

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 5/30/2011 through 6/10/2011**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
STAFFORD CROSSING COMMUNITY CHURCH INC	FREDRICKSBURG, VA	6/7/2011	00612789-02	Pending
COMMITTED CAPITAL ACQUISITION CORPORATION	NEW YORK, NY	6/6/2011	00612770-01	Pending
SQN ALTERNATE INVESTMENT FUND 3 LP	NEW YORK, NY	5/31/2011	00612596-01	Pending
Number of Registration Filings: 3				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
22ND CENTURY GROUP INC	WILLIAMSVILLE, NY	6/9/2011	00613533-10	Pending
CHRISTS CHURCH OF VALLEY INC	APPLETON, WI	6/6/2011	00612794-10	Pending
LIVING WORD BIBLE CHURCH	MESA, AZ	6/1/2011	00612695-08	Pending
Number of Exemption Filings: 3				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 2918 PHARMACEUTICAL SELECT PORTFOLIO SERIES 38	WHEATON, IL	6/10/2011	00613522-05	Pending-Cleared
FT 2987 DIVERSIFIED HIGH INCOME CLOSED END PORTFOLIO SERIES 2	WHEATON, IL	6/10/2011	00613524-05	Pending-Cleared
FT 3039 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 42	WHEATON, IL	6/10/2011	00613527-05	Pending-Cleared
FT 3044 INSURED MUNICIPAL INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 5	WHEATON, IL	6/10/2011	00613526-05	Pending-Cleared
FT 3046 WORLD WIDE ECONOMIC RECOVERY PORTFOLIO SERIES 3	WHEATON, IL	6/10/2011	00613528-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 3047 SENIOR LOAN & LTD DURATION OPPORTUNITIES CLOSED END PORTFOLIO SERIES 7	WHEATON, IL	6/10/2011	00613525-05	Pending-Cleared
FT 3051 SENIOR LOAN & LTD DURATION CLOSED END PORTFOLIO SERIES 2	WHEATON, IL	6/10/2011	00613523-05	Pending-Cleared
TOUCHSTONE FUNDS GROUP TR SANDS CAPITAL SELECT GROWTH FUND CLASS C	CINCINNATI, OH	6/10/2011	00613521-03	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIO SERIES 727 GUGGENHEIM INTERMEDIATE INVESTMENT GRADE CORPORATE TR SERIES 29	LISLE, IL	6/8/2011	00612829-05	Pending-Cleared
NORTHERN LIGHTS FUND TR CIFG MAXBALANCED FUND	HAUPPAUGE, NY	6/8/2011	00612830-03	Pending-Cleared
NORTHERN LIGHTS FUND TR EAS GLOBAL CYCLE FUND CLASS A	HAUPPAUGE, NY	6/8/2011	00612831-03	Pending-Cleared
NORTHERN LIGHTS FUND TR EAS GLOBAL CYCLE FUND CLASS I	HAUPPAUGE, NY	6/8/2011	00612832-03	Pending-Cleared
1ST TR COMBINED SERIES 352 TX EX MUNICIPAL INCOME TR INTERMEDIATE SERIES 2	WHEATON, IL	6/7/2011	00612788-05	Pending-Cleared
1ST TR COMBINED SERIES 366 TX EX MUNICIPAL INCOME TR SERIES 267	WHEATON, IL	6/7/2011	00612784-05	Pending-Cleared
BUSINESS DEVELOPMENT CO OPPORTUNITIES PORTFOLIO SERIES 2011 1	MONUMENT, CO	6/7/2011	00612782-05	Pending-Cleared
FT 2986 ABC PORTFOLIO SERIES 2	WHEATON, IL	6/7/2011	00612786-05	Pending-Cleared
FT 3038 INTEREST RATE HEDGE PORTFOLIO SERIES 34	WHEATON, IL	6/7/2011	00612783-05	Pending-Cleared
FT 3042 PREFERRED INCOME PORTFOLIO SERIES 43	WHEATON, IL	6/7/2011	00612785-05	Pending-Cleared
FT 3048 TACTICAL INCOME PORTFOLIO SERIES 7	WHEATON, IL	6/7/2011	00612787-05	Pending-Cleared
HENSSLER FUNDS INC HENSSLER EQUITY FUND INSTITUTIONAL CLASS SHARES	KENNESAW, GA	6/7/2011	00612798-03	Registered

