

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 6/13/2011 through 6/24/2011**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SELWAY CAPITAL ACQUISITION CORP	ENGLEWOOD, CA	6/15/2011	00615413-01	Pending
FS INVESTMENT CORP	PHILADELPHIA, PA	6/14/2011	00614406-01	Pending
VICTORY FAITH FELLOWSHIP	SPOKANE VALLEY, WA	6/14/2011	00614407-02	Pending
Number of Registration Filings: 3				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
RESURRECTION BAPTIST CHURCH	SCHERTZ, TX	6/20/2011	00615497-10	Pending
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FRANK FUNDS FRANK VALUE FUND INVESTOR CLASS	PARSIPPANY, NJ	6/23/2011	00615529-03	Pending-Cleared
TOUCHSTONE FUNDS GROUP TR EMERGING MARKETS EQUITY FUND 2 CLASS Y	CINCINNATI, OH	6/23/2011	00615531-03	Pending-Cleared
TOUCHSTONE FUNDS GROUP TR INTERNATIONAL FIXED INCOME FUND CLASS C	CINCINNATI, OH	6/23/2011	00615530-03	Pending-Cleared
FT 3011 INTERNATIONAL CAPITAL STRENGTH PORTFOLIO SERIES 8	WHEATON, IL	6/22/2011	00615517-05	Pending-Cleared
FT 3011 SMID CAPITAL STRENGTH PORTFOLIO SERIES 8	WHEATON, IL	6/22/2011	00615518-05	Pending-Cleared
FT 3015 STRATEGIC INCOME ADVANTAGE SELECT CLOSED END PORTFOLIO SERIES 26	WHEATON, IL	6/22/2011	00615520-05	Pending-Cleared
FT 3016 INFLATION HEDGE OPPORTUNITY PORTFOLIO SERIES 9	WHEATON, IL	6/22/2011	00615519-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 3017 MUNICIPAL ADVANTAGE CLOSED END & ETF PORTFOLIO SERIES 6	WHEATON, IL	6/22/2011	00615522-05	Pending-Cleared
FT 3040 INTEREST RATE HEDGE PORTFOLIO SERIES 35	WHEATON, IL	6/22/2011	00615523-05	Pending-Cleared
FT 3041 SENIOR LOAN & LIMITED DURATION PLUS CLOSED END PORTFOLIO SERIES 43	WHEATON, IL	6/22/2011	00615521-05	Pending-Cleared
GUINNESS ATKINSON FUNDS	GARDEN CITY, NY	6/22/2011	00615527-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR EUROPAC HARD ASSET FUND CLASS A	MILWAUKEE, WI	6/22/2011	00615524-03	Pending-Cleared
NORTHERN LIGHTS FUND TR EAS GLOBAL CYCLE FUND CLASS C	,	6/22/2011	00615525-03	Pending-Cleared
NORTHERN LIGHTS FUND TR GRATIO VALUES FUND	,	6/22/2011	00615526-03	Pending-Cleared
ADVISORS SERIES TR SIM DYNAMIC ALLOCATION BALANCED INCOME FUND CLASS C	MILWAUKEE, WI	6/21/2011	00615511-03	Pending-Cleared
ADVISORS SERIES TR SIM DYNAMIC ALLOCATION BALANCED INCOME FUND CLASS A	MILWAUKEE, WI	6/21/2011	00615507-03	Pending-Cleared
ADVISORS SERIES TR SIM DYNAMIC ALLOCATION DIVERSIFIED INCOME FUND CLASS A	MILWAUKEE, WI	6/21/2011	00615506-03	Pending-Cleared
ADVISORS SERIES TR SIM DYNAMIC ALLOCATION DIVERSIFIED INCOME FUND CLASS C	MILWAUKEE, WI	6/21/2011	00615508-03	Pending-Cleared
ADVISORS SERIES TR SIM DYNAMIC ALLOCATION EQUITY INCOME FUND CLASS C	MILWAUKEE, WI	6/21/2011	00615509-03	Pending-Cleared
