

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 5/9/2011 through 5/20/2011**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
HYDE PARK ACQUISITION CORP 2	NEW YORK, NY	5/10/2011	00612068-01	Pending
OCONNOR FUND OF FUNDS EVENT LLC	NEW YORK, NY	5/10/2011	00612065-01	Pending
OCONNOR FUND OF FUNDS LONG SHORT CREDIT STRATEGIES LLC	NEW YORK, NY	5/10/2011	00612066-01	Pending
OCONNOR FUND OF FUNDS LONG SHORT STRATEGIES LLC	NEW YORK, NY	5/10/2011	00612067-01	Pending
INFINITY CHINA 2 ACQUISITION CORPORATION	NEW YORK, N	5/9/2011	00612027-01	Pending
Number of Registration Filings: 5				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BAYSIDE COMMUNITY CHURCH OF SARASOTA INC	BRADENTON, FL	5/19/2011	00612221-08	Filed
SMOKE BREAK LLC	MILWAUKEE, WI	5/19/2011	00612219-08	Pending
FIRST SHARES INC	PLATTEVILLE, WI	5/18/2011	00612271-08	Pending
ENVIRONMENTAL SOLUTIONS WORLDWIDE INC	CONCORD ONTARIO L4K 5R2,	5/12/2011	00612099-08	Not Disallowed
Number of Exemption Filings: 4				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 2996 STRATEGIC DIVIDEND SELECT PORTFOLIO SERIES	WHEATON, IL	5/20/2011	00612246-05	Pending-Cleared
FT 3001 STRATEGIC INCOME SELECT CLOSED END PORTFOLIO SERIES	WHEATON, IL	5/20/2011	00612245-05	Pending-Cleared
ICON FUNDS ICON ENERGY FUND CLASS C SHARES	GREENWOOD VILLAGE, CO	5/20/2011	00612244-03	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
VAN KAMPEN UNIT TR MUNICIPAL SERIES 984 INVESTORS QUALITY TAX EXEMPT TR SERIES 141	OAKBROOK, IL	5/20/2011	00612251-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 985 INSURED MUNICIPALS INCOME TR SERIES 632	OAKBROOK, IL	5/20/2011	00612250-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 986 INVESTMENT GRADE MUNICIPAL TR INTERMEDIATE SERIES 21	OAKBROOK, IL	5/20/2011	00612249-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 987 INVESTMENT GRADE MUNICIPAL TR INTERMEDIATE SERIES 22	OAKBROOK, IL	5/20/2011	00612248-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 988 INVESTMENT GRADE MUNICIPAL TR SERIES 126	OAKBROOK, IL	5/20/2011	00612247-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 323 BUILD AMERICA BONDS INCOME TR SERIES 76	OAKBROOK, IL	5/20/2011	00612256-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 324 INVESTMENT GRADE INCOME TR 10 20 YEAR SERIES 5	OAKBROOK, IL	5/20/2011	00612255-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 325 INVESTMENT GRADE INCOME TR 10 20 YEAR SERIES 6	OAKBROOK, IL	5/20/2011	00612254-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 326 INVESTMENT GRADE INCOME TR 7+ YEAR SERIES 3	OAKBROOK, IL	5/20/2011	00612253-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 327 INVESTMENT GRADE INCOME TR 7+ YEAR SERIES 4	OAKBROOK, IL	5/20/2011	00612252-05	Pending-Cleared
HENDERSON GLOBAL FUNDS HENDERSON GLOBAL LEADERS FUND CLASS I	CHICAGO, IL	5/18/2011	00612202-03	Pending-Cleared
