

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 7/11/2011 through 7/22/2011**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FSINVESTMENT CORP 2	PHILADELPHIA, PA	7/22/2011	00617086-01	Pending
WEST END IN BANCSHARES INC	RICHMOND, IN	7/19/2011	00616531-01	Pending
INDUSTRIAL INCOME TR INC	DENVER, CO	7/12/2011	00616334-01	Pending
Number of Registration Filings: 3				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
UNITED PENTECOSTAL CHURCH DEVELOPMENT FUND INC	HAZELWOOD, MO	7/14/2011	00616435-06	Pending
LOVELAND CHURCH	RANCHO CUCAMONGA, CA	7/13/2011	00616398-08	Pending
Number of Exemption Filings: 2				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
NATIONWIDE MUTUAL FUNDS NATIONWIDE ALTERNATIVE ALLOCATION FUND INSTITUTIONAL CLASS	BOSTON, MA	7/22/2011	00617084-03	Pending-Cleared
NATIONWIDE MUTUAL FUNDS NATIONWIDE ALTERNATIVE ALLOCATION FUND CLASS C	BOSTON, MA	7/22/2011	00617083-03	Pending-Cleared
NATIONWIDE MUTUAL FUNDS NATIONWIDE ALTERNATIVE ALLOCATION FUND CLASS A	BOSTON, MA	7/22/2011	00617082-03	Pending-Cleared
NATIONWIDE MUTUAL FUNDS NATIONWIDE ALTERNATIVE ALLOCATION FUND INSTITUTIONAL SERVICE CLASS	BOSTON, MA	7/22/2011	00617085-03	Pending-Cleared
HUNTINGTON FUNDS HUNTINGTON DISCIPLINED EQUITY FUND CLASS A SHARES	INDIANAPOLIS, IN	7/21/2011	00617080-03	Pending-Cleared

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HUNTINGTON FUNDS HUNTINGTON DISCIPLINED EQUITY FUND TR SHARES	INDIANAPOLIS, IN	7/21/2011	00617079-03	Pending-Cleared
FRANKLIN TEMPLETON INTERNATIONAL TR FRANKLIN TEMPLETON GLOBAL ALLOCATION FUND CLASS A	SAN MATEO, CA	7/20/2011	00616539-03	Pending-Cleared
FRANKLIN TEMPLETON INTERNATIONAL TR FRANKLIN TEMPLETON GLOBAL ALLOCATION FUND CLASS R	SAN MATEO, CA	7/20/2011	00616541-03	Pending-Cleared
FRANKLIN TEMPLETON INTERNATIONAL TR FRANKLIN TEMPLETON GLOBAL ALLOCATION FUND ADVISOR CLASS	SAN MATEO, CA	7/20/2011	00616542-03	Pending-Cleared
FRANKLIN TEMPLETON INTERNATIONAL TR FRANKLIN TEMPLETON GLOBAL ALLOCATION FUND CLASS C	SAN MATEO, CA	7/20/2011	00616540-03	Pending-Cleared
FT 2974 INDIA GROWTH PORTFOLIO SERIES 10	WHEATON, IL	7/19/2011	00616529-05	Pending-Cleared
FT 3055 EQUITY CLOSED END PORTFOLIO SERIES 16	WHEATON, IL	7/19/2011	00616525-05	Pending-Cleared
FT 3067 INTEREST RATE HEDGE PORTFOLIO SERIES 37	WHEATON, IL	7/19/2011	00616530-05	Pending-Cleared
FT 3068 SENIOR LOAND & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 45	WHEATON, IL	7/19/2011	00616527-05	Pending-Cleared
FT 3100 BERNSTEIN RICAHRD ADVISORS QUALITY INCOME PORTFOLIO SERIES	WHEATON, IL	7/19/2011	00616526-05	Pending-Cleared
HIGH YIELD & INCOME CLOSED END PORTFOLIO SERIES 2011 2	WHEATON, IL	7/19/2011	00616528-05	Pending-Cleared