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NORTHERN LIGHTS FUND TR PACIFIC FINANCIAL CORE EQUITY FUND	HAUPPAUGE, NY	6/7/2011	00612780-03	Pending-Cleared
NORTHERN LIGHTS FUND TR PACIFIC FINANCIAL EXPLORER FUND	HAUPPAUGE, NY	6/7/2011	00612779-03	Pending-Cleared
NORTHERN LIGHTS FUND TR PACIFIC FINANCIAL INTERNATIONAL FUND	HAUPPAUGE, NY	6/7/2011	00612781-03	Pending-Cleared
NORTHERN LIGHTS FUND TR PACIFIC FINANCIAL STRATEGIC CONSERVATIVE FUND	HAUPPAUGE, NY	6/7/2011	00612777-03	Pending-Cleared
NORTHERN LIGHTS FUND TR PACIFIC FINANCIAL TACTICAL FUND	HAUPPAUGE, NY	6/7/2011	00612778-03	Pending-Cleared
NORTHERN LIGHTS FUND TR TOEWS HEDGED GROWTH ALLOCATION FUND	HAUPPAUGE, NY	6/7/2011	00612775-03	Pending-Cleared
NORTHERN LIGHTS FUND TR TOEWS HEDGED HIGH YIELD BOND FUND	HAUPPAUGE, NY	6/7/2011	00612776-03	Pending-Cleared
NORTHERN LIGHTS FUND TR TOEWS HEDGED INTERNATIONAL DEVELOPED MARKETS FUND	HAUPPAUGE, NY	6/7/2011	00612774-03	Pending-Cleared
NORTHERN LIGHTS FUND TR TOEWS HEDGED LARGE CAP FUND	HAUPPAUGE, NY	6/7/2011	00612773-03	Pending-Cleared
NORTHERN LIGHTS FUND TR TOEWS HEDGED SMALL & MID CAP FUND	HAUPPAUGE, NY	6/7/2011	00612772-03	Pending-Cleared
TEMPLETON GLOBAL INVESTMENT TR TEMPLETON GLOBAL BALANCED FUND CLASS C	SAN MATEO, CA	6/7/2011	00612841-03	Pending-Cleared
FT 2972 HIGH DIVIDEND EQUITY PORTFOLIO SERIES 6	WHEATON, IL	6/6/2011	00612764-05	Pending-Cleared
FT 3006 S MID INTERNATIONAL PORTFOLIO SERIES	WHEATON, IL	6/6/2011	00612763-05	Pending-Cleared
FUNDVANTAGE TR BOSTON ADVISORS BROAD ALLOCATION STRATEGY FUND CLASS A	WILMINGTON, DE	6/6/2011	00612827-03	Registered

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Firm Name	Location	Date Received	File Number	Status
GUGGENHEIM DEFINED PORTFOLIOS SERIES 788 CLOSED END INCOME & TREASURY LIMITED DURATION PORTFOLIO SERIES 13	LISLE, IL	6/6/2011	00612765-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 789 CLOSED END COVERED CALL & INCOME PORTFOLIO SERIES 22	LISLE, IL	6/6/2011	00612766-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 789 STRATEGIC INCOME PORTFOLIO SERIES 52	LISLE, IL	6/6/2011	00612767-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 989 QUALITY MUNICIPALS INCOME TR 42ND LIMITED MATURITY SERIES	OAKBROOK, IL	6/6/2011	00612754-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 990 QUALITY MUNICIPALS INCOME TR 43RD LIMITED MATURITY SERIES	OAKBROOK, IL	6/6/2011	00612755-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 991 INVESTORS QUALITY TAX EXEMPT TR SERIES 142	OAKBROOK, IL	6/6/2011	00612753-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1074 DIVIDEND & BUYBACK OPPORTUNITY PORTFOLIO SERIES 1	OAKBROOK, IL	6/6/2011	00612752-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1119 DOW JONES SELECT DIVIDEND INDEX STRATEGY PORTFOLIO 2011 3	OAKBROOK, IL	6/6/2011	00612757-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1119 EAFE SELECT 20 PORTFOLIO 2011 3	OAKBROOK, IL	6/6/2011	00612756-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1119 GLOBAL 45 DIVIDEND STRATEGY PORTFOLIO 2011 3	OAKBROOK, IL	6/6/2011	00612761-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1119 SELECT 10 INDUSTRIAL PORTFOLIO 2011 4	OAKBROOK, IL	6/6/2011	00612762-05	Pending-Cleared

