

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/1/2011 through 8/12/2011**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
DIVIDEND CAPITAL TOTAL REALTY TR INC	DENVER, CO	8/8/2011	00617464-01	Pending
MORNING STAR CHURCH	DARDENNE PRAIRIE, MO	8/3/2011	00617428-02	Pending
PALADIN REALTY INCOME PROPERTIES INC	LOS ANGELES, CA	8/3/2011	00617366-01	Pending
Number of Registration Filings: 3				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
KEYSTONE CONSOLIDATED INDUSTRIES INC	DALLAS, TX	8/8/2011	00617525-08	Not Disallowed
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 3093 DIVIDEND & INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 5	WHEATON, IL	8/12/2011	00617558-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 801 ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO SERIES 14	LISLE, IL	8/12/2011	00617559-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 803 GLOBAL AGRICULTURE PORTFOLIO SERIES 12	LISLE, IL	8/12/2011	00617560-05	Pending-Cleared
COLUMBIA ACORN TR COLUMBIA ACORN INTERNATIONAL CLASS R	CHICAGO, IL	8/11/2011	00617547-03	Pending-Cleared
COLUMBIA ACORN TR COLUMBIA ACORN INTERNATIONAL CLASS R5	CHICAGO, IL	8/11/2011	00617548-03	Pending-Cleared
EAGLE GROWTH & INCOME FUND CLASS R 6 SHARES	ST. PETERSBURG, FL	8/11/2011	00617549-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
EAGLE SERIES TR EAGLE MID CAP GROWTH FUND CLASS R 6 SHARES	ST. PETERSBURG, FL	8/11/2011	00617550-03	Registered
EAGLE SERIES TR EAGLE MID CAP STOCK FUND CLASS R 6 SHARES	ST. PETERSBURG, FL	8/11/2011	00617552-03	Registered
EAGLE SERIES TR EAGLE SMALL CAP CORE VALUE FUND CLASS R 6 SHARES	ST. PETERSBURG, FL	8/11/2011	00617553-03	Registered
EAGLE SERIES TR EAGLE SMALL CAP GROWTH FUND CLASS R 6 SHARES	ST. PETERSBURG, FL	8/11/2011	00617551-03	Registered
MAIRS & POWER FUNDS TR M&P SMALL CAP FUND	MILWAUKEE, WI	8/11/2011	00617528-03	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1009 QUALITY MUNICIPALS INCOME TR 49TH LTD MATURITY SERIES	OAKBROOK, IL	8/11/2011	00617538-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1010 QUALITY MUNICIPALS INCOME TR 49TH LTD MATURITY SERIES	OAKBROOK, IL	8/11/2011	00617537-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1011 INVESTMENT GRADE MUNICIPAL TR SERIES 131	OAKBROOK, IL	8/11/2011	00617536-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1012 INVESTMENT GRADE MUNICIPAL TR SERIES 132	OAKBROOK, IL	8/11/2011	00617535-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1013 INVESTORS QUALITY TX EX TR SERIES 147	OAKBROOK, IL	8/11/2011	00617534-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1014 INVESTORS QUALITY TX EX TR SERIES 148	OAKBROOK, IL	8/11/2011	00617533-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 346 INVESTMENT GRADE INCOME TR 10 20 YEAR SERIES 9	OAKBROOK, IL	8/11/2011	00617532-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 347 INVESTMENT GRADE INCOME TR 10 20 YEAR SERIES 10	OAKBROOK, IL	8/11/2011	00617531-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 349 INVESTMENT GRADE INCOME TR 7 PLUS YEAR SERIES 10	OAKBROOK, IL	8/11/2011	00617529-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 349 INVESTMENT GRADE INCOME TR 7 PLUS YEAR SERIES 9	OAKBROOK, IL	8/11/2011	00617530-05	Pending-Cleared
