

**WI Securities Law and Franchise Law Filings  
for 10/10/2011 through 10/21/2011**

<b>Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Number of Registration Filings: 0				

<b>Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Number of Exemption Filings: 0				

<b>Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
INVESTMENT MANAGERS SERIES TR TOWLE DEEP VALUE FUND	MILWAUKEE, WI	10/12/2011	00622008-03	Pending-Cleared
Number of Investment Company Filings: 1				

<b>Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
AMS HOLDINGS LLC	WAUKESHA, WI	10/11/2011	00621944-07	Filed
PLOEGER OXBO GROUP BV	LOUD GASTEL, WI	10/10/2011	00621979-07	Filed
Number of Regulation D Notice Filings: 2				