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VAN KAMPEN UNIT TR SERIES 1119 SELECT 5 INDUSTRIAL PORTFOLIO 2011 3	OAKBROOK, IL	6/6/2011	00612758-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1119 SELECT S&P CORE PORTFOLIO 2011 3	OAKBROOK, IL	6/6/2011	00612760-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1119 SELECT S&P INDUSTRIAL PORTFOLIO 2011 3	OAKBROOK, IL	6/6/2011	00612759-05	Pending-Cleared
AIM GROWTH SERIES INVESCO GROWTH SERIES INVESCO MODERATELY CONSERVATIVE ALLOCATION FUND CLASS S SHARES	HOUSTON, TX	6/3/2011	00612815-03	Registered
AIM INVESTMENT SECURITIES FUNDS INVESCO INVESTMENT SECURITIES FUNDS INVESCO VAN KAMPEN CORPORATE BOND FUND	HOUSTON, TX	6/3/2011	00612816-03	Registered
COLUMBIA FUNDS SERIES TR I COLUMBIA CA TX EX FUND CLASS B	BOSTON, MA	6/3/2011	00612821-03	Registered
COLUMBIA FUNDS SERIES TR I COLUMBIA CA TX EX FUND CLASS C	BOSTON, MA	6/3/2011	00612819-03	Registered
COLUMBIA FUNDS SERIES TR I COLUMBIA CA TX EX FUND CLASS Z	BOSTON, MA	6/3/2011	00612817-03	Registered
COLUMBIA FUNDS SERIES TR I COLUMBIA NY TX EX FUND CLASS A	BOSTON, MA	6/3/2011	00612818-03	Registered
COLUMBIA FUNDS SERIES TR I COLUMBIA NY TX EX FUND CLASS B	BOSTON, MA	6/3/2011	00612820-03	Registered
COLUMBIA FUNDS SERIES TR I COLUMBIA NY TX EX FUND CLASS C	BOSTON, MA	6/3/2011	00612822-03	Registered
FT 2971 BANKING OPPORTUNITY PORTFOLIO SERIES 8	WHEATON, IL	6/3/2011	00612727-05	Pending-Cleared
FT 2973 MULTI SECITOR INCOME PORTFOLIO SERIES 4	WHEATON, IL	6/3/2011	00612726-05	Pending-Cleared
FT 2982 STRATEGIC INCOME CLOSED END PORTFOLIO SERIES 35	WHEATON, IL	6/3/2011	00612728-05	Pending-Cleared

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FT 3005 SMID DIVIDEND PORTFOLIO SERIES	WHEATON, IL	6/3/2011	00612729-05	Pending-Cleared
FT 3043 INSURED MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 26	WHEATON, IL	6/3/2011	00612725-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 777 CLOSED END CORPORATE HIGH YIELD & INCOME PORTFOLIO 15 MONTH SERIES 18	LISLE, IL	6/3/2011	00612723-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 777 STRATEIGIC INCOME PORTFOLIO 15 MONTH SERIES 54	LISLE, IL	6/3/2011	00612724-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 779 CLOSED END INCOME & TREASURY LTD DURATION PORTFOLIO 15 MONTH SERIES 12	LISLE, IL	6/3/2011	00612722-05	Pending-Cleared
VANGUARD TRUSTEES EQUITY FUND VANGUARD EMERGING MARKETS SELECT STOCK FUND INVESTOR SHARES	VALLEY FORGE, PA	6/3/2011	00612721-03	Registered
UNIFIED SERIES TR LEEB RESOURCES FUND CLASS A	INDIANAPOLIS, IN	6/2/2011	00612708-03	Pending-Cleared
CATALYST FUNDS AMERICA 1ST DEFENSIVE GROWTH FUND CLASS A	WILLOW GROVE, PA	6/1/2011	00612688-03	Registered
CATALYST FUNDS AMERICA 1ST DEFENSIVE GROWTH FUND CLASS I	WILLOW GROVE, PA	6/1/2011	00612686-03	Registered