FT 3077 MEGA CAP PORTFOLIO SERIES 20	WHEATON, IL	8/10/2011	00617496-05	Pending-Cleared
FT 3091 BALANCED INCOME SELECT PORTFOLIO SERIES 21	WHEATON, IL	8/10/2011	00617497-05	Pending-Cleared
GLOBAL COVERED CALL & INCOME STRATEGIC CLOSED END PORTFOLIO SERIES 2011 2	MONUMENT, CO	8/10/2011	00617495-05	Pending-Cleared
HANCOCK JOHN FUNDS 3 HANCOCK JOHN DISCIPLINED VALUE MID CAP FUND CLASS C	BOSTON, MA	8/10/2011	00617508-03	Pending-Cleared
AMERICAN CENTURY CAPITAL PORTFOLIOS INC AMERICAN CENTURY GLOBAL REAL ESTATE FUND R CLASS	KANSAS CITY, MO	8/9/2011	00617512-03	Registered
AMERICAN CENTURY CAPITAL PORTFOLIOS INC AMERICAN CENTURY GLOBAL REAL ESTATE FUND INSTITUTIONAL CLASS	KANSAS CITY, MO	8/9/2011	00617513-03	Registered
AMERICAN CENTURY CAPITAL PORTFOLIOS INC AMERICAN CENTURY GLOBAL REAL ESTATE FUND A CLASS	KANSAS CITY, MO	8/9/2011	00617510-03	Registered
AMERICAN CENTURY CAPITAL PORTFOLIOS INC AMERICAN CENTURY GLOBAL REAL ESTATE FUND INVESTOR CLASS	KANSAS CITY, MO	8/9/2011	00617509-03	Registered
AMERICAN CENTURY CAPITAL PORTFOLIOS INC AMERICAN CENTURY GLOBAL REAL ESTATE FUND C CLASS	KANSAS CITY, MO	8/9/2011	00617511-03	Registered
FRONTEGRA FUNDS INC LOCKWELL SMALL CAP VALUE FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	8/8/2011	00617449-03	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
FT 3074 MUNICIPAL INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 5	WHEATON, IL	8/8/2011	00617450-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 728 GUGGENHEIM INTERMEDIATE INVESTMENT GRADE CORPORATE TR SERIES 30	LISLE, IL	8/8/2011	00617456-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 800 CLOSED END CORPORATE HIGH YIELD & INCOME PORTFOLIO SERIES 17	LISLE, IL	8/8/2011	00617454-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 800 CLOSED END EQUITY & INCOME PORTFOLIO SERIES 30	LISLE, IL	8/8/2011	00617453-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 800 STRATEGIC INCOME PORTFOLIO SERIES 53	LISLE, IL	8/8/2011	00617455-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 802 GUGGENHEIM DEFENSIVE EQUITY PORTFOLIO SERIES 3	LISLE, IL	8/8/2011	00617451-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 802 GUGGENHEIM BULLISH EQUITY PORTFOLIO SERIES 3	LISLE, IL	8/8/2011	00617452-05	Pending-Cleared
NORTHERN LIGHTS FUND TR ASTOR LONG SHORT ETF FUND CLASS C	HAUPPAUGE, NY	8/8/2011	00617463-03	Pending-Cleared
NORTHERN LIGHTS FUND TR PSI MARKET NEUTRAL FUND CLASS A SHARES	HAUPPAUGE, NY	8/8/2011	00617462-03	Pending-Cleared
