

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 8/8/2011 through 8/19/2011**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
IRON EAGLE GROUP INC	NEW YORK, NY	8/15/2011	00617571-01	Registered
DIVIDEND CAPITAL TOTAL REALTY TR INC	DENVER, CO	8/8/2011	00617464-01	Pending
Number of Registration Filings: 2				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
KEYSTONE CONSOLIDATED INDUSTRIES INC	DALLAS, TX	8/8/2011	00617525-08	Not Disallowed
Number of Exemption Filings: 1				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
DRIEHAUS MUTUAL FUNDS DRIEHAUS EMERGING MARKETS SMALL CAP GROWTH FUND	CHICAGO, IL	8/18/2011	00617799-03	Registered
VAN KAMPEN UNIT TRUSTS, SERIES 1149; CLOSED-END STRATEGY MASTER MUNICIPAL INCOME PORTFOLIO NATIONAL SERIES 25	OAKBROOK, IL	8/18/2011	00617828-05	Pending-Cleared
VAN KAMPEN UNIT TRUSTS, SERIES 1149; CLOSED-END STRATEGY MASTER INCOME PORTFOLIO SERIES 29	OAKBROOK, IL	8/18/2011	00617827-05	Pending-Cleared
VAN KAMPEN UNIT TRUSTS, SERIES 1149; CLOSED-END STRATEGY VALUE EQUITY & INCOME PORTFOLIO 2011-4	OAKBROOK, IL	8/18/2011	00617825-05	Pending-Cleared
VAN KAMPEN UNIT TRUSTS, SERIES 1149; CLOSED-END STRATEGY COVERED CALL INCOME PORTFOLIO 2011-4	OAKBROOK, IL	8/18/2011	00617826-05	Pending-Cleared

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<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
VAN KAMPEN UNIT TRUSTS, SERIES 1150; S&P DIVIDEND GROWTH OPPORTUNITIES TRUST SERIES 26	OAKBROOK, IL	8/18/2011	00617824-05	Pending-Cleared
VAN KAMPEN UNIT TRUSTS, SERIES 1151; ENHANCED INDEX STRATEGIES PORTFOLIO 2011-4	OAKBROOK, IL	8/18/2011	00617836-05	Pending-Cleared
VAN KAMPEN UNIT TRUSTS, SERIES 1151; S & P POWERPICKS PORTFOLIO 2011-4	OAKBROOK, IL	8/18/2011	00617835-05	Pending-Cleared
VAN KAMPEN UNIT TRUSTS, SERIES 1153; TURNAROUND STRATEGY PORTFOLIO 2011 A	OAKBROOK, IL	8/18/2011	00617834-05	Pending-Cleared
VAN KAMPEN UNIT TRUSTS, SERIES 1157; DEFENSIVE EQUITY PRECIOUS METALS & MINING PORTFOLIO 2011-4	OAKBROOK, IL	8/18/2011	00617833-05	Pending-Cleared
VAN KAMPEN UNIT TRUSTS, SERIES 1160; DEFENSIVE EQUITY & INCOME PORTFOLIO, SERIES 1	OAKBROOK, IL	8/18/2011	00617832-05	Pending-Cleared
FT 3096 STRATEGIC INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 6	WHEATON, IL	8/16/2011	00617604-05	Pending-Cleared
BLUE CHIP PORTFOLIO SERIES 2011 2	MONUMENT, CO	8/15/2011	00617574-05	Pending-Cleared
DOW VALUE TEN PORTFOLIO SERIES 2011 3Q	MONUMENT, CO	8/15/2011	00617573-05	Pending-Cleared
ENERGY OPPORTUNITIES PORTFOLIO SERIES 2011 2	MONUMENT, CO	8/15/2011	00617572-05	Pending-Cleared
FT 3078 STRATEGIC DIVIDEND SELECT PORTFOLIO SERIES 2	WHEATON, IL	8/15/2011	00617582-05	Pending-Cleared
FT 3081 EQUITY INCOME PORTFOLIO SERIES 31	WHEATON, IL	8/15/2011	00617578-05	Pending-Cleared
FT 3082 GLOBAL AGRICULTURE PORTFOLIO SERIES 18	WHEATON, IL	8/15/2011	00617586-05	Pending-Cleared
FT 3083 GLOBAL COMMODITIES PORTFOLIO SERIES 17	WHEATON, IL	8/15/2011	00617577-05	Pending-Cleared
FT 3084 GLOBAL HARD ASSETS PORTFOLIO SERIES 9	WHEATON, IL	8/15/2011	00617576-05	Pending-Cleared
FT 3085 NATURAL GAS PORTFOLIO SERIES 11	WHEATON, IL	8/15/2011	00617585-05	Pending-Cleared

