

**WI Securities Law and Franchise Law Filings  
for 10/31/2011 through 11/11/2011**

<b>Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Number of Registration Filings: 0				

<b>Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Number of Exemption Filings: 0				

<b>Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ADVISORS SERIES TR HUBER CAPITAL EQUITY INCOME FUND INVESTOR CLASS	MILWAUKEE, WI	11/1/2011	00624269-03	Registered
ADVISORS SERIES TR HUBER CAPITAL SMALL CAP VALUE FUND INVESTOR CLASS	MILWAUKEE, WI	11/1/2011	00624270-03	Registered
INVESTMENT MANAGERS SERIES TR CHARTWELL SMALL CAP VALUE FUND	MILWAUKEE, WI	11/1/2011	00624271-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR EP LATIN AMERICA FUND CLASS A	MILWAUKEE, WI	11/1/2011	00624272-03	Pending-Cleared
Number of Investment Company Filings: 4				

<b>Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Number of Regulation D Notice Filings: 0				

<b>Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
TailWaggers Franchise Trio / TailWaggers Doggy Daycare	Menasha, WI	11/4/2011	603159	Registered

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<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
VFUSA, LLC / Vom Fass	Madison, WI	11/2/2011	603173	Registered
Number of Franchise Filings: 2				