NORTHERN LIGHTS FUND TR PSI STRATEGIC GROWTH FUND CLASS A SHARES	HAUPPAUGE, NY	8/8/2011	00617461-03	Pending-Cleared
NORTHERN LIGHTS FUND TR PSI TACTICAL GROWTH FUND CLASS A SHARES	HAUPPAUGE, NY	8/8/2011	00617460-03	Pending-Cleared
NORTHERN LIGHTS FUND TR PSI TOTAL RETURN FUND CLASS A SHARES	HAUPPAUGE, NY	8/8/2011	00617459-03	Pending-Cleared

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NORTHERN LIGHTS FUND TR RADY CONTRARIAN LONG SHORT FUND CLASS A SHARES	HAUPPAUGE, NY	8/8/2011	00617458-03	Pending-Cleared
NORTHERN LIGHTS FUND TR RADY CONTRARIAN LONG SHORT FUND CLASS I SHARES	HAUPPAUGE, NY	8/8/2011	00617457-03	Pending-Cleared
TOUCHSTONE FUNDS GROUP TR SMALL CAP VALUE FUND CLASS A	CINCINNATI, OH	8/8/2011	00617478-03	Pending-Cleared
FINANCIAL INVESTORS TR ASPEN MANAGED FUTURES STRATEGY FUND CLASS A SHARES	DENVER, CO	8/4/2011	00617437-03	Registered
FINANCIAL INVESTORS TR ASPEN MANAGED FUTURES STRATEGY FUND CLASS I SHARES	DENVER, CO	8/4/2011	00617438-03	Registered
FIRST TR COMBINED SERIES 372 BUILD AMERICA BONDS PORTFOLIO SERIES 38	WHEATON, IL	8/4/2011	00617407-05	Pending-Cleared
FIRST TR COMBINED SERIES 373 TAX EXEMPT MUNICIPAL INCOME TR SERIES 269	WHEATON, IL	8/4/2011	00617405-05	Pending-Cleared
FT 3063 DIVERSIFIED INCOME & GROWTH PORTFOLIO FALL 2011 SERIES	WHEATON, IL	8/4/2011	00617406-05	Pending-Cleared
FT 3073 DORSEY WRIGHT RELATIVE STRENGTH DIVIDEND PORTFOLIO SERIES 2	WHEATON, IL	8/4/2011	00617412-05	Pending-Cleared
FT 3075 DIVIDEND STRENGTH PORTFOLIO SERIES 11	WHEATON, IL	8/4/2011	00617410-05	Pending-Cleared
FT 3076 GLOBAL DIVIDEND PORTFOLIO SERIES 26	WHEATON, IL	8/4/2011	00617413-05	Pending-Cleared
FT 3090 HIGH YIELD INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 5	WHEATON, IL	8/4/2011	00617411-05	Pending-Cleared
FT 3099 AUTOMATED QUANTITATIVE ANALYSIS AQA PORTFOLIO SERIES 3	WHEATON, IL	8/4/2011	00617408-05	Pending-Cleared
FT 3107 GREATER CHINA PORTFOLIO SERIES 27	WHEATON, IL	8/4/2011	00617409-05	Pending-Cleared
PIONEER SERIES TR VI PIONEER FLOATING RATE FUND CLASS Z SHARES	BOSTON, MA	8/4/2011	00617439-03	Registered

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TORRAY FUND TORRAY RESOLUTE FUND	BETHESDA, MD	8/4/2011	00617440-03	Registered
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1002 INVESTMENT GRADE MUNICIPAL TR INTERMEDIATE SERIES 24	OAKBROOK, IL	8/4/2011	00617416-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1138 SELECT 10 INDUSTRIAL PORTFOLIO 2011 5	OAKBROOK, IL	8/4/2011	00617419-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1140 CONSERVATIVE INCOME ALLOCATION PORTFOLIO SERIES 9	OAKBROOK, IL	8/4/2011	00617420-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1142 GLOBAL AGRICULTURE PORTFOLIO SERIES 2011 3	OAKBROOK, IL	8/4/2011	00617422-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1142 GLOBAL INFRASTRUCTURE STRATEGY 2011 3	OAKBROOK, IL	