ADVISORS SERIES TR SIM DYNAMIC ALLOCATION EQUITY INCOME FUND CLASS A	MILWAUKEE, WI	6/21/2011	00615510-03	Pending-Cleared
FT 2988 BAKKEN SHALE PORTFOLIO SERIES 2	WHEATON, IL	6/20/2011	00615461-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
FT 2989 DORSEY WRIGHT RELATIVE STRENGTH TOP 50 SERIES 2	WHEATON, IL	6/20/2011	00615465-05	Pending-Cleared
FT 2991 BALANCED INCOME PORTFOLIO SERIES 29	WHEATON, IL	6/20/2011	00615460-05	Pending-Cleared
FT 3009 REIT GROWTH & INCOME PLUS PORTFOLIO SERIES 8	WHEATON, IL	6/20/2011	00615462-05	Pending-Cleared
FT 3010 EMERGING MARKETS STRENGTH PORTFOLIO SERIES 10	WHEATON, IL	6/20/2011	00615463-05	Pending-Cleared
FT 3049 REAL ESTATE CLOSED END PORTFOLIO SERIES 6	WHEATON, IL	6/20/2011	00615464-05	Pending-Cleared
NEUBERGER BERMAN EQUITY FUNDS NEUBERGER BERMAN GLOBAL THEMATIC OPPORTUNITIES FUND CLASS A SHARES	NEW YORK, NY	6/20/2011	00615468-03	Pending-Cleared
NEUBERGER BERMAN EQUITY FUNDS NEUBERGER BERMAN GLOBAL EQUITY FUND INSTITUTIONAL CLASS SHARES	NEW YORK, NY	6/20/2011	00615469-03	Pending-Cleared
NEUBERGER BERMAN EQUITY FUNDS NEUBERGER BERMAN GLOBAL EQUITY FUND CLASS A SHARES	NEW YORK, NY	6/20/2011	00615471-03	Pending-Cleared
NEUBERGER BERMAN EQUITY FUNDS NEUBERGER BERMAN GLOBAL THEMATIC OPPORTUNITIES FUND INSTITUTIONAL CLASS SHARES	NEW YORK, NY	6/20/2011	00615466-03	Pending-Cleared
NEUBERGER BERMAN EQUITY FUNDS NEUBERGER BERMAN GLOBAL EQUITY FUND CLASS C SHARES	NEW YORK, NY	6/20/2011	00615470-03	Pending-Cleared
NEUBERGER BERMAN EQUITY FUNDS NEUBERGER BERMAN GLOBAL THEMATIC OPPORTUNITIES FUND CLASS C SHARES	NEW YORK, NY	6/20/2011	00615467-03	Pending-Cleared
ADVISORS CORPORATE TR NAVILLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 33	MONUMENT, CO	6/17/2011	00615439-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
FIRST TR COMBINED SERIES 367 BUILD AMERICA BONDS PORTFOLIO SERIES 36	WHEATON, IL	6/17/2011	00615446-05	Pending-Cleared
FT 2969 STRUCTURED PORTFOLIO PLAN DEFINED PORTFOLIO JUNE 2011 SERIES	WHEATON, IL	6/17/2011	00615445-05	Pending-Cleared
FT 3012 STRATEGIC DIVIDEND PLUS PORTFOLIO SERIES 3	WHEATON, IL	6/17/2011	00615442-05	Pending-Cleared
FT 3014 DIVIDEND & INCOME SELECT CLOSED END PORTFOLIO SERIES 17	WHEATON, IL	6/17/2011	00615444-05	Pending-Cleared
FT 3050 STRATEGIC INCOME SELECT CLOSED END PORTFOLIO SERIES 2	WHEATON, IL	6/17/2011	00615443-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 799 GUGGENHEIM US HIGH DIVIDEND STRATEGY PORTFOLIO SERIES 1	LISLE, IL	6/17/2011	00615437-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 799 GUGGENHEIM US SMID HIGH DIVIDEND STRATEGY PORTFOLIO SERIES 1	LISLE, IL	6/17/2011	00615438-05	Pending-Cleared