WEITZ FUNDS PARTNERS 3 OPPORTUNITY FUND INVESTOR CLASS	OMAHA, NE	7/19/2011	00616524-03	Pending-Cleared
WEITZ FUNDS SHORT INTERMEDIATE INCOME FUND INVESTOR CLASS	OMAHA, NE	7/19/2011	00616523-03	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 795 BNY MELLON BRAZIL RUSSIAN INCEA AND CHINA PORTFOLIO SERIES 11	LISLE, IL	7/18/2011	00616429-05	Pending-Cleared

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GUGGENHEIM DEFINED PORTFOLIOS SERIES 795 CANADIAN ENERGY & INCOME PORTFOLIO SERIES 24	LISLE, IL	7/18/2011	00616430-05	Pending-Cleared
HSBC INVESTOR FUNDS HSBC EMERGING MARKETS EQUITY FUND CLASS I	COLUMBUS, OH	7/18/2011	00616514-03	Registered
HSBC INVESTOR FUNDS HSBC EMERGING MARKETS EQUITY FUND CLASS A	COLUMBUS, OH	7/18/2011	00616513-03	Registered
HSBC INVESTOR FUNDS HSBC FRONTIER MARKETS FUND CLASS A	COLUMBUS, OH	7/18/2011	00616515-03	Registered
HSBC INVESTOR FUNDS HSBC FRONTIER MARKETS FUND CLASS I	COLUMBUS, OH	7/18/2011	00616516-03	Registered
NILE CAPITAL INVESTMENT TR NILE PAN AFRICA FUND CLASS C	NEW YORK, NY	7/18/2011	00616427-03	Pending-Cleared
SMART TR TAX FREE INCOME TR 2011 SERIES H	PARSIPPANY, NJ	7/18/2011	00616428-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1131 DIVERSIFIED HEALTHCARE PORTFOLIO SERIES 56	OAKBROOK, IL	7/18/2011	00616424-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1131 ENERGY PORTFOLIO SERIES 39	OAKBROOK, IL	7/18/2011	00616423-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1131 FINANCIAL INSTITUTIONS PORTFOLIO SERIES 51	OAKBROOK, IL	7/18/2011	00616422-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1131 REIT INCOME PORTFOLIO 2011 3	OAKBROOK, IL	7/18/2011	00616425-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1131 UTILITY INCOME PORTFOLIO SERIES 36	OAKBROOK, IL	7/18/2011	00616421-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1132 GLOBAL COMMODITY PORTFOLIO SEROES 2	OAKBROOK, IL	7/18/2011	00616420-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1133 DOW JONES LARGE CAP GROWTH STRATEGY 2011 3	OAKBROOK, IL	7/18/2011	00616419-05	Pending-Cleared

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VAN KAMPEN UNIT TR SERIES 1134 ALTERNATIVE ALLOCATION PORTFOLIO 2011 3	OAKBROOK, IL	7/18/2011	00616418-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1136 PREFERRED OPPORTUNITY PORTFOLIO SERIES 15	OAKBROOK, IL	7/18/2011	00616417-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1137 GLOBAL HIGH DIVIDEND PORTFOLIO 2011 3	OAKBROOK, IL	7/18/2011	00616416-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 337 BUILD AMERICA BONDS INCOME TR SERIES 82	OAKBROOK, IL	7/18/2011	00616426-05	Pending-Cleared
BLACKROCK FUNDS 2 BLACKROCK US GOVERNMENT BOND PORTFOLIO INVESTOR B1 SHARES	WILMINGTON, DE	7/15/2011	00616507-03	Pending-Cleared
