

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 9/19/2011 through 9/30/2011**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
UNITED CHURCH OF CHRIST CORNERSTONE FUND INC	CLEVELAND, OH	9/19/2011	00619496-02	Registered
UNITED CHURCH OF CHRIST CORNERSTONE FUND INC	CLEVELAND, OH	9/19/2011	00619497-02	Registered
Number of Registration Filings: 2				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
		9/27/2011	00619676-55	Pending
RIVER CHRISTIAN COMMUNITY INC	OAKDALE, CA	9/19/2011	00619498-08	Pending
Number of Exemption Filings: 2				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ADVISORS INNER CIRCLE FUND 2 STW BROAD TAX AWARE VALUE BOND FUND INSTITUTIONAL SHARES	BOSTON, MA	9/29/2011	00619828-03	Registered
ADVISORS INNER CIRCLE FUND 2 STW LONG DURATION INVESTMENT GRADE BOND FUND INSTITUTIONAL SHARES	BOSTON, MA	9/29/2011	00619827-03	Registered
ASHMORE FUNDS ASHMORE EMERGING MARKETS EQUITY FUND CLASS A SHARES	LONDON, UK	9/29/2011	00619819-03	Pending-Cleared
ASHMORE FUNDS ASHMORE EMERGING MARKETS EQUITY FUND CLASS C SHARES	LONDON, UK	9/29/2011	00619822-03	Pending-Cleared
ASHMORE FUNDS ASHMORE EMERGING MARKETS SMALL CAP EQUITY FUND INSTITUTIONAL CLASS SHARES	LONDON, UK	9/29/2011	00619823-03	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 9/19/2011 through 9/30/2011**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ASHMORE FUNDS ASHMORE EMERGING MARKETS SMALL CAP EQUITY FUND CLASS C SHARES	LONDON, UK	9/29/2011	00619821-03	Pending-Cleared
ASHMORE FUNDS ASHMORE EMERGING MARKETS SMALL CAP EQUITY FUND CLASS A SHARES	LONDON, UK	9/29/2011	00619820-03	Pending-Cleared
GLG INVESTMENT SERIES TR GLG INTERNATIONAL SMALL CAP FUND CLASS C SHARES	NEW YORK, NY	9/29/2011	00619818-03	Registered
PIMCO FUNDS PIMCO INTERNATIONAL FUNDAMENTAL INDEXPLUS TR STRATEGY FUND INSTITUTIONAL CLASS	NEWPORT BEACH, CA	9/29/2011	00619832-03	Registered
PIMCO FUNDS PIMCO SMALL CO FUNDAMENTAL INDEXPLUS TR STRATEGY FUND INSTITUTIONAL CLASS	NEWPORT BEACH, CA	9/29/2011	00619833-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE ASIA PACIFIC FUND INSTITUTIONAL CLASS	SAN FRANCISCO, CA	9/29/2011	00619830-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE EMERGING MARKETS EQUITY FUND INSTITUTIONAL CLASS	SAN FRANCISCO, CA	9/29/2011	00619829-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE EQUITY VALUE FUND CLASS B	SAN FRANCISCO, CA	9/29/2011	00619824-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE EQUITY VALUE FUND CLASS R	SAN FRANCISCO, CA	9/29/2011	00619825-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE INTRINSIC WORLD EQUITY FUND INSTITUTIONAL CLASS	SAN FRANCISCO, CA	9/29/2011	00619826-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE SMALL MID CAP CORE FUND INSTITUTIONAL CLASS	SAN FRANCISCO, CA	9/29/2011	00619831-03	Registered
ADVISORS INNER CIRCLE FUND 2 FROST NATURAL RESOURCES FUND CLASS A SHARES	BOSTON, MA	9/23/2011	00619648-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 9/19/2011 through 9/30/2011**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ADVISORS INNER CIRCLE FUND 2 FROST NATURAL RESOURCES FUND INSTITUTIONAL CLASS SHARES	BOSTON, MA	9/23/2011	00619649-03	Registered
