

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 9/12/2011 through 9/23/2011**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
UNITED CHURCH OF CHRIST CORNERSTONE FUND INC	CLEVELAND, OH	9/19/2011	00619496-02	Registered
UNITED CHURCH OF CHRIST CORNERSTONE FUND INC	CLEVELAND, OH	9/19/2011	00619497-02	Registered
GWG HOLDINGS INC	MINNEAPOLIS, MN	9/12/2011	00619375-01	Pending
Number of Registration Filings: 3				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
RIVER CHRISTIAN COMMUNITY INC	OAKDALE, CA	9/19/2011	00619498-08	Pending
BISON JACKS IP LLC	TBD,	9/13/2011	00619325-79	Pending
Number of Exemption Filings: 2				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 3126 INTERNATIONAL CAPITAL STRENGTH PORTFOLIO SERIES 9	WHEATON, IL	9/20/2011	00619623-05	Pending-Cleared
FT 3126 SMID CAPITAL STRENGTH PORTFOLIO SERIES 9	WHEATON, IL	9/20/2011	00619624-05	Pending-Cleared
FT 3129 STRATEGIC INCOME ADVANTAGE SELECT CLOSED -END PORTFOLIO SERIES 27	WHEATON, IL	9/20/2011	00619622-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS 818 GUGGENHEIM HIGH DIVIDEND & GROWTH BLUEPRINTS SELECT PORTFOLIO SERIES 2	LISLE, IL	9/20/2011	00619625-05	Pending-Cleared
FT 3124 BALANCED INCOME PORTFOLIO SERIES 30	WHEATON, IL	9/19/2011	00619500-05	Pending-Cleared
FT 3127 STRATEGIC DIVIDEND PLUS PORTFOLIO SERIES 4	WHEATON, IL	9/19/2011	00619499-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 9/12/2011 through 9/23/2011**

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
GUGGENHEIM DEFINED PORTFOLIOS 817 GUGGENHEIM BULLETSHARES 2011-2017 CORPORATE BOND LADDER PORTFOLIO OF ETFs SERIES 2	LISLE, IL	9/19/2011	00619513-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS, SERIES 736 GUGGENHEIM SHORT DURATION HIGH YIELD TR SERIES 8	LISLE, IL	9/19/2011	00619511-05	Pending-Cleared
PINEBRIDGE MUTUAL FUNDS PINEBRIDGE US 25 EQUITY FUND CLASS 1	MILWAUKEE, WI	9/19/2011	00619506-03	Pending-Cleared
PINEBRIDGE MUTUAL FUNDS PINEBRIDGE US 25 EQUITY FUND CLASS R	MILWAUKEE, WI	9/19/2011	00619507-03	Pending-Cleared
SARATOGA ADVANTAGE TR JAMES ALPHA GLOBAL REAL ESTATE INVESTMENTS PORTFOLIO CLASS A SHARES	HAUPPAUGE, NY	9/19/2011	00619503-03	Registered
SCOUT FUNDS SCOUT UNCONSTRAINED BOND FUND	KANSAS CITY, MO	9/19/2011	00619502-03	Pending-Cleared
SMART TR VALUE ARCHITECTS DISCIPLINED CORE PORTFOLIO TR 2011 SERIES 1	PARSIPPANY, NJ	9/19/2011	00619512-05	Pending-Cleared
TEMPLETON GLOBAL INVESTMENT TR TEMPLETON GLOBAL BALANCED FUND CLASS A	SAN MATEO, CA	9/19/2011	00619508-03	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1015 INVESTMENT GRADE MUNICIPAL TR SERIES 133	OAKBROOK, IL	9/19/2011	00619559-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1016 INVESTMENT GRADE MUNICIPAL TR SERIES 134	OAKBROOK, IL	9/19/2011	00619558-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1017 INVESTMENT GRADE MUNICIPAL TR INTERMEDIATE SERIES 25	OAKBROOK, IL	9/19/2011	00619561-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 9/12/2011 through 9/23/2011**