CATALYST FUNDS AMERICA 1ST DEFENSIVE GROWTH FUND CLASS U	WILLOW GROVE, PA	6/1/2011	00612687-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 776 CLOSED END COVERED CALL & INCOME PORTFOLIO 15 MONTH SERIES 24	LISLE, IL	6/1/2011	00612691-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 776 CLOSED END SENIOR LOAN & INCOME PORTFOLIO 15 MONTH SERIES 18	LISLE, IL	6/1/2011	00612690-05	Pending-Cleared

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GUGGENHEIM DEFINED PORTFOLIOS SERIES 776 DIVERSIFIED INCOME WAVE PORTFOLIO 15 MONTH SERIES 22	LISLE, IL	6/1/2011	00612692-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 790 DOW JONES VALUE RBP DIVIDEND FOCUS PORTFOLIOS SERIES 5	LISLE, IL	6/1/2011	00612689-05	Pending-Cleared
TIAA CREF FUNDS LIFECYCLE 2055 FUND INSTITUTIONAL CLASS	NEW YORK, NY	6/1/2011	00612805-03	Registered
TIAA CREF FUNDS LIFECYCLE 2055 FUND PREMIER CLASS	NEW YORK, NY	6/1/2011	00612807-03	Registered
TIAA CREF FUNDS LIFECYCLE 2055 FUND RETIREMENT CLASS	NEW YORK, NY	6/1/2011	00612809-03	Registered
TIAA CREF FUNDS LIFECYCLE INDEX 2055 FUND INSTITUTIONAL CLASS	NEW YORK, NY	6/1/2011	00612806-03	Registered
TIAA CREF FUNDS LIFECYCLE INDEX 2055 FUND PREMIER CLASS	NEW YORK, NY	6/1/2011	00612808-03	Registered
TIAA CREF FUNDS LIFECYCLE INDEX 2055 FUND RETIREMENT CLASS	NEW YORK, NY	6/1/2011	00612810-03	Registered
DEL REY GLOBAL INVESTORS FUNDS DEL REY MONARCH FUND INSTITUTIONAL SHARES	LOS ANGELES, CA	5/31/2011	00612674-03	Registered
DEL REY GLOBAL INVESTORS FUNDS DEL REY MONARCH FUND CLASS A SHARES	LOS ANGELES, CA	5/31/2011	00612673-03	Registered
FIDELITY ABERDEEN STREET TR FIDELITY ADVISOR FREEDOM 2055 FUND CLASS A	BOSTON, MA	5/31/2011	00612677-03	Registered
FIDELITY ABERDEEN STREET TR FIDELITY ADVISOR FREEDOM 2055 FUND CLASS T	BOSTON, MA	5/31/2011	00612679-03	Registered
FIDELITY ABERDEEN STREET TR FIDELITY ADVISOR FREEDOM 2055 FUND INSTITUTIONAL CLASS	BOSTON, MA	5/31/2011	00612678-03	Registered

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FIDELITY ABERDEEN STREET TR FIDELITY ADVISOR FREEDOM 2055 FUND CLASS C	BOSTON, MA	5/31/2011	00612680-03	Registered
FIDELITY ABERDEEN STREET TR FIDELITY FREEDOM 2055 FUND	BOSTON, MA	5/31/2011	00612675-03	Registered
FIDELITY ABERDEEN STREET TR FIDELITY FREEDOM INDEX 2055 FUND CLASS W	BOSTON, MA	5/31/2011	00612681-03	Registered
FIDELITY ABERDEEN STREET TR FIDELITY FREEDOM K 2055 FUND	BOSTON, MA	5/31/2011	00612676-03	Registered
FT 2919 INCOME ALLOCATION CLOSED END JUNE 11	WHEATON, IL	5/31/2011	00612562-05	Pending-Cleared
FT 2992 BALANCED INCOME SELECT PORTFOLIO SERIES 20	WHEATON, IL	5/31/2011	00612561-05	Pending-Cleared
FT 3004 GLOBAL BOND INCOME CLOSED END PORTFOLIO SERIES	WHEATON, IL	5/31/2011	00612560-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 787 GUGGENHEIM ABC HIGH DIVIDEND STRATEGY PORTFOLIO SERIES 6	LISLE, IL	5/31/2011	00612565-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 787 GUGGENHEIM BMAC COMMODITY PRODUCERS STRATEGY PORTFOLIO SERIES 6	LISLE, IL	5/31/2011	00612564-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 787 GUGGENHEIM GLOBAL TELECOM STRATEGY PORTFOLIO SERIES 6	LISLE, IL	5/31/2011	00612563-05	Pending-Cleared
