

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/2/2011 through 5/13/2011**

| <b>Securities Law Registration Filings Made with DFI's Securities Division</b> |                   |                      |                    |               |
|--|-------------------|----------------------|--------------------|---------------|
| <b>Firm Name</b>   | <b>Location</b>   | <b>Date Received</b> | <b>File Number</b> | <b>Status</b> |
| HYDE PARK ACQUISITION CORP 2   | NEW YORK, NY      | 5/10/2011            | 00612068-01        | Pending       |
| OCONNOR FUND OF FUNDS EVENT LLC  | NEW YORK, NY      | 5/10/2011            | 00612065-01        | Pending       |
| OCONNOR FUND OF FUNDS LONG SHORT CREDIT STRATEGIES LLC                         | NEW YORK, NY      | 5/10/2011            | 00612066-01        | Pending       |
| OCONNOR FUND OF FUNDS LONG SHORT STRATEGIES LLC                                | NEW YORK, NY      | 5/10/2011            | 00612067-01        | Pending       |
| INFINITY CHINA 2 ACQUISITION CORPORATION                                       | NEW YORK, N       | 5/9/2011             | 00612027-01        | Pending       |
| CRYOPORT INC   | LAKE FOREST, CA   | 5/6/2011             | 00612096-01        | Pending       |
| AZTECA ACQUISITION CORP  | BEVERLY HILLS, CA | 5/4/2011             | 00611921-01        | Pending       |
| TRIO MERGER CORP   | NEW YORK, NY      | 5/2/2011             | 00611851-01        | Pending       |
| Number of Registration Filings: 8  |                   |                      |                    |               |

| <b>Securities Law Exemption Filings Made with DFI's Securities Division</b> |                          |                      |                    |                |
|---|--------------------------|----------------------|--------------------|----------------|
| <b>Firm Name</b>  | <b>Location</b>          | <b>Date Received</b> | <b>File Number</b> | <b>Status</b>  |
| ENVIRONMENTAL SOLUTIONS WORLDWIDE INC                                       | CONCORD ONTARIO L4K 5R2, | 5/12/2011            | 00612099-08        | Not Disallowed |
| FORWARD COMMUNITY INVESTMENTS INC   | MADISON, WI              | 5/4/2011             | 00611922-08        | Pending        |
| Number of Exemption Filings: 2  |                          |                      |                    |                |

| <b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b> |                 |                      |                    |                 |
|---|-----------------|----------------------|--------------------|-----------------|
| <b>Firm Name</b>  | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b>   |
| GUGGENHEIM DEFINED PORTFOLIOS SERIES 782 ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO SERIES 13                  | LISLE, IL       | 5/13/2011            | 00612102-05        | Pending-Cleared |

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/2/2011 through 5/13/2011**

| <b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b> |                 |                      |                    |                 |
|---|-----------------|----------------------|--------------------|-----------------|
| <b>Firm Name</b>  | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b>   |
| GUGGENHEIM DEFINED PORTFOLIOS SERIES 783 GLOBAL WATER EQUITIES PORTFOLIO SERIES 20                            | LISLE, IL       | 5/13/2011            | 00612104-05        | Pending-Cleared |
| GUGGENHEIM DEFINED PORTFOLIOS SERIES 783 HEALTH CARE PORTFOLIO SERIES 12                                      | LISLE, IL       | 5/13/2011            | 00612103-05        | Pending-Cleared |
| VAN KAMPEN UNIT TR MUNICIPAL SERIES 982 INSURED MUNICIPALS INCOME TR SERIES 631                               | OAKBROOK, IL    | 5/13/2011            | 00612107-05        | Pending-Cleared |
| VAN KAMPEN UNIT TR SERIES 1118 INSIDER BUY STRATEGY 2011 2  | OAKBROOK, IL    | 5/13/2011            | 00612106-05        | Pending-Cleared |
| VAN KAMPEN UNIT TR SERIES 1130 GLOBAL HIGH DIVIDEND PORTFOLIO 2011 2  | OAKBROOK, IL    | 5/13/2011            | 00612105-05        | Pending-Cleared |
| VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 322 BUILD AMERICA BONDS INCOME TR SERIES 75                          | OAKBROOK, IL    | 5/13/2011            | 00612108-05        | Pending-Cleared |
| FT 2921 EQUITY OPPORTUNITIES CLOSED END PORTFOLIO SERIES 4  | WHEATON, IL     | 5/10/2011            | 00612059-05        | Pending-Cleared |
| FT 2923 GLOBAL EQUITY INCOME CLOSED END PORTFOLIO SERIES 16   | WHEATON, IL     | 5/10/2011            | 00612060-05        | Pending-Cleared |
| FT 2924 INVESTMENT GRADE SELECT CLOSED END PORTFOLIO SERIES   | WHEATON, IL     | 5/10/2011            | 00612062-05        | Pending-Cleared |
| FT 2925 MUNICIPAL INCOME PLUS CLOSED END PORTFOLIO SERIES 17  | WHEATON, IL     | 5/10/2011            | 00612054-05        | Pending-Cleared |
| FT 2953 SENIOR LOAN & LIMITED DURATION PLUS CLOSED END PORTFOLIO SERIES 40                                    | WHEATON, IL     | 5/10/2011            | 00612056-05        | Pending-Cleared |
| FT 2958 HIGH YIELD INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 4   | WHEATON, IL     | 5/10/2011            | 00612058-05        | Pending-Cleared |
| FT 2960 GREATER CHINA PORTFOLIO SERIES 26   | WHEATON, IL     | 5/10/2011            | 00612055-05        | Pending-Cleared |
| FT 2961 INSURED MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 25  | WHEATON, IL     | 5/10/2011            | 00612061-05        | Pending-Cleared |

