

**WI Securities Law and Franchise Law Filings  
for 9/19/2011 through 9/30/2011**

<b>Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Number of Registration Filings: 0				

<b>Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Number of Exemption Filings: 0				

<b>Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
PINEBRIDGE MUTUAL FUNDS PINEBRIDGE US 25 EQUITY FUND CLASS 1	MILWAUKEE, WI	9/19/2011	00619506-03	Pending-Cleared
PINEBRIDGE MUTUAL FUNDS PINEBRIDGE US 25 EQUITY FUND CLASS R	MILWAUKEE, WI	9/19/2011	00619507-03	Pending-Cleared
Number of Investment Company Filings: 2				

<b>Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Number of Regulation D Notice Filings: 0				

<b>Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
AKT Business Services	Lake Mills, WI	9/26/2011	603038	Registered
Number of Franchise Filings: 1				