HENDERSON GLOBAL FUNDS HENDERSON JAPAN FOCUS FUND CLASS I	CHICAGO, IL	5/18/2011	00612201-03	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
NORTHERN LIGHTS FUND TR PRINCETON FUTURES STRATEGY FUND CLASS I	HAUPPAUGE, NY	5/18/2011	00612200-03	Pending-Cleared
NORTHERN LIGHTS FUND TR PRINCETON FUTURES STRATEGY FUND CLASS A	HAUPPAUGE, NY	5/18/2011	00612199-03	Pending-Cleared
ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 32	MONUMENT, CO	5/17/2011	00612162-05	Pending-Cleared
COVERED CALL & INCOME STRATEGIES CLOSED END PORTFOLIO 2 YR SERIES 2011 1	MONUMENT, CO	5/17/2011	00612163-05	Pending-Cleared
FT 2929 MEGA CAP PORTFOLIO SERIES 19	WHEATON, IL	5/17/2011	00612164-05	Pending-Cleared
FT 2964 GLOBAL EQUITY INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 4	WHEATON, IL	5/17/2011	00612166-05	Pending-Cleared
FT 3000 SENIOR LOAN & LIMITED DURATION CLOSED END PORTFOLIO SERIES	WHEATON, IL	5/17/2011	00612165-05	Pending-Cleared
INVESTMENT MANAGERS SERIES TR WCM FOCUSED INTERNATIONAL GROWTH FUND	MILWAUKEE, WI	5/17/2011	00612198-03	Pending-Cleared
DOW VALUE TEN PORTFOLIO SERIES 2011 2Q	MONUMENT, CO	5/16/2011	00612128-05	Pending-Cleared
FIRST TR COMBINED SERIES 349 TAX EXEMPT MUNICIPAL ICOME TR INTERMEDIATE SERIES	WHEATON, IL	5/16/2011	00612131-05	Pending-Cleared
FIRST TR COMBINED SERIES 364 TAX EXEMPT MUNICIPAL INCOME TR SERIES 266	WHEATON, IL	5/16/2011	00612135-05	Pending-Cleared
FT 2927 DIVIDEND STRENGTH PORTFOLIO SERIES 10	WHEATON, IL	5/16/2011	00612129-05	Pending-Cleared
FT 2928 GLOBAL DIVIDEND PORTFOLIO SERIES 25	WHEATON, IL	5/16/2011	00612133-05	Pending-Cleared
FT 2952 INTEREST RATE HEDGE PORTFOLIO SERIES 32	WHEATON, IL	5/16/2011	00612125-05	Pending-Cleared
FT 2962 COVERED CALL OPPORTUNITIES CLOSED END PORTFOLIO SERIES 5	WHEATON, IL	5/16/2011	00612132-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
FT 2963 DIVIDEND & INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 4	WHEATON, IL	5/16/2011	00612134-05	Pending-Cleared
FT 2968 DORSEY WRIGHT REALTIVE STRENGTH DIVIDEND PORTFOLIO SERIES	WHEATON, IL	5/16/2011	00612130-05	Pending-Cleared
INVESTMENT MANAGERS SERIES TR FAMCO MLP & ENERGY INCOME FUND CLASS A	MILWAUKEE, WI	5/16/2011	00612124-03	Pending-Cleared
STRATEGIC FOUNDATIONS OF GROWTH PORTFOLIO SERIES 2011 1	MONUMENT, CO	5/16/2011	00612127-05	Pending-Cleared
TACTICAL INCOME CLOSED END PORTFOLIO SERIES 2011 2	MONUMENT, CO	5/16/2011	00612126-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 782 ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO SERIES 13	LISLE, IL	5/13/2011	00612102-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 783 GLOBAL WATER EQUITIES PORTFOLIO SERIES 20	LISLE, IL	5/13/2011	00612104-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 783 HEALTH CARE PORTFOLIO SERIES 12	LISLE, IL	5/13/2011	