8/4/2011	00617421-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1142 GLOBAL WATER PORTFOLIO 2011 3	OAKBROOK, IL	8/4/2011	00617423-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1145 TECHNOLOGY PORTFOLIO 2011 4	OAKBROOK, IL	8/4/2011	00617414-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1146 NEW WORLD LEADERS PORTFOLIO SERIES 3	OAKBROOK, IL	8/4/2011	00617415-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 344 INVESTMENT GRADE INCOME TR 20+ YEAR SERIES 4	OAKBROOK, IL	8/4/2011	00617417-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 345 INVESTMENT GRADE INCOME TR 20+ YEAR SERIES 5	OAKBROOK, IL	8/4/2011	00617418-05	Pending-Cleared
ADVISORS INNER CIRCLE FUND 2 HANCOCK HORIZON DIVERSIFIED INTERNATIONAL FUND TR CLASS SHARES	BOSTON, MA	8/3/2011	00617397-03	Registered
ING EQUITY TR ING EQUITY DIVIDEND FUND CLASS R	SCOTTSDALE, AZ	8/3/2011	00617393-03	Pending-Cleared
ING EQUITY TR ING MIDCAP OPPORTUNITIES FUND CLASS R SHARES	SCOTTSDALE, AZ	8/3/2011	00617387-03	Pending-Cleared

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ING EQUITY TR ING REAL ESTATE FUND CLASS R	SCOTTSDALE, AZ	8/3/2011	00617388-03	Pending-Cleared
ING EQUITY TR ING SMALLCAP OPPORTUNITIES FUND CLASS R SHARES	SCOTTSDALE, AZ	8/3/2011	00617396-03	Pending-Cleared
ING MUTUAL FUNDS ING GLOBAL BOND FUND CLASS R	SCOTTSDALE, AZ	8/3/2011	00617394-03	Pending-Cleared
ING MUTUAL FUNDS ING GLOBAL REAL ESTATE FUND CLASS R	SCOTTSDALE, AZ	8/3/2011	00617389-03	Pending-Cleared
ING MUTUAL FUNDS ING GREATER CHINA FUND CLASS W	SCOTTSDALE, AZ	8/3/2011	00617390-03	Pending-Cleared
ING MUTUAL FUNDS ING INDEX PLUS INTERNATIONAL EQUITY FUND CLASS W	SCOTTSDALE, AZ	8/3/2011	00617392-03	Pending-Cleared
ING MUTUAL FUNDS ING RUSSIA FUND CLASS W	SCOTTSDALE, AZ	8/3/2011	00617391-03	Pending-Cleared
ING SERIES FUND INC ING CAPITAL ALLOCATION FUND CLASS W SHARES	SCOTTSDALE, AZ	8/3/2011	00617386-03	Pending-Cleared
ING SERIES FUND INC ING CORE EQUITY RESEARCH FUND CLASS R SHARES	SCOTTSDALE, AZ	8/3/2011	00617382-03	Pending-Cleared
ING SERIES FUND INC ING GLOBAL TARGET PAYMENT FUND CLASS R	SCOTTSDALE, AZ	8/3/2011	00617395-03	Pending-Cleared
ING SERIES FUND INC ING INDEX PLUS LARGE CAP FUND CLASS W SHARES	SCOTTSDALE, AZ	8/3/2011	00617384-03	Pending-Cleared
ING SERIES FUND INC ING INDEX PLUS MIDCAP FUND CLASS W SHARES	SCOTTSDALE, AZ	8/3/2011	00617383-03	Pending-Cleared
ING SERIES FUND INC ING INDEX PLUS SMALLCAP FUND CLASS W SHARES	SCOTTSDALE, AZ	8/3/2011	00617385-03	Pending-Cleared
FT 3071 MLP CLOSED END FUND AND ENERGY PORTFOLIO SERIES 12	WHEATON, IL	8/2/2011	00617348-05	Pending-Cleared
FT 3106 HIGH YIELD INCOME CLOSED END PORTFOLIO SERIES 42	WHEATON, IL	8/2/2011	00617349-05	Pending-Cleared