BLACKROCK FUNDS 2 BLACKROCK US GOVERNMENT BOND PORTFOLIO INVESTOR C1 SHARES	WILMINGTON, DE	7/15/2011	00616509-03	Pending-Cleared
BLACKROCK FUNDS 2 BLACKROCK US GOVERNMENT BOND PORTFOLIO BLACKROCK SHARES	WILMINGTON, DE	7/15/2011	00616511-03	Pending-Cleared
BLACKROCK FUNDS 2 BLACKROCK US GOVERNMENT BOND PORTFOLIO R SHARES	WILMINGTON, DE	7/15/2011	00616506-03	Pending-Cleared
BLACKROCK FUNDS 2 LOW DURATION BOND PORTFOLIO INVESTOR B3 SHARES	WILMINGTON, DE	7/15/2011	00616508-03	Pending-Cleared
BLACKROCK FUNDS 2 LOW DURATION BOND PORTFOLIO INVESTOR C3 SHARES	WILMINGTON, DE	7/15/2011	00616510-03	Pending-Cleared
BLACKROCK FUNDS 2 LOW DURATION BOND PORTFOLIO R SHARES	WILMINGTON, DE	7/15/2011	00616512-03	Pending-Cleared
BLACKROCK MUNICIPAL BOND FUND INC BLACKROCK NATIONAL MUNICIPAL FUND INVESTOR B1 SHARES	WILMINGTON, DE	7/15/2011	00616504-03	Pending-Cleared

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BLACKROCK MUNICIPAL BOND FUND INC BLACKROCK NATIONAL MUNICIPAL FUND SERVICE SHARES	WILMINGTON, DE	7/15/2011	00616505-03	Pending-Cleared
BLACKROCK MUNICIPAL BOND FUND INC BLACKROCK NATIONAL MUNICIPAL FUND BLACKROCK SHARES	WILMINGTON, DE	7/15/2011	00616503-03	Pending-Cleared
MANAGERS AMG FUNDS TRILOGY INTERNATIONAL SMALL CAP FUND INVESTOR CLASS	MILWAUKEE, WI	7/15/2011	00616409-03	Pending-Cleared
ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 34	MONUMENT, CO	7/14/2011	00616376-05	Pending-Cleared
ANGELS PORTFOLIO SERIES 2011 3Q	MONUMENT, CO	7/14/2011	00616380-05	Pending-Cleared
AQR FUNDS AQR MULTI STRATEGY ALTERNATIVE FUND CLASS I SHARES	GREENWICH, CT	7/14/2011	00616497-03	Registered
AQR FUNDS AQR MULTI STRATEGY ALTERNATIVE FUND CLASS N SHARES	GREENWICH, CT	7/14/2011	00616498-03	Registered
ARTISAN PARTNERS FUNDS INC ARTISAN GROWTH OPPORTUNITIES FUND INSTITUTIONAL SHARES	MILWAUKEE, WI	7/14/2011	00616373-03	Pending-Cleared
ARTISAN PARTNERS FUNDS INC ARTISAN VALUE FUND INSTITUTIONAL SHARES	MILWAUKEE, WI	7/14/2011	00616374-03	Pending-Cleared
ASTON FUNDS ASTON DOUBLELINE CORE PLUS FIXED INCOME FUND CLASS N SHARES	CHICAGO, IL	7/14/2011	00616500-03	Registered
ASTON FUNDS ASTON DOUBLELINE CORE PLUS FIXED INCOME FUND CLASS I SHARES	CHICAGO, IL	7/14/2011	00616499-03	Registered
BALANCED PORTFOLIO 15 MONTH SERIES 2011 3Q	MONUMENT, CO	7/14/2011	00616383-05	Pending-Cleared
DIVIDEND RULER PORTFOLIO SERIES 2011C	MONUMENT, CO	7/14/2011	00616379-05	Pending-Cleared
FIRST TR COMBINED SERIES 370 TAX EXEMPT MUNICIPAL INCOME TR INTERMEDIATE SERIES 3	WHEATON, IL	7/14/2011	00616394-05	Pending-Cleared

