

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/15/2011 through 8/26/2011**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
IRON EAGLE GROUP INC	NEW YORK, NY	8/15/2011	00617571-01	Registered
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FIRST TRUST COMBINED SERIES 376 BUILD AMERICA BONDS PORTFOLIO SERIES 39	WHEATON, IL	8/22/2011	00617896-05	Pending-Cleared
FT 3086 MARKET STRENGTH ALLOCATION SELECT PORTFOLIO SERIES 2	WHEATON, IL	8/22/2011	00617889-05	Pending-Cleared
FT 3095 GLOBAL INNCOME PLUS CLOSED END PORTFOLIO SERIES 22	WHEATON, IL	8/22/2011	00617895-05	Pending-Cleared
FT 3098 TECHNOLOGY SELECT PORTFOLIO SERIES 41	WHEATON, IL	8/22/2011	00617888-05	Pending-Cleared
FT 3110 SENIOR LOAN & LTD DURATION OPPORTUNITIES CLOSED END PORTFOLIO SERIES 8	WHEATON, IL	8/22/2011	00617891-05	Pending-Cleared
FT 3111 STRATEGIC INCOME CLOSED END PORTFOLIO SERIES 36	WHEATON, IL	8/22/2011	00617894-05	Pending-Cleared
FT 3112 DIVERSIFIED HIGH INCOME CLOSED END PORTFOLIO SERIES 3	WHEATON, IL	8/22/2011	00617892-05	Pending-Cleared
FT 3145 MUNICIPAL INCOME PLUS CLOSED END PORTFOLIO SERIES 19	WHEATON, IL	8/22/2011	00617893-05	Pending-Cleared
FT 3149 DEEP VALUE SUB 10 DIVIDEND PORTFOLIO SERIES	WHEATON, IL	8/22/2011	00617890-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/15/2011 through 8/26/2011**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GUGGENHEIM DEFINED PORTFOLIOS, DIVIDEND STRENGTH PORTFOLIO SERIES 6	LISLE, IL	8/22/2011	00617899-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS, SERIES 804 ENERGY PORTFOLIOS SERIES 12	LISLE, IL	8/22/2011	00617898-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS, SERIES 804 LARGE CAP CORE PORTFOLIO SERIES 16	LISLE, IL	8/22/2011	00617897-05	Pending-Cleared
INTERNATIONAL HIGH 30 DIVIDEND PORTFOLIO SERIES 2011 3Q	MONUMENT, CO	8/22/2011	00617900-05	Pending-Cleared
DRIEHAUS MUTUAL FUNDS DRIEHAUS EMERGING MARKETS SMALL CAP GROWTH FUND	CHICAGO, IL	8/18/2011	00617799-03	Registered
VAN KAMPEN UNIT TRUSTS, SERIES 1149; CLOSED-END STRATEGY MASTER MUNICIPAL INCOME PORTFOLIO NATIONAL SERIES 25	OAKBROOK, IL	8/18/2011	00617828-05	Pending-Cleared
VAN KAMPEN UNIT TRUSTS, SERIES 1149; CLOSED-END STRATEGY MASTER INCOME PORTFOLIO SERIES 29	OAKBROOK, IL	8/18/2011	00617827-05	Pending-Cleared
VAN KAMPEN UNIT TRUSTS, SERIES 1149; CLOSED-END STRATEGY COVERED CALL INCOME PORTFOLIO 2011-4	OAKBROOK, IL	8/18/2011	00617826-05	Pending-Cleared
VAN KAMPEN UNIT TRUSTS, SERIES 1149; CLOSED-END STRATEGY VALUE EQUITY & INCOME PORTFOLIO 2011-4	OAKBROOK, IL	8/18/2011	00617825-05	Pending-Cleared
VAN KAMPEN UNIT TRUSTS, SERIES 1150; S&P DIVIDEND GROWTH OPPORTUNITIES TRUST SERIES 26	OAKBROOK, IL	8/18/2011	00617824-05	Pending-Cleared
VAN KAMPEN UNIT TRUSTS, SERIES 1151; ENHANCED INDEX STRATEGIES PORTFOLIO 2011-4	OAKBROOK, IL	8/18/2011	00617836-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/15/2011 through 8/26/2011**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