PERONI GROWTH PORTFOLIO SUMMER 2011 SERIES	MONUMENT, CO	6/17/2011	00615440-05	Pending-Cleared
PREFERRED INCOME PORTFOLIO SERIES 2011 1	MONUMENT, CO	6/17/2011	00615441-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 992 INVESTMENT GRADE MUNICIPAL TR SERIES 127	OAKBROOK, IL	6/17/2011	00615453-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 993 INVESTMENT GRADE MUNICIPAL TR SERIES 128	OAKBROOK, IL	6/17/2011	00615452-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 994 INSURED MUNICIPALS INCOME TR SERIES 633	OAKBROOK, IL	6/17/2011	00615451-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 995 QUALITY MUNICIPALS INCOME TR 44TH LIMITED MATURITY SERIES	OAKBROOK, IL	6/17/2011	00615449-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
VAN KAMPEN UNIT TR MUNICIPAL SERIES 996 QUALITY MUNICIPALS INCOME TR 45TH LIMITED MATURITY SERIES	OAKBROOK, IL	6/17/2011	00615450-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 997 INVESTORS QUALITY TAX EXEMPT TR SERIES 143	OAKBROOK, IL	6/17/2011	00615447-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 998 INVESTORS QUALITY TAX EXEMPT TR SERIES 144	OAKBROOK, IL	6/17/2011	00615448-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 330 BUILD AMERICA BONDS INCOME TR SERIES 79	OAKBROOK, IL	6/17/2011	00615454-05	Pending-Cleared
MASSMUTUAL SELECT FUNDS MASSMUTUAL RETIRESMART CONSERVATIVE FUND CLASS A	SPRINGFIELD, MA	6/15/2011	00615418-03	Pending-Cleared
MASSMUTUAL SELECT FUNDS MASSMUTUAL RETIRESMART GROWTH FUND CLASS A	SPRINGFIELD, MA	6/15/2011	00615415-03	Pending-Cleared
MASSMUTUAL SELECT FUNDS MASSMUTUAL RETIRESMART MODERATE FUND CLASS A	SPRINGFIELD, MA	6/15/2011	00615417-03	Pending-Cleared
MASSMUTUAL SELECT FUNDS MASSMUTUAL RETIRESMART MODERATE GROWTH FUND CLASS A	SPRINGFIELD, MA	6/15/2011	00615416-03	Pending-Cleared
SCOUT FUNDS SCOUT GLOBAL EQUITY FUND	KANSAS CITY, MO	6/15/2011	00615414-03	Pending-Cleared
FT 3045 HIGH YIELD INCOME CLOSED END PORTFOLIO SERIES 41	WHEATON, IL	6/14/2011	00614410-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIO SERIES 731 GUGGENHEIM LONG TERM NATIONAL MUNICIPAL TR SERIES 3	LISLE, IL	6/14/2011	00614409-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 329 BUILD AMERICA BONDS INCOME TR SERIES 78	OAKBROOK, IL	6/14/2011	00614408-05	Pending-Cleared
DIVIDEND INCOME VALUE STRATEGY PORTFOLIO SERIES 2011 2Q	MONUMENT, CO	6/13/2011	00614379-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 2983 CANADIAN ENERGY AND INCOME PORTFOLIO SERIES 22	WHEATON, IL	6/13/2011	00614384-05	Registered
FT 2984 CAPITAL STRENGTH PORTFOLIO SERIES 12	WHEATON, IL	6/13/2011	00614383-05	Pending-Cleared
