

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 10/24/2011 through 11/4/2011**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MAN LONG SHORT FUND MAN LONG SHORT FUND ADVISOR CLASS SHARES	CHICAGO, IL	11/1/2011	00624154-01	Registered
MAN LONG SHORT FUND MAN LONG SHORT FUND BROKER CLASS SHARES	CHICAGO, IL	11/1/2011	00624155-01	Registered
TRIVIEW GLOBAL FUND LLC	FREMONT, IN	10/27/2011	00624140-01	Pending
Number of Registration Filings: 3				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FIDELITY RUTLAND SQUARE TR 2 STRATEGIC ADVISERS CORE MULTI MANAGER FUND	BOSTON, MA	11/3/2011	00624226-03	Registered
FIDELITY RUTLAND SQUARE TR 2 STRATEGIC ADVISERS GROWTH MULTI MANAGER FUND	BOSTON, MA	11/3/2011	00624227-03	Registered
FIDELITY RUTLAND SQUARE TR 2 STRATEGIC ADVISERS VALUE MULTI MANAGER FUND	BOSTON, MA	11/3/2011	00624228-03	Registered
LEGG MASON GLOBAL ASSET MANAGEMENT TR LEGG MASON BW INTERNATIONAL OPPORTUNITIES BOND FUND CLASS R	BALTIMORE, MD	11/3/2011	00624221-03	Registered
LEGG MASON GLOBAL ASSET MANAGEMENT TR LEGG MASON BW INTERNATIONAL OPPORTUNITIES BOND FUND CLASS I	BALTIMORE, MD	11/3/2011	00624222-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
LEGG MASON GLOBAL ASSET MANAGEMENT TR LEGG MASON BW INTERNATIONAL OPPORTUNITIES BOND FUND CLASS C	BALTIMORE, MD	11/3/2011	00624223-03	Registered
LEGG MASON GLOBAL ASSET MANAGEMENT TR LEGG MASON BW INTERNATIONAL OPPORTUNITIES BOND FUND CLASS FI	BALTIMORE, MD	11/3/2011	00624225-03	Registered
LEGG MASON GLOBAL ASSET MANAGEMENT TR LEGG MASON BW INTERNATIONAL OPPORTUNITIES BOND FUND CLASS A	BALTIMORE, MD	11/3/2011	00624224-03	Registered
DESTRA INVESTMENT TR 2 DESTRA FOCUSED EQUITY FUND CLASS C	LISLE, IL	11/2/2011	00624209-03	Registered
DESTRA INVESTMENT TR 2 DESTRA PREFERRED & INCOME SECURITIES FUND CLASS C	LISLE, IL	11/2/2011	00624210-03	Registered
DESTRA INVESTMENT TR DESTRA GLOBAL L SERIES FUND CLASS C	LISLE, IL	11/2/2011	00624206-03	Registered
DESTRA INVESTMENT TR DESTRA HIGH DIVIDEND STRATEGY FUND CLASS A	LISLE, IL	11/2/2011	00624205-03	Registered
DESTRA INVESTMENT TR DESTRA HIGH DIVIDEND STRATEGY FUND CLASS I	LISLE, IL	11/2/2011	00624204-03	Registered
DESTRA INVESTMENT TR DESTRA HIGH DIVIDEND STRATEGY FUND CLASS C	LISLE, IL	11/2/2011	00624203-03	Registered
DESTRA INVESTMENT TR DESTRA INTERNATIONAL L SERIES FUND CLASS C	LISLE, IL	11/2/2011	00624207-03	Registered
DESTRA INVESTMENT TR DESTRA US ALL CAP L SERIES FUND CLASS C	LISLE, IL	11/2/2011	00624208-03	Registered
DFA INVESTMENT DIMENSIONS GROUP INC DFA INFLATION PROTECTED SECURITIES PORTFOLIO CLASS R25	AUSTIN, TX	11/1/2011	00624188-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
DFA INVESTMENT DIMENSIONS GROUP INC DFA INTERNATIONAL SMALL CAP VALUE PORTFOLIO CLASS R25	AUSTIN, TX	11/1/2011	00624180-03	Registered
DFA INVESTMENT DIMENSIONS GROUP INC EMERGING MARKETS CORE EQUITY PORTFOLIO CLASS R25	AUSTIN, TX	11/1/2011	00624184-03	Registered
