

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 7/25/2011 through 8/5/2011**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MORNING STAR CHURCH	DARDENNE PRAIRIE, MO	8/3/2011	00617428-02	Pending
PALADIN REALTY INCOME PROPERTIES INC	LOS ANGELES, CA	8/3/2011	00617366-01	Pending
SIERRA INCOME CORP	NEW YORK, NY	7/26/2011	00617170-01	Pending
SANCTUARY OF WILMINGTON	WILMINGTON, NC	7/25/2011	00617341-02	Pending
Number of Registration Filings: 4				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CHRISTIAN HERITAGE ASSEMBLY OF GOD AVON OHIO	AVON, OH	7/25/2011	00617358-08	Pending
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FIRST TR COMBINED SERIES 372 BUILD AMERICA BONDS PORTFOLIO SERIES 38	WHEATON, IL	8/4/2011	00617407-05	Pending-Cleared
FIRST TR COMBINED SERIES 373 TAX EXEMPT MUNICIPAL INCOME TR SERIES 269	WHEATON, IL	8/4/2011	00617405-05	Pending-Cleared
FT 3063 DIVERSIFIED INCOME & GROWTH PORTFOLIO FALL 2011 SERIES	WHEATON, IL	8/4/2011	00617406-05	Pending-Cleared
FT 3073 DORSEY WRIGHT RELATIVE STRENGTH DIVIDEND PORTFOLIO SERIES 2	WHEATON, IL	8/4/2011	00617412-05	Pending-Cleared
FT 3075 DIVIDEND STRENGTH PORTFOLIO SERIES 11	WHEATON, IL	8/4/2011	00617410-05	Pending-Cleared
FT 3076 GLOBAL DIVIDEND PORTFOLIO SERIES 26	WHEATON, IL	8/4/2011	00617413-05	Pending-Cleared
FT 3090 HIGH YIELD INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 5	WHEATON, IL	8/4/2011	00617411-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 3099 AUTOMATED QUANTITATIVE ANALYSIS AQA PORTFOLIO SERIES 3	WHEATON, IL	8/4/2011	00617408-05	Pending-Cleared
FT 3107 GREATER CHINA PORTFOLIO SERIES 27	WHEATON, IL	8/4/2011	00617409-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1002 INVESTMENT GRADE MUNICIPAL TR INTERMEDIATE SERIES 24	OAKBROOK, IL	8/4/2011	00617416-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1138 SELECT 10 INDUSTRIAL PORTFOLIO 2011 5	OAKBROOK, IL	8/4/2011	00617419-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1140 CONSERVATIVE INCOME ALLOCATION PORTFOLIO SERIES 9	OAKBROOK, IL	8/4/2011	00617420-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1142 GLOBAL AGRICULTURE PORTFOLIO SERIES 2011 3	OAKBROOK, IL	8/4/2011	00617422-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1142 GLOBAL INFRASTRUCTURE STRATEGY 2011 3	OAKBROOK, IL	8/4/2011	00617421-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1142 GLOBAL WATER PORTFOLIO 2011 3	OAKBROOK, IL	8/4/2011	00617423-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1145 TECHNOLOGY PORTFOLIO 2011 4	OAKBROOK, IL	8/4/2011	00617414-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1146 NEW WORLD LEADERS PORTFOLIO SERIES 3	OAKBROOK, IL	8/4/2011	00617415-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 344 INVESTMENT GRADE INCOME TR 20+ YEAR SERIES 4	OAKBROOK, IL	8/4/2011	00617417-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 345 INVESTMENT GRADE INCOME TR 20+ YEAR SERIES 5	OAKBROOK, IL	8/4/2011	00617418-05	Pending-Cleared
ADVISORS INNER CIRCLE FUND 2 HANCOCK HORIZON DIVERSIFIED INTERNATIONAL FUND TR CLASS SHARES	BOSTON, MA	8/3/2011	00617397-03	Registered