JPMORGAN TR 1 JPMORGAN CURRENT INCOME FUND INSITUTIONAL CLASS SHARES	BOSTON, MA	5/31/2011	00612583-03	Registered
JPMORGAN TR 1 JPMORGAN CURRENT INCOME FUND SELECT CLASS SHARES	BOSTON, MA	5/31/2011	00612584-03	Registered
JPMORGAN TR 1 JPMORGAN FLOATING RATE INCOME FUND CLASS A SHARES	BOSTON, MA	5/31/2011	00612585-03	Registered

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JPMORGAN TR 1 JPMORGAN FLOATING RATE INCOME FUND CLASS C SHARES	BOSTON, MA	5/31/2011	00612586-03	Registered
JPMORGAN TR 1 JPMORGAN FLOATING RATE INCOME FUND SELECT CLASS SHARES	BOSTON, MA	5/31/2011	00612587-03	Registered
JPMORGAN TR 1 JPMORGAN US RESEARCH EQUITY PLUS FUND CLASS R6 SHARES	BOSTON, MA	5/31/2011	00612588-03	Registered
MANAGED PORTFOLIO SERIES CORPORATE AMERICA CU SHORT DURATION FUND INSTITUTIONAL CLASS SHARES	MILWAUKEE, WI	5/31/2011	00612595-03	Registered
MANAGED PORTFOLIO SERIES NUANCE CONCENTRATED VALUE FUND INSTITUTIONAL CLASS SHARES	MILWAUKEE, WI	5/31/2011	00612592-03	Registered
MANAGED PORTFOLIO SERIES TORTOISE MLP & PIPELINE FUND INSITUTIONAL CLASS SHARES	MILWAUKEE, WI	5/31/2011	00612593-03	Registered
MANAGED PORTFOLIO SERIES TORTOISE MLP & PIPELINE FUND INVESTOR CLASS SHARES	MILWAUKEE, WI	5/31/2011	00612594-03	Registered
REAL ESTATE INCOME TR PORTFOLIO SERIES 2011 1	MONUMENT, CO	5/31/2011	00612559-05	Pending-Cleared
STEELPATH MLP FUNDS TR STEELPATH MLP ALPHA FUND CLASS C SHARES	DALLAS, TX	5/31/2011	00612589-03	Registered
STEELPATH MLP FUNDS TR STEELPATH MLP INCOME FUND CLASS C SHARES	DALLAS, TX	5/31/2011	00612590-03	Registered
STEELPATH MLP FUNDS TR STEELPATH MLP SELECT 40 FUND CLASS C SHARES	DALLAS, TX	5/31/2011	00612591-03	Registered
VAN KAMPEN UNIT TR SERIES 1114 BRIC OPPORTUNITY PORTFOLIO 2011 3	OAKBROOK, IL	5/31/2011	00612567-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1114 GLOBAL ALTERNATIVE ENERGY PORTFOLIO 2011 3	OAKBROOK, IL	5/31/2011	00612568-05	Pending-Cleared

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VAN KAMPEN UNIT TR SERIES 1117 NEW WORLD LEADERS PORTFOLIO SERIES 2	OAKBROOK, IL	5/31/2011	00612569-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1120 CLOSED END STRATEGY COVERED CALL INCOME PORTFOLIO SERIES 2011 3	OAKBROOK, IL	5/31/2011	00612571-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1120 CLOSED END STRATEGY MASTER INCOME PORTFOLIO SERIES 28	OAKBROOK, IL	5/31/2011	00612572-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1120 CLOSED END STRATEGY MASTER MUNICIPAL INCOME PORTFOLIO NATIONAL SERIES 24	OAKBROOK, IL	5/31/2011	00612573-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1120 CLOSED END STRATEGY VALUE EQUITY AND INCOME PORTFOLIO 2011 3	OAKBROOK, IL	5/31/2011	00612570-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1121 S&P DIVIDEND GROWTH OPORTUNITIES TR SERIES 25	OAKBROOK, IL	5/31/2011	00612574-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1122 ENHANCED INDEX STRATEGIES PORTFOLIO 2011 3	OAKBROOK, IL	5/31/2011	00612576-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1122 S&P POWERPICKS PORTFOLIO 2011 3	OAKBROOK, IL	