	PASADENA, CA	3/14/2012	603524	Registered
JUMPBUNCH INC	ANNAPOLIS, MD	3/13/2012	603522	Registered
MONICAL PIZZA CORP	BRADLEY, IL	3/13/2012	603523	Registered
PLAN AHEAD EVENTS INC	WEST PALM BEACH, FL	3/12/2012	603515	Registered
ACCOR FRANCHISING NORTH AMERICA LLC / Studio 6	CARROLTON, TX	3/12/2012	603520	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
ACCOR FRANCHISING NORTH AMERICA LLC / Motel 6	CARROLTON, TX	3/12/2012	603521	Registered
BUJI LLC / ActionCOACH	PICKERINGTON, OH	3/12/2012	603517	Registered
COLLEGE NANNIES & TUTORS DEVELOPMENT INC	WAYZATA, MN	3/12/2012	603518	Registered
Zippy Shell USA, LLC	Washington, DC	3/12/2012	603516	Registered
Doc Popcorn Development, Inc. / Doc Popcorn	Boulder, CO	3/9/2012 6	603503	Registered
Snap Fitness, Inc. / Snap Fitness Club	Chanhassen, MN	3/9/2012 1	603502	Registered
COUSINS SUBS SYSTEMS INC	MENOMONEE FALLS, WI	3/9/2012	603508	Registered
EAGLERIDER INC	HAWTHORNE, CA	3/9/2012	603509	Registered
I9 SPORTS CORP	BRANDON, FL	3/9/2012	603511	Registered
LA BAMBA FRANCHISE SERVICES LLC	CHAMPAIGN, IL	3/9/2012	603507	Registered
MARTIN FRANCHISES INC / 1 HOUR MARTINIZING DRY CLEANING	CINCINNATI, OH	3/9/2012	603506	Registered
NMTC INC / Matco Tools	STOW, OH	3/9/2012	603510	Registered
TeachPro, Inc.	Edmond, OK	3/9/2012	603504	Registered
WOODCRAFT FRANCHISE LLC	PAKERSBURG, WV	3/9/2012	603505	Registered
BIMBO FOODS BAKERIES DISTRIBUTION INC	HORSHAM, PA	3/8/2012 2	603500	Registered
ABCSP INC	ROSEVILLE, CA	3/8/2012	603512	Registered
MDSA LLC	NORCROSS, GA	3/8/2012	603514	Registered
RE MAX NORTH CENTRAL INC	GREENWOOD VILLAGE, CO	3/8/2012	603513	Registered
GOLF ETC OF AMERICA INC	GRANBURY, TX	3/7/2012	603487	Registered
Maid to Perfection Global, Inc. / Maid to Perfection	St. Cloud, FL	3/6/2012 4	603485	Registered
ADVICOACH FRANCHISING, LLC / ADVICOACH SINGLE UNIT/AREA DEVELOPMENT	SOUTHBURY, CT	3/6/2012 1	603484	Registered
Ultimate Fitness Group LLC / Orange Theory Unit Franchise	Fort Lauderdale, FL	3/6/2012 9	603483	Registered
DigiSafe Franchising, LLC / DigiKids	Southbury, CT	3/6/2012 8	603482	Registered

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Legal Name / Trade Name	Location	Date Received	File Number	Status
TES FRANCHISING, LLC / THE ENTREPRENEUR'S SOURCE SINGLE UNIT/AREA DEVELOPMENT	SOUTHBURY, CT	3/6/2012 8	603481	Registered
ERC FRANCHISING, LLC / EXPENSE REDUCTION CONSULTING SINGLE UNIT/AREA DEVELOPMENT	SOUTHBURY, CT	3/6/2012 8	603480	Registered
Business Partner Franchising, LLC / Business Partner One Stop Marketing Single Unit/Area Development	Southbury, CT	3/6/2012 8	603479	Registered
KWIK KOPY BUSINESS CENTERS INC	CYPRESS, TX	3/6/2012	603492	Registered
SAFECO Franchising, LLC / S.A.F.E.	Saskatchewan, ON	3/6/2012	603488	Registered
TUTOR DOCTOR SYSTEMS INC	WILMINGTON, DE	3/6/2012	603489	Registered
Ultimate Fitness Group LLC / Orange Theory Unit Franchise	Fort Lauderdale, FL	3/5/2012 5	603477	Registered
UNITED SOCCER LEAGUES LLC	Tampa, FL	3/5/2012 2	603474	Registered
MINUTEMAN PRESS INTERNATIONAL INC	FARMINGDALE, NY	3/5/2012 2	603475	Registered
Suspended in Time, Inc.	Orem, UT	3/5/2012 1	603473	Registered
Sonny Bryan's Franchise System LLC / Sonny Bryan's Smokehouse	Dallas, TX	3/5/2012 9	603472	Registered
HOLIDAY DIVERSIFIED SERVICES INC	MINNEAPOLIS, MN	3/5/2012	603493	Registered
MATHNASIUM CENTER LICENSING, LLC	LOS ANGELES, CA	3/5/2012	603497	Registered
PAPA JOHNS INTERNATIONAL INC	LOUISVILLE, KY	3/5/2012	603495	Registered
Sports Image Franchise, LLC	Miamisburg, OH	3/5/2012	603498	Registered
STROLLER STRIDES LLC	SAN MARCOS, CA	3/5/2012	603496	Registered
Number of Franchise Filings: 53				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	