ING EQUITY TR ING EQUITY DIVIDEND FUND CLASS R	SCOTTSDALE, AZ	8/3/2011	00617393-03	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
ING EQUITY TR ING MIDCAP OPPORTUNITIES FUND CLASS R SHARES	SCOTTSDALE, AZ	8/3/2011	00617387-03	Pending-Cleared
ING EQUITY TR ING REAL ESTATE FUND CLASS R	SCOTTSDALE, AZ	8/3/2011	00617388-03	Pending-Cleared
ING EQUITY TR ING SMALLCAP OPPORTUNITIES FUND CLASS R SHARES	SCOTTSDALE, AZ	8/3/2011	00617396-03	Pending-Cleared
ING MUTUAL FUNDS ING GLOBAL BOND FUND CLASS R	SCOTTSDALE, AZ	8/3/2011	00617394-03	Pending-Cleared
ING MUTUAL FUNDS ING GLOBAL REAL ESTATE FUND CLASS R	SCOTTSDALE, AZ	8/3/2011	00617389-03	Pending-Cleared
ING MUTUAL FUNDS ING GREATER CHINA FUND CLASS W	SCOTTSDALE, AZ	8/3/2011	00617390-03	Pending-Cleared
ING MUTUAL FUNDS ING INDEX PLUS INTERNATIONAL EQUITY FUND CLASS W	SCOTTSDALE, AZ	8/3/2011	00617392-03	Pending-Cleared
ING MUTUAL FUNDS ING RUSSIA FUND CLASS W	SCOTTSDALE, AZ	8/3/2011	00617391-03	Pending-Cleared
ING SERIES FUND INC ING CAPITAL ALLOCATION FUND CLASS W SHARES	SCOTTSDALE, AZ	8/3/2011	00617386-03	Pending-Cleared
ING SERIES FUND INC ING CORE EQUITY RESEARCH FUND CLASS R SHARES	SCOTTSDALE, AZ	8/3/2011	00617382-03	Pending-Cleared
ING SERIES FUND INC ING GLOBAL TARGET PAYMENT FUND CLASS R	SCOTTSDALE, AZ	8/3/2011	00617395-03	Pending-Cleared
ING SERIES FUND INC ING INDEX PLUS LARGE CAP FUND CLASS W SHARES	SCOTTSDALE, AZ	8/3/2011	00617384-03	Pending-Cleared
ING SERIES FUND INC ING INDEX PLUS MIDCAP FUND CLASS W SHARES	SCOTTSDALE, AZ	8/3/2011	00617383-03	Pending-Cleared
ING SERIES FUND INC ING INDEX PLUS SMALLCAP FUND CLASS W SHARES	SCOTTSDALE, AZ	8/3/2011	00617385-03	Pending-Cleared
FT 3071 MLP CLOSED END FUND AND ENERGY PORTFOLIO SERIES 12	WHEATON, IL	8/2/2011	00617348-05	Pending-Cleared
FT 3106 HIGH YIELD INCOME CLOSED END PORTFOLIO SERIES 42	WHEATON, IL	8/2/2011	00617349-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
FT 3132 SENIOR LOAN & LIMITED DURATION PLUS CLOSED END PORTFOLIO SERIES 46	WHEATON, IL	8/2/2011	00617351-05	Pending-Cleared
FT 3134 TACTICAL INCOME PORTFOLIO SERIES 9	WHEATON, IL	8/2/2011	00617350-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 796 UTILITIES PORTFOLIO SERIES 14	LISLE, IL	8/2/2011	00617347-05	Pending-Cleared
NAVELLIER TRADEWINDS INTERNATIONAL BOND PORTFOLIO SERIES 4	MONUMENT, CO	8/2/2011	00617352-05	Pending-Cleared
ROYCE FUND ROYCE FOCUS VALUE FUND SERVICE CLASS SHARES	NEW YORK, NY	8/2/2011	00617381-03	Registered
STRATEGIC HIGH 80 DIVIDEND PORTFOLIO SERIES 2011 3Q	MONUMENT, CO	8/2/2011	00617353-05	Pending-Cleared
UBS THEMATIC INVESTMENT PORTFOLIO VALUE DRIVERS SERIES 2011 3Q	MONUMENT, CO	8/2/2011	00617354-05	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE HIGH INCOME FUND ADMINISTRATOR CLASS	SAN FRANCISCO, CA	8/2/2011	00617374-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE INCOME PLUS FUND ADMINISTRATOR CLASS	SAN FRANCISCO, CA	8/2/2011	00617373-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE INTERNATIONAL BOND FUND ADMINISTRATOR CLASS	SAN FRANCISCO, CA	8/2/2011	00617376-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE SHORT TERM HIGH YIELD BOND FUND ADMINISTRATOR CLASS	SAN FRANCISCO, CA	8/2/2011	00617379-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE SMALL CAP VALUE FUND ADMINISTRATOR CLASS	SAN FRANCISCO, CA	8/2/2011	00617377-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE SPECIAL SMALL CAP VALUE FUND INSTITUTIONAL CLASS	SAN FRANCISCO, CA	8/2/2011	00617375-03	Registered