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FIRST TR COMBINED SERIES 371 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO 10-20 YEAR SERIES	WHEATON, IL	7/14/2011	00616392-05	Pending-Cleared
FT 3036 SELECT DSIP PORTFOLIO 3RD QUARTER 2011 SERIES	WHEATON, IL	7/14/2011	00616395-05	Pending-Cleared
FT 3037 MARCELLUS SHALE PORTFOLIO SERIES 2	WHEATON, IL	7/14/2011	00616391-05	Pending-Cleared
FT 3052 GLOBAL EQUITY INCOME CLOSED END PORTFOLIO SERIES 17	WHEATON, IL	7/14/2011	00616387-05	Pending-Cleared
FT 3053 MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 30	WHEATON, IL	7/14/2011	00616390-05	Pending-Cleared
FT 3054 DIVIDEND INCOME PLUS PORTFOLIO SERIES 2	WHEATON, IL	7/14/2011	00616388-05	Pending-Cleared
FT 3057 STRATEGIC INCOME PLUS CLOSED END PORTFOLIO SERIES 32	WHEATON, IL	7/14/2011	00616396-05	Pending-Cleared
FT 3101 PREFERRED INCOME PORTFOLIO SERIES 44	WHEATON, IL	7/14/2011	00616393-05	Pending-Cleared
FT 3102 TAX ADVANTAGED MUNICIPAL CLOSED END PORTFOLIO SERIES 19	WHEATON, IL	7/14/2011	00616389-05	Pending-Cleared
GLOBAL CLOSED END PORTFOLIO SERIES 2011 2	MONUMENT, CO	7/14/2011	00616385-05	Pending-Cleared
GLOBAL COVERED CALL & INCOME STRATEGIES CLOSED END PORTFOLIO 15 MONTH SERIES 2011 3Q	MONUMENT, CO	7/14/2011	00616386-05	Pending-Cleared
GLOBAL TECHNOLOGY PORTFOLIO SERIES 2011 2	MONUMENT, CO	7/14/2011	00616381-05	Pending-Cleared
NAVELLIER TRADEWINDS INTERNATIONAL BOND PORTFOLIO SERIES 3	MONUMENT, CO	7/14/2011	00616382-05	Pending-Cleared
SENIOR VARIABLE RATE AND INCOME CLOSED END PORTFOLIO 15 MONTH SERIES 2011 3Q	MONUMENT, CO	7/14/2011	00616384-05	Pending-Cleared
STEELPATH ALERIAN MLP AND INCOME PORTFOLIO SERIES 2011 2	MONUMENT, CO	7/14/2011	00616378-05	Pending-Cleared
TACTICAL INCOME CLOSED END PORTFOLIO 15 MONTH SERIES 2011 3Q	MONUMENT, CO	7/14/2011	00616377-05	Pending-Cleared

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WILLIAMSBURG INVESTMENT TR ALABAMA TAX FREE BOND FUND	CINCINNATI, OH	7/14/2011	00616375-03	Pending-Cleared
FINANCIAL INVESTORS TR DISCIPLINED GROWTH INVESTORS FUND CLASS I SHARES	DENVER, CO	7/13/2011	00616368-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 794 GUGGENHEIM INFLATION DEFENSE & DIVIDEND PORTFOLIO SERIES 4	LISLE, IL	7/13/2011	00616359-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 794 GUGGENHEIM GLOBAL EXPANSION & DIVIDEND PORTFOLIO SERIES 2	LISLE, IL	7/13/2011	00616361-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 794 GUGGENHEIM GLOBAL INFRASTRUCTURE & DIVIDEND PORTFOLIO SERIES 2	LISLE, IL	7/13/2011	00616360-05	Pending-Cleared
NUVEEN INVESTMENT TR 2 NUVEEN SANTA BARBARA GLOBAL GROWTH FUND CLASS C	CHICAGO, IL	7/13/2011	00616366-03	Registered
NUVEEN INVESTMENT TR 2 NUVEEN SANTA BARBARA GLOBAL GROWTH FUND CLASS A	CHICAGO, IL	7/13/2011	00616364-03	Registered