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<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 3092 COVERED CALL OPPORTUNITIES CLOSED END PORTFOLIO SERIES 6	WHEATON, IL	8/15/2011	00617581-05	Pending-Cleared
FT 3094 GLOBAL EQUITY INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 5	WHEATON, IL	8/15/2011	00617580-05	Pending-Cleared
FT 3109 MUNICIPAL ADVANTAGE CLOSED END AND ETF PORTFOLIO SERIES 7	WHEATON, IL	8/15/2011	00617583-05	Pending-Cleared
FT 3131 INTEREST RATE HEDGE PORTFOLIO SERIES 38	WHEATON, IL	8/15/2011	00617584-05	Pending-Cleared
FT 3144 MIDSTREAM ENERGY AND UTILITY PORTFOLIO SERIES	WHEATON, IL	8/15/2011	00617579-05	Pending-Cleared
MUTUAL FUND SERIES TR CATALYST MAP GLOBAL CAPITAL APPRECIATION FUND	WILLOW GROVE, PA	8/15/2011	00617589-03	Pending-Cleared
MUTUAL FUND SERIES TR CATALYST MAP GLOBAL TOTAL RETURN INCOME FUND	WILLOW GROVE, PA	8/15/2011	00617594-03	Pending-Cleared
MUTUAL FUND SERIES TR COMPASS EMP ALTERNATIVE STRATEGIES FUND	WILLOW GROVE, PA	8/15/2011	00617587-03	Pending-Cleared
NORTHERN LIGHTS FUND TR BANDON ISOLATED ALPHA FIXED INCOME FUND	HAUPPAUGE, NY	8/15/2011	00617588-03	Pending-Cleared
STEELPATH MLP FUNDS TR STEELPATH MLP ALPHA PLUS FUND CLASS I SHARES	DALLAS, TX	8/15/2011	00617590-03	Pending-Cleared
STEELPATH MLP FUNDS TR STEELPATH MLP ALPHA PLUS FUND CLASS C SHARES	DALLAS, TX	8/15/2011	00617591-03	Pending-Cleared
STEELPATH MLP FUNDS TR STEELPATH MLP ALPHA PLUS FUND CLASS A SHARES	DALLAS, TX	8/15/2011	00617592-03	Pending-Cleared
TACTICAL INCOME CLOSED END PORTFOLIO SERIES 2011 3	MONUMENT, CO	8/15/2011	00617575-05	Pending-Cleared
BLACKROCK SERIES INC BLACKROCK INTERNATIONAL FUND R SHARES	WILMINGTON, DE	8/12/2011	00617566-03	Pending-Cleared

