

**WI Securities Law and Franchise Law Filings
for 1/2/2012 through 1/13/2012**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
RAINER INVESTMENT MANAGEMENT MUTUAL FUNDS HIGH YIELD PORTFOLIO INSTITUTIONAL CLASS	MILWAUKEE, WI	1/11/2012	00630554-03	Registered
LOCORR INVESTMENT TR LOCORR LONG SHORT COMMODITIES STRATEGY FUND CLASS A	MILWAUKEE, WI	1/4/2012	00630558-03	Pending-Cleared
LOCORR INVESTMENT TR LOCORR LONG SHORT COMMODITIES STRATEGY FUND CLASS C	MILWAUKEE, WI	1/4/2012	00630559-03	Pending-Cleared
LOCORR INVESTMENT TR LOCORR LONG SHORT COMMODITIES STRATEGY FUND CLASS I	MILWAUKEE, WI	1/4/2012	00630560-03	Pending-Cleared
WINTERGREEN FUND INC INSTITUTIONAL CLASS	MILWAUKEE, WI	1/3/2012	00630233-03	Registered
Number of Investment Company Filings: 5				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Regulation D Notice Filings: 0				

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