

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 12/26/2011 through 1/6/2012**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CION INVESTMENT CORP	NEW YORK, NY	1/3/2012	00630231-01	Pending
ANDINA ACQUISITION CORP	BOGOTA,	12/30/2011	00630223-01	Pending
UNITED REALTY TR INC	NEW YORK, NY	12/28/2011	00630128-01	Pending
HMS INCOME FUND INC	HOUSTON, TX	12/27/2011	00630105-01	Pending
Number of Registration Filings: 4				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
HOPE BAPTIST CHURCH OF LAS VEGAS INC	LAS VEGAS, NV	1/3/2012	00630229-08	Pending
EMMANUEL CHARISMATIC CHURCH	MALVERNE, NY	12/27/2011	00630096-08	Pending
Number of Exemption Filings: 2				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
EATON VANCE MUTUAL FUNDS TR EATON VANCE PARAMETRIC STRUCTURED COMMODITY STRATEGY FUND CLASS A SHARES	BOSTON, MA	1/3/2012	00630108-03	Registered
GUIDESTONE FUNDS MYDESTINATION 2055 FUND GS4 CLASS	DALLAS, TX	1/3/2012	00630107-03	Registered
JANUS ASPEN SERIES JANUS ASPEN PROTECTED SERIES GROWTH SERVICE SHARES	DENVER, CO	1/3/2012	00630110-03	Registered
JANUS ASPEN SERIES JANUS ASPEN PROTECTED SERIES GROWTH INSTITUTIONAL SHARES	DENVER, CO	1/3/2012	00630109-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC GLOBAL INSIGHT PORTFOLIO CLASS H SHARES	NEW YORK, NY	1/3/2012	00630237-03	Registered

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Firm Name	Location	Date Received	File Number	Status
MORGAN STANLEY INSTITUTIONAL FUND INC GLOBAL INSIGHT PORTFOLIO CLASS I SHARES	NEW YORK, NY	1/3/2012	00630236-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC GLOBAL INSIGHT PORTFOLIO CLASS L SHARES	NEW YORK, NY	1/3/2012	00630240-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC INSIGHT PORTFOLIO CLASS I SHARES	NEW YORK, NY	1/3/2012	00630241-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC INSIGHT PORTFOLIO CLASS H SHARES	NEW YORK, NY	1/3/2012	00630238-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC INSIGHT PORTFOLIO CLASS L SHARES	NEW YORK, NY	1/3/2012	00630239-03	Registered
WINTERGREEN FUND INC INSTITUTIONAL CLASS	MILWAUKEE, WI	1/3/2012	00630233-03	Registered
BROOKFIELD INVESTMENT FUNDS BROOKFIELD GLOBAL LISTED INFRASTRUCTURE FUND CLASS A	MILWAUKEE, WI	12/29/2011	00630217-03	Registered
ADVISORS INNER CIRCLE FUND WHG SHORT DURATION HIGH YIELD FUND INSTITUTIONAL SHARES	BOSTON, MA	12/27/2011	00629973-03	Registered
BALANCED PORTFOLIO 15 MONTH SERIES 2012 1Q	MONUMENT, CO	12/27/2011	00630100-05	Pending-Cleared
DIVIDEND RULER PORTFOLIO SERIES 2012A	MONUMENT, CO	12/27/2011	00630102-05	Pending-Cleared
FRANKLIN NY TX FREE TR FRANKLIN NY INTERMEDIATE TERM TX FREE INCOME FUND ADVISOR CLASS	SAN MATEO, CA	12/27/2011	00630097-03	Registered
GLOBAL CLOSED END PORTFOLIO SERIES 2012 1	MONUMENT, CO	12/27/2011	00630104-05	Pending-Cleared
GLOBAL COVERED CALL & INCOME STRATEGIES CLOSED END PORTFOLIO 15 MONTH SERIES 2012 1Q	MONUMENT, CO	12/27/2011	00630101-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
SENIOR VARIABLE RATE & INCOME CLOSED END PORTFOLIO 15 MONTH SERUES 2012 1Q	MONUMENT, CO	12/27/2011	00630103-05	Pending-Cleared
STEELPATH ALERIAN MLP & INCOME PORTFOLIO SERIES 2012 1	MONUMENT, CO	12/27/2011	00630098-05	Pending-Cleared
TACTICAL INCOME CLOSED END PORTFOLIO 15 MONTH SERIES 2012 1Q	MONUMENT, CO	12/27/2011	00630099-05	Pending-Cleared
Number of Investment Company Filings: 21				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
WILCOX OPERATING CORP	SHREVEPORT, LA	1/3/2012	00630230-07	Filed
ARBOR INVESTMENTS 3 LP	CHICAGO, IL	12/29/2011	00630199-07	Filed
DELAGET LLC	GOLDEN VALLEY, MN	12/29/2011	00630200-07	Filed
LORD CAP FUND	MILWAUKEE, WI	12/29/2011	00630198-07	Filed
Number of Regulation D Notice Filings: 4				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Kosama Franchising, LLC / Kosama Complete Body Transformation	Chanhassen, MN	1/6/2012 4	603344	Registered
Fitness Revolution International, LLC / FITNESS REVOLUTION	Elizabethtown, KY	1/6/2012 3	603343	Registered
FROST GELATO FRANCHISING, L.L.C. / Frost Gelato	Tucson, AZ	1/4/2012 1	603316	Registered
Dr. G's Franchising Companies, LLC	Davie, FL	1/3/2012 4	603313	Registered
BEVERAGE FRANCHISE LLC / Mountain mudd	BILLINGS, MT	12/29/2011	603309	Registered
Luby's Fuddruckers Restaurants, LLC / Fuddruckers	Houston, TX	12/29/2011	603330	Registered
SB Acquisition, LLC / Pizza Pit	Madison, WI	12/29/2011	603329	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Peak Franchising, Inc. / Max Muscle (Unit)	Orange, CA	12/28/2011	603306	Registered
Peak Franchising, Inc. / Max Muscle (Regional Director)	Orange, CA	12/28/2011	603305	Registered
S2YD Franchising LLC / Straight2YourDoor, S2YD.com, S2YD	Austin, TX	12/28/2011	603310	Registered
AMERISOURCEBERGEN DRUG CORP	CHESTERBROOK, PA	12/27/2011	603302	Incomplete
NATIONAL HUNTING LEASE NETWORK LLC	OMAHA, NE	12/27/2011	603303	Registered
Number of Franchise Filings: 12				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	