5/31/2011	00612575-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1123 CLOSED END STRATEGY DIVERSIFIED CREDIT OPPORTUNITIES PORTFOLIO SERIES 3	OAKBROOK, IL	5/31/2011	00612579-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1123 CLOSED END STRATEGY GLOBAL INCOME PORTFOLIO SERIES 7	OAKBROOK, IL	5/31/2011	00612578-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1123 CLOSED END STRATEGY SENIOR LOAN AND LIMITED DURATION PORTFOLIO SERIES 29	OAKBROOK, IL	5/31/2011	00612577-05	Pending-Cleared

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VAN KAMPEN UNIT TR SERIES 1124 CENTRAL EQUITY TR DIVERSIFIED INCOME SERIES 40	OAKBROOK, IL	5/31/2011	00612580-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1125 DIVIDEND INCOME & VALUE PORTFOLIO 2011 3	OAKBROOK, IL	5/31/2011	00612581-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1126 S&P DIVIDEND SUSTAINABILITY PORTFOLIO 2011 3	OAKBROOK, IL	5/31/2011	00612582-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 328 BUILD AMERICA BONDS INCOME TR SERIES 77	OAKBROOK, IL	5/31/2011	00612566-05	Pending-Cleared
Number of Investment Company Filings: 125				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
EVEREST CAPITAL EMERGING MARKETS LP	MIAMI, FL	6/10/2011	00613531-07	Filed
JLP CREDIT OPPORTUNITY FUND LP	NEW YORK, NY	6/10/2011	00613530-07	Filed
JLP PARTNERS FUND LP	NEW YORK, NY	6/10/2011	00613529-07	Filed
ATLAS RESOURCES SERIES 30 2011 LP	MOON TOWNSHIP, PA	6/9/2011	00612838-07	Filed
IBG LLC	GREENWICH, CT	6/9/2011	00613532-07	Filed
MEWBOURNE ENERGY PARTNERS 11 A LP	TYLER, TX	6/9/2011	00612839-07	Filed
LION CAPITAL FUND 3 LP	LONDON SWIX 7HF,	6/8/2011	00612833-07	Filed
FORMATIVE LEARNING INC	MENLO PARK, CA	6/7/2011	00612790-07	Filed
P E FUNDS INC CLASS A	NASSUA N-9058,	6/7/2011	00612791-07	Filed
GRT DEEP WOODS PARTNERS LP	BOSTON, MA	6/6/2011	00612768-07	Filed
PISTA ORCHARD LLC	BOWIE, AZ	6/6/2011	00612793-07	Filed
R&R ORCHARDS LLC	BOWIE, AZ	6/6/2011	00612792-07	Filed
RAM POWER CORP	RENO, NV	6/6/2011	00612732-07	Filed
AEGIS SPECIAL OPPORTUNITY FUND LLC	NEW YORK, NY	6/3/2011	00612734-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
NGP NATURAL RESOURCES 10 LP	IRVING, TX	6/3/2011	00612733-07	Filed
PLUSCIOS FUND LLC	EVANSTON, IL	6/3/2011	00612731-07	Filed
WHOLESALLES FLOORS LLC	NEW YORK, NY	6/3/2011	00612730-07	Filed
ARENA SOLUTIONS INC	FOSTER CITY, CA	6/2/2011	00612702-07	Filed
CENTERFIELD CAPITAL PARTNERS 3 LP	INDIANAPOLIS, IN	6/2/2011	00612701-07	Filed
GEARBOX EXPRESS LLC	HARTLAND, WI	6/2/2011	00612703-07	Filed
THESIS CHEMISTRY LLC	MENTOR, OH	6/2/2011	00612700-07	Filed
CROWN DAWSON 1 JOINT VENTURE	CARROLTON, TX	6/1/2011	00612694-07	Filed
JMB INVESTCO US LP	SEATTLE, WA	6/1/2011	00612693-07	Filed
AUGME TECHNOLOGIES INC	NEW YORK, NY	5/31/2011	00612554-07	Filed
H&R SERIES 1 LLC	AURORA, IL	5/31/2011	00612558-07	Filed
LITTLE CHENIERE FIELD DEVELOPMENT JOINT VENTURE	SAN ANTONIO, TX	5/31/2011	00612553-07	Filed
MPF BADGER ACQUISITION CO 2 LLC	MORAGA, CA	5/31/2011	00612556-07	Filed
TIDAL PETROLEUM INC	SCHERTZ, TX	5/31/2011	00612555-07	Filed