DFA INVESTMENT DIMENSIONS GROUP INC EMERGING MARKETS VALUE PORTFOLIO CLASS R25	AUSTIN, TX	11/1/2011	00624179-03	Registered
DFA INVESTMENT DIMENSIONS GROUP INC INTERNATIONAL CORE EQUITY PORTFOLIO CLASS R25	AUSTIN, TX	11/1/2011	00624186-03	Registered
DFA INVESTMENT DIMENSIONS GROUP INC US CORE EQUITY 1 PORTFOLIO CLASS R25	AUSTIN, TX	11/1/2011	00624190-03	Registered
DFA INVESTMENT DIMENSIONS GROUP INC US LARGE CAP VALUE PORTFOLIO CLASS R25	AUSTIN, TX	11/1/2011	00624183-03	Registered
DFA INVESTMENT DIMENSIONS GROUP INC US TARGETED VALUE PORTFOLIO CLASS R25	AUSTIN, TX	11/1/2011	00624181-03	Registered
DFA INVESTMENT DIMENSIONS GROUP INC US TARGETED VALUE PORTFOLIO CLASS R10	AUSTIN, TX	11/1/2011	00624182-03	Registered
DIMENSIONAL INVESTMENT GROUP INC DFA INTERNATIONAL VALUE PORTFOLIO CLASS R25	AUSTIN, TX	11/1/2011	00624185-03	Registered
DIMENSIONAL INVESTMENT GROUP INC GLOBAL 25/75 PORTFOLIO CLASS R25	AUSTIN, TX	11/1/2011	00624191-03	Registered
DIMENSIONAL INVESTMENT GROUP INC GLOBAL 60/40 PORTFOLIO CLASS R25	AUSTIN, TX	11/1/2011	00624189-03	Registered
DIMENSIONAL INVESTMENT GROUP INC GLOBAL EQUITY PORTFOLIO CLASS R25	AUSTIN, TX	11/1/2011	00624187-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
EATON VANCE MUTUAL FUNDS TR EATON VANCE PARAMETRIC STRUCTURED ABSOLUTE RETURN FUND CLASS I SHARES	BOSTON, MA	11/1/2011	00624202-03	Registered
FIDELITY INVESTMENT TR FIDELITY EMERGING MARKETS DISCOVERY FUND FIDELITY EMERGING MARKETS DISCOVERY FUND	BOSTON, MA	11/1/2011	00624194-03	Registered
FIDELITY INVESTMENT TR FIDELITY EMERGING MARKETS DISCOVERY FUND FIDELITY ADVISOR EMERGING MARKETS DISCOVERY FUNDCLASSA	BOSTON, MA	11/1/2011	00624195-03	Registered
FIDELITY INVESTMENT TR FIDELITY EMERGING MARKETS DISCOVERY FUND FIDELITY ADVISOR EMERGING MARKETS DISCOVERY FUNDCLASSC	BOSTON, MA	11/1/2011	00624197-03	Registered
FIDELITY INVESTMENT TR FIDELITY EMERGING MARKETS DISCOVERY FUND FIDELITY ADVISOR EMERGING MARKETS DISCOVERY FUNDCLASST	BOSTON, MA	11/1/2011	00624201-03	Registered
FIDELITY INVESTMENT TR FIDELITY EMERGING MARKETS DISCOVERY FUND FIDELITY ADVISOR EMERGING MARKETS DISCOVERY FUND INSTIT	BOSTON, MA	11/1/2011	00624199-03	Registered
FIDELITY INVESTMENT TR FIDELITY TOTAL EMERGING MARKETS FUND FIDELITY ADVISOR TOTAL EMERGING MARKETS FUND CLASS A	BOSTON, MA	11/1/2011	00624193-03	Registered
FIDELITY INVESTMENT TR FIDELITY TOTAL EMERGING MARKETS FUND FIDELITY ADVISOR TOTAL EMERGING MARKETS FUND CLASS C	BOSTON, MA	11/1/2011	00624198-03	Registered
FIDELITY INVESTMENT TR FIDELITY TOTAL EMERGING MARKETS FUND FIDELITY TOTAL EMERGING MARKETS FUND	BOSTON, MA	11/1/2011	00624192-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FIDELITY INVESTMENT TR FIDELITY TOTAL EMERGING MARKETS FUND FIDELITY ADVISOR TOTAL EMERGING MARKETS FUND CLASS T	BOSTON, MA	11/1/2011	00624200-03	Registered