FT 3132 SENIOR LOAN & LIMITED DURATION PLUS CLOSED END PORTFOLIO SERIES 46	WHEATON, IL	8/2/2011	00617351-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 3134 TACTICAL INCOME PORTFOLIO SERIES 9	WHEATON, IL	8/2/2011	00617350-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 796 UTILITIES PORTFOLIO SERIES 14	LISLE, IL	8/2/2011	00617347-05	Pending-Cleared
NAVELLIER TRADEWINDS INTERNATIONAL BOND PORTFOLIO SERIES 4	MONUMENT, CO	8/2/2011	00617352-05	Pending-Cleared
ROYCE FUND ROYCE FOCUS VALUE FUND SERVICE CLASS SHARES	NEW YORK, NY	8/2/2011	00617381-03	Registered
STRATEGIC HIGH 80 DIVIDEND PORTFOLIO SERIES 2011 3Q	MONUMENT, CO	8/2/2011	00617353-05	Pending-Cleared
UBS THEMATIC INVESTMENT PORTFOLIO VALUE DRIVERS SERIES 2011 3Q	MONUMENT, CO	8/2/2011	00617354-05	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE HIGH INCOME FUND ADMINISTRATOR CLASS	SAN FRANCISCO, CA	8/2/2011	00617374-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE INCOME PLUS FUND ADMINISTRATOR CLASS	SAN FRANCISCO, CA	8/2/2011	00617373-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE INTERNATIONAL BOND FUND ADMINISTRATOR CLASS	SAN FRANCISCO, CA	8/2/2011	00617376-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE SHORT TERM HIGH YIELD BOND FUND ADMINISTRATOR CLASS	SAN FRANCISCO, CA	8/2/2011	00617379-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE SMALL CAP VALUE FUND ADMINISTRATOR CLASS	SAN FRANCISCO, CA	8/2/2011	00617377-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE SPECIAL SMALL CAP VALUE FUND INSTITUTIONAL CLASS	SAN FRANCISCO, CA	8/2/2011	00617375-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE TRADITIONAL SMALL CAP GROWTH FUND ADMINISTRATOR CLASS	SAN FRANCISCO, CA	8/2/2011	00617378-03	Registered

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Firm Name	Location	Date Received	File Number	Status
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE TRADITIONAL SMALL CAP GROWTH FUND CLASS C	SAN FRANCISCO, CA	8/2/2011	00617380-03	Registered
WORLD FUNDS INC	RICHMOND, VA	8/2/2011	00617355-03	Pending-Cleared
BOSTON TR & WALDEN FUNDS WALDEN MIDCAP FUND	COLUMBUS, OH	8/1/2011	00617367-03	Registered
DIVIDEND ADVANTAGE PORTFOLIO SERIES 2011 3Q	MONUMENT, CO	8/1/2011	00617310-05	Pending-Cleared
FT 3061 EQUITY INCOME SELECT PORTFOLIO SERIES 28	WHEATON, IL	8/1/2011	00617304-05	Pending-Cleared
FT 3062 SMARTPHONE PORTFOLIO SERIES 6	WHEATON,	8/1/2011	00617308-05	Pending-Cleared
FT 3069 BICK PORTFOLIO SERIES 7	WHEATON, IL	8/1/2011	00617307-05	Pending-Cleared
FT 3070 DEFENSIVE EQUITY PORTFOLIO SERIES 16	WHEATON, IL	8/1/2011	00617303-05	Pending-Cleared
FT 3072 UTILITIES SELECT PORTFOLIO SERIES 18	WHEATON, IL	8/1/2011	00617300-05	Pending-Cleared
FT 3103 DIVIDEND & INCOME PLUS CLOSED END PORTFOLIO SERIES 31	WHEATON, IL	8/1/2011	00617309-05	Pending-Cleared
FT 3105 DIVIDEND & INCOME SELECT CLOSED END PORTFOLIO SERIES 18	WHEATON, IL	8/1/2011	00617302-05	Pending-Cleared
FT 3108 INSURED MUNICIPAL INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 6	WHEATON, IL	8/1/2011	00617301-05	Pending-Cleared