00612103-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 982 INSURED MUNICIPALS INCOME TR SERIES 631	OAKBROOK, IL	5/13/2011	00612107-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1118 INSIDER BUY STRATEGY 2011 2	OAKBROOK, IL	5/13/2011	00612106-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1130 GLOBAL HIGH DIVIDEND PORTFOLIO 2011 2	OAKBROOK, IL	5/13/2011	00612105-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 322 BUILD AMERICA BONDS INCOME TR SERIES 75	OAKBROOK, IL	5/13/2011	00612108-05	Pending-Cleared
FT 2921 EQUITY OPPORTUNITIES CLOSED END PORTFOLIO SERIES 4	WHEATON, IL	5/10/2011	00612059-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 2923 GLOBAL EQUITY INCOME CLOSED END PORTFOLIO SERIES 16	WHEATON, IL	5/10/2011	00612060-05	Pending-Cleared
FT 2924 INVESTMENT GRADE SELECT CLOSED END PORTFOLIO SERIES	WHEATON, IL	5/10/2011	00612062-05	Pending-Cleared
FT 2925 MUNICIPAL INCOME PLUS CLOSED END PORTFOLIO SERIES 17	WHEATON, IL	5/10/2011	00612054-05	Pending-Cleared
FT 2953 SENIOR LOAN & LIMITED DURATION PLUS CLOSED END PORTFOLIO SERIES 40	WHEATON, IL	5/10/2011	00612056-05	Pending-Cleared
FT 2958 HIGH YIELD INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 4	WHEATON, IL	5/10/2011	00612058-05	Pending-Cleared
FT 2960 GREATER CHINA PORTFOLIO SERIES 26	WHEATON, IL	5/10/2011	00612055-05	Pending-Cleared
FT 2961 INSURED MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 25	WHEATON, IL	5/10/2011	00612061-05	Pending-Cleared
FT 2965 SENIOR LOAN & LIMITED DURATION OPPORTUNITIES CLOSED END PORTFOLIO SERIES 6	WHEATON, IL	5/10/2011	00612057-05	Pending-Cleared
FT 2998 MLP CLOSED END FUND AND ENERGY PORTFOLIO SERIES 11	WHEATON, IL	5/10/2011	00612063-05	Pending-Cleared
INFLATION INCOME STRATEGY PORTFOLIO SERIES 2011 2	MONUMENT, CO	5/10/2011	00612064-05	Pending-Cleared
ADVISORS SERIES TR CHASE MID CAP GROWTH FUND CLASS N SHARES	MILWAUKEE, WI	5/9/2011	00612028-03	Pending-Cleared
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO EMERGING MARKETS EQUITY FUND CLASS C SHARES	HOUSTON, TX	5/9/2011	00612040-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO EMERGING MARKETS EQUITY FUND INSTITUTIONAL CLASS SHARES	HOUSTON, TX	5/9/2011	00612038-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO EMERGING MARKETS EQUITY FUND CLASS A SHARES	HOUSTON, TX	5/9/2011	00612037-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO EMERGING MARKETS EQUITY FUND CLASS Y SHARES	HOUSTON, TX	5/9/2011	00612041-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO EMERGING MARKETS EQUITY FUND CLASS R SHARES	HOUSTON, TX	5/9/2011	00612039-03	Registered
FIDELITY SUMMER STREET TR FIDELITY GLOBAL HIGH INCOME FUND FIDELITY ADVISOR GLOBAL HIGH INCOME FUND CLASS A	BOSTON, MA	5/9/2011	00612045-03	Registered
FIDELITY SUMMER STREET TR FIDELITY GLOBAL HIGH INCOME FUND FIDELITY ADVISOR GLOBAL HIGH INCOME FUND CLASS T	BOSTON, MA	5/9/2011	00612046-03	Registered
