

**WI Securities Law and Franchise Law Filings  
for 12/26/2011 through 1/6/2012**

<b>Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Number of Registration Filings: 0				

<b>Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Number of Exemption Filings: 0				

<b>Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
WINTERGREEN FUND INC INSTITUTIONAL CLASS	MILWAUKEE, WI	1/3/2012	00630233-03	Registered
BROOKFIELD INVESTMENT FUNDS BROOKFIELD GLOBAL LISTED INFRASTRUCTURE FUND CLASS A	MILWAUKEE, WI	12/29/2011	00630217-03	Registered
Number of Investment Company Filings: 2				

<b>Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
LORD CAP FUND	MILWAUKEE, WI	12/29/2011	00630198-07	Filed
Number of Regulation D Notice Filings: 1				

<b>Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
SB Acquisition, LLC / Pizza Pit	Madison, WI	12/29/2011	603329	Registered
Number of Franchise Filings: 1				