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/2/2011 through 5/13/2011**

| <b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b> |                 |                      |                    |                 |
|---|-----------------|----------------------|--------------------|-----------------|
| <b>Firm Name</b>  | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b>   |
| FT 2965 SENIOR LOAN & LIMITED DURATION OPPORTUNITIES CLOSED END PORTFOLIO SERIES 6                            | WHEATON, IL     | 5/10/2011            | 00612057-05        | Pending-Cleared |
| FT 2998 MLP CLOSED END FUND AND ENERGY PORTFOLIO SERIES 11  | WHEATON, IL     | 5/10/2011            | 00612063-05        | Pending-Cleared |
| INFLATION INCOME STRATEGY PORTFOLIO SERIES 2011 2   | MONUMENT, CO    | 5/10/2011            | 00612064-05        | Pending-Cleared |
| ADVISORS SERIES TR CHASE MID CAP GROWTH FUND CLASS N SHARES   | MILWAUKEE, WI   | 5/9/2011             | 00612028-03        | Pending-Cleared |
| AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO EMERGING MARKETS EQUITY FUND INSTITUTIONAL CLASS SHARES | HOUSTON, TX     | 5/9/2011             | 00612038-03        | Registered      |
| AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO EMERGING MARKETS EQUITY FUND CLASS C SHARES             | HOUSTON, TX     | 5/9/2011             | 00612040-03        | Registered      |
| AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO EMERGING MARKETS EQUITY FUND CLASS A SHARES             | HOUSTON, TX     | 5/9/2011             | 00612037-03        | Registered      |
| AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO EMERGING MARKETS EQUITY FUND CLASS Y SHARES             | HOUSTON, TX     | 5/9/2011             | 00612041-03        | Registered      |
| AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO EMERGING MARKETS EQUITY FUND CLASS R SHARES             | HOUSTON, TX     | 5/9/2011             | 00612039-03        | Registered      |
| FIDELITY SUMMER STREET TR FIDELITY GLOBAL HIGH INCOME FUND FIDELITY ADVISOR GLOBAL HIGH INCOME FUND CLASS A   | BOSTON, MA      | 5/9/2011             | 00612045-03        | Registered      |
| FIDELITY SUMMER STREET TR FIDELITY GLOBAL HIGH INCOME FUND FIDELITY GLOBAL HIGH INCOME FUND                   | BOSTON, MA      | 5/9/2011             | 00612042-03        | Registered      |

