

**WI Securities Law and Franchise Law Filings
for 5/9/2011 through 5/20/2011**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SMOKE BREAK LLC	MILWAUKEE, WI	5/19/2011	00612219-08	Pending
FIRST SHARES INC	PLATTEVILLE, WI	5/18/2011	00612271-08	Pending
Number of Exemption Filings: 2				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INVESTMENT MANAGERS SERIES TR WCM FOCUSED INTERNATIONAL GROWTH FUND	MILWAUKEE, WI	5/17/2011	00612198-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR FAMCO MLP & ENERGY INCOME FUND CLASS A	MILWAUKEE, WI	5/16/2011	00612124-03	Pending-Cleared
ADVISORS SERIES TR CHASE MID CAP GROWTH FUND CLASS N SHARES	MILWAUKEE, WI	5/9/2011	00612028-03	Pending-Cleared
Number of Investment Company Filings: 3				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Regulation D Notice Filings: 0				