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Firm Name	Location	Date Received	File Number	Status
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE TRADITIONAL SMALL CAP GROWTH FUND CLASS C	SAN FRANCISCO, CA	8/2/2011	00617380-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE TRADITIONAL SMALL CAP GROWTH FUND ADMINISTRATOR CLASS	SAN FRANCISCO, CA	8/2/2011	00617378-03	Registered
WORLD FUNDS INC	RICHMOND, VA	8/2/2011	00617355-03	Pending-Cleared
BOSTON TR & WALDEN FUNDS WALDEN MIDCAP FUND	COLUMBUS, OH	8/1/2011	00617367-03	Registered
DIVIDEND ADVANTAGE PORTFOLIO SERIES 2011 3Q	MONUMENT, CO	8/1/2011	00617310-05	Pending-Cleared
FT 3061 EQUITY INCOME SELECT PORTFOLIO SERIES 28	WHEATON, IL	8/1/2011	00617304-05	Pending-Cleared
FT 3062 SMARTPHONE PORTFOLIO SERIES 6	WHEATON,	8/1/2011	00617308-05	Pending-Cleared
FT 3069 BICK PORTFOLIO SERIES 7	WHEATON, IL	8/1/2011	00617307-05	Pending-Cleared
FT 3070 DEFENSIVE EQUITY PORTFOLIO SERIES 16	WHEATON, IL	8/1/2011	00617303-05	Pending-Cleared
FT 3072 UTILITIES SELECT PORTFOLIO SERIES 18	WHEATON, IL	8/1/2011	00617300-05	Pending-Cleared
FT 3103 DIVIDEND & INCOME PLUS CLOSED END PORTFOLIO SERIES 31	WHEATON, IL	8/1/2011	00617309-05	Pending-Cleared
FT 3105 DIVIDEND & INCOME SELECT CLOSED END PORTFOLIO SERIES 18	WHEATON, IL	8/1/2011	00617302-05	Pending-Cleared
FT 3108 INSURED MUNICIPAL INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 6	WHEATON, IL	8/1/2011	00617301-05	Pending-Cleared
FT 3130 INSURED MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 28	WHEATON, IL	8/1/2011	00617306-05	Pending-Cleared
FT 3133 SENIOR LOAN & LIMITED DURATION CLOSED END PORTFOLIO SERIES 4	WHEATON, IL	8/1/2011	00617305-05	Pending-Cleared
GLOBAL GOLD INCOME PORTFOLIO SERIES 2011 3Q AN SMC PORTFOLIO	MONUMENT, CO	8/1/2011	00617299-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1003 QUALITY MUNICIPALS INCOME TR 46TH LIMITED MATURITY SERIES	OAKBROOK, IL	8/1/2011	00617311-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1004 QUALITY MUNICIPALS INCOME TR 47TH LIMITED MATURITY SERIES	OAKBROOK, IL	8/1/2011	00617312-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1007 INVESTORS QUALITY TAX EXEMPT TR SERIES 145	OAKBROOK, IL	8/1/2011	00617315-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1008 INVESTORS QUALITY TAX EXEMPT TR SERIES 146	OAKBROOK, IL	8/1/2011	00617316-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1105 INSURED MUNICIPALS INCOME TR SERIES 634	OAKBROOK, IL	8/1/2011	00617313-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1106 INSURED MUNICIPALS INCOME TR SERIES 635	OAKBROOK, IL	8/1/2011	00617314-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 340 INVESTMENT GRADE INCOME TR 10 20 YEAR SERIES 7	OAKBROOK, IL	8/1/2011	00617317-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 341 INVESTMENT GRADE INCOME TR 10 20 YEAR SERIES 8	OAKBROOK, IL	8/1/2011	00617318-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 342 INVESTMENT GRADE INCOME TR 7+ YEAR SERIES 7	OAKBROOK, IL	8/1/2011	00617319-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 343 INVESTMENT GRADE INCOME TR 7+ YEAR SERIES 8	OAKBROOK, IL	8/1/2011	00617320-05	Pending-Cleared