NUVEEN INVESTMENT TR 2 NUVEEN SANTA BARBARA GLOBAL GROWTH FUND CLASS I	CHICAGO, IL	7/13/2011	00616365-03	Registered
NUVEEN INVESTMENT TR 2 NUVEEN SANTA BARBARA GLOBAL GROWTH FUND CLASS R3	CHICAGO, IL	7/13/2011	00616367-03	Registered
ADVISORS INNER CIRCLE FUND 2 WESTFIELD CAPITAL LARGE CAP GROWTH FUND INSTITUTIONAL CLASS SHARES	BOSTON, MA	7/12/2011	00616349-03	Registered
ADVISORS INNER CIRCLE FUND 2 WESTFIELD CAPITAL LARGE CAP GROWTH FUND INVESTOR CLASS SHARES	BOSTON, MA	7/12/2011	00616350-03	Registered

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FT 3025 DIVERSIFIED FIXED INCOME ETF PORTFOLIO SERIES 6	WHEATON, IL	7/12/2011	00616336-05	Pending-Cleared
MTB GROUP OF FUNDS MTB INTERNATIONAL EQUITY FUND INSTITUTIONAL I SHARES	BALTIMORE, MD	7/12/2011	00616351-03	Registered
MTB GROUP OF FUNDS MTB LARGE CAP VALUE FUND INSTITUTIONAL I SHARES	BALTIMORE, MD	7/12/2011	00616352-03	Registered
MTB GROUP OF FUNDS MTB SMALL CAP GROWTH FUND CLASS C SHARES	BALTIMORE, MD	7/12/2011	00616353-03	Registered
NORTHERN LIGHTS FUND TR INCLINE CAPITAL TREND FOLLOWING FUND	HAUPPAUGE, NY	7/12/2011	00616335-03	Pending-Cleared
FIRST TR COMBINED SERIES 368 BUILD AMERICA BONDS PORTFOLIO SERIES 37	WHEATON, IL	7/11/2011	00616248-05	Pending-Cleared
FIRST TR COMBINED SERIES 369 TAX EXEMPT MUNICIPAL INCOME TR SERIES 268	WHEATON, IL	7/11/2011	00616246-05	Pending-Cleared
FT 3024 60 40 STRATEGIC ALLOCATION PORT 3Q 11 TERM 10 22 12	WHEATON, IL	7/11/2011	00616256-05	Pending-Cleared
FT 3024 75 25 STRATEGIC ALLOCATION PORT 3Q 11 TERM 10 22 12	WHEATON, IL	7/11/2011	00616257-05	Pending-Cleared
FT 3026 FINANCIALS SELECT PORTFOLIO SERIES 36	WHEATON, IL	7/11/2011	00616249-05	Pending-Cleared
FT 3027 GLOBAL INFRASTRUCTURE PORTFOLIO SERIES 17	WHEATON, IL	7/11/2011	00616251-05	Pending-Cleared
FT 3028 MERGER AND ACQUISITION PORTFOLIO SERIES 8	WHEATON, IL	7/11/2011	00616255-05	Pending-Cleared
FT 3031 PRECIOUS METALS SELECT PORTFOLIO SERIES 24	WHEATON, IL	7/11/2011	00616247-05	Pending-Cleared
FT 3032 ETF GROWTH AND INCOME JULY 11	WHEATON, IL	7/11/2011	00616254-05	Pending-Cleared
FT 3033 ECONOMIC EXPANSION PORTFOLIO SERIES 3	WHEATON, IL	7/11/2011	00616250-05	Pending-Cleared
FT 3034 ENERGY SELECT PORTFOLIO SERIES 39	WHEATON, IL	7/11/2011	00616263-05	Pending-Cleared

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FT 3034 WATER UTILITY & INFRASTRUCTURE SELECT PORTFOLIO SERIES 21	WHEATON, IL	7/11/2011	00616264-05	Pending-Cleared
FT 3035 HEALTH CARE SELECT PORTFOLIO SERIES 21	WHEATON, IL	7/11/2011	00616262-05	Pending-Cleared
FT 3056 MUNICIPAL INCOME PLUS CLOSED END PORTFOLIO SERIES 18	WHEATON, IL	7/11/2011	00616252-05	Pending-Cleared