FIDELITY SUMMER STREET TR FIDELITY GLOBAL HIGH INCOME FUND FIDELITY GLOBAL HIGH INCOME FUND	BOSTON, MA	5/9/2011	00612042-03	Registered
FIDELITY SUMMER STREET TR FIDELITY GLOBAL HIGH INCOME FUND FIDELITY ADVISOR GLOBAL HIGH INCOME FUND CLASS C	BOSTON, MA	5/9/2011	00612043-03	Registered
FIDELITY SUMMER STREET TR FIDELITY GLOBAL HIGH INCOME FUND FIDELITY ADVISOR GLOBAL HIGH INCOME FUND INSTITUTIONAL CLASS	BOSTON, MA	5/9/2011	00612044-03	Registered
TOUCHSTONE FUNDS GROUP TR MARKET NEURTRAL EQUITY FUND CLASS A	CINCINNATI, OH	5/9/2011	00612029-03	Pending-Cleared
TOUCHSTONE FUNDS GROUP TR SANDS CAPITAL SELECT GROWTH FUND CLASS A	CINCINNATI, OH	5/9/2011	00612030-03	Pending-Cleared
Number of Investment Company Filings: 66				

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BARCLAYS BANK PLC ENG	LONDON XO E14 5HP,	5/20/2011	00612258-07	Filed
OLYMPUS PACIFIC MINERALS INC	TORONTO ONTARIO M5C 1C3,	5/20/2011	00612259-07	Filed
PINNACLE INVESTMENT CORP	NEW YORK, NY	5/20/2011	00612260-07	Filed
DILON TECHNOLOGIES INC	NEWPORT NEWS, VA	5/19/2011	00612257-07	Filed
IASO PHARMA INC	SAN DIEGO, CA	5/19/2011	00612217-07	Filed
IVP NEXTIO LLC	AVON, NJ	5/19/2011	00612218-07	Filed
MURVIN & MEIER OIL CO 2011 2	BARRINGTON, IL	5/19/2011	00612220-07	Filed
2514 DIVERSIFIED LP	TAMPA, FL	5/16/2011	00612138-07	Filed
CHESTNUT EXPLORATION INC SUN OIL 14 RE ENTRY JOINT VENTURE	RICHARDSON, TX	5/16/2011	00612139-07	Filed
GREENFIELD ACQUISITION PARTNERS 6 LP	SOUTH NORWALK, CT	5/16/2011	00612141-07	Filed
ROYAL OPPORTUNITIES FEEDER SA	LUXEMBOURG, L1331,	5/16/2011	00612137-07	Filed
ROYALTY OPPORTUNITIES S AR I	LUXEMBOURG, L1331,	5/16/2011	00612136-07	Filed
SPM OPPORTUNITY OFFSHORE FUND LTD	GRAND CAYMAN KY1 9002,	5/16/2011	00612140-07	Filed
ABRY PARTNERS 7 LP	BOSTON, MA	5/13/2011	00612111-07	Filed
GRAHAM ALTERNATIVE INVESTMENT FUND 2 LLC	ROWAYTON, CT	5/13/2011	00612109-07	Filed
RADISSON BLUE MOA LLC	MINNEAPOLIS, MN	5/13/2011	00612110-07	Filed
REGIMENT CAPITAL SPECIAL SITUATIONS FUND 5 LP	BOSTON, MA	5/13/2011	00612112-07	Filed
MSD EUROPEAN OPPORTUNITY FUND LP	NEW YORK, NY	5/12/2011	00612094-07	Filed
ONE EARTH FARMS CORP	TORONTO ONTARIO M5J 2J2,	5/12/2011	00612093-07	Filed
SANKATY HIGH INCOME PARTNERSHIP LP	BOSTON, MA	5/12/2011	00612092-07	Filed
ALTO GROUP HOLDINGS INC	NEW YORK, NY	5/11/2011	00612078-07	Filed
IP 5 TURNER 2 JOINT VENTURE	DALLAS, TX	5/11/2011	00612079-07	Filed
2011 B HOLCOMB #3 OFFSET PROGRAM LP	BOWLING GREEN, KY	5/10/2011	00612051-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MARINER MUNICIPAL CREDIT OPPORTUNITIES FUND LP	PRINCETON, NJ	5/10/2011	00612053-07	Filed
OPPORTUNITY FUND 5 LLC	BOWLING GREEN, KY	5/10/2011	00612050-07	Filed
PANGEA PROPERTIES	CHICAGO, IL	5/10/2011	00612052-07	Filed
AEI FISHER 6 LLC	CHICAGO, IL	5/9/2011	00612023-07	Filed
