

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 12/19/2011 through 12/30/2011**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ADVISORS INNER CIRCLE FUND WHG SHORT DURATION HIGH YIELD FUND INSTITUTIONAL SHARES	BOSTON, MA	12/27/2011	00629973-03	Pending-Cleared
ASTON FUNDS ASTON SILVERCREST SMALL CAP FUND CLASS I SHARES	CHICAGO, IL	12/21/2011	00630071-03	Registered
ASTON FUNDS ASTON SILVERCREST SMALL CAP FUND CLASS N SHARES	CHICAGO, IL	12/21/2011	00630072-03	Registered
FT 3275 IRONMARK STRATEGY PORTFOLIO 1ST QTR 2012 SERIES SERIES 49	WHEATON, IL	12/20/2011	00629142-05	Pending-Cleared
FT 3299 EQUITY CLOSED END PORTFOLIO SERIES 19	WHEATON, IL	12/20/2011	00629140-05	Pending-Cleared
FT 3324 TX ADVANTAGE MUNICIPAL CLOSED END PORTFOLIO SERIES 22	WHEATON, IL	12/20/2011	00629138-05	Pending-Cleared
FT 3326 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 49	WHEATON, IL	12/20/2011	00629141-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 844 GLOBAL HIGH DIVIDEND & GROWTH BLUEPRINTS SELECT PORTFOLIO SERIES 5	LISLE, IL	12/20/2011	00629139-05	Pending-Cleared
PIONEER SERIES TR 4 PIONEER MULTI ASSET INCOME FUND CLASS A SHARES	BOSTON, MA	12/20/2011	00629831-03	Registered

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PIONEER SERIES TR 4 PIONEER MULTI ASSET INCOME FUND CLASS C SHARES	BOSTON, MA	12/20/2011	00629832-03	Registered
PIONEER SERIES TR 4 PIONEER MULTI ASSET INCOME FUND CLASS Y SHARES	BOSTON, MA	12/20/2011	00629833-03	Registered
TOUCHSTONE FUNDS GROUP TR TOUCHSTONE MERGER ARBITRAGE FUND CLASS Y	CINCINNATI, OH	12/20/2011	00629157-03	Registered
TOUCHSTONE FUNDS GROUP TR TOUCHSTONE MERGER ARBITRAGE FUND CLASS A	CINCINNATI, OH	12/20/2011	00629158-03	Registered
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1042 INSURED MUNICIPALS INCOME TR SERIES 640	OAKBROOK, IL	12/20/2011	00629143-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1043 INSURED MUNICIPALS INCOME TR SERIES 641	OAKBROOK, IL	12/20/2011	00629144-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1044 INVESTORS QUALITY TX EX TR SERIES 155	OAKBROOK, IL	12/20/2011	00629145-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1045 INVESTORS QUALITY TX EX TR SERIES 156	OAKBROOK, IL	12/20/2011	00629146-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1048 QUALITY MUNICIPALS INCOME TR 55TH LTD MATURITY SERIES	OAKBROOK, IL	12/20/2011	00629148-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1049 QUALITY MUNICIPALS INCOME TR 56TH LTD MATURITY SERIES	OAKBROOK, IL	12/20/2011	00629147-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1050 INVESTMENT GRADE MUNICIPAL TR INTERMEDIATE SERIES 29	OAKBROOK, IL	12/20/2011	00629149-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1180 STOCKS FOR 2015 PORTFOLIO	OAKBROOK, IL	12/20/2011	00629156-05	Pending-Cleared