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/2/2011 through 5/13/2011**

| <b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>           |                 |                      |                    |                 |
|---|-----------------|----------------------|--------------------|-----------------|
| <b>Firm Name</b>  | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b>   |
| FIDELITY SUMMER STREET TR FIDELITY GLOBAL HIGH INCOME FUND FIDELITY ADVISOR GLOBAL HIGH INCOME FUND INSTITUTIONAL CLASS | BOSTON, MA      | 5/9/2011             | 00612044-03        | Registered      |
| FIDELITY SUMMER STREET TR FIDELITY GLOBAL HIGH INCOME FUND FIDELITY ADVISOR GLOBAL HIGH INCOME FUND CLASS T             | BOSTON, MA      | 5/9/2011             | 00612046-03        | Registered      |
| FIDELITY SUMMER STREET TR FIDELITY GLOBAL HIGH INCOME FUND FIDELITY ADVISOR GLOBAL HIGH INCOME FUND CLASS C             | BOSTON, MA      | 5/9/2011             | 00612043-03        | Registered      |
| TOUCHSTONE FUNDS GROUP TR MARKET NEURTRAL EQUITY FUND CLASS A   | CINCINNATI, OH  | 5/9/2011             | 00612029-03        | Pending-Cleared |
| TOUCHSTONE FUNDS GROUP TR SANDS CAPITAL SELECT GROWTH FUND CLASS A  | CINCINNATI, OH  | 5/9/2011             | 00612030-03        | Pending-Cleared |
| BLUE CHIP COVERED CALL PORTFOLIO SERIES 2011 2Q   | MONUMENT, CO    | 5/6/2011             | 00612003-05        | Pending-Cleared |
| FT 2914 ALTERNATIVE ENERGY PORTFOLIO SERIES 18  | WHEATON, IL     | 5/6/2011             | 00611999-05        | Pending-Cleared |
| FT 2915 BICK PORTFOLIO SERIES 6   | WHEATON, IL     | 5/6/2011             | 00612006-05        | Pending-Cleared |
| FT 2917 UTILITIES SELECT PORTFOLIO SERIES 17  | WHEATON, IL     | 5/6/2011             | 00612002-05        | Pending-Cleared |
| FT 2922 MUNICIPAL INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 4  | WHEATON, IL     | 5/6/2011             | 00612000-05        | Pending-Cleared |
| FT 2949 MORNINGSTAR FIRST TR TAKE OUT TARGET PORTFOLIO SERIES   | WHEATON, IL     | 5/6/2011             | 00612001-05        | Pending-Cleared |
| FT 2970 LARGE CAP VALUE PORTFOLIO SERIES 18   | WHEATON, IL     | 5/6/2011             | 00612007-05        | Pending-Cleared |
| GLOBAL GOLD INCOME PORTFOLIO SERIES 2011 2Q AN SMC PORTFOLIO  | MONUMENT, CO    | 5/6/2011             | 00612004-05        | Pending-Cleared |
| GUGGENHEIM DEFINED PORTFOLIOS SERIES 739 GUGGENHEIM LONG TERM NATIONAL MUNICIPAL TR SERIES 2                            | LISLE, IL       | 5/6/2011             | 00611998-05        | Pending-Cleared |

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/2/2011 through 5/13/2011**

| <b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b> |                 |                      |                    |                 |
|---|-----------------|----------------------|--------------------|-----------------|
| <b>Firm Name</b>  | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b>   |
| GUGGENHEIM DEFINED PORTFOLIOS SERIES 781 CLOSED END INFRASTRUCTURE & MLP PORTFOLIO SERIES 10                  | LISLE, IL       | 5/6/2011             | 00611996-05        | Pending-Cleared |
| GUGGENHEIM DEFINED PORTFOLIOS SERIES 781 CLOSED END NATIONAL MUNICIPAL PORTFOLIO SERIES 19                    | LISLE, IL       | 5/6/2011             | 00611995-05        | Pending-Cleared |
| GUGGENHEIM DEFINED PORTFOLIOS SERIES 781 CLOSED END SENIOR LOAN & INCOME PORTFOLIO SERIES 16                  | LISLE, IL       | 5/6/2011             | 00611993-05        | Pending-Cleared |
| GUGGENHEIM DEFINED PORTFOLIOS SERIES 781 DIVERSIFIED INCOME WAVE PORTFOLIO SERIES 20                          | LISLE, IL       | 5/6/2011             | 00611997-05        | Pending-Cleared |
| GUGGENHEIM DEFINED PORTFOLIOS SERIES 786 GLOBAL AGRICULTURE PORTFOLIO SERIES 11                               | LISLE, IL       | 5/6/2011             | 00612008-05        | Pending-Cleared |
| SMART TR DIVERSIFIED DIVIDEND TR 2011 SERIES F  | PARSIPPANY, NJ  | 5/6/2011             | 00611994-05        | Pending-Cleared |
| STRATEGIC HIGH 80 DIVIDEND PORTFOLIO SERIES 2011 2Q   | MONUMENT, CO    | 5/6/2011             | 00612005-05        | Pending-Cleared |
| VAN KAMPEN UNIT TRS MUNICIPAL SERIES 983 INVESTORS QUALITY TAX EXEMPT TR SERIES 140                           | OAKBROOK, IL    | 5/6/2011             | 00611986-05        | Pending-Cleared |
| VAN KAMPEN UNIT TRS SERIES 1108 ALTERNATIVES ALLOCATION PORTFOLIO 2011 2                                      | OAKBROOK, IL    | 5/6/2011             | 00611987-05        | Pending-Cleared |
| VAN KAMPEN UNIT TRS SERIES 1110 GLOBAL COMMUNITY PORTFOLIO SERIES 1   | OAKBROOK, IL    | 5/6/2011             | 00611988-05        | Pending-Cleared |
| VAN KAMPEN UNIT TRS SERIES 1112 CONSERVATIVE INCOME ALLOCATION PORTFOLIO SERIES 8                             | OAKBROOK, IL    | 5/6/2011             | 00611989-05        | Pending-Cleared |
| VAN KAMPEN UNIT TRS SERIES 1113 ETF ALLOCATION PORTFOLIO SERIES 7   | OAKBROOK, IL    | 5/6/2011             | 00611991-05        | Pending-Cleared |