FT 3088 SENIOR LOAN & LIMITED DURATION CLOSED END PORTFOLIO SERIES 3	WHEATON, IL	7/11/2011	00616253-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 793 DIVERSIFIED INCOME WAVE PORTFOLIO SERIES 21	LISLE, IL	7/11/2011	00616259-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 793 MULTIPLE ASSET PORTFOLIO PLUS SERIES 38	LISLE, IL	7/11/2011	00616258-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 798 FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 25	LISLE, IL	7/11/2011	00616261-05	Pending-Cleared
OPPENHEIMER ROCHESTER ARIZONA MUNICIPAL FUND CLASS Y SHARES	DENVER, CO	7/11/2011	00616241-03	Pending-Cleared
OPPENHEIMER ROCHESTER MARYLAND MUNICIPAL FUND CLASS Y SHARES	DENVER, CO	7/11/2011	00616238-03	Pending-Cleared
OPPENHEIMER ROCHESTER MASSACHUSETTS MUNICIPAL FUND CLASS Y SHARES	DENVER, CO	7/11/2011	00616242-03	Pending-Cleared
OPPENHEIMER ROCHESTER MICHIGAN MUNICIPAL FUND CLASS Y SHARES	DENVER, CO	7/11/2011	00616244-03	Pending-Cleared
OPPENHEIMER ROCHESTER MINNESOTA MUNICIPAL FUND CLASS Y SHARES	DENVER, CO	7/11/2011	00616240-03	Pending-Cleared
OPPENHEIMER ROCHESTER NORTH CAROLINA MUNICIPAL FUND CLASS Y SHARES	DENVER, CO	7/11/2011	00616245-03	Pending-Cleared
OPPENHEIMER ROCHESTER OHIO MUNICIPAL FUND CLASS Y SHARES	DENVER, CO	7/11/2011	00616239-03	Pending-Cleared

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OPPENHEIMER ROCHESTER VIRGINIA MUNICIPAL FUND CLASS Y SHARES	DENVER, CO	7/11/2011	00616243-03	Pending-Cleared
SMART TR STRATEGIC GROWTH & INCOME TR 2011 SERIES G	PARSIPPANY, NJ	7/11/2011	00616260-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1000 INVESTMENT GRADE MUNICIPAL TR SERIES 130	OAKBROOK, IL	7/11/2011	00616267-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1001 INVESTMENT GRADE MUNICIPAL TR INTERMEDIATE SERIES 23	OAKBROOK, IL	7/11/2011	00616266-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 999 INVESTMENT GRADE MUNICIPAL TR SERIES 129	OAKBROOK, IL	7/11/2011	00616268-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1147 GLOBAL INFRASTRUCTURE STRATEGY 2011 3	OAKBROOK, IL	7/11/2011	00616265-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 332 INVESTMENT GRADE INCOME TR 7+ YEAR SERIES 5	OAKBROOK, IL	7/11/2011	00616273-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 333 INVESTMENT GRADE INCOME TR 7+ YEAR SERIES 6	OAKBROOK, IL	7/11/2011	00616272-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 334 INVESTMENT GRADE INCOME TR 20+ YEAR SERIES 2	OAKBROOK, IL	7/11/2011	00616271-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 335 INVESTMENT GRADE INCOME TR 20+ YEAR SERIES 3	OAKBROOK, IL	7/11/2011	00616270-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 336 BUILD AMERICA BONDS INCOME TR SERIES 81	OAKBROOK, IL	7/11/2011	00616269-05	Pending-Cleared