LEGG MASON PARTNERS INSTITUTIONAL TR WESTERN ASSET INSTITUTIONAL US TREASURY RESERVES ADMINISTRATIVE SHARES	NEW YORK, NY	9/23/2011	00619640-03	Registered
LEGG MASON PARTNERS INSTITUTIONAL TR WESTERN ASSET INSTITUTIONAL LIQUID RESERVES ADMINISTRATIVE SHARES	NEW YORK, NY	9/23/2011	00619644-03	Registered
LEGG MASON PARTNERS INSTITUTIONAL TR WESTERN ASSET INSTITUTIONAL AMT FREE MUNICIPAL MONEY MARKET FUND ADMINISTR	NEW YORK, NY	9/23/2011	00619636-03	Registered
LEGG MASON PARTNERS INSTITUTIONAL TR WESTERN ASSET INSTITUTIONAL GOVERNMENT RESERVES ADMINISTRATIVE SHARES	NEW YORK, NY	9/23/2011	00619637-03	Registered
LEGG MASON PARTNERS INSTITUTIONAL TR WESTERN ASSET INSTITUTIONAL TAX FREE RESERVES ADMINISTRATIVE SHARES	NEW YORK, NY	9/23/2011	00619646-03	Registered
LEGG MASON PARTNERS INSTITUTIONAL TR WESTERN ASSET INSTITUTIONAL CASH RESERVES ADMINISTRATIVE SHARES	NEW YORK, NY	9/23/2011	00619642-03	Registered
LEGG MASON PARTNERS MONEY MARKET TR WESTERN ASSET CA TAX FREE MONEY MARKET FUND SERVICE SHARES	NEW YORK, NY	9/23/2011	00619638-03	Registered
LEGG MASON PARTNERS MONEY MARKET TR WESTERN ASSET CT MUNICIPAL MONEY MARKET FUND SERVICE SHARES	NEW YORK, NY	9/23/2011	00619639-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 9/19/2011 through 9/30/2011**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
LEGG MASON PARTNERS MONEY MARKET TR WESTERN ASSET GOVERNMENT MONEY MARKET FUND SERVICE SHARES	NEW YORK, NY	9/23/2011	00619635-03	Registered
LEGG MASON PARTNERS MONEY MARKET TR WESTERN ASSET LIQUID RESERVES SERVICE SHARES	NEW YORK, NY	9/23/2011	00619641-03	Registered
LEGG MASON PARTNERS MONEY MARKET TR WESTERN ASSET NY TAX FREE MONEY MARKET FUND SERVICE SHARES	NEW YORK, NY	9/23/2011	00619643-03	Registered
LEGG MASON PARTNERS MONEY MARKET TR WESTERN ASSET TAX FREE RESERVES SERVICE SHARES	NEW YORK, NY	9/23/2011	00619645-03	Registered
LEGG MASON PARTNERS MONEY MARKET TR WESTERN ASSET US TREASURY RESERVES SERVICE SHARES	NEW YORK, NY	9/23/2011	00619647-03	Registered
AMERICAN CENTURY MUTUAL FUNDS INC AMERICAN CENTURY ALL CAP GROWTH FUND R CLASS	KANSAS CITY, MO	9/22/2011	00619776-03	Pending-Cleared
AMERICAN CENTURY MUTUAL FUNDS INC AMERICAN CENTURY ALL CAP GROWTH FUND INSTITUTIONAL CLASS	KANSAS CITY, MO	9/22/2011	00619775-03	Pending-Cleared
AMERICAN CENTURY MUTUAL FUNDS INC AMERICAN CENTURY ALL CAP GROWTH FUND A CLASS	KANSAS CITY, MO	9/22/2011	00619773-03	Pending-Cleared
AMERICAN CENTURY MUTUAL FUNDS INC AMERICAN CENTURY ALL CAP GROWTH FUND C CLASS	KANSAS CITY, MO	9/22/2011	00619774-03	Pending-Cleared
NUVEEN INVESTMENT FUNDS INC NUVEEN SHORT TERM BOND FUND CLASS R3	MINNEAPOLIS, MN	9/22/2011	00619768-03	Registered
1ST TR COMBINED SERIES 380 BUILD AMERICA BONDS PORTFOLIO SERIES 42	WHEATON, IL	9/21/2011	00619728-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 9/19/2011 through 9/30/2011**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ADVISORS CORPORATE TRUST-NAVELLIER/DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 37	MONUMENT, CO	9/21/2011	00619725-05	Pending-Cleared