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1018 INVESTMENT GRADE MUNICIPAL TR INTERMEDIATE SERIES 26	OAKBROOK, IL	9/19/2011	00619560-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1019 INVESTORS QUALITY TX EX TR SERIES 149	OAKBROOK, IL	9/19/2011	00619563-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1020 INVESTORS QUALITY TX EX TR SERIES 150	OAKBROOK, IL	9/19/2011	00619562-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 351 INVESTMENT GRADE INCOME TR 10-20 YEAR SERIES 11	OAKBROOK, IL	9/19/2011	00619565-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 352 INVESTMENT GRADE INCOME TR 10-20 YEAR SERIES 12	OAKBROOK, IL	9/19/2011	00619564-05	Pending-Cleared
MFS SERIES TR 10 MFS EMERGING MARKETS DEBT LOCAL CURRENCY FUND CLASS A SHARES	BOSTON, MA	9/14/2011	00619046-03	Pending-Cleared
MFS SERIES TR 10 MFS EMERGING MARKETS DEBT LOCAL CURRENCY FUND CLASS B SHARES	BOSTON, MA	9/14/2011	00619045-03	Pending-Cleared
MFS SERIES TR 10 MFS EMERGING MARKETS DEBT LOCAL CURRENCY FUND CLASS R3 SHARES	BOSTON, MA	9/14/2011	00619052-03	Pending-Cleared
MFS SERIES TR 10 MFS EMERGING MARKETS DEBT LOCAL CURRENCY FUND CLASS R2 SHARES	BOSTON, MA	9/14/2011	00619050-03	Pending-Cleared
MFS SERIES TR 10 MFS EMERGING MARKETS DEBT LOCAL CURRENCY FUND CLASS C SHARES	BOSTON, MA	9/14/2011	00619047-03	Pending-Cleared
MFS SERIES TR 10 MFS EMERGING MARKETS DEBT LOCAL CURRENCY FUND CLASS R4 SHARES	BOSTON, MA	9/14/2011	00619049-03	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 9/12/2011 through 9/23/2011**

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
MFS SERIES TR 10 MFS EMERGING MARKETS DEBT LOCAL CURRENCY FUND CLASS R1 SHARES	BOSTON, MA	9/14/2011	00619051-03	Pending-Cleared
MFS SERIES TR 10 MFS EMERGING MARKETS DEBT LOCAL CURRENCY FUND CLASS I SHARES	BOSTON, MA	9/14/2011	00619048-03	Pending-Cleared
ADVISORS CORPORATE TR NAVELLIER/DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 36	MONUMENT, CO	9/13/2011	00619409-05	Pending-Cleared
DIVIDEND INCOME VALUE STRATEGY PORTFOLIO SERIES 2011-3Q	MONUMENT, CO	9/13/2011	00619403-05	Pending-Cleared
FT 3122 JAPAN PORTFOLIO SERIES 10	WHEATON, IL	9/13/2011	00619411-05	Pending-Cleared
FT 3152 ABC PORTFOLIO SERIES 3	WHEATON, IL	9/13/2011	00619408-05	Pending-Cleared
FT 3153 AGGRESSIVE PREFERRED SECURITIES PORTFOLIO SERIES 11	WHEATON, IL	9/13/2011	00619401-05	Pending-Cleared
FT 3154 BAKKEN SHALE PORTFOLIO SERIES 3	WHEATON, IL	9/13/2011	00619406-05	Pending-Cleared
FT 3173 MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 31	WHEATON, IL	9/13/2011	00619407-05	Pending-Cleared
GLOBAL BASIC MATERIALS PORTFOLIO SRIES 2011-2	MONUMENT, CO	9/13/2011	00619404-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIO 815 DOW JONES VALUE RBP DIVIDEND FOCUS PORTFOLIO SERIES 6	LISLE, IL	9/13/2011	00619410-05	Pending-Cleared
PERONI GROWTH PORTFOLIO AUTUMN 2011 SERIES	MONUMENT, CO	9/13/2011	00619405-05	Pending-Cleared
PREFERRED INCOME OPPORTUNITIES PORTFOLIO 2 YR SERIES 2011-2	MONUMENT, CO	9/13/2011	00619402-05	Pending-Cleared
1ST TR COMBINED SERIES 378 TX EX MUNICIPAL INCOME TR SERIES 270	WHEATON, IL	9/12/2011	00619387-05	Pending-Cleared
FIDELITY CONCORD STREET TR SPARTAN EXTENDED MARKET INDEX FUND FIDELITY ADVANTAGE INSTITUTIONAL CLASS	BOSTON, MA	9/12/2011	00619038-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 9/12/2011 through 9/23/2011**