VAN KAMPEN UNIT TRUSTS, SERIES 1151; S & P POWERPICKS PORTFOLIO 2011-4	OAKBROOK, IL	8/18/2011	00617835-05	Pending-Cleared
VAN KAMPEN UNIT TRUSTS, SERIES 1153; TURNAROUND STRATEGY PORTFOLIO 2011 A	OAKBROOK, IL	8/18/2011	00617834-05	Pending-Cleared
VAN KAMPEN UNIT TRUSTS, SERIES 1157; DEFENSIVE EQUITY PRECIOUS METALS & MINING PORTFOLIO 2011-4	OAKBROOK, IL	8/18/2011	00617833-05	Pending-Cleared
VAN KAMPEN UNIT TRUSTS, SERIES 1160; DEFENSIVE EQUITY & INCOME PORTFOLIO, SERIES 1	OAKBROOK, IL	8/18/2011	00617832-05	Pending-Cleared
FT 3096 STRATEGIC INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 6	WHEATON, IL	8/16/2011	00617604-05	Pending-Cleared
BLUE CHIP PORTFOLIO SERIES 2011 2	MONUMENT, CO	8/15/2011	00617574-05	Pending-Cleared
DOW VALUE TEN PORTFOLIO SERIES 2011 3Q	MONUMENT, CO	8/15/2011	00617573-05	Pending-Cleared
ENERGY OPPORTUNITIES PORTFOLIO SERIES 2011 2	MONUMENT, CO	8/15/2011	00617572-05	Pending-Cleared
FT 3078 STRATEGIC DIVIDEND SELECT PORTFOLIO SERIES 2	WHEATON, IL	8/15/2011	00617582-05	Pending-Cleared
FT 3081 EQUITY INCOME PORTFOLIO SERIES 31	WHEATON, IL	8/15/2011	00617578-05	Pending-Cleared
FT 3082 GLOBAL AGRICULTURE PORTFOLIO SERIES 18	WHEATON, IL	8/15/2011	00617586-05	Pending-Cleared
FT 3083 GLOBAL COMMODITIES PORTFOLIO SERIES 17	WHEATON, IL	8/15/2011	00617577-05	Pending-Cleared
FT 3084 GLOBAL HARD ASSETS PORTFOLIO SERIES 9	WHEATON, IL	8/15/2011	00617576-05	Pending-Cleared
FT 3085 NATURAL GAS PORTFOLIO SERIES 11	WHEATON, IL	8/15/2011	00617585-05	Pending-Cleared
FT 3092 COVERED CALL OPPORTUNITIES CLOSED END PORTFOLIO SERIES 6	WHEATON, IL	8/15/2011	00617581-05	Pending-Cleared
FT 3094 GLOBAL EQUITY INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 5	WHEATON, IL	8/15/2011	00617580-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/15/2011 through 8/26/2011**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 3109 MUNICIPAL ADVANTAGE CLOSED END AND ETF PORTFOLIO SERIES 7	WHEATON, IL	8/15/2011	00617583-05	Pending-Cleared
FT 3131 INTEREST RATE HEDGE PORTFOLIO SERIES 38	WHEATON, IL	8/15/2011	00617584-05	Pending-Cleared
FT 3144 MIDSTREAM ENERGY AND UTILITY PORTFOLIO SERIES	WHEATON, IL	8/15/2011	00617579-05	Pending-Cleared
MUTUAL FUND SERIES TR CATALYST MAP GLOBAL CAPITAL APPRECIATION FUND	WILLOW GROVE, PA	8/15/2011	00617589-03	Pending-Cleared
MUTUAL FUND SERIES TR CATALYST MAP GLOBAL TOTAL RETURN INCOME FUND	WILLOW GROVE, PA	8/15/2011	00617594-03	Pending-Cleared
MUTUAL FUND SERIES TR COMPASS EMP ALTERNATIVE STRATEGIES FUND	WILLOW GROVE, PA	8/15/2011	00617587-03	Pending-Cleared
NORTHERN LIGHTS FUND TR BANDON ISOLATED ALPHA FIXED INCOME FUND	HAUPPAUGE, NY	8/15/2011	00617588-03	Pending-Cleared
STEELPATH MLP FUNDS TR STEELPATH MLP ALPHA PLUS FUND CLASS I SHARES	DALLAS, TX	8/15/2011	00617590-03	Pending-Cleared
STEELPATH MLP FUNDS TR STEELPATH MLP ALPHA PLUS FUND CLASS C SHARES	DALLAS, TX	8/15/2011	00617591-03	Pending-Cleared