CURIAN SERIES TR CURIAN PIMCO INCOME FUND	DENVER, CO	7/29/2011	00617335-03	Registered
CURIAN SERIES TR CURIAN PIMCO TOTAL RETURN FUND	DENVER, CO	7/29/2011	00617336-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CURIAN SERIES TR CURIAN WMC INTERNATIONAL EQUITY FUND	DENVER, CO	7/29/2011	00617334-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET GLOBAL MULTI SECTOR PORTFOLIO CLASS IS	BALTIMORE, MD	7/29/2011	00617333-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET GLOBAL MULTI SECTOR PORTFOLIO CLASS I	BALTIMORE, MD	7/29/2011	00617332-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET GLOBAL MULTI SECTOR PORTFOLIO CLASS FI	BALTIMORE, MD	7/29/2011	00617331-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA COMMODITY STRATEGY FUND CLASS W	MINNEAPOLIS, MN	7/28/2011	00617228-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA FLEXIBLE CAPITAL INCOME FUND CLASS A	MINNEAPOLIS, MN	7/28/2011	00617223-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA FLEXIBLE CAPITAL INCOME FUND CLASS C	MINNEAPOLIS, MN	7/28/2011	00617224-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA FLEXIBLE CAPITAL INCOME FUND CLASS W	MINNEAPOLIS, MN	7/28/2011	00617225-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA FLEXIBLE CAPITAL INCOME FUND CLASS Z	MINNEAPOLIS, MN	7/28/2011	00617226-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA FLEXIBLE CAPITAL INCOME FUND CLASS R	MINNEAPOLIS, MN	7/28/2011	00617227-03	Registered
DE GROUP ADVISER FUNDS DE INTERNATIONAL BOND FUND INSTITUTIONAL CLASS	PHILADELPHIA, PA	7/28/2011	00617233-03	Registered
DE GROUP ADVISER FUNDS DE INTERNATIONAL BOND FUND CLASS A	PHILADELPHIA, PA	7/28/2011	00617232-03	Registered
DE GROUP ADVISER FUNDS DE INTERNATIONAL BOND FUND CLASS C	PHILADELPHIA, PA	7/28/2011	00617234-03	Registered

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Firm Name	Location	Date Received	File Number	Status
DE GROUP ADVISER FUNDS DE INTERNATIONAL BOND FUND CLASS R	PHILADELPHIA, PA	7/28/2011	00617235-03	Registered
FORUM FUNDS ICICI INDIA DYNAMIC FUND CLASS A SHARES	PORTLAND, ME	7/28/2011	00617254-03	Pending-Cleared
FORUM FUNDS ICICI INDIA DYNAMIC FUND CLASS C SHARES	PORTLAND, ME	7/28/2011	00617255-03	Pending-Cleared
FORUM FUNDS ICICI INDIA DYNAMIC FUND CLASS I SHARES	PORTLAND, ME	7/28/2011	00617256-03	Pending-Cleared
GOLDMAN SACHS TR GOLDMAN SACHS INVESTMENT GRADE CREDIT FUND CLASS IR SHARES	CHICAGO, IL	7/28/2011	00617229-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS US MORTGAGES FUND CLASS IR SHARES	CHICAGO, IL	7/28/2011	00617230-03	Registered
ING SERIES FUND INC ING MONEY MARKET FUND CLASS C SHARES	SCOTTSDALE, AZ	7/28/2011	00617222-03	Registered
METROPOLITAN WEST FUNDS TOTAL RETURN BOND FUND PLAN CLASS SHARES	LOS ANGELES, CA	7/28/2011	00617231-03	Pending-Cleared
MFS MUNICIPAL SERIES TR MFS MUNICIPAL INCOME FUND CLASS I SHARES	BOSTON, MA	7/28/2011	00617221-03	Registered