CALARE REAL ESTATE INCOME VALUE FUND LP	HUDSON, MA	5/9/2011	00612020-07	Filed
WALTON KIMBERLIN HEIGHTS DEVELOPMENT LP	SCOTTSDALE, AZ	5/9/2011	00612021-07	Filed
WALTON SHERWOOD ACRES DEVELOPMENT LP	SCOTTSDALE, AZ	5/9/2011	00612022-07	Filed
Number of Regulation D Notice Filings: 30				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
TheHomeMag Franchising, LLC / TheHomeMag	Cape Coral, FL	5/20/2011	602674	Registered
ALAMO DRAFTHOUSE CINEMAS LTD	AUSTIN, TX	5/19/2011	602669	Registered
AMAZING SPACES FRANCHISING LLC	Woodlands, TX	5/19/2011	602671	Registered
BRUEGGERS FRANCHISE CORP	BURLINGTON, VT	5/19/2011	602673	Registered
GOLDS GYM FRANCHISING LLC / Heritage program	IRVING, TX	5/19/2011	602667	Registered
STANLEY STEEMER INTERNATIONAL INC	DUBLIN, OH	5/19/2011	602670	Registered
TIM HORTONS USA INC	DUBLIN, OH	5/19/2011	602672	Registered
CAR X ASSOC CORP	TOLEDO, OH	5/18/2011	602665	Registered
MCALISTERS CORP	RIDGELAND, MS	5/18/2011	602662	Registered
NOBLE ROMANS INC	INDIANAPOLIS, IN	5/18/2011	602663	Registered
TUFFY ASSOC CORP	Toledo, OH	5/18/2011	602664	Registered
PITA PIT INC. / THE PITA PIT	COEUR D'ALENE, ID	5/17/2011	602651	Registered
BROADWAY STATION RESTAURANTS INC	WOODBURY, MN	5/17/2011	602657	Registered
Redwood Healthcare Staffing, LLC	LOS ANGELES, CA	5/17/2011	602658	Registered
Zippy Shell USA, LLC	Jersey City, NJ	5/17/2011	602660	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
ACCELERATION PRODUCTS INC	PARK CITY, UT	5/16/2011	602655	Registered
KIDVILLE FRANCHISE CO LLC	NEW YORK, NY	5/16/2011	602653	Registered
PHYSICIANS WEIGHT LOSS CENTERS OF AMERICA INC	AKRON, OH	5/16/2011	602652	Registered
UNITED COUNTRY REAL ESTATE INC	KANSAS CITY, MO	5/16/2011	602654	Registered
PRESSED 4 TIME INC	MAYNARD, MA	5/13/2011	602647	Registered
Bagger Dave's Franchising Corp.	Southfield, MI	5/12/2011	602644	Registered
MMI-PEC / Pro Energy Consultants	Independence, OH	5/12/2011	602646	Registered
SOLA FRANCHISE CORP / Sola Salon Studios	DENVER, CO	5/11/2011	602639	Registered
BEN & JERRYS FRANCHISING INC / Scoop Shop Program	SOUTH BURLINGTON, VT	5/11/2011	602641	Registered
BEN & JERRYS FRANCHISING INC / Scoop Shop for Food Service Providers Program	SOUTH BURLINGTON, VT	5/11/2011	602642	Registered
Senior Care Business Investments, Inc. / Golden Heart Senior Care	Las Vegas, NV	5/11/2011	602643	Registered
Hurricane AMT, LLC / Hurricane Grill and Wings	West Palm Beach, FL	5/10/2011	602637	Registered
USA Mobile Drug Testing, LLC	Tampa, FL	5/10/2011	602636	Registered
British Swim Centers Franchising, LLC / British Swim School	Sunrise, FL	5/9/2011	602635	Registered
GOLDS GYM FRANCHISING LLC / Golds Gym Express	IRVING, TX	5/9/2011	602634	Registered
INXPRESS LLC	SALT LAKE CITY, UT	5/9/2011	602633	Registered
Number of Franchise Filings: 31				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	