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/2/2011 through 5/13/2011**

| <b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b> |                 |                      |                    |                 |
|---|-----------------|----------------------|--------------------|-----------------|
| <b>Firm Name</b>  | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b>   |
| VAN KAMPEN UNIT TRS<br>SERIES 1113 ETF DIVERSIFIED<br>INCOME PORTFOLIO SERIES<br>5                            | OAKBROOK, IL    | 5/6/2011             | 00611990-05        | Pending-Cleared |
| VAN KAMPEN UNIT TRS<br>SERIES 1115 TECHNOLOGY<br>PORTFOLIO 2011 3   | OAKBROOK, IL    | 5/6/2011             | 00611992-05        | Pending-Cleared |
| FT 2901 DIVERSIFIED INCOME<br>& GROWTH PORTFOLIO<br>SUMMER 2011 SERIES  | WHEATON, IL     | 5/5/2011             | 00611967-05        | Pending-Cleared |
| FT 2916 DEFENSIVE EQUITY<br>PORTFOLIO SERIES 15   | WHEATON, IL     | 5/5/2011             | 00611968-05        | Pending-Cleared |
| FT 2954 REAL ESTATE<br>CLOSED END PORTFOLIO<br>SERIES 5   | WHEATON, IL     | 5/5/2011             | 00611966-05        | Pending-Cleared |
| JANUS INVESTMENT FUND<br>JANUS VENTURE FUND<br>CLASS A SHARES   | DENVER, CO      | 5/5/2011             | 00611973-03        | Pending-Cleared |
| JANUS INVESTMENT FUND<br>JANUS VENTURE FUND<br>CLASS C SHARES   | DENVER, CO      | 5/5/2011             | 00611974-03        | Pending-Cleared |
| JANUS INVESTMENT FUND<br>JANUS VENTURE FUND<br>CLASS I SHARES   | DENVER, CO      | 5/5/2011             | 00611975-03        | Pending-Cleared |
| JANUS INVESTMENT FUND<br>JANUS VENTURE FUND<br>CLASS S SHARES   | DENVER, CO      | 5/5/2011             | 00611976-03        | Pending-Cleared |
| NUVEEN INVESTMENT TR 3<br>NUVEEN SYMPHONY<br>FLOATING RATE INCOME<br>FUND CLASS A                             | CHICAGO, IL     | 5/5/2011             | 00611969-03        | Registered      |
| NUVEEN INVESTMENT TR 3<br>NUVEEN SYMPHONY<br>FLOATING RATE INCOME<br>FUND CLASS R3                            | CHICAGO, IL     | 5/5/2011             | 00611972-03        | Registered      |
| NUVEEN INVESTMENT TR 3<br>NUVEEN SYMPHONY<br>FLOATING RATE INCOME<br>FUND CLASS C                             | CHICAGO, IL     | 5/5/2011             | 00611971-03        | Registered      |
| NUVEEN INVESTMENT TR 3<br>NUVEEN SYMPHONY<br>FLOATING RATE INCOME<br>FUND CLASS I                             | CHICAGO, IL     | 5/5/2011             | 00611970-03        | Registered      |
| SECURITY EQUITY FUND MSCI<br>EAFE EQUAL WEIGHT FUND<br>INSTITUTIONAL SHARE CLASS                              | TOPEKA, KA      | 5/4/2011             | 00611940-03        | Registered      |

