

**WI Securities Law and Franchise Law Filings
for 9/12/2011 through 9/23/2011**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PINEBRIDGE MUTUAL FUNDS PINEBRIDGE US 25 EQUITY FUND CLASS 1	MILWAUKEE, WI	9/19/2011	00619506-03	Pending-Cleared
PINEBRIDGE MUTUAL FUNDS PINEBRIDGE US 25 EQUITY FUND CLASS R	MILWAUKEE, WI	9/19/2011	00619507-03	Pending-Cleared
Number of Investment Company Filings: 2				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CENTROSE LLC	MADISON, WI	9/12/2011	00619392-07	Filed
Number of Regulation D Notice Filings: 1				