FT 2985 INTERNATIONAL HIGH DIVIDEND EQUITY PORTFOLIO SERIES 5	WHEATON, IL	6/13/2011	00614385-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 791 GUGGENHEIM GROWTH ASSET ALLOCATION PORTFOLIO OF ETFs SERIES 7	LISLE, IL	6/13/2011	00614380-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 791 GUGGENHEIM MODERATE ASSET ALLOCATION PORTFOLIO OF ETFs SERIES 7	LISLE, IL	6/13/2011	00614382-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 792 WILSHIRE GLOBAL ETF ALLOCATION PORTFOLIO SERIES 2	LISLE, IL	6/13/2011	00614381-05	Pending-Cleared
HIGH 50 DIVIDEND STRATEGY PORTFOLIO SERIES 2011 2Q	MONUMENT, CO	6/13/2011	00614378-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1127 PRECIOUS METALS AND MINING PORTFOLIO 2011 3	OAKBROOK, IL	6/13/2011	00614377-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1128 EMERGING MARKETS PORTFOLIO 2011 3	OAKBROOK, IL	6/13/2011	00614376-05	Pending-Cleared
Number of Investment Company Filings: 68				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ASGI MANAGED FUTURES LEGENDS FUND LLC	CHARLOTTE, NC	6/24/2011	00615570-07	Filed
COWEN HEALTHCARE ROYALTY PARTNERS 2 LP	STAMFORD, CT	6/24/2011	00615572-07	Filed
HARD ROCK EXPLORATION 2011 A LP	CHARLESTON, WV	6/24/2011	00615573-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
REG TECHNOLOGIES INC	RICHMOND BC V7A 5E3,	6/24/2011	00615571-07	Filed
UNLIMITED RENEWABLES LLC	LAKE MILLS, WI	6/24/2011	00615574-07	Filed
ARLINGTON CAPITAL PARTNERS 3 LP	CHEVY CHASE, MD	6/22/2011	00615516-07	Filed
WIED FIELD JOINT VENTURE	DALAS, TX	6/22/2011	00615515-07	Filed
137 VENTURES LP	SAN FRANCISCO, CA	6/21/2011	00615502-07	Filed
HARDCORE COMPUTER INC	ROCHESTER, MN	6/21/2011	00615500-07	Filed
LIGHT SCIENCES ONCOLOGY INC	BELLEVUE, WA	6/21/2011	00615514-07	Filed
PATHFINDER RAIN TREE RESIDENTIAL 2 LP	SAN DIEGO, CA	6/21/2011	00615503-07	Filed
WOLVERINE MINERALS CORP	VANCOUVER BC V7X 1K8,	6/21/2011	00615501-07	Filed
1910 NORTH WATER STREET LLC	MILWAUKEE, WI	6/20/2011	00615455-07	Filed
CORONADO BIOSCIENCES INC	NEW YORK, NY	6/20/2011	00615457-07	Filed
FRONTIER MARKET SELECT FUND 2 LP	LA JOLLA, CA	6/20/2011	00615494-07	Filed
FRONTIER MARKET SELECT FUND LP	LA JOLLA, CA	6/20/2011	00615495-07	Filed
RK OPPORTUNITY FUND 2 LLC	LONG BEACH, CA	6/20/2011	00615456-07	Filed
CV SPV 1 FSK LP	NEW YORK, NY	6/17/2011	00615434-07	Filed
EMPIRE RESIDENTIAL OPPORTUNITY FUND 3 LLC	SCOTTSDALE, AZ	6/16/2011	00615430-07	Filed
EQT 6 NO 2 LIMITED PARTNERSHIP	CHANNEL ISLANDS GY1 3RA,	6/16/2011	00615432-07	Filed
NUVEEN ASSET MANAGEMENT LLC	CHICAGO, IL	6/16/2011	00615431-07	Filed
DIXON MIDLAND MANAGED FUTURES LLC	CHICAGO, IL	6/14/2011	00614413-07	Filed
HEALTHCARE OF FLORENCE LLC	EAGLE, ID	6/14/2011	00614414-07	Filed