FIDELITY INVESTMENT TR FIDELITY TOTAL EMERGING MARKETS FUND FIDELITY ADVISOR TOTAL EMERGING MARKETS FUND INSTITUTIONAL	BOSTON, MA	11/1/2011	00624196-03	Registered
HIGHLAND FUNDS 2 HIGHLAND DIVIDEND EQUITY FUND CLASS A SHARES	DALLAS, TX	11/1/2011	00624169-03	Registered
HIGHLAND FUNDS 2 HIGHLAND DIVIDEND EQUITY FUND CLASS Y SHARES	DALLAS, TX	11/1/2011	00624170-03	Registered
LEGG MASON GLOBAL ASSET MANAGEMENT TR LEGG MASON BW ABSOLUTE RETURN OPPORTUNITIES FUND CLASS FI	BALTIMORE, MD	11/1/2011	00624166-03	Registered
LEGG MASON GLOBAL ASSET MANAGEMENT TR LEGG MASON BW ABSOLUTE RETURN OPPORTUNITIES FUND CLASS R	BALTIMORE, MD	11/1/2011	00624167-03	Registered
MOTLEY FOOL FUNDS TR MOTLEY FOOL EPIC VOYAGE FUND	ALEXANDRIA, VA	11/1/2011	00624168-03	Registered
TIAA CREF FUNDS TIAA CREF GLOBAL NATURAL RESOURCES FUND RETIREMENT CLASS	NEW YORK, NY	11/1/2011	00624176-03	Registered
TIAA CREF FUNDS TIAA CREF GLOBAL NATURAL RESOURCES FUND PREMIER CLASS	NEW YORK, NY	11/1/2011	00624177-03	Registered
TIAA CREF FUNDS TIAA CREF GLOBAL NATURAL RESOURCES FUND RETAIL CLASS	NEW YORK, NY	11/1/2011	00624178-03	Registered
TIAA CREF FUNDS TIAA CREF GLOBAL NATURAL RESOURCES FUND INSTITUTIONAL CLASS	NEW YORK, NY	11/1/2011	00624175-03	Registered

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Firm Name	Location	Date Received	File Number	Status
TRANSAMERICA FUNDS TRANSAMERICA TACTICAL INCOME CLASS A SHARES	ST. PETERSBURG, FL	11/1/2011	00624171-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA TACTICAL INCOME CLASS C SHARES	ST. PETERSBURG, FL	11/1/2011	00624172-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA TACTICAL INCOME CLASS I SHARES	ST. PETERSBURG, FL	11/1/2011	00624173-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE GLOBAL OPPORTUNITIES FUND INSTITUTIONAL CLASS	SAN FRANCISCO, CA	11/1/2011	00624174-03	Registered
ROXBURY FUNDS ROXBURY MAR VISTA STRATEGIC GROWTH FUND INSTITUTIONAL SHARES	MINNETONKA, MN	10/31/2011	00624153-03	Registered
CA INVESTMENT TR EQUITY INCOME FUND CLASS B SHARES	SAN FRANCISCO, CA	10/28/2011	00624063-03	Registered
CALVERT SAGE FUND CALVERT EQUITY INCOME FUND CLASS A	BETHESDA, MD	10/28/2011	00624231-03	Pending-Cleared
CALVERT SAGE FUND CALVERT EQUITY INCOME FUND CLASS C	BETHESDA, MD	10/28/2011	00624230-03	Pending-Cleared
CALVERT SAGE FUND CALVERT EQUITY INCOME FUND CLASS Y	BETHESDA, MD	10/28/2011	00624229-03	Pending-Cleared
CALVERT SAGE FUND CALVERT HIGH YIELD BOND FUND CLASS C	BETHESDA, MD	10/28/2011	00624232-03	Pending-Cleared
FT 3201 DEFENSIVE EQUITY PORTFOLIO SERIES 17	WHEATON, IL	10/28/2011	00624236-05	Pending-Cleared
FT 3242 INVESTMENT GRADE SELECT CLOSED END PORTFOLIO SERIES 27	WHEATON, IL	10/28/2011	00624235-05	Pending-Cleared