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FIDELITY CONCORD STREET TR SPARTAN INTERNATIONAL INDEX FUND FIDELITY ADVANTAGE INSTITUTIONAL CLASS	BOSTON, MA	9/12/2011	00619037-03	Registered
FIDELITY CONCORD STREET TR SPARTAN INTERNATIONAL INDEX FUND INSTITUTIONAL CLASS	BOSTON, MA	9/12/2011	00619039-03	Registered
FIDELITY CONCORD STREET TR SPARTAN TOTAL MARKET INDEX FUND FIDELITY ADVANTAGE INSTITUTIONAL CLASS	BOSTON, MA	9/12/2011	00619035-03	Registered
FIDELITY CONCORD STREET TR SPARTAN TOTAL MARKET INDEX FUND INSTITUTIONAL CLASS	BOSTON, MA	9/12/2011	00619036-03	Registered
FIDELITY FIXED INCOME TR SPARTAN EMERGING MARKETS INDEX FUND SEMIF INSTITUTIONAL CLASS	BOSTON, MA	9/12/2011	00619021-03	Registered
FIDELITY FIXED INCOME TR SPARTAN EMERGING MARKETS INDEX FUND SPARTAN EMERGING MARKETS INDEX FUND FIDELITY ADVANTAGE CLASS	BOSTON, MA	9/12/2011	00619028-03	Registered
FIDELITY FIXED INCOME TR SPARTAN EMERGING MARKETS INDEX FUND SEMIF INVESTOR CLASS	BOSTON, MA	9/12/2011	00619019-03	Registered
FIDELITY FIXED INCOME TR SPARTAN EMERGING MARKETS INDEX FUND SEMIF FIDELITY ADVANTAGE	BOSTON, MA	9/12/2011	00619016-03	Registered
FIDELITY FIXED INCOME TR SPARTAN GLOBAL EX US INDEX FUND SGEUIF FIDELITY ADVANTAGE CLASS	BOSTON, MA	9/12/2011	00619024-03	Registered
FIDELITY FIXED INCOME TR SPARTAN GLOBAL EX US INDEX FUND SGEUIF INVESTOR CLASS	BOSTON, MA	9/12/2011	00619032-03	Registered
FIDELITY FIXED INCOME TR SPARTAN GLOBAL EX US INDEX FUND SGEUIF FIDELITY ADVANTAGE	BOSTON, MA	9/12/2011	00619030-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 9/12/2011 through 9/23/2011**

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FIDELITY FIXED INCOME TR SPARTAN GLOBAL EX US INDEX FUND SGEUIF INSTITUTIONAL CLASS	BOSTON, MA	9/12/2011	00619026-03	Registered
FIDELITY FIXED INCOME TR SPARTAN MID CAP INDEX FUND SMCIF INVESTOR CLASS	BOSTON, MA	9/12/2011	00619017-03	Registered
FIDELITY FIXED INCOME TR SPARTAN MID CAP INDEX FUND SMCIF FIDELITY ADVANTAGE INSTITUTIONAL CLASS	BOSTON, MA	9/12/2011	00619020-03	Registered
FIDELITY FIXED INCOME TR SPARTAN MID CAP INDEX FUND SMCIF INSTITUTIONAL CLASS	BOSTON, MA	9/12/2011	00619023-03	Registered
FIDELITY FIXED INCOME TR SPARTAN MID CAP INDEX FUND SSMIF FIDELITY ADVANTAGE CLASS	BOSTON, MA	9/12/2011	00619018-03	Registered
FIDELITY FIXED INCOME TR SPARTAN REAL ESTATE INDEX FUND SREIF INVESTOR CLASS	BOSTON, MA	9/12/2011	00619025-03	Registered
FIDELITY FIXED INCOME TR SPARTAN REAL ESTATE INDEX FUND SREIF INSTITUTIONAL CLASS	BOSTON, MA	9/12/2011	00619029-03	Registered
FIDELITY FIXED INCOME TR SPARTAN REAL ESTATE INDEX FUND SREIF FIDELITY ADVANTAGE CLASS	BOSTON, MA	9/12/2011	00619027-03	Registered
FIDELITY FIXED INCOME TR SPARTAN SMALL CAP INDEX FUND SSCIF INSTITUTIONAL CLASS	BOSTON, MA	9/12/2011	00619022-03	Registered
FIDELITY FIXED INCOME TR SPARTAN SMALL CAP INDEX FUND SSCIF FIDELITY ADVANTAGE CLASS	BOSTON, MA	9/12/2011	00619033-03	Registered
FIDELITY FIXED INCOME TR SPARTAN SMALL CAP INDEX FUND SSCIF INVESTOR CLASS	BOSTON, MA	9/12/2011	00619031-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 9/12/2011 through 9/23/2011**

