

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 2/6/2012 through 2/17/2012**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
TRELLIS EARTH PRODUCT INC	WILSONVILLE, OR	2/9/2012	00635105-01	Pending
NATURE CONSERVANCY	ARLINGTON, VA	2/6/2012	00634813-02	Pending
Number of Registration Filings: 2				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Number of Exemption Filings: 0				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
NUVEEN INVESTMENT TR NUVEEN GLOBAL TOTAL RETURN BOND FUND CLASS I	CHIGACO, IL	2/14/2012	00635086-03	Registered
NUVEEN INVESTMENT TR NUVEEN GLOBAL TOTAL RETURN BOND FUND CLASS C	CHIGACO, IL	2/14/2012	00635087-03	Registered
NUVEEN INVESTMENT TR NUVEEN GLOBAL TOTAL RETURN BOND FUND CLASS A	CHIGACO, IL	2/14/2012	00635085-03	Registered
NUVEEN INVESTMENT TR NUVEEN GLOBAL TOTAL RETURN BOND FUND CLASS R3	CHIGACO, IL	2/14/2012	00635088-03	Registered
ALLIANZ FUNDS ALLIANZ GLOBAL INVESTORS MONEY MARKET FUND CLASS A	NEW YORK, NY	2/13/2012	00636475-03	Pending-Cleared
ALLIANZ FUNDS ALLIANZ GLOBAL INVESTORS MONEY MARKET FUND CLASS C	NEW YORK, NY	2/13/2012	00636476-03	Pending-Cleared
BNY MELLON FUNDS TR BNY MELLON CORPORATE BOND FUND CLASS M SHARES	NEW YORK, NY	2/13/2012	00636480-03	Registered
BNY MELLON FUNDS TR BNY MELLON CORPORATE BOND FUND INVESTOR SHARES	NEW YORK, NY	2/13/2012	00636479-03	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FINANCIAL INVESTORS TR SEAFARER OVERSEAS GROWTH & INCOME FUND INSTITUTIONAL CLASS	DENVER, CO	2/13/2012	00636477-03	Registered
FINANCIAL INVESTORS TR SEAFARER OVERSEAS GROWTH & INCOME FUND INVESTOR CLASS	DENVER, CO	2/13/2012	00636478-03	Registered
ADVISORS INNER CIRCLE FUND 2 FROST MID CAP EQUITY FUND CLASS A SHARES	BOSTON, MA	2/10/2012	00634924-03	Registered
LINCOLN ADVISORS TR PRESIDENTIALSM PROTECTED PROFILE 2010 FUND CLASS A	FORT WAYNE, IN	2/10/2012	00634925-03	Registered
LINCOLN ADVISORS TR PRESIDENTIALSM PROTECTED PROFILE 2020 FUND CLASS A	FORT WAYNE, IN	2/10/2012	00634928-03	Registered
LINCOLN ADVISORS TR PRESIDENTIALSM PROTECTED PROFILE 2030 FUND CLASS A	FORT WAYNE, IN	2/10/2012	00634929-03	Registered
LINCOLN ADVISORS TR PRESIDENTIALSM PROTECTED PROFILE 2040 FUND CLASS A	FORT WAYNE, IN	2/10/2012	00634926-03	Registered
LINCOLN ADVISORS TR PRESIDENTIALSM PROTECTED PROFILE 2050 FUND CLASS A	FORT WAYNE, IN	2/10/2012	00634927-03	Registered
FT 3353 GLOBAL HARD ASSETS PORTFOLIO SERIES 11	WHEATON, IL	2/8/2012	00635094-05	Pending-Cleared
FT 3387 GLOBAL COMMODITIES PORTFOLIO SERIES 19	WHEATON, IL	2/8/2012	00635093-05	Pending-Cleared
FT 3422 PREFERRED INCOME PORTFOLIO SERIES 49	WHEATON, IL	2/8/2012	00635095-05	Pending-Cleared
ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 45	MONUMENT, CO	2/7/2012	00634851-05	Pending-Cleared
OPPENHEIMER EQUITY INCOME FUND INC CLASS I SHARES	DENVER, CO	2/7/2012	00634849-03	Pending-Cleared