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/2/2011 through 5/13/2011**

| <b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b> |                 |                      |                    |                 |
|---|-----------------|----------------------|--------------------|-----------------|
| <b>Firm Name</b>  | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b>   |
| SMART TR ENHANCED VALUE<br>2 TR 2011 SERIES E   | PARSIPPANY, NJ  | 5/4/2011             | 00611920-05        | Pending-Cleared |
| DIVIDEND ADVANTAGE<br>PORTFOLIO SERIES 2011 2Q  | MONUMENT, CO    | 5/3/2011             | 00611911-05        | Pending-Cleared |
| FIRST TR COMBINED SERIES<br>363 BUILD AMERICA BONDS<br>PORTFOLIO SERIES 34                                    | WHEATON, IL     | 5/3/2011             | 00611912-05        | Pending-Cleared |
| FT 2910 COVERED CALL<br>SELECT CLOSED END<br>PORTFOLIO SERIES 26  | WHEATON, IL     | 5/3/2011             | 00611916-05        | Pending-Cleared |
| FT 2911 EQUITY INCOME<br>SELECT PORTFOLIO SERIES<br>27  | WHEATON, IL     | 5/3/2011             | 00611915-05        | Pending-Cleared |
| FT 2913 SMARTPHONE<br>PORTFOLIO SERIES 5  | WHEATON, IL     | 5/3/2011             | 00611913-05        | Pending-Cleared |
| FT 2948 HIGH YIELD INCOME<br>CLOSED END PORTFOLIO<br>SERIES 40  | WHEATON, IL     | 5/3/2011             | 00611914-05        | Pending-Cleared |
| FT2912 MUNICIPAL INCOME<br>SELECT CLOSED END<br>PORTFOLIO SERIES 29   | WHEATON, IL     | 5/3/2011             | 00611910-05        | Pending-Cleared |
| GUGGENHEIM DEFINED<br>PORTFOLIOS SERIES 780<br>CLOSED END EQUITY &<br>INCOME PORTFOLIO SERIES<br>29           | LISLE, IL       | 5/3/2011             | 00611909-05        | Pending-Cleared |
| INVESTMENT MANAGERS<br>SERIES TR PALMER SQUARE<br>ABSOLUTE RETURN FUND<br>CLASS I                             | MILWAUKEE, WI   | 5/3/2011             | 00611908-03        | Pending-Cleared |
| INVESTMENT MANAGERS<br>SERIES TR PALMER SQUARE<br>ABSOLUTE RETURN FUND<br>CLASS A                             | MILWAUKEE, WI   | 5/3/2011             | 00611907-03        | Pending-Cleared |
| SMA RELATIONSHIP TR<br>SERIES G   | BOSTON, MA      | 5/3/2011             | 00611905-03        | Pending-Cleared |
| SMA RELATIONSHIP TR<br>SERIES S   | BOSTON, MA      | 5/3/2011             | 00611906-03        | Pending-Cleared |
| ALLIANCEBERNSTEIN<br>CORPORATE SHARES<br>ALLIANCEBERNSTEIN TAX<br>AWARE REAL RETURN<br>INCOME SHARES          | NEW YORK, NY    | 5/2/2011             | 00611879-03        | Registered      |
| BLACKROCK FUNDS<br>BLACKROCK CHINA FUND<br>INSTITUTIONAL SHARES   | WILMINGTON, DE  | 5/2/2011             | 00611887-03        | Registered      |