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FIDELITY FIXED INCOME TR SPARTAN SMALL CAP INDEX FUND SSCIF FIDELITY ADVANTAGE	BOSTON, MA	9/12/2011	00619034-03	Registered
FT 3123 DORSEY WRIGHT RELATIVE STRENGTH TOP 50 SERIES 3	WHEATON, IL	9/12/2011	00619388-05	Pending-Cleared
FT 3172 EQUITY CLOSED-END PORTFOLIO SERIES 17	WHEATON, IL	9/12/2011	00619386-05	Pending-Cleared
Number of Investment Company Filings: 68				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
GLOBAL INVESTOR SERVICES INC	OREM, UT	9/15/2011	00619457-07	Filed
KYTHERA BIOPHARMACEUTICALS INC	CALABASAS, CA	9/15/2011	00619459-07	Filed
SEER CAPITAL PARTNERS OFFSHORE FUND LTD	GEORGE TOWN GRAND CAYMAN,	9/15/2011	00619458-07	Filed
INNOCENTIVE INC	WALTHAM, MA	9/13/2011	00619400-07	Filed
SAB EQUITY PARTNERSHIP 3 LP	SAN ANTONIO, TX	9/13/2011	00619399-07	Filed
SAB EQUITY PARTNERSHIP LP	SAN ANOTONIO, TX	9/13/2011	00619398-07	Filed
ALTEGRIS LONG SHORT ALPHA FUND LP	LA JOLLA, CA	9/12/2011	00619393-07	Filed
BRG PETROLEUM LLC	TULSA, OK	9/12/2011	00619395-07	Filed
CENTROSE LLC	MADISON, WI	9/12/2011	00619392-07	Filed
CLEVELAND HEARTLAB INC	CLEVELAND, OH	9/12/2011	00619390-07	Filed
IBG LLC	GREENWICH, CT	9/12/2011	00619394-07	Filed
RESAAS SERVICES INC	VANCOUVER BC CANADA, BC	9/12/2011	00619389-07	Filed
US DEBT RECOVERY 10 LP	INCLINE VILLAGE, NV	9/12/2011	00619391-07	Filed
Number of Regulation D Notice Filings: 13				

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 9/12/2011 through 9/23/2011**

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
PLANET BEACH FRANCHISING CORPORATION	Marrero, LA	9/23/2011	603036	Registered
Zerorez Franchising Systems, Inc.	Murray, UT	9/22/2011	603033	Registered
ADVANCED FRESH CONCEPTS FRANCHISE CORP	RANCHO DOMINGUEZ, CA	9/21/2011	603034	Registered
FANTASTIC SAMS SALONS CORP	BEVERLY, MA	9/20/2011	603028	Registered
FRONTIER ADJUSTERS INC	PHOENIX, AZ	9/19/2011	603031	Registered
REMEDY INTELLIGENT STAFFING INC	SANTA BARBARA, CA	9/19/2011	603032	Registered
OnSight Solutions International, LLC / OnSight Solutions	Albany, NY	9/13/2011	603020	Registered
GOLDS GYM FRANCHISING LLC / Full Amenity program - Royalty Version	IRVING, TX	9/13/2011	603025	Registered
360BRANDS, INC. / 360clean	Charleston, SC	9/12/2011	603012	Registered
Number of Franchise Filings: 9				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
Number of Takeover Filings: 0	