Number of Investment Company Filings: 127				

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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
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MACQUARIE ASIA PACIFIC 2 ASP FUND LLC	CHARLOTTE, NC	7/22/2011	00617088-07	Filed
TIPTON HOLLOW PROSPECT PARTNERS	HENDERSONVILLE, TN	7/22/2011	00617087-07	Filed
CAIM INVESTORS 309 LLC	CHICAGO, IL	7/21/2011	00617071-07	Filed
CMS BARLOW LONG SHORT EQUITY FUND LLC	POTOMAC, MD	7/21/2011	00617072-07	Filed
SAFETY PRODUCTS INVESTMENT LLC	CHICAGO, IL	7/21/2011	00617073-07	Filed
BAILARD EMERGING LIFE SCIENCES FUND 1 LP	FOSTER CITY, CA	7/19/2011	00616533-07	Filed
ERUDITE CAPITAL LP	DOVER, DE	7/19/2011	00616535-07	Filed
HALT MEDICAL INC	LIVERMORE, CA	7/19/2011	00616534-07	Filed
MURVIN & MEIERE OIL CO 2011 3	BARRINGTON, IL	7/19/2011	00616532-07	Filed
PERCUTANEOUS SYSTEMS INC	PALO ALTO, CA	7/19/2011	00616543-07	Filed
ENERGY ASSOC INC	LEXINGTON, KY	7/18/2011	00616431-07	Filed
INTERRAD MEDICAL INC	PLYMOUTH, MN	7/18/2011	00616437-07	Filed
PCP RIVER WALK LP	DALLAS, TX	7/18/2011	00616434-07	Filed
PEM NOV DST	HOUSTON, TX	7/18/2011	00616436-07	Filed
REGIMENT CAPITAL LTD	GRAND CAYMAN KY1-1003,	7/18/2011	00616432-07	Filed
TX LONGHORN INVESTMENTS LLC	DALLAS, TX	7/18/2011	00616433-07	Filed
BLUEBAY EMERGING MARKET ENHANCED OPPORTUNITY FUND LP	WILMINGTON, DE	7/15/2011	00616408-07	Filed
JFMC POOLED ENDOWMENT PORTFOLIO LLC	CHICAGO, IL	7/15/2011	00616402-07	Filed
LAKEVIEW BANCORPORATION INC	LAKEVILLE, MN	7/15/2011	00616407-07	Filed
LITESPEED PARTNERS LP	NEW YORK, NY	7/15/2011	00616403-07	Filed
MATERIA INC	PASADENA, CA	7/15/2011	00616406-07	Filed
PETERSON PARTNERS 6 LP	SALT LAKE CITY, UT	7/15/2011	00616405-07	Filed
SPM MACRO FUND LP	LAS VEGAS, NV	7/15/2011	00616404-07	Filed
STEADMED MEDICAL LLC	FORT WORTH, TX	7/15/2011	00616401-07	Filed
1ST AMERICAN INVESTMENT INC	HUDSON, WI	7/14/2011	00616372-07	Filed
RCP DIRECT LP	CHICAGO, IL	7/13/2011	00616356-07	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 7/11/2011 through 7/22/2011**

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
SENIOR HOUSING PARTNERSHIP FUND 4 LP	PARSIPPANY, NJ	7/13/2011	00616357-07	Filed
STANDARD GLOBAL EQUITY PARTNERS SA LP	SAN FRANCISCO, CA	7/13/2011	00616358-07	Filed
BROADWAY GATE OFFSHORE FUND LTD	GRAND CAYMAN KY1 1103,	7/12/2011	00616329-07	Filed
CENTRAL PARK GROUP CARLYLE EQUITY OPORTUNITY FUND LLC	NEW YORK, NY	7/12/2011	00616330-07	Filed
CN INVESTORS LLC	LAS VEGAS, NV	7/12/2011	00616332-07	Filed
FELIX MULTI OPPORTUNITY FUND 2 LLC	NEW YORK, NY	7/12/2011	00616333-07	Filed
GRAND ENERGY 2011 83 LTD	ADDISON, TX	7/12/2011	00616331-07	Filed