MURVIN & MEIER OIL CO 2011 1	BARRINGTON, IL	6/14/2011	00614412-07	Filed
SAB EQUITY PARTNERSHIP LP	SAN ANTONIO, TX	6/14/2011	00614411-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ALTIS GLOBAL FUTURES ASP FUND A SERIES OF ALTERNATIVE STRATEGIES MANAGED FUTURES & COMMODITIES PLATFORM LLC	CHARLOTTE, NC	6/13/2011	00614375-07	Filed
CAPLINE MICRO LENDING FUND 1 LLC	LAYTON, UT	6/13/2011	00614416-07	Filed
GRAHAM GLOBAL DISCRETIONARY ASP FUND A SERIES OF ALTERNATIVE STRATEGIES MANAGED FUTURES & COMMODITIES PLATFORM LLC	CHARLOTTE, NC	6/13/2011	00614373-07	Filed
MANAGED FUTURES LEGENDS 2 ASP FUND A SERIES OF ALTERNATIVE STRATEGIES MANAGED FUTURES & COMMODITIES PLATFORM LLC	CHARLOTTE, NC	6/13/2011	00614374-07	Filed
MFR PARTNERS 11 LLC	WAYZATA, MN	6/13/2011	00614415-07	Filed
SE FT SMITH LLC	CHICAGO, IL	6/13/2011	00614372-07	Filed
Number of Regulation D Notice Filings: 31				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Billboard Connection, Inc. / Billboard Connection	West Palm Beach, FL	6/24/2011	602785	Registered
Gardner GJ Homes (USA) PTY, LTD	Los Angeles, CA	6/23/2011	602780	Registered
GREEN MILL RESTAURANTS LLC / Crooked Pint Ale House	St. Paul, MN	6/23/2011	602782	Registered
Professionals Global USA, LLC	Scottsdale, AZ	6/23/2011	602781	Registered
CARSOUP FRANCHISING LLC / Content	BLOOMINGTON, MN	6/22/2011	602779	Registered
FLOWERAMA OF AMERICA INC	WATERLOO, IA	6/21/2011	602775	Registered
Country Kitchen Franchise Marketing Group, LLC / Country Kitchen International	Madison, WI	6/21/2011	602774	Registered
SALAD CREATIONS LLC / Salad Creations Restaurants	Cocunut Creek, FL	6/21/2011	602778	Registered
SCA FRANCHISING CORP	BURBANK, CA	6/21/2011	602777	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
T.G.D. National, LLC / The Game Dudes	Orlando, FL	6/20/2011	602766	Registered
AlignLife Systems, LLC	Peoria, IL	6/20/2011	602772	Registered
BONANZA RESTAURANT CO	PLANO, TX	6/20/2011	602776	Registered
Falafill Franchising, LLC	Cicero, IL	6/20/2011	602771	Registered
Grand Mark Solutions, Inc. / HomeStory	Rocklin, CA	6/17/2011	602769	Registered
Cheba Hut Franchising, Inc. / Cheba Hut Restaurant	Fort Collins, CO	6/16/2011	602763	Registered
EAGLE FRANCHISE SYSTEMS INC / Parcel Plus	CYPRESS, TX	6/16/2011	602773	Registered
Towne Park Systems, LLC / Towne Park	Annapolis, MD	6/16/2011	602770	Registered
Kosama Inc / Kosama	West Des Moines, IA	6/15/2011	602754	Registered
DOODYCALLS FRANCHSING LLC	CHARLOTTESVILLE, VA	6/15/2011	602758	Registered
MR PAYROLL CORP	FORT WORTH, TX	6/13/2011	602751	Registered
SPARKLE INTERNATIONAL INC	Oakwook, OH	6/13/2011	602752	Registered
Number of Franchise Filings: 21				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	