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 3093 DIVIDEND & INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 5	WHEATON, IL	8/12/2011	00617558-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 801 ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO SERIES 14	LISLE, IL	8/12/2011	00617559-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 803 GLOBAL AGRICULTURE PORTFOLIO SERIES 12	LISLE, IL	8/12/2011	00617560-05	Pending-Cleared
COLUMBIA ACORN TR COLUMBIA ACORN INTERNATIONAL CLASS R	CHICAGO, IL	8/11/2011	00617547-03	Pending-Cleared
COLUMBIA ACORN TR COLUMBIA ACORN INTERNATIONAL CLASS R5	CHICAGO, IL	8/11/2011	00617548-03	Pending-Cleared
EAGLE GROWTH & INCOME FUND CLASS R 6 SHARES	ST. PETERSBURG, FL	8/11/2011	00617549-03	Registered
EAGLE SERIES TR EAGLE MID CAP GROWTH FUND CLASS R 6 SHARES	ST. PETERSBURG, FL	8/11/2011	00617550-03	Registered
EAGLE SERIES TR EAGLE MID CAP STOCK FUND CLASS R 6 SHARES	ST. PETERSBURG, FL	8/11/2011	00617552-03	Registered
EAGLE SERIES TR EAGLE SMALL CAP CORE VALUE FUND CLASS R 6 SHARES	ST. PETERSBURG, FL	8/11/2011	00617553-03	Registered
EAGLE SERIES TR EAGLE SMALL CAP GROWTH FUND CLASS R 6 SHARES	ST. PETERSBURG, FL	8/11/2011	00617551-03	Registered
MAIRS & POWER FUNDS TR M&P SMALL CAP FUND	MILWAUKEE, WI	8/11/2011	00617528-03	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1009 QUALITY MUNICIPALS INCOME TR 49TH LTD MATURITY SERIES	OAKBROOK, IL	8/11/2011	00617538-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1010 QUALITY MUNICIPALS INCOME TR 49TH LTD MATURITY SERIES	OAKBROOK, IL	8/11/2011	00617537-05	Pending-Cleared

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VAN KAMPEN UNIT TR MUNICIPAL SERIES 1011 INVESTMENT GRADE MUNICIPAL TR SERIES 131	OAKBROOK, IL	8/11/2011	00617536-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1012 INVESTMENT GRADE MUNICIPAL TR SERIES 132	OAKBROOK, IL	8/11/2011	00617535-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1013 INVESTORS QUALITY TX EX TR SERIES 147	OAKBROOK, IL	8/11/2011	00617534-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1014 INVESTORS QUALITY TX EX TR SERIES 148	OAKBROOK, IL	8/11/2011	00617533-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 346 INVESTMENT GRADE INCOME TR 10 20 YEAR SERIES 9	OAKBROOK, IL	8/11/2011	00617532-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 347 INVESTMENT GRADE INCOME TR 10 20 YEAR SERIES 10	OAKBROOK, IL	8/11/2011	00617531-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 349 INVESTMENT GRADE INCOME TR 7 PLUS YEAR SERIES 10	OAKBROOK, IL	8/11/2011	00617529-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 349 INVESTMENT GRADE INCOME TR 7 PLUS YEAR SERIES 9	OAKBROOK, IL	8/11/2011	00617530-05	Pending-Cleared
FT 3077 MEGA CAP PORTFOLIO SERIES 20	WHEATON, IL	8/10/2011	00617496-05	Pending-Cleared
FT 3091 BALANCED INCOME SELECT PORTFOLIO SERIES 21	WHEATON, IL	8/10/2011	00617497-05	Pending-Cleared
GLOBAL COVERED CALL & INCOME STRATEGIC CLOSED END PORTFOLIO SERIES 2011 2	MONUMENT, CO	8/10/2011	00617495-05	Pending-Cleared
HANCOCK JOHN FUNDS 3 HANCOCK JOHN DISCIPLINED VALUE MID CAP FUND CLASS C	BOSTON, MA	8/10/2011	00617508-03	Registered

