

**WI Securities Law and Franchise Law Filings
for 1/30/2012 through 2/10/2012**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ARTISAN PARTNERS FUNDS INC ARTISAN MID CAP VALUE FUND INSTITUTIONAL SHARES	MILWAUKEE, WI	2/1/2012	00634406-03	Registered
ARTISAN PARTNERS FUNDS INC ARTISAN SMALL CAP VALUE FUND INSTITUTIONAL SHARES	MILWAUKEE, WI	2/1/2012	00634405-03	Registered
BRANDES INVESTMENT TR BRANDES CREDIT FOCUS YIELD FUND CLASS C	MILWAUKEE, WI	2/1/2012	00634392-03	Registered
BRANDES INVESTMENT TR BRANDES CREDIT FOCUS YIELD FUND CLASS I	MILWAUKEE, WI	2/1/2012	00634393-03	Registered
BRANDES INVESTMENT TR BRANDES INTERNATIONAL SMALL CAP EQUITY FUND CLASS S	MILWAUKEE, WI	2/1/2012	00634394-03	Registered
BRANDES INVESTMENT TR BRANDES INTERNATIONAL SMALL CAP EQUITY FUND CLASS I	MILWAUKEE, WI	2/1/2012	00634395-03	Registered
DIREXION FUNDS DIREXION INDEXED MANAGED FUTURES STRATEGY FUND CLASS A	MILWAUKEE, WI	2/1/2012	00634398-03	Registered
DIREXION FUNDS DIREXION INDEXED MANAGED FUTURES STRATEGY FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	2/1/2012	00634396-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
DIREXION FUNDS DIREXION INDEXED MANAGED FUTURES STRATEGY FUND CLASS C	MILWAUKEE, WI	2/1/2012	00634397-03	Registered
ACADEMY FUND TR ACADEMY INNOVATOR MATRIX INCOME FUND CLASS A	MILWAUKEE, WI	1/31/2012	00634966-03	Registered
Number of Investment Company Filings: 10				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SII INVESTMENTS INC WI	APPLETON, WI	1/30/2012	00634890-07	Filed
Number of Regulation D Notice Filings: 1				

Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Success Business Solutions, Inc. / Midwest Labor Solutions	Madison, WI	2/7/2012 9	603422	Registered
SandalStone Ventures, Inc. / Vanguard Cleaning Systems of SE Wisconsin	Greenfield, WI	1/31/2012	603401	Registered
Number of Franchise Filings: 2				