PROFESSIONALLY MANAGED PORTFOLIOS BROWN ADVISORY SMALL CAP FUNDAMENTAL VALUE FUND ADVISOR SHARES	MILWAUKEE, WI	7/28/2011	00617213-03	Pending-Cleared
CALVERT FUND CALVERT HIGH YIELD BOND FUND CLASS Y	BETHESDA, MD	7/26/2011	00617159-03	Pending-Cleared
CALVERT IMPACT FUND INC CALVERT GLOBAL ALTERNATIVE ENGERY FUND CLASS Y	BETHESDA, MD	7/26/2011	00617158-03	Pending-Cleared
DIVIDEND RULER COVERED CALL PORTFOLIO SERIES 2011 3Q	MONUMENT, CO	7/26/2011	00617161-05	Pending-Cleared
FT 3060 COVERED CALL SELECT CLOSED END PORTFOLIO SERIES 27	WHEATON, IL	7/26/2011	00617160-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
VAN KAMPEN UNIT TR SERIES 1141 ETF ALLOCATION PORTFOLIO SERIES 8	OAKBROOK, IL	7/26/2011	00617166-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1141 ETF DIVERSIFIED INCOME PORTFOLIO SERIES 6	OAKBROOK, IL	7/26/2011	00617167-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1143 DOW JONES TOTAL MARKET PORTFOLIO ENHANCED INDEX STRATEGY 2011 4	OAKBROOK, IL	7/26/2011	00617164-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1143 ENHANCED SECTOR STRATEGY SECTOR ROTATION PORTFOLIO 2011 4	OAKBROOK, IL	7/26/2011	00617165-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1144 BRIC OPPORTUNITY PORTFOLIO 2011 4	OAKBROOK, IL	7/26/2011	00617162-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1144 GLOBAL ALTERNATIVE ENERGY PORTFOLIO 2011 4	OAKBROOK, IL	7/26/2011	00617163-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 339 BUILD AMERICA BONDS INCOME TR SERIES 84	OAKBROOK, IL	7/26/2011	00617169-05	Pending-Cleared
VN KAMPEN UNIT TR TAXABLE INCOME SERIES 338 BUILD AMERICA BONDS INCOME TR SERIES 83	OAKBROOK, IL	7/26/2011	00617168-05	Pending-Cleared
BRIC ADVANTAGE PORTFOLIO SERIES 2011 2	MONUMENT, CO	7/25/2011	00617133-05	Pending-Cleared
FT 3030 DOW TARGET 10 AUG 11 TERM 8 31 12	WHEATON, IL	7/25/2011	00617138-05	Pending-Cleared
FT 3030 DOW TARGET DVD UG 11 TERM 10 31 12	WHEATON, IL	7/25/2011	00617137-05	Pending-Cleared
FT 3030 INCOME ALLOCATION CLOSED END AUG 11	WHEATON, IL	7/25/2011	00617139-05	Pending-Cleared
FT 3030 TARGET FOCUS 4 AUG 11 TERM 10 31 12	WHEATON, IL	7/25/2011	00617135-05	Pending-Cleared
FT 3030 TARGET TRIAD AUG 11 TERM 10 31 12	WHEATON, IL	7/25/2011	00617134-05	Pending-Cleared
FT 3030 TARGET VIP AUG 11 TERM 10 31 12	WHEATON, IL	7/25/2011	00617136-05	Pending-Cleared
MEMBERS MUTUAL FUNDS MID CAP FUND CLASS Y SHARES	MADISON, WI	7/25/2011	00617129-03	Pending-Cleared

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NORTHERN LIGHTS FUND TR ALTEGRIS MANAGED FUTURES STRATEGY FUND	HAUPPAUGE, NY	7/25/2011	00617130-03	Pending-Cleared
NORTHERN LIGHTS FUND TR MUTUALHEDGE FRONTIER LEGENDS FUND	HAUPPAUGE, NY	7/25/2011	00617131-03	Pending-Cleared
ROCHDALE INVESTMENT TR ROCHDALE EMERGING MARKETS PORTFOLIO	MILWAUKEE, WI	7/25/2011	00617132-03	Pending-Cleared
Number of Investment Company Filings: 124				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ANTE5 INC	MINNETONKA, MN	8/5/2011	00617427-07	Filed
IP #6 CLARK 1A JOINT VENTURE	DALLAS, TX	8/4/2011	00617403-07	Filed
JMI 7 BLP AIV A LP	BALTIMORE, MD	8/4/2011	00617402-07	Filed