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VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 364 INVESTMENT GRADE INCOME TR 20 PLUS YEAR SERIES 12	OAKBROOK, IL	12/20/2011	00629150-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 365 INVESTMENT GRADE INCOME TR 20 PLUS YEAR SERIES 13	OAKBROOK, IL	12/20/2011	00629151-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 366 INVESTMENT GRADE INCOME TR 10 20 YEAR SERIES 17	OAKBROOK, IL	12/20/2011	00629153-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 367 INVESTMENT GRADE INCOME TR 10 20 YEAR SERIES 18	OAKBROOK, IL	12/20/2011	00629152-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 368 INVESTMENT GRADE INCOME TR 7 PLUS YEAR SERIES 14	OAKBROOK, IL	12/20/2011	00629155-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 369 INVESTMENT GRADE INCOME TR 7 PLUS YEAR SERIES 15	OAKBROOK, IL	12/20/2011	00629154-05	Pending-Cleared
ADVANTAGE FUNDS INC DREYFUS OPPORTUNISTIC US STOCK FUND CLASS C	NEW YORK, NY	12/19/2011	00629051-03	Registered
ADVANTAGE FUNDS INC DREYFUS OPPORTUNISTIC US STOCK FUND CLASS I	NEW YORK, NY	12/19/2011	00629052-03	Registered
ADVANTAGE FUNDS INC DREYFUS OPPORTUNISTIC US STOCK FUND CLASS A	NEW YORK, NY	12/19/2011	00629050-03	Registered
ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 42	MONUMENT, CO	12/19/2011	00629118-05	Pending-Cleared
EATON VANCE GROWTH TR EATON VANCE ATLANTA CAPITAL SELECT EQUITY FUND CLASS A SHARES	BOSTON, MA	12/19/2011	00629053-03	Pending-Cleared
EATON VANCE GROWTH TR EATON VANCE ATLANTA CAPITAL SELECT EQUITY FUND CLASS I SHARES	BOSTON, MA	12/19/2011	00629054-03	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
EATON VANCE MUTUAL FUNDS TR EATON VANCE PARAMETRIC STRUCTURED CURRENCY FUND CLASS A SHARES	BOSTON, MA	12/19/2011	00629056-03	Pending-Cleared
EATON VANCE MUTUAL FUNDS TR EATON VANCE PARAMETRIC STRUCTURED CURRENCY FUND CLASS I SHARES	BOSTON, MA	12/19/2011	00629055-03	Pending-Cleared
FT 3287 CLOUD COMPUTING PORTFOLIO SERIES 5	WHEATON, IL	12/19/2011	00629117-05	Pending-Cleared
STEELPATH MLP FUNDS TR STEELPATH MLP & INFRASTRUCTURE DEBT FUND CLASS I SHARES	DALLAS, TX	12/19/2011	00629121-03	Pending-Cleared
STEELPATH MLP FUNDS TR STEELPATH MLP & INFRASTRUCTURE DEBT FUND CLASS C SHARES	DALLAS, TX	12/19/2011	00629119-03	Pending-Cleared
STEELPATH MLP FUNDS TR STEELPATH MLP & INFRASTRUCTURE DEBT FUND CLASS A SHARES	DALLAS, TX	12/19/2011	00629120-03	Pending-Cleared
Number of Investment Company Filings: 39				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ENERVEST ENERGY INSTITUTIONAL CO INVESTMENT 12 2A LP	HOUSTON, TX	12/20/2011	00629137-07	Filed
Number of Regulation D Notice Filings: 1				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
BEVERAGE FRANCHISE LLC / Mountain mudd	BILLINGS, MT	12/29/2011	603309	Registered
Peak Franchising, Inc. / Max Muscle (Unit)	Orange, CA	12/28/2011	603306	Registered
Peak Franchising, Inc. / Max Muscle (Regional Director)	Orange, CA	12/28/2011	603305	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
S2YD Franchising LLC / Straight2YourDoor, S2YD.com, S2YD	Austin, TX	12/28/2011	603310	Registered
AMERISOURCEBERGEN DRUG CORP	CHESTERBROOK, PA	12/27/2011	603302	Incomplete
NATIONAL HUNTING LEASE NETWORK LLC	OMAHA, NE	12/27/2011	603303	Registered
Junk King Franchise Systems, Inc. / Junk King	San Carlos, CA	12/23/2011	603304	Registered
SANDLER SYSTEMS INC / SANDLER TRAINING	Owings Mills, MD	12/22/2011	603282	Registered
CAMPERO USA CORP	DALLAS, TX	12/22/2011	603296	Registered
EG SYSTEMS INC	MARYSVILLE, OH	12/22/2011	603297	Registered
SEATTLE'S BEST COFFEE LLC	SEATTLE, WA	12/22/2011	603298	Registered
Sonic Franchising LLC / Sonic Franchising LLC Number 7 License Agreement	Oklahoma City, OK	12/21/2011	603279	Registered
Sonic Franchising LLC / Sonic Franchising LLC Number 6A License Agreement	Oklahoma City, OK	12/21/2011	603278	Registered
Benvenuto's Franchising Systems LLC / Benvenuto's Italian Grill	Beaver Dam, WI	12/21/2011	603277	Registered
Applebee's International, Inc. / Applebee's Neighborhood Grill & Bar	Kansas City, MO	12/21/2011	603275	Registered
Scooter's Coffee, LLC / Scooter's Coffee, Scooter's Coffeehouse, Scooter's Coffee & Yogurt	Omaha, NE	12/20/2011	603274	Registered
IHOP Company, LLC / International House Of Pancakes	GLENDALE, CA	12/20/2011	603284	Registered
IHOP Company, LLC / Non-Traditional	GLENDALE, CA	12/20/2011	603285	Registered
PRICELESS RENT A CAR LLC	KENSINGTON, MD	12/19/2011	603283	Registered
Number of Franchise Filings: 19				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	