HANCOCK JOHN FUNDS 2 HANCOCK JOHN CURRENCY STRATEGIES FUND CLASS R6	BOSTON, MA	10/28/2011	00624052-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN NATURAL RESOURCES FUND CLASS R6	BOSTON, MA	10/28/2011	00624051-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN US EQUITY FUND CLASS A	BOSTON, MA	10/28/2011	00624054-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
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HANCOCK JOHN FUNDS 2 HANCOCK JOHN US EQUITY FUND CLASS I	BOSTON, MA	10/28/2011	00624053-03	Registered
ING SERIES FUND INC ING MONEY MARKET FUND CLASS W SHARES	SCOTTSDALE, AZ	10/28/2011	00624042-03	Registered
LORD ABBETT EQUITY TR LORD ABBETT CALIBRATED LARGE CAP VALUE FUND CLASS F	JERSEY CITY, NJ	10/28/2011	00624066-03	Pending-Cleared
LORD ABBETT EQUITY TR LORD ABBETT CALIBRATED LARGE CAP VALUE FUND CLASS A	JERSEY CITY, NJ	10/28/2011	00624064-03	Pending-Cleared
LORD ABBETT EQUITY TR LORD ABBETT CALIBRATED LARGE CAP VALUE FUND CLASS C	JERSEY CITY, NJ	10/28/2011	00624065-03	Pending-Cleared
LORD ABBETT EQUITY TR LORD ABBETT CALIBRATED LARGE CAP VALUE FUND CLASS R3	JERSEY CITY, NJ	10/28/2011	00624069-03	Pending-Cleared
LORD ABBETT EQUITY TR LORD ABBETT CALIBRATED LARGE CAP VALUE FUND CLASS I	JERSEY CITY, NJ	10/28/2011	00624067-03	Pending-Cleared
LORD ABBETT EQUITY TR LORD ABBETT CALIBRATED LARGE CAP VALUE FUND CLASS R2	JERSEY CITY, NJ	10/28/2011	00624068-03	Pending-Cleared
LORD ABBETT EQUITY TR LORD ABBETT CALIBRATED MID CAP VALUE FUND CLASS R3	JERSEY CITY, NJ	10/28/2011	00624075-03	Pending-Cleared
LORD ABBETT EQUITY TR LORD ABBETT CALIBRATED MID CAP VALUE FUND CLASS I	JERSEY CITY, NJ	10/28/2011	00624073-03	Pending-Cleared
LORD ABBETT EQUITY TR LORD ABBETT CALIBRATED MID CAP VALUE FUND CLASS F	JERSEY CITY, NJ	10/28/2011	00624072-03	Pending-Cleared
LORD ABBETT EQUITY TR LORD ABBETT CALIBRATED MID CAP VALUE FUND CLASS R2	JERSEY CITY, NJ	10/28/2011	00624074-03	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
LORD ABBETT EQUITY TR LORD ABBETT CALIBRATED MID CAP VALUE FUND CLASS A	JERSEY CITY, NJ	10/28/2011	00624070-03	Pending-Cleared
LORD ABBETT EQUITY TR LORD ABBETT CALIBRATED MID CAP VALUE FUND CLASS C	JERSEY CITY, NJ	10/28/2011	00624071-03	Pending-Cleared
PIMCO FUNDS PIMCO REALRETIREMENTB 2015 FUND CLASS P	NEWPORT BEACH, CA	10/28/2011	00624058-03	Registered
PIMCO FUNDS PIMCO REALRETIREMENTB 2025 FUND CLASS P	NEWPORT BEACH, CA	10/28/2011	00624060-03	Registered
PIMCO FUNDS PIMCO REALRETIREMENTB 2035 FUND CLASS P	NEWPORT BEACH, CA	10/28/2011	00624062-03	Registered
PIMCO FUNDS PIMCO REALRETIREMENTB2010 FUND CLASS P	NEWPORT BEACH, CA	10/28/2011	00624059-03	Registered
PIMCO FUNDS PIMCO REALRETIREMENTB2020 FUND CLASS P	NEWPORT BEACH, CA	10/28/2011	00624057-03	Registered
PIMCO FUNDS PIMCO REALRETIREMENTB2030 FUND CLASS P	NEWPORT BEACH, CA	10/28/2011	00624061-03	Registered
PIMCO FUNDS PIMCO REALRETIREMENTB2040 FUND CLASS P	NEWPORT BEACH, CA	10/28/2011	00624055-03	Registered
PIMCO FUNDS PIMCO REALRETIREMENTB2050 FUND CLASS P	NEWPORT BEACH, CA	10/28/2011	00624056-03	Registered