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AMERICAN CENTURY CAPITAL PORTFOLIOS INC AMERICAN CENTURY GLOBAL REAL ESTATE FUND R CLASS	KANSAS CITY, MO	8/9/2011	00617512-03	Registered
AMERICAN CENTURY CAPITAL PORTFOLIOS INC AMERICAN CENTURY GLOBAL REAL ESTATE FUND C CLASS	KANSAS CITY, MO	8/9/2011	00617511-03	Registered
AMERICAN CENTURY CAPITAL PORTFOLIOS INC AMERICAN CENTURY GLOBAL REAL ESTATE FUND INSTITUTIONAL CLASS	KANSAS CITY, MO	8/9/2011	00617513-03	Registered
AMERICAN CENTURY CAPITAL PORTFOLIOS INC AMERICAN CENTURY GLOBAL REAL ESTATE FUND A CLASS	KANSAS CITY, MO	8/9/2011	00617510-03	Registered
AMERICAN CENTURY CAPITAL PORTFOLIOS INC AMERICAN CENTURY GLOBAL REAL ESTATE FUND INVESTOR CLASS	KANSAS CITY, MO	8/9/2011	00617509-03	Registered
FRONTEGRA FUNDS INC LOCKWELL SMALL CAP VALUE FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	8/8/2011	00617449-03	Pending-Cleared
FT 3074 MUNICIPAL INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 5	WHEATON, IL	8/8/2011	00617450-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 728 GUGGENHEIM INTERMEDIATE INVESTMENT GRADE CORPORATE TR SERIES 30	LISLE, IL	8/8/2011	00617456-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 800 CLOSED END CORPORATE HIGH YIELD & INCOME PORTFOLIO SERIES 17	LISLE, IL	8/8/2011	00617454-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 800 CLOSED END EQUITY & INCOME PORTFOLIO SERIES 30	LISLE, IL	8/8/2011	00617453-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 800 STRATEGIC INCOME PORTFOLIO SERIES 53	LISLE, IL	8/8/2011	00617455-05	Pending-Cleared

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
GUGGENHEIM DEFINED PORTFOLIOS SERIES 802 GUGGENHEIM DEFENSIVE EQUITY PORTFOLIO SERIES 3	LISLE, IL	8/8/2011	00617451-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 802 GUGGENHEIM BULLISH EQUITY PORTFOLIO SERIES 3	LISLE,	8/8/2011	00617452-05	Pending-Cleared
NORTHERN LIGHTS FUND TR ASTOR LONG SHORT ETF FUND CLASS C	HAUPPAUGE, NY	8/8/2011	00617463-03	Pending-Cleared
NORTHERN LIGHTS FUND TR PSI MARKET NEUTRAL FUND CLASS A SHARES	HAUPPAUGE, NY	8/8/2011	00617462-03	Pending-Cleared
NORTHERN LIGHTS FUND TR PSI STRATEGIC GROWTH FUND CLASS A SHARES	HAUPPAUGE, NY	8/8/2011	00617461-03	Pending-Cleared
NORTHERN LIGHTS FUND TR PSI TACTICAL GROWTH FUND CLASS A SHARES	HAUPPAUGE, NY	8/8/2011	00617460-03	Pending-Cleared
NORTHERN LIGHTS FUND TR PSI TOTAL RETURN FUND CLASS A SHARES	HAUPPAUGE, NY	8/8/2011	00617459-03	Pending-Cleared
NORTHERN LIGHTS FUND TR RADY CONTRARIAN LONG SHORT FUND CLASS A SHARES	HAUPPAUGE, NY	8/8/2011	00617458-03	Pending-Cleared
NORTHERN LIGHTS FUND TR RADY CONTRARIAN LONG SHORT FUND CLASS I SHARES	HAUPPAUGE, NY	8/8/2011	00617457-03	Pending-Cleared
TOUCHSTONE FUNDS GROUP TR SMALL CAP VALUE FUND CLASS A	CINCINNATI, OH	8/8/2011	00617478-03	Pending-Cleared
Number of Investment Company Filings: 81				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
DENOVO CAPITAL CORP	VANCOUVER V6C 2B5, BC	8/18/2011	00617830-07	Filed
FABCAB INC	SEATTLE, WA	8/18/2011	00617829-07	Filed
NANTAHALA CAPITAL OFFSHORE FUND LTD	STAMFORD, CT	8/18/2011	00617831-07	Filed