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
OPPENHEIMER QUEST FOR VALUE FUNDS SERIES OPPENHEIMER GLOBAL ALLOCATION FUND CLASS I SHARES	DENVER, CO	2/7/2012	00634846-03	Pending-Cleared
OPPENHEIMER QUEST FOR VALUE FUNDS SERIES OPPENHEIMER SMALL & MID CAP CALUE FUND CLASS I SHARES	DENVER, CO	2/7/2012	00634847-03	Pending-Cleared
OPPENHEIMER RISING DIVIDENDS FUND CLASS I SHARES	DENVER, CO	2/7/2012	00634848-03	Pending-Cleared
OPPENHEIMER SERIES FUND INC SERIES OPPENHEIMER VALUE FUND CLASS I SHARES	DENVER, CO	2/7/2012	00634850-03	Pending-Cleared
FT 3345 DIVIDEND STRENGTH PORTFOLIO SERIES 13	WHEATON, IL	2/6/2012	00634821-05	Pending-Cleared
FT 3348 MEGA CAP PORTFOLIO SERIES 22	WHEATON, IL	2/6/2012	00634822-05	Pending-Cleared
FT 3351 STRATEGIC INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 8	WHEATON, IL	2/6/2012	00634823-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 862 CORPORATE HIGH YIELD & INCOME PORTFOLIOS OF CEFS SERIES 19	LISLE, IL	2/6/2012	00634818-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 862 STRATEGIC INCOME PORTFOLIO SERIES 59	LISLE, IL	2/6/2012	00634819-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 882 FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 27	LISLE, IL	2/6/2012	00634840-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1051 INVESTMENT GRADE MUNICIPAL TR INTERMEDIATE SERIES 30	OAKBROOK, IL	2/6/2012	00634832-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1058 QUALITY MUNICIPALS INCOME TR 57TH LTD MATURITY SERIES	OAKBROOK, IL	2/6/2012	00634831-05	Pending-Cleared

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1059 QUALITY MUNICIPALS INCOME TR 58TH LTD MATURITY SERIES	OAKBROOK, IL	2/6/2012	00634830-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1197 CONSERVATIVE INCOME PORTFOLIO SERIES 11	OAKBROOK, IL	2/6/2012	00634829-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1198 ETF ALLOCATION PORTFOLIOS SERIES 10	OAKBROOK, IL	2/6/2012	00634828-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1198 ETF DIVERSIFIED INCOME PORTFOLIOS SERIES 8	OAKBROOK, IL	2/6/2012	00634827-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1200 DEFENSIVE EQUITY & INCOME PORTFOLIOS SERIES 3	OAKBROOK, IL	2/6/2012	00634826-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1203 TECHNOLOGY PORTFOLIO 2012 2	OAKBROOK, IL	2/6/2012	00634825-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1204 NEW WORLD LEADERS PORTFOLIO SERIES 5	OAKBROOK, IL	2/6/2012	00634824-05	Pending-Cleared
Number of Investment Company Filings: 40				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ARP PHOENIX FUND 1 LP	SCOTTSDALE, AZ	2/8/2012	00635092-07	Filed
OVERNEAR INC	BEVERLY HILLS, CA	2/8/2012	00635090-07	Filed
SP PRIVATE INVESTMENTS 12 LLC	SAN BRUNO, CA	2/8/2012	00635091-07	Filed
OAKTREE HIGH INCOME CONVERTIBLE FUND 2 LP	LOS ANGELES, CA	2/7/2012	00635089-07	Filed
NEW GULF RESOURCES LLC	TULSA, OK	2/6/2012	00634812-07	Filed
VOYAGE FUND LP	CARY, IL	2/6/2012	00634811-07	Filed
Number of Regulation D Notice Filings: 6				

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<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Moms On The Run LLC	Columbus, MN	2/16/2012	603440	Registered
ORION FOOD SYSTEMS INC	SOUIX FALLS, SD	2/15/2012	603438	Registered
I Dream of Falafel Franchising LLC / I Dream of Falafel	Chicago, IL	2/13/2012	603433	Registered
NATIONAL PROPERTY INSPECTIONS INC	OMAHA, NE	2/13/2012	603434	Registered
STEAK N SHAKE ENTERPRISES INC	INDIANAPOLIS, IN	2/10/2012	603430	Registered
Renters Warehouse USA, LLC	Golden Valley, MN	2/10/2012	603432	Registered
Sushi Freak Franchise Group LLC / Sushi Freak	San Diego, CA	2/10/2012	603431	Registered
CPR-Cell Phone Repair Franchise Systems, Inc. / CPR - Cell Phone Repair	Summerville, GA	2/9/2012	603425	Registered
Onion Nation LLC	Chicago, IL	2/9/2012	603426	Registered
Success Business Solutions, Inc. / Midwest Labor Solutions	Madison, WI	2/7/2012 9	603422	Registered
BAB SYSTEMS INC / BIG APPLE BAGELS, MY FAVORITE MUFFIN	DEERFIELD, IL	2/7/2012	603423	Registered
HOULIHANS RESTAURANTS INC	LEAWOOD, KS	2/6/2012	603421	Registered
Number of Franchise Filings: 12				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
Number of Takeover Filings: 0	