PRUDENTIAL HIGH YIELD FUND INC CLASS Q	NEWARK, NJ	10/28/2011	00624050-03	Registered
PRUDENTIAL INVESTMENT PORTFOLIOS 7 PRUDENTIAL JENNISON VALUE FUND CLASS Q	NEWARK, NJ	10/28/2011	00624049-03	Registered
PUTNAM FUNDS TR PUTNAM SHORT DURATION INCOME FUND CLASS A	BOSTON, MA	10/28/2011	00624046-03	Registered
PUTNAM FUNDS TR PUTNAM SHORT DURATION INCOME FUND CLASS B	BOSTON, MA	10/28/2011	00624043-03	Registered
PUTNAM FUNDS TR PUTNAM SHORT DURATION INCOME FUND CLASS C	BOSTON, MA	10/28/2011	00624044-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PUTNAM FUNDS TR PUTNAM SHORT DURATION INCOME FUND CLASS M	BOSTON, MA	10/28/2011	00624045-03	Registered
PUTNAM FUNDS TR PUTNAM SHORT DURATION INCOME FUND CLASS R	BOSTON, MA	10/28/2011	00624048-03	Registered
PUTNAM FUNDS TR PUTNAM SHORT DURATION INCOME FUND CLASS Y	BOSTON, MA	10/28/2011	00624047-03	Registered
FT 3181 EQUITY INCOME SELECT PORTFOLIO SERIES 29	WHEATON, IL	10/27/2011	00624138-05	Pending-Cleared
FT 3237 PREFERRED INCOME PORTFOLIO SERIES 46	WHEATON, IL	10/27/2011	00624137-05	Pending-Cleared
FORWARD FUNDS FORWARD SELECT INCOME FUND INVESTOR CLASS	SAN FRANCISCO, CA	10/26/2011	00624032-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE COMMON STOCK FUND INSTITUTIONAL CLASS	SAN FRANCISCO, CA	10/26/2011	00624033-03	Registered
FT 3189 INCOME ALLOCATION CLOSED END NOV 11	WHEATON, IL	10/25/2011	00624081-05	Pending-Cleared
FT 3190 DOW TARGET 10 NOV 11 TERM 1/30/13	WHEATON, IL	10/25/2011	00624080-05	Pending-Cleared
FT 3190 DOW TARGET DVD NOV 11 TERM 1/31/13	WHEATON, IL	10/25/2011	00624079-05	Pending-Cleared
FT 3190 TARGET FOCUS 4 NOV 11 TERM 1/31/13	WHEATON, IL	10/25/2011	00624077-05	Pending-Cleared
FT 3190 TARGET TRIAD NOV 11 TERM 1/31/13	WHEATON, IL	10/25/2011	00624078-05	Pending-Cleared
FT 3190 TARGET VIP NOV 11 TERM 1/31/13	WHEATON, IL	10/25/2011	00624076-05	Pending-Cleared
FT 3200 ALTERNATIVE ENERGY PORTFOLIO SERIES 19	WHEATON, IL	10/25/2011	00624082-05	Pending-Cleared
FT 3202 UTILITIES SELECT PORTFOLIO SERIES 19	WHEATON, IL	10/25/2011	00624084-05	Pending-Cleared
FT 3238 MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 32	WHEATON, IL	10/25/2011	00624083-05	Pending-Cleared
FT 3241 STRATEGIC INCOME PLUS CLOSED END PORTFOLIO SERIES 35	WHEATON, IL	10/25/2011	00624085-05	Pending-Cleared
FUNDVANTAGE TR EIC VALUE FUND CLASS C	WILMINGTON, DE	10/25/2011	00622659-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1026 INVESTMENT GRADE MUNICIPAL TR SERIES 137	OAKBROOK, IL	10/25/2011	00624115-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1027 INVESTMENT GRADE MUNICIPAL TR SERIES 138	OAKBROOK, IL	10/25/2011	00624116-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1028 INSURED MUNICIPALS INCOME TR SERIES 638	OAKBROOK, IL	10/25/2011	00624113-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1029 INSURED MUNICIPALS INCOME TR SERIES 639	OAKBROOK, IL	10/25/2011	