AGRICULTURE OPPORTUNITY PORTFOLIO SERIES 2011-2	MONUMENT, CO	9/21/2011	00619724-05	Pending-Cleared
CONVERTIBLE & INCOME CLOSED-END PORTFOLIO SERIES 2011-2	MONUMENT, CO	9/21/2011	00619729-05	Pending-Cleared
FT 3155 GLOBAL EQUITY INCOME CLOSED-END PORTFOLIO SERIES 18	WHEATON, IL	9/21/2011	00619730-05	Pending-Cleared
FT 3171 PREFERRED INCOME PORTFOLIO SERIES 45	WHEATON, IL	9/21/2011	00619726-05	Pending-Cleared
FT 3185 TACTICAL INCOME PORTFOLIO SERIES 10	WHEATON, IL	9/21/2011	00619727-05	Pending-Cleared
FT 3126 INTERNATIONAL CAPITAL STRENGTH PORTFOLIO SERIES 9	WHEATON, IL	9/20/2011	00619623-05	Pending-Cleared
FT 3126 SMID CAPITAL STRENGTH PORTFOLIO SERIES 9	WHEATON, IL	9/20/2011	00619624-05	Pending-Cleared
FT 3129 STRATEGIC INCOME ADVANTAGE SELECT CLOSED- -END PORTFOLIO SERIES 27	WHEATON, IL	9/20/2011	00619622-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS 818 GUGGENHEIM HIGH DIVIDEND & GROWTH BLUEPRINTS SELECT PORTFOLIO SERIES 2	LISLE, IL	9/20/2011	00619625-05	Pending-Cleared
FT 3124 BALANCED INCOME PORTFOLIO SERIES 30	WHEATON, IL	9/19/2011	00619500-05	Pending-Cleared
FT 3127 STRATEGIC DIVIDEND PLUS PORTFOLIO SERIES 4	WHEATON, IL	9/19/2011	00619499-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS 817 GUGGENHEIM BULLETSHARES 2011-2017 CORPORATE BOND LADDER PORTFOLIO OF ETFS SERIES 2	LISLE, IL	9/19/2011	00619513-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS, SERIES 736 GUGGENHEIM SHORT DURATION HIGH YIELD TR SERIES 8	LISLE, IL	9/19/2011	00619511-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 9/19/2011 through 9/30/2011**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PINEBRIDGE MUTUAL FUNDS PINEBRIDGE US 25 EQUITY FUND CLASS 1	MILWAUKEE, WI	9/19/2011	00619506-03	Pending-Cleared
PINEBRIDGE MUTUAL FUNDS PINEBRIDGE US 25 EQUITY FUND CLASS R	MILWAUKEE, WI	9/19/2011	00619507-03	Pending-Cleared
SARATOGA ADVANTAGE TR JAMES ALPHA GLOBAL REAL ESTATE INVESTMENTS PORTFOLIO CLASS A SHARES	HAUPPAUGE, NY	9/19/2011	00619503-03	Registered
SCOUT FUNDS SCOUT UNCONSTRAINED BOND FUND	KANSAS CITY, MO	9/19/2011	00619502-03	Pending-Cleared
SMART TR VALUE ARCHITECTS DISCIPLINED CORE PORTFOLIO TR 2011 SERIES 1	PARSIPPANY, NJ	9/19/2011	00619512-05	Pending-Cleared
TEMPLETON GLOBAL INVESTMENT TR TEMPLETON GLOBAL BALANCED FUND CLASS A	SAN MATEO, CA	9/19/2011	00619508-03	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1015 INVESTMENT GRADE MUNICIPAL TR SERIES 133	OAKBROOK, IL	9/19/2011	00619559-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1016 INVESTMENT GRADE MUNICIPAL TR SERIES 134	OAKBROOK, IL	9/19/2011	00619558-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1017 INVESTMENT GRADE MUNICIPAL TR INTERMEDIATE SERIES 25	OAKBROOK, IL	9/19/2011	00619561-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1018 INVESTMENT GRADE MUNICIPAL TR INTERMEDIATE SERIES 26	OAKBROOK, IL	9/19/2011	00619560-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1019 INVESTORS QUALITY TX EX TR