FT 3130 INSURED MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 28	WHEATON, IL	8/1/2011	00617306-05	Pending-Cleared
FT 3133 SENIOR LOAN & LIMITED DURATION CLOSED END PORTFOLIO SERIES 4	WHEATON, IL	8/1/2011	00617305-05	Pending-Cleared
GLOBAL GOLD INCOME PORTFOLIO SERIES 2011 3Q AN SMC PORTFOLIO	MONUMENT, CO	8/1/2011	00617299-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1003 QUALITY MUNICIPALS INCOME TR 46TH LIMITED MATURITY SERIES	OAKBROOK, IL	8/1/2011	00617311-05	Pending-Cleared

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VAN KAMPEN UNIT TR MUNICIPAL SERIES 1004 QUALITY MUNICIPALS INCOME TR 47TH LIMITED MATURITY SERIES	OAKBROOK, IL	8/1/2011	00617312-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1007 INVESTORS QUALITY TAX EXEMPT TR SERIES 145	OAKBROOK, IL	8/1/2011	00617315-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1008 INVESTORS QUALITY TAX EXEMPT TR SERIES 146	OAKBROOK, IL	8/1/2011	00617316-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1105 INSURED MUNICIPALS INCOME TR SERIES 634	OAKBROOK, IL	8/1/2011	00617313-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1106 INSURED MUNICIPALS INCOME TR SERIES 635	OAKBROOK, IL	8/1/2011	00617314-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 340 INVESTMENT GRADE INCOME TR 10 20 YEAR SERIES 7	OAKBROOK, IL	8/1/2011	00617317-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 341 INVESTMENT GRADE INCOME TR 10 20 YEAR SERIES 8	OAKBROOK, IL	8/1/2011	00617318-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 342 INVESTMENT GRADE INCOME TR 7+ YEAR SERIES 7	OAKBROOK, IL	8/1/2011	00617319-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 343 INVESTMENT GRADE INCOME TR 7+ YEAR SERIES 8	OAKBROOK, IL	8/1/2011	00617320-05	Pending-Cleared
Number of Investment Company Filings: 126				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ABILENE EXCAVATION LLC	FULSHEAR, TX	8/12/2011	00617557-07	Filed
ANNAI SYSTEMS INC	SUNNYVALE, CA	8/12/2011	00617556-07	Filed

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Firm Name	Location	Date Received	File Number	Status
AVISTA CAPITAL PARTNERS 3 LP	NEW YORK, NY	8/12/2011	00617555-07	Filed
EUROPAC ENERGY 3 LLC	DENVER, CO	8/11/2011	00617540-07	Filed
PURPOSEENERGY INC	ARLINGTON, MA	8/11/2011	00617539-07	Filed
TCSB BANCORP INC	TRAVERSE CITY, MI	8/11/2011	00617554-07	Filed
2011 C 2 WELL DRILLING PROGRAM LP	BOWLING GREEN, KY	8/10/2011	00617501-07	Filed
CST HOLDING CORP	SANTA MONICA, CA	8/10/2011	00617498-07	Filed
MSSB TPG SPECIALTY LENDING ONSHORE FEEDER FUND	NEW YORK, NY	8/10/2011	00617500-07	Filed
RICE DRILLING B LLC	CANANSBURG, PA	8/10/2011	00617499-07	Filed
AEI FISHER 8 LLC	CHICAGO, IL	8/9/2011	00617480-07	Filed
PARADIGM EXPLORATION CO	ADDISON, TX	8/9/2011	00617479-07	Filed
HEALTHCARE ROYALTIES TRUST	NEW YORK, NY	8/8/2011	00617448-07	Filed