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/2/2011 through 5/13/2011**

| <b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b> |                 |                      |                    |                 |
|---|-----------------|----------------------|--------------------|-----------------|
| <b>Firm Name</b>  | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b>   |
| BLACKROCK FUNDS<br>BLACKROCK CHINA FUND<br>INVESTOR A SHARES  | WILMINGTON, DE  | 5/2/2011             | 00611883-03        | Registered      |
| BLACKROCK FUNDS<br>BLACKROCK CHINA FUND<br>INVESTOR C SHARES  | WILMINGTON, DE  | 5/2/2011             | 00611885-03        | Registered      |
| BLACKROCK FUNDS<br>BLACKROCK INDIA FUND<br>INSTITUTIONAL SHARES   | WILMINGTON, DE  | 5/2/2011             | 00611886-03        | Registered      |
| BLACKROCK FUNDS<br>BLACKROCK INDIA FUND<br>INVESTOR A SHARES  | WILMINGTON, DE  | 5/2/2011             | 00611882-03        | Registered      |
| BLACKROCK FUNDS<br>BLACKROCK INDIA FUND<br>INVESTOR C SHARES  | WILMINGTON, DE  | 5/2/2011             | 00611884-03        | Registered      |
| FIDELITY CONCORD STREET<br>TR SPARTAN 500 INDEX FUND<br>INSTITUTIONAL CLASS                                   | BOSTON, MA      | 5/2/2011             | 00611889-03        | Registered      |
| FIDELITY CONCORD STREET<br>TR SPARTAN 500 INDEX FUND<br>FIDELITY ADVANTAGE<br>INSTITUTIONAL CLASS             | BOSTON, MA      | 5/2/2011             | 00611888-03        | Registered      |
| FIDELITY FIXED INCOME TR<br>SPARTAN US BOND INDEX<br>FUND INSTITUTIONAL CLASS                                 | BOSTON, MA      | 5/2/2011             | 00611892-03        | Registered      |
| FIDELITY FIXED INCOME TR<br>SPARTAN US BOND INDEX<br>FUND FIDELITY ADVANTAGE<br>INSTITUTIONAL CLASS           | BOSTON, MA      | 5/2/2011             | 00611891-03        | Registered      |
| FIDELITY FIXED INCOME TR<br>SPARTAN US BOND INDEX<br>FUND FIDELITY ADVANTAGE<br>CLASS                         | BOSTON, MA      | 5/2/2011             | 00611890-03        | Registered      |
| FT 2967 TACTICAL INCOME<br>PORTFOLIO SERIES 5   | WHEATON, IL     | 5/2/2011             | 00611854-05        | Pending-Cleared |
| FUNDVANTAGE TR EIC VALUE<br>FUND CLASS A  | WILMINGTON, DE  | 5/2/2011             | 00611880-03        | Registered      |
| FUNDVANTAGE TR EIC VALUE<br>FUND INSTITUTIONAL CLASS  | WILMINGTON, DE  | 5/2/2011             | 00611881-03        | Registered      |
| HATTERAS ALTERNATIVE<br>MUTUAL FUNDS TR ALPHA<br>HEDGED STRATEGIES FUND<br>CLASS A                            | MILWAUKEE, WI   | 5/2/2011             | 00611848-03        | Pending-Cleared |

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/2/2011 through 5/13/2011**

| <b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b> |                 |                      |                    |                 |
|---|-----------------|----------------------|--------------------|-----------------|
| <b>Firm Name</b>  | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b>   |
| HATTERAS ALTERNATIVE MUTUAL FUNDS TR<br>HATTERAS HEDGED STRATEGIES FUND<br>INSTITUTIONAL CLASS                | MILWAUKEE, WI   | 5/2/2011             | 00611847-03        | Pending-Cleared |
| HATTERAS ALTERNATIVE MUTUAL FUNDS TR<br>HATTERAS LONG SHORT DEBT FUND INSTITUTIONAL CLASS                     | MILWAUKEE, WI   | 5/2/2011             | 00611846-03        | Pending-Cleared |
| HATTERAS ALTERNATIVE MUTUAL FUNDS TR<br>HATTERAS LONG SHORT EQUITY INSTITUTIONAL CLASS                        | MILWAUKEE, WI   | 5/2/2011             | 00611843-03        | Pending-Cleared |
| HATTERAS ALTERNATIVE MUTUAL FUNDS TR<br>HATTERAS LONG SHORT EQUITY CLASS A                                    | MILWAUKEE, WI   | 5/2/2011             | 00611844-03        | Pending-Cleared |
| HATTERAS ALTERNATIVE MUTUAL FUNDS TR<br>HATTERAS LONG SHORT DEBT FUND CLASS A                                 | MILWAUKEE, WI   | 5/2/2011             | 00611845-03        | Pending-Cleared |
| LKCM FUNDS LKCM SMALL MIDCAP EQUITY FUND<br>ADVISER CLASS   | MILWAUKEE, WI   | 5/2/2011             | 00611850-03        | Pending-Cleared |
| LKCM FUNDS LKCM SMALL MIDCAP EQUITY FUND<br>INSTITUTIONAL CLASS   | MILWAUKEE, WI   | 5/2/2011             | 00611849-03        | Pending-Cleared |
| Number of Investment Company Filings: 102   |                 |                      |                    |                 |