SERIES 149	OAKBROOK, IL	9/19/2011	00619563-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1020 INVESTORS QUALITY TX EX TR SERIES 150	OAKBROOK, IL	9/19/2011	00619562-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 9/19/2011 through 9/30/2011**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 351 INVESTMENT GRADE INCOME TR 10-20 YEAR SERIES 11	OAKBROOK, IL	9/19/2011	00619565-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 352 INVESTMENT GRADE INCOME TR 10-20 YEAR SERIES 12	OAKBROOK, IL	9/19/2011	00619564-05	Pending-Cleared
Number of Investment Company Filings: 65				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Regulation D Notice Filings: 0				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Vein Clinics of America, Inc. / Vein Clinics of America	Downers Grove, IL	9/30/2011	603060	Registered
AlphaGraphics, Inc. / AlphaGraphics	Salt Lake City, UT	9/30/2011	603059	Registered
BARBERS HAIRSTYLING FOR MEN & WOMEN INC / Raze	MINNEAPOLIS, MN	9/30/2011	603068	Registered
MMI BUSINESS BROKERS LLC	INDEPENDENCE, OH	9/30/2011	603065	Registered
ROOSTERS MGC INTERNATIONAL LLC	WARREN, MI	9/30/2011	603067	Registered
Supercuts, Inc.	MINNEAPOLIS, MN	9/30/2011	603066	Registered
TSS PHOTOGRAPHY INC	DULUTH, GA	9/30/2011	603069	Registered
AEFC INC	HILLSBOROUGH, NC	9/29/2011	603063	Registered
BRINKER INTERNATIONAL PAYROLL LP / Chili's Grill & Bar	DALLAS, TX	9/29/2011	603064	Registered
YOUNG MASTERS LLC	DULUTH, GA	9/29/2011	603062	Registered
MEDICAP PHARMACIES INC	EARTH CITY, MO	9/28/2011	603055	Registered
MEDICINE SHOPPE INTERNATIONAL INC	Dublin, OH	9/28/2011	603053	Registered
MTOCLEAN INC	INDEPENDENCE, OH	9/28/2011	603056	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 9/19/2011 through 9/30/2011**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Security Franchisors, LLC / Security 101	West Palm Beach, FL	9/28/2011	603058	Registered
Fox's Pizza Den Inc.	Murrysville, PA	9/27/2011	603048	Registered
CherryBerry Enterprises, LLC	Broken Arrow, OK	9/27/2011	603051	Registered
NITE TIME DECOR INC	LUBBOCK, TX	9/27/2011	603052	Incomplete
AKT Business Services	Lake Mills, WI	9/26/2011	603038	Registered
NATURAL AWAKENINGS PUBLISHING CORP	NAPLES, FL	9/26/2011	603043	Registered
PLANET BEACH FRANCHISING CORPORATION	Marrero, LA	9/23/2011	603036	Registered
BGM Holdings, Inc. / Marshall Face2Face	Encino, CA	9/23/2011	603045	Registered
E & I Holdings, Inc.	Lone Tree, CO	9/23/2011	603044	Registered
Nobel House, LLC / Masala Jack's	Chesterton, IN	9/23/2011	603042	Registered
Zerorez Franchising Systems, Inc.	Murray, UT	9/22/2011	603033	Registered
JIFFY LUBE INTERNATIONAL INC	HOUSTON, TX	9/22/2011	603040	Registered
ADVANCED FRESH CONCEPTS FRANCHISE CORP	RANCHO DOMINGUEZ, CA	9/21/2011	603034	Registered
FANTASTIC SAMS SALONS CORP	BEVERLY, MA	9/20/2011	603028	Registered
FRONTIER ADJUSTERS INC	PHOENIX, AZ	9/19/2011	603031	Registered
REMEDY INTELLIGENT STAFFING INC	SANTA BARBARA, CA	9/19/2011	603032	Registered
Number of Franchise Filings: 29				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	