REPUBLIC RESOURCES LLC	SAN ANTONIO, TX	8/4/2011	00617401-07	Filed
SILVER QUEST RESOURCES LTD	BRITISH COLUMBIA V6B 4N8,	8/4/2011	00617404-07	Filed
BAILARD REAL ESTATE INVESTMENT TR 2 INC	FOSTER CITY, CA	8/3/2011	00617364-07	Filed
GLOBAL T & M HOLDINGS LLC	CLEVES, OH	8/3/2011	00617363-07	Filed
IAS ENERGY INC	V7A 5E9,	8/3/2011	00617362-07	Filed
BAILARD REAL ESTATE INVESTMENT TRUST 1 INC	FOSTER CITY, CA	8/2/2011	00617345-07	Filed
SOUTH JERSEY GAS CO	FOLSOM, NJ	8/2/2011	00617346-07	Filed
808 RENEWABLE ENERGY CORP	HUNTINGTON BEACH, CA	8/1/2011	00617323-07	Filed
ANN ARBOR OPPORTUNITY FUND 1 LLC	ANN ARBOR, MI	8/1/2011	00617297-07	Filed
IBG LLC	GREENWICH, CT	8/1/2011	00617324-07	Filed
PIEDMONT COMMUNITY BANK HOLDINGS INC	RALEIGH, NC	8/1/2011	00617298-07	Filed
RST IMPLANTED CELL TECHNOLOGY INC	ST PAUL, MN	7/29/2011	00617275-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
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SAN CARLOS SHOREWAY RD FIRST MORTGAGE INVESTORS LP	SANTA BARBARA, CA	7/29/2011	00617274-07	Filed
BRADFORD DRILLING ASSOCIATES 30 LP	SARASOTA, FL	7/28/2011	00617208-07	Filed
GROUPON INC	CHICAGO, IL	7/28/2011	00617211-07	Filed
POLYERA CORPORATION	SKOKIE, IL	7/28/2011	00617209-07	Filed
VALEN TECHNOLOGIES INC	DENVER, CO	7/28/2011	00617210-07	Filed
ACCELERATED I O INC	TULSA, OK	7/27/2011	00617340-07	Filed
BR CHAPEL HILL INVESTMENT LLC	NEW YORK, NY	7/27/2011	00617191-07	Filed
EPINEX DIAGNOSTICS INC	TUSTIN, CA	7/26/2011	00617156-07	Filed
FRONTIER FUND 3 LP	CHARLOTTE, NC	7/26/2011	00617157-07	Filed
CORNERSTONE PATRIOT FUND LP	HARTFORD, CT	7/25/2011	00617128-07	Filed
DIGITALTOWN INC	BURNSVILLE, MN	7/25/2011	00617127-07	Filed
ZEPPELINN ENERGY LP	SAN ANTONIO, TX	7/25/2011	00617155-07	Filed
Number of Regulation D Notice Filings: 27				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Mom Corps Franchise Company, LLC / Mom Corps	Marietta, GA	8/5/2011 8	602923	Registered
KOKO FITCLUB LLC	NORWELL, MA	8/3/2011	602921	Registered
EXPRESS TAX SERVICE INC	JACKSONVILLE, FL	8/2/2011	602920	Registered
Living Assistance Services, Inc.	Haertown, PA	8/2/2011	602919	Registered
STEAMATIC INC	FORT WORTH, TX	8/1/2011 5	602913	Registered
H&R BLOCK TAX SERVICES LLC	KANSAS CITY, MO	8/1/2011	602910	Registered
HOOTERS OF AMERICA INC	ATLANTA, GA	8/1/2011	602908	Registered
HOT BOX PIZZA FRANCHISING LLC	INDIANAPOLIS, IN	8/1/2011	602909	Registered
RMG Rehabilitation / OsteoArthritis Centers of America	Houston, TX	8/1/2011	602922	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 7/25/2011 through 8/5/2011**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
SEARS HOME APPLIANCE SHOWROOMS, LLC / Sears Auto Centers	HOFFMAN ESTATES, IL	8/1/2011	602911	Registered
SILVER MINE SUBS FRANCHISE INC	FORT COLLINS, CO	8/1/2011	602912	Registered
Wine Venture, LP	Austin, TX	7/29/2011	602905	Registered
Global Orange Development, LLC / BIGGBY COFFEE	East Lansing, MI	7/28/2011	602895	Registered
The Launch Pad Franchising, LLC	Odessa, FL	7/27/2011	602899	Registered
NZONE SPORTS OF AMERICA, INC. / NZONE SPORTS	Tampa, FL	7/25/2011	602873	Registered
Number of Franchise Filings: 15				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	