| <b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b> |                 |                      |                    |               |
|---|-----------------|----------------------|--------------------|---------------|
| <b>Firm Name</b>  | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b> |
| ABRY PARTNERS 7 LP  | BOSTON, MA      | 5/13/2011            | 00612111-07        | Filed         |
| GRAHAM ALTERNATIVE INVESTMENT FUND 2 LLC  | ROWAYTON, CT    | 5/13/2011            | 00612109-07        | Filed         |
| RADISSON BLUE MOA LLC   | MINNEAPOLIS, MN | 5/13/2011            | 00612110-07        | Filed         |
| REGIMENT CAPITAL SPECIAL SITUATIONS FUND 5 LP   | BOSTON, MA      | 5/13/2011            | 00612112-07        | Filed         |
| MSD EUROPEAN OPPORTUNITY FUND LP  | NEW YORK, NY    | 5/12/2011            | 00612094-07        | Filed         |

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/2/2011 through 5/13/2011**

| <b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b> |                                |                      |                    |               |
|---|--------------------------------|----------------------|--------------------|---------------|
| <b>Firm Name</b>  | <b>Location</b>                | <b>Date Received</b> | <b>File Number</b> | <b>Status</b> |
| ONE EARTH FARMS CORP  | TORONTO<br>ONTARIO M5J<br>2J2, | 5/12/2011            | 00612093-07        | Filed         |
| SANKATY HIGH INCOME<br>PARTNERSHIP LP   | BOSTON, MA                     | 5/12/2011            | 00612092-07        | Filed         |
| ALTO GROUP HOLDINGS INC   | NEW YORK, NY                   | 5/11/2011            | 00612078-07        | Filed         |
| IP 5 TURNER 2 JOINT<br>VENTURE  | DALLAS, TX                     | 5/11/2011            | 00612079-07        | Filed         |
| 2011 B HOLCOMB #3 OFFSET<br>PROGRAM LP  | BOWLING<br>GREEN, KY           | 5/10/2011            | 00612051-07        | Filed         |
| MARINER MUNICIPAL CREDIT<br>OPPORTUNITIES FUND LP   | PRINCETON, NJ                  | 5/10/2011            | 00612053-07        | Filed         |
| OPPORTUNITY FUND 5 LLC  | BOWLING<br>GREEN, KY           | 5/10/2011            | 00612050-07        | Filed         |
| PANGEA PROPERTIES   | CHICAGO, IL                    | 5/10/2011            | 00612052-07        | Filed         |
| AEI FISHER 6 LLC  | CHICAGO, IL                    | 5/9/2011             | 00612023-07        | Filed         |
| CALARE REAL ESTATE<br>INCOME VALUE FUND LP  | HUDSON, MA                     | 5/9/2011             | 00612020-07        | Filed         |
| WALTON KIMBERLIN HEIGHTS<br>DEVELOPMENT LP  | SCOTTSDALE, AZ                 | 5/9/2011             | 00612021-07        | Filed         |
| WALTON SHERWOOD ACRES<br>DEVELOPMENT LP   | SCOTTSDALE, AZ                 | 5/9/2011             | 00612022-07        | Filed         |
| 3R VENTURES LLC   | WICHITA, KS                    | 5/6/2011             | 00611985-07        | Filed         |
| APENIMED INC  | MINNEAPOLIS,<br>MN             | 5/6/2011             | 00612024-07        | Filed         |
| CAVAN MANAGEMENT<br>COMPANY LLC   | SCOTTSDALE, AZ                 | 5/6/2011             | 00611984-07        | Filed         |
| EAGLE RIVER CREDIT &<br>EVENT FUND LP   | NEW YORK, NY                   | 5/5/2011             | 00611964-07        | Filed         |
| SHERMAN REAL ESTATE<br>FUND 9 LLC   | DEERFIELD, IL                  | 5/5/2011             | 00611965-07        | Filed         |
| MIDDLEBURY VENTURES 2<br>LLC  | RIDGEWOOD, NJ                  | 5/4/2011             | 00611923-07        | Filed         |
| BELLE CAPITAL LP  | GROSSE POINTE<br>FARMS, MI     | 5/3/2011             | 00611902-07        | Filed         |
| REAGAN CHAMBERS 3 D<br>JOINT VENTURE  | SAN ANTONIO,<br>TX             | 5/3/2011             | 00611904-07        | Filed         |
| IBG LLC   | GREENWICH, CT                  | 5/2/2011             | 00611903-07        | Filed         |
| PROPERTY DATA HOLDINGS<br>LP  | CAYMAN<br>ISLANDS KY1<br>1104, | 5/2/2011             | 00611842-07        | Filed         |
| Number of Regulation D Notice Filings: 27   |                                |                      |                    |               |

