

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 1/23/2012 through 2/3/2012**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PERMAL HEDGE STRATEGIES FUND	NEW YORK, NY	1/25/2012	00632457-01	Pending-Cleared
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
VALUED ADVISERS TR ANGEL OAK MULTI STRATEGY INCOME FUND CLASS C	INDIANAPOLIS, IN	2/1/2012	00632544-03	Registered
FORWARD FUNDS FORWARD CREDIT ANALYSIS LONG SHORT FUND INSTITUTIONAL CLASS	SAN FRANCISCO, CA	1/30/2012	00632531-03	Registered
PRUDENTIAL GLOBAL TOTAL RETURN FUND INC CLASS Q	NEWARK, NJ	1/30/2012	00632528-03	Pending-Cleared
PRUDENTIAL SECTOR FUNDS INC PRUDENTIAL FINANCIAL SERVICES FUND CLASS R	NEWARK, NJ	1/30/2012	00632529-03	Pending-Cleared
PRUDENTIAL SECTOR FUNDS INC PRUDENTIAL JENNISON HEALTH SCIENCES FUND CLASS R	NEWARK, NJ	1/30/2012	00632530-03	Pending-Cleared
MANNING & NAPIER FUND INC INTERNATIONAL SERIES CLASS I SHARES	FAIRPORT, NY	1/27/2012	00632515-03	Registered
TR FOR PROFESSIONAL MANAGERS GERSTEIN FISHER MULTI FACTOR INTERNATIONAL GROWTH EQUITY FUND	MILWAUKEE, WI	1/27/2012	00632533-03	Registered
FT 3374 GLOBAL EQUITY INCOME CLOSED END PORTFOLIO SERIES 20	WHEATON, IL	1/26/2012	00632532-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FRANKLIN STRATEGIC MORTGAGE PORTFOLIO ADVISOR CLASS	SAN MATEO, CA	1/25/2012	00632610-03	Pending-Cleared
FRANKLIN STRATEGIC MORTGAGE PORTFOLIO CLASS A	SAN MATEO, CA	1/25/2012	00632609-03	Pending-Cleared
FRANKLIN STRATEGIC MORTGAGE PORTFOLIO CLASS C	SAN MATEO, CA	1/25/2012	00632608-03	Pending-Cleared
ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 44	MONUMENT, CO	1/24/2012	00632175-05	Pending-Cleared
ANGELS PORTFOLIO SERIES 2012 1Q	MONUMENT, CO	1/24/2012	00632174-05	Pending-Cleared
FT 3318 EQUITY INCOME SELECT PORTFOLIO SERIES 30	WHEATON, IL	1/24/2012	00632227-05	Pending-Cleared
FT 3373 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 50	WHEATON, IL	1/24/2012	00632231-05	Pending-Cleared
FT 3380 TX ADVANTAGED MUNICIPAL CLOSED END PORTFOLIO SERIES 23	WHEATON, IL	1/24/2012	00632226-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 860 GUGGENHEIM DISCOUNT OPPOTUNITY STRATEGY PORTFOLIO OF CEFS SERIES 1	LISLE, IL	1/24/2012	00632228-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 860 GUGGENHEIM GROWTH & INCOME STRATEGY PORTFOLIO OF CEFS SERIES 1	LISLE, IL	1/24/2012	00632229-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 860 GUGGENHEIM TX EX STRATEGY PORTFOLIO OF CEFS SERIES 1	LISLE, IL	1/24/2012	00632230-05	Pending-Cleared
SMART TR DIVERSIFIED INCOME & GROWTH TR SERIES 2	PARSIPPANY, NJ	1/24/2012	00632232-05	Pending-Cleared
Number of Investment Company Filings: 20				

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
WILDWOOD LLC	CONCORD, GA	1/25/2012	00632596-07	Filed
ALECO CONTAINER LLC	ARVADA, CO	1/24/2012	00632173-07	Filed
AMERICAN BIOCARE INC	TROY, MI	1/24/2012	00632172-07	Filed
GULF SOUTH HOLDING INC	NEW ORLEANS, LA	1/24/2012	00632171-07	Filed
CROWN CLEVELAND 2 JOINT VENTURE	CARROLLTON, TX	1/23/2012	00632148-07	Filed
Number of Regulation D Notice Filings: 5				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Pizzeria Uno Corporation / Uno Chicago Grill and Uno Due Go	West Roxbury, MA	2/3/2012 4	603417	Registered
CERTA PROPAINTERS LTD / CertaPro Painters	OAKS, PA	2/3/2012 7	603415	Registered
MATCAL INC / Wings Over	Hadley, MA	2/2/2012	603414	Registered
Zounds Hearing Franchising, LLC / Zounds Hearing Center	Phoenix, AZ	2/2/2012	603413	Registered
MATTRESS VENTURE LLC	HOUSTON, TX	2/1/2012	603412	Registered
SandalStone Ventures, Inc. / Vanguard Cleaning Systems of SE Wisconsin	Greenfield, WI	1/31/2012	603401	Registered
REALTY EXECUTIVES INTERNATIONAL INC / Realty Executives	PHOENIX, AZ	1/31/2012	603400	Registered
Cafe2U Inc.	Bend, OR	1/30/2012	603399	Registered
Cafe2U Inc.	Bend, OR	1/30/2012	603398	Registered
Siruvai Franchise, LLC / Aloha	Plano, TX	1/30/2012	603396	Registered
GREAT HARVEST FRANCHISING INC	DILLON, MT	1/30/2012	603404	Registered
OTA FRANCHISE CORP	IRVINE, CA	1/30/2012	603403	Registered
SBARRO INC	MELVILLE, NY	1/30/2012	603402	Registered
TDM Franchising, LLC / Taco Del mar	Miami springs, FL	1/27/2012	603409	Incomplete
DALE CARNEGIE & ASSOC INC	HAUPPAUGE, NY	1/26/2012	603406	Registered
HAPPY JOES FRANCHISING INC	BETTENDORF, IA	1/26/2012	603405	Registered
Jack in the Box Inc. / Jack in the Box	San Diego, CA	1/25/2012	603393	Registered

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Legal Name / Trade Name	Location	Date Received	File Number	Status
Qdoba Restaurant Corporation / Qdoba Mexican Grill	Wheat Ridge, CO	1/25/2012	603392	Registered
U-Save Auto Rental of America, Inc. / U-Save Car & Truck Rental	Ridgeland, MS	1/24/2012	603386	Registered
ActiveRx Development Co / ActiveRx Centers	Chandler, AZ	1/24/2012	603390	Registered
COLOR ME MINE FRANCHISING INC	NORTH HOLLYWOOD, CA	1/23/2012	603384	Registered
FIBRENEW USA LTD	PRIDDIS AB, AB	1/23/2012	603383	Registered
HBH FRANCHISE CO LLC / Honey Baked Ham Co., & Cafe	NORCROSS, GA	1/23/2012	603385	Registered
Number of Franchise Filings: 23				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	