00624114-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1030 QUALITY MUNICIPALS INCOME TR 51ST LTD MATURITY SERIES	OAKBROOK, IL	10/25/2011	00624111-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1031 QUALITY MUNICIPALS INCOME TR 52ND LTD MATURITY SERIES	OAKBROOK, IL	10/25/2011	00624112-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1032 INVESTORS QUALITY TX EX TR SERIES 151	OAKBROOK, IL	10/25/2011	00624109-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1033 INVESTORS QUALITY TX EX TR SERIES 152	OAKBROOK, IL	10/25/2011	00624110-05	Pending-Cleared
Number of Investment Company Filings: 117				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BAYVIEW CAPITAL PARTNERS 3 LP	MINNETONKA, MN	10/28/2011	00624241-07	Filed
GROCERY & PHARMACY PORTFOLIO DST	OAK BROOK, IL	10/28/2011	00624239-07	Filed
PHARMACY PORTFOLIO 2 DST	OAK BROOK, IL	10/28/2011	00624240-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
REEF 2011 PRIVATE DRILLING FUND LP	RICHARDSON, TX	10/28/2011	00624242-07	Filed
ASCEND WILSON FUND LP	SAN FRANCISCO, CA	10/27/2011	00624141-07	Filed
FRED 36 PARK LLC	MILWAUKEE, WI	10/27/2011	00624146-07	Filed
KING LANE PROSPECT PARTNERS	HENDERSONVILLE, TN	10/27/2011	00624145-07	Filed
NC SWIB LP	DANVILLE, CA	10/27/2011	00624144-07	Filed
REUVEN CAPITAL INVESTMENTS LP	NEW YORK, NY	10/27/2011	00624142-07	Filed
SP PRIVATE INVESTMENTS 8 LLC	SAN BRUNO, CA	10/27/2011	00624143-07	Filed
ALTAVEST FUND LP	MISSION VIEJO, CA	10/26/2011	00624119-07	Filed
C8 MEDISENSORS INC	SAN JOSE, CA	10/26/2011	00624120-07	Filed
INNOSOFT INC	CLEVELAND, WI	10/26/2011	00624118-07	Filed
RIMAGE CORP	EDINA, MN	10/25/2011	00624108-07	Filed
Number of Regulation D Notice Filings: 14				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
TailWaggers Franchise Trio / TailWaggers Doggy Daycare	Menasha, WI	11/4/2011	603159	Registered
Kona Ice, Inc. / Kona Ice	Florence, KY	11/4/2011	603158	Registered
The Dentist's Choice, Inc.	Incline Village, NV	11/3/2011	603157	Registered
The Senior's Choice, Inc.	Incline Village, NV	11/3/2011	603156	Registered
Anago Franchising, Inc.	Pompano Beach, FL	11/1/2011	603153	Registered
AGILE PURSUITS FRANCHISING INC / Tide Dry Cleaners	CINCINNATI, OH	10/28/2011	603151	Registered
AGILE PURSUITS FRANCHISING INC / Mr. Clean Car Wash Program	CINCINNATI, OH	10/28/2011	603152	Registered
JAZZERCISE INC	CARLSBAD, CA	10/28/2011	603150	Registered
Atlanta Bread Company International, Inc. / Atlanta Bread	Smyrna, GA	10/27/2011	603146	Registered
ARTHUR MURRAY INTERNATIONAL INC	CORAL GABLES, FL	10/27/2011	603148	Registered

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Goga Studios, Inc.	Hampton, NH	10/27/2011	603149	Registered
1 800 FLOWERS.COM FRANCHISE CO INC / Conversion Program	CARLE PLACE, NY	10/24/2011	603139	Registered
Number of Franchise Filings: 12				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	