STEELPATH MLP FUNDS TR STEELPATH MLP ALPHA PLUS FUND CLASS A SHARES	DALLAS, TX	8/15/2011	00617592-03	Pending-Cleared
TACTICAL INCOME CLOSED END PORTFOLIO SERIES 2011 3	MONUMENT, CO	8/15/2011	00617575-05	Pending-Cleared
Number of Investment Company Filings: 47				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AMERICAN EQUITY REAL ESTATE FUND 11 LTD	PLANO, TX	8/19/2011	00617877-07	Filed
PROVIDENCE 7 PRIVATE INVESTORS LLC	NEW YORK, NY	8/19/2011	00617876-07	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/15/2011 through 8/26/2011**

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
TURNER INVESTMENT FUND 3 LLC	ATLANTA, GA	8/19/2011	00617875-07	Filed
DENOVO CAPITAL CORP	VANCOUVER V6C 2B5, BC	8/18/2011	00617830-07	Filed
FABCAB INC	SEATTLE, WA	8/18/2011	00617829-07	Filed
NANTAHALA CAPITAL OFFSHORE FUND LTD	STAMFORD, CT	8/18/2011	00617831-07	Filed
ROCAP MARKETING INC	LAS VEGAS, NV	8/18/2011	00617874-07	Filed
ENDOCLEAR LLC	PETOSKEY, MI	8/17/2011	00617647-07	Filed
FORWARD HEALTH GROUP INC	MADISON, WI	8/16/2011	00617601-07	Filed
VIKARTA INC	SAN RAMON, CA	8/16/2011	00617602-07	Filed
ABOUTONE LLC	MALVERN, PA	8/15/2011	00617569-07	Filed
Number of Regulation D Notice Filings: 11				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
OMEX INTERNATIONAL INC / OMEX	CAMP HILL, PA	8/26/2011	602971	Registered
ARCpoint Franchise Group, LLC. / ARCpoint	Greenville, SC	8/26/2011	602970	Registered
HUDDLE HOUSE INC	ATLANTA, GA	8/26/2011	602973	Registered
JACKSON HEWITT INC	PARSIPPANY, NJ	8/26/2011	602972	Registered
Bahama Buck's Franchisee Corp.	Lubbock, TX	8/25/2011	602975	Registered
MD PIZZA LLC	WILMINGTON, DE	8/25/2011	602974	Registered
Pure Barre Franchising, LLC	Edwards, CO	8/25/2011	602976	Registered
FIXRIM MOBILE WHEEL REPAIR LLC	DALLAS, TX	8/23/2011	602968	Registered
bottle & bottega, Inc.	Chicago, IL	8/22/2011	602964	Registered
Fabulous Floors Franchise, LLC	Mentor, OH	8/22/2011	602967	Registered
TMC FRANCHISE CORP / On the Run	TEMPE, AZ	8/22/2011	602963	Registered
Charter Fitness Franchise llc	Orland Park, IL	8/19/2011	602961	Registered
HEALTHSOURCE CHIROPRACTIC INC / Single Unit	VERMILLION, OH	8/19/2011	602962	Registered
DANCE WORKS OF WI LLC	PEWAUKEE, WI	8/18/2011	602960	Registered
Gutter-Vac, LLC / G-Vac	New York, NY	8/18/2011	602955	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/15/2011 through 8/26/2011**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Z PIZZA INTERNATIONAL INC	NEWPORT BEACH, CA	8/18/2011	602951	Registered
Fast-Teks, Inc. / Fast-Teks On-Site Computer Services	Tampa, FL	8/17/2011	602948	Registered
Mac Tools	Westerville, OH	8/17/2011	602958	Registered
SBARRO INC	MELVILLE, NY	8/17/2011	602957	Registered
FITNESS 1440, INC.	Delmont, PA	8/15/2011	602936	Registered
7212186 Canada, Inc. / Team Makers	Montreal, QC	8/15/2011	602946	Registered
Number of Franchise Filings: 21				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	