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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ENDOCLEAR LLC	PETOSKEY, MI	8/17/2011	00617647-07	Filed
FORWARD HEALTH GROUP INC	MADISON, WI	8/16/2011	00617601-07	Filed
VIKARTA INC	SAN RAMON, CA	8/16/2011	00617602-07	Filed
ABOUTONE LLC	MALVERN, PA	8/15/2011	00617569-07	Filed
ABILENE EXCAVATION LLC	FULSHEAR, TX	8/12/2011	00617557-07	Filed
ANNAI SYSTEMS INC	SUNNYVALE, CA	8/12/2011	00617556-07	Filed
AVISTA CAPITAL PARTNERS 3 LP	NEW YORK, NY	8/12/2011	00617555-07	Filed
EUROPAC ENERGY 3 LLC	DENVER, CO	8/11/2011	00617540-07	Filed
PURPOSEENERGY INC	ARLINGTON, MA	8/11/2011	00617539-07	Filed
TCSB BANCORP INC	TRAVERSE CITY, MI	8/11/2011	00617554-07	Filed
2011 C 2 WELL DRILLING PROGRAM LP	BOWLING GREEN, KY	8/10/2011	00617501-07	Filed
CST HOLDING CORP	SANTA MONICA, CA	8/10/2011	00617498-07	Filed
MSSB TPG SPECIALTY LENDING ONSHORE FEEDER FUND	NEW YORK, NY	8/10/2011	00617500-07	Filed
RICE DRILLING B LLC	CANANSBURG, PA	8/10/2011	00617499-07	Filed
AEI FISHER 8 LLC	CHICAGO, IL	8/9/2011	00617480-07	Filed
PARADIGM EXPLORATION CO	ADDISON, TX	8/9/2011	00617479-07	Filed
HEALTHCARE ROYALTIES TRUST	NEW YORK, NY	8/8/2011	00617448-07	Filed
LOCATION BASED TECHNOLOGIES INC	IRVINE, CA	8/8/2011	00617447-07	Filed
PROTEGE PARTNERS LP	NEW YORK, NY	8/8/2011	00617446-07	Filed
Number of Regulation D Notice Filings: 22				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
DANCE WORKS OF WI LLC	PEWAUKEE, WI	8/18/2011	602960	Registered
Gutter-Vac, LLC / G-Vac	New York, NY	8/18/2011	602955	Registered
Z PIZZA INTERNATIONAL INC	NEWPORT BEACH, CA	8/18/2011	602951	Registered
Fast-Teks, Inc. / Fast-Teks On-Site Computer Services	Tampa, FL	8/17/2011	602948	Registered

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Mac Tools	Westerville, OH	8/17/2011	602958	Registered
SBARRO INC	MELVILLE, NY	8/17/2011	602957	Registered
FITNESS 1440, INC.	Delmont, PA	8/15/2011	602936	Registered
7212186 Canada, Inc. / Team Makers	Montreal, QC	8/15/2011	602946	Registered
GYM CONSULTING INC	SHERMAN OAKS, CA	8/11/2011	602942	Registered
HRG AFFILIATES LLC	STAMFORD, CT	8/11/2011	602940	Registered
CITY WIDE FRANCHISE CO INC	LENEXA, KS	8/10/2011	602939	Registered
GODFATHERS PIZZA INC	OMAHA, NE	8/10/2011	602933	Registered
SUPER LUBE FRANCHISE LLC	TALLAHASSEE, FL	8/10/2011	602934	Registered
FIREHOUSE OF AMERICA LLC / Area Representative Franchise	JACKSONVILLE, FL	8/9/2011 4	602925	Registered
1 800 GOT JUNK LLC	VANCOUVER V6E 2E9, BC	8/9/2011	602935	Registered
COMPUTERTOTS INC	CYPRESS, TX	8/9/2011	602938	Registered
MAD SCIENCE GROUP	MONTREAL, QC	8/8/2011	602931	Registered
SHAKEYS USA INC	ALHAMBRA, CA	8/8/2011	602930	Registered
Yogurtland Franchising, Inc.	Anaheim, CA	8/8/2011	602932	Registered
Number of Franchise Filings: 19				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
Number of Takeover Filings: 0	