

**WI Securities Law and Franchise Law Filings
for 5/2/2011 through 5/13/2011**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FORWARD COMMUNITY INVESTMENTS INC	MADISON, WI	5/4/2011	00611922-08	Pending
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ADVISORS SERIES TR CHASE MID CAP GROWTH FUND CLASS N SHARES	MILWAUKEE, WI	5/9/2011	00612028-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR PALMER SQUARE ABSOLUTE RETURN FUND CLASS A	MILWAUKEE, WI	5/3/2011	00611907-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR PALMER SQUARE ABSOLUTE RETURN FUND CLASS I	MILWAUKEE, WI	5/3/2011	00611908-03	Pending-Cleared
HATTERAS ALTERNATIVE MUTUAL FUNDS TR ALPHA HEDGED STRATEGIES FUND CLASS A	MILWAUKEE, WI	5/2/2011	00611848-03	Pending-Cleared
HATTERAS ALTERNATIVE MUTUAL FUNDS TR HATTERAS HEDGED STRATEGIES FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	5/2/2011	00611847-03	Pending-Cleared
HATTERAS ALTERNATIVE MUTUAL FUNDS TR HATTERAS LONG SHORT EQUITY CLASS A	MILWAUKEE, WI	5/2/2011	00611844-03	Pending-Cleared
HATTERAS ALTERNATIVE MUTUAL FUNDS TR HATTERAS LONG SHORT EQUITY INSTITUTIONAL CLASS	MILWAUKEE, WI	5/2/2011	00611843-03	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
HATTERAS ALTERNATIVE MUTUAL FUNDS TR HATTERAS LONG SHORT DEBT FUND CLASS A	MILWAUKEE, WI	5/2/2011	00611845-03	Pending-Cleared
HATTERAS ALTERNATIVE MUTUAL FUNDS TR HATTERAS LONG SHORT DEBT FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	5/2/2011	00611846-03	Pending-Cleared
LKCM FUNDS LKCM SMALL MIDCAP EQUITY FUND ADVISER CLASS	MILWAUKEE, WI	5/2/2011	00611850-03	Pending-Cleared
LKCM FUNDS LKCM SMALL MIDCAP EQUITY FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	5/2/2011	00611849-03	Pending-Cleared
Number of Investment Company Filings: 11				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Regulation D Notice Filings: 0				

Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
OILERIE USA INC	FISH CREEK, WI	5/3/2011	602608	Registered
Number of Franchise Filings: 1				