WOLCOTT DDF 2 LLC	CHICAGO, IL	5/31/2011	00612557-07	Filed
Number of Regulation D Notice Filings: 29				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
HEALTH MART SYSTEMS INC	SAN FRANCISCO, CA	6/10/2011	602743	Registered
Dental Support Plus Franchise, LLC / Dental Support Plus	Scottsdale, AZ	6/10/2011	602742	Registered
COTTMAN TRANSMISSION SYSTEMS, LLC	HORSHAM, PA	6/9/2011	602737	Registered
GLAMOUR SHOTS LICENSING INC	OKLAHOMA CITY, OK	6/9/2011	602738	Registered
ActiKare, Inc. / ActiKare In Home Care Services	Tampa, FL	6/8/2011 3	602735	Registered
Creative Colors International, Inc. / Creative Colors International	Mokena, IL	6/8/2011 1	602734	Registered
JUMPBUNCH INC	ANNAPOLIS, MD	6/8/2011 1	602733	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 5/30/2011 through 6/10/2011**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Nature's Pathways Franchise Company, LLC	Appleton, WI	6/6/2011 9	602723	Registered
Moonlight Franchisor, Inc. / Night Hotels	Parsippany, NJ	6/6/2011	602726	Registered
Penn Station, Inc.	Milford, OH	6/6/2011	602729	Registered
TUTORING CENTER FRANCHISE CORP	LONG BEACH, CA	6/6/2011	602728	Registered
WOW CAFE & WINGERY FRANCHISING ACCOUNT LLC	COVINGTON, LA	6/6/2011	602727	Registered
Moonlight Franchisor, Inc. / Dream Hotels	Parsippany, NJ	6/3/2011	602725	Registered
COVERALL NORTH AMERICA, INC	BOCA RATON, FL	6/2/2011 1	602715	Registered
TINDER BOX INTERNATIONAL LTD	BALA CYNWYD, PA	6/2/2011 1	602714	Registered
DEALER SPECIALTIES INTERNATIONAL INC	MONROE, OH	6/2/2011	602716	Registered
PAYLESS CAR RENTAL SYSTEM, INC	ST PETERSBURG, FL	6/2/2011	602717	Registered
BL Restaurant Franchises, LLC / Bar Louie	Addison, TX	6/1/2011	602720	Registered
COMPLETE NUTRITION FRANCHISING, LLC	OMAHA, NE	6/1/2011	602721	Registered
REGAL NAILS SALON & SPA LLC	BATON ROUGE, LA	6/1/2011	602719	Registered
TBC International, LLC / Title Boxing Club Fitness Facility	Overland Park, KS	6/1/2011	602722	Registered
Cybertary Franchising, LLC / Cybertary	Orem, UT	5/31/2011	602696	Registered
FRESHBERRY FRANCHISE SYSTEM LLC	TULSA, OK	5/31/2011	602709	Registered
GOIN POSTAL FRANCHISE CORP	ZEPHYRHILLS, FL	5/31/2011	602708	Registered
HARDEES FOOD SYSTEMS INC / Multi Unit	ST LOUIS, MO	5/31/2011	602703	Registered
HARDEES FOOD SYSTEMS INC / Non Traditional	ST LOUIS, MO	5/31/2011	602704	Registered
HARDEES FOOD SYSTEMS INC / St. Louis	ST LOUIS, MO	5/31/2011	602705	Registered
HARDEES FOOD SYSTEMS INC / Anaheim	ST LOUIS, MO	5/31/2011	602706	Registered
KARCHER CARL ENTERPRISES INC / Traditional	CARPINTERIA, CA	5/31/2011	602702	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 5/30/2011 through 6/10/2011**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
KARCHER CARL ENTERPRISES INC / Non Traditional	CARPINTERIA, CA	5/31/2011	602707	Registered
ROYAL FRANCHISING INC / JANI KING OF MILWAUKEE	BROOKFIELD, WI	5/31/2011	602710	Registered
The Beef Jerky Outlet Franchise, Inc.	Seymour, TN	5/31/2011	602711	Registered
Number of Franchise Filings: 32				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	