SAB EQUITY PARTNERSHIP 3 LP	SAN ANTONIO, TX	7/12/2011	00616327-07	Filed
SAB EQUITY PARTNERSHIP LP	SAN ANTONIO, TX	7/12/2011	00616328-07	Filed
ALKEON GROWTH PARTNERS 2 LP	NEW YORK, NY	7/11/2011	00616237-07	Filed
SITTERCITY INC	CHICAGO, IL	7/11/2011	00616236-07	Filed
VISION 3 IMAGING INC	VIENNA, VA	7/11/2011	00616235-07	Filed
Number of Regulation D Notice Filings: 38				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Bevintel, LLC / Bevintel	Louisville, KY	7/22/2011	602868	Registered
NATHANS FAMOUS SYSTEMS INC	Jericho, NY	7/21/2011	602870	Registered
ROMA FRANCHISE CORP / Tony Roma's	PLANO, TX	7/21/2011	602869	Registered
Captain D's, LLC / Captain D's	Nashville, TN	7/19/2011	602863	Registered
Aspen Leaf Yogurt, LLC / Rocky Mountain Chocolate Factory	Durango, CO	7/18/2011	602864	Registered
EMERGING VISION INC	GARDEN CITY, NY	7/18/2011	602862	Registered
GO GROUP LLC	COLUMBIA, MD	7/18/2011	602860	Registered
GOLDS GYM FRANCHISING LLC / Full Amenity program - Legacy Version	IRVING, TX	7/18/2011	602861	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 7/11/2011 through 7/22/2011**

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
KRISPY KREME DOUGHNUT CORP	WINSTON SALEM, NC	7/18/2011	602865	Registered
Great Lakes Chocolate Co., Inc. / Great Lakes Chocolate and Coffee Company	Sturgis, MI	7/16/2011	602857	Registered
Aspen Leaf Yogurt, LLC / Rocky Mountain Chocolate Factory	Durango, CO	7/15/2011	602854	Registered
NITELITES FRANCHISE SYSTEMS INC / NITELITES	FRANKLIN, OH	7/15/2011	602855	Registered
MELTING POT RESTAURANTS INC	TAMPA, FL	7/14/2011	602850	Registered
TAX CENTERS OF AMERICA INC	RUSSELLVILLE, AR	7/14/2011	602852	Registered
TONI&GUY HAIRDRESSING ACADEMY FRANCHISE LP	CARROLTON, TX	7/14/2011	602853	Registered
Endurance House International, LLC / Endurance House	Middleton, WI	7/13/2011	602840	Registered
THRIFTY RENT A CAR SYSTEM INC	TULSA, OK	7/13/2011	602843	Registered
FIREHOUSE OF AMERICA LLC	JACKSONVILLE, FL	7/12/2011	602839	Registered
CHYTEN FRANCHISING INC	LEXINGTON, MA	7/12/2011	602846	Registered
COAST TO COAST ENGEINEERING SERVICES INC / Criterium Engineers	PORTLAND, ME	7/12/2011	602847	Registered
DOLLAR RENT A CAR INC	TULSA, OK	7/12/2011	602844	Registered
THRIFTY CAR SALES INC	TULSA, OK	7/12/2011	602845	Registered
Alliance Cost Containment, LLC	Louisville, KY	7/11/2011	602832	Registered
ATC HEALTHCARE SERVICES INC	LAKE SUCCESS, NY	7/11/2011	602849	Registered
JTH TAX INC / Area Developer	VIRGINIA BEACH, VA	7/11/2011	602848	Registered
MANCHU WOK FRANCHISING USA INC	MARKHAM ON L6G 1A8, ON	7/11/2011	602838	Registered
Number of Franchise Filings: 26				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
Number of Takeover Filings: 0	