LOCATION BASED TECHNOLOGIES INC	IRVINE, CA	8/8/2011	00617447-07	Filed
PROTEGE PARTNERS LP	NEW YORK, NY	8/8/2011	00617446-07	Filed
ANTE5 INC	MINNETONKA, MN	8/5/2011	00617427-07	Filed
IP #6 CLARK 1A JOINT VENTURE	DALLAS, TX	8/4/2011	00617403-07	Filed
JMI 7 BLP AIV A LP	BALTIMORE, MD	8/4/2011	00617402-07	Filed
REPUBLIC RESOURCES LLC	SAN ANTONIO, TX	8/4/2011	00617401-07	Filed
SILVER QUEST RESOURCES LTD	BRITISH COLUMBIA V6B 4N8,	8/4/2011	00617404-07	Filed
BAILARD REAL ESTATE INVESTMENT TR 2 INC	FOSTER CITY, CA	8/3/2011	00617364-07	Filed
GLOBAL T & M HOLDINGS LLC	CLEVES, OH	8/3/2011	00617363-07	Filed
IAS ENERGY INC	V7A 5E9,	8/3/2011	00617362-07	Filed
BAILARD REAL ESTATE INVESTMENT TRUST 1 INC	FOSTER CITY, CA	8/2/2011	00617345-07	Filed
SOUTH JERSEY GAS CO	FOLSOM, NJ	8/2/2011	00617346-07	Filed
808 RENEWABLE ENERGY CORP	HUNTINGTON BEACH, CA	8/1/2011	00617323-07	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/1/2011 through 8/12/2011**

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ANN ARBOR OPPORTUNITY FUND 1 LLC	ANN ARBOR, MI	8/1/2011	00617297-07	Filed
IBG LLC	GREENWICH, CT	8/1/2011	00617324-07	Filed
PIEDMONT COMMUNITY BANK HOLDINGS INC	RALEIGH, NC	8/1/2011	00617298-07	Filed
Number of Regulation D Notice Filings: 29				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
GODFATHERS PIZZA INC	OMAHA, NE	8/10/2011	602933	Registered
SUPER LUBE FRANCHISE LLC	TALLAHASSEE, FL	8/10/2011	602934	Registered
FIREHOUSE OF AMERICA LLC / Area Representative Franchise	JACKSONVILLE, FL	8/9/2011 4	602925	Registered
MAD SCIENCE GROUP	MONTREAL, QC	8/8/2011	602931	Registered
SHAKEYS USA INC	ALHAMBRA, CA	8/8/2011	602930	Registered
Yogurtland Franchising, Inc.	Anaheim, CA	8/8/2011	602932	Registered
Mom Corps Franchise Company, LLC / Mom Corps	Marietta, GA	8/5/2011 8	602923	Registered
JOHNNY ROCKETS LICENSING CORP	Aliso Viejo, CA	8/4/2011	602927	Registered
SALSARITAS INC	CHARLOTTE, NC	8/4/2011	602928	Registered
KOKO FITCLUB LLC	NORWELL, MA	8/3/2011	602921	Registered
EXPRESS TAX SERVICE INC	JACKSONVILLE, FL	8/2/2011	602920	Registered
Living Assistance Services, Inc.	Haertown, PA	8/2/2011	602919	Registered
STEAMATIC INC	FORT WORTH, TX	8/1/2011 5	602913	Registered
H&R BLOCK TAX SERVICES LLC	KANSAS CITY, MO	8/1/2011	602910	Registered
HOOTERS OF AMERICA INC	ATLANTA, GA	8/1/2011	602908	Registered
HOT BOX PIZZA FRANCHISING LLC	INDIANAPOLIS, IN	8/1/2011	602909	Registered
RMG Rehabilitation / OsteoArthritis Centers of America	Houston, TX	8/1/2011	602922	Registered
SEARS HOME APPLIANCE SHOWROOMS, LLC / Sears Auto Centers	HOFFMAN ESTATES, IL	8/1/2011	602911	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/1/2011 through 8/12/2011**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
SILVER MINE SUBS FRANCHISE INC	FORT COLLINS, CO	8/1/2011	602912	Registered
Number of Franchise Filings: 19				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	