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/2/2011 through 5/13/2011**

| <b>Franchise Law Filings Made with DFI's Securities Division</b>             |                      |                      |                    |               |
|--|----------------------|----------------------|--------------------|---------------|
| <b>Legal Name / Trade Name</b>   | <b>Location</b>      | <b>Date Received</b> | <b>File Number</b> | <b>Status</b> |
| Bagger Dave's Franchising Corp.  | Southfield, MI       | 5/12/2011            | 602644             | Registered    |
| MMI-PEC / Pro Energy Consultants   | Independence, OH     | 5/12/2011            | 602646             | Registered    |
| SOLA FRANCHISE CORP / Sola Salon Studios                                     | DENVER, CO           | 5/11/2011            | 602639             | Registered    |
| BEN & JERRYS FRANCHISING INC / Scoop Shop Program                            | SOUTH BURLINGTON, VT | 5/11/2011            | 602641             | Registered    |
| BEN & JERRYS FRANCHISING INC / Scoop Shop for Food Service Providers Program | SOUTH BURLINGTON, VT | 5/11/2011            | 602642             | Registered    |
| Senior Care Business Investments, Inc. / Golden Heart Senior Care            | Las Vegas, NV        | 5/11/2011            | 602643             | Registered    |
| Hurricane AMT, LLC / Hurricane Grill and Wings                               | West Palm Beach, FL  | 5/10/2011            | 602637             | Registered    |
| USA Mobile Drug Testing, LLC   | Tampa, FL            | 5/10/2011            | 602636             | Registered    |
| British Swim Centers Franchising, LLC / British Swim School                  | Sunrise, FL          | 5/9/2011             | 602635             | Registered    |
| GOLDS GYM FRANCHISING LLC / Golds Gym Express                                | IRVING, TX           | 5/9/2011             | 602634             | Registered    |
| INXPRESS LLC   | SALT LAKE CITY, UT   | 5/9/2011             | 602633             | Registered    |
| Signal 88 Franchise Group, Inc. / Signal 88 Security                         | Omaha, NE            | 5/6/2011 1           | 602616             | Registered    |
| 1-800 Home Services Group  | Houston, TX          | 5/6/2011             | 602628             | Registered    |
| COIT SERVICES INC  | BURLINGAME, CA       | 5/6/2011             | 602629             | Registered    |
| DEL TACO LLC   | LAKE FOREST, CA      | 5/6/2011             | 602630             | Registered    |
| Live 2 B Healthy Senior Fitness, LLC   | Prior Lake, MN       | 5/6/2011             | 602632             | Registered    |
| LUXOTTICA RETAIL NORTH AMERICA, INC. / PEARLE VISION                         | MASON, OH            | 5/6/2011             | 602631             | Registered    |
| PACIFIC PRIDE SERVICES LLC   | SALME, OR            | 5/6/2011             | 602626             | Registered    |
| 101 Mobility Franchise Systems, LLC  | Wilmington, NC       | 5/5/2011             | 602621             | Registered    |
| ELLIOTTS OFF BROADWAY DELI INC   | OAK BROOK, IL        | 5/5/2011             | 602625             | Registered    |
| HOME INSTEAD INC   | OMAHA, NE            | 5/5/2011             | 602620             | Registered    |
| M CO INC / Heaven's Best Carpet and Upholstry Cleaning                       | REXBURG, ID          | 5/5/2011             | 602622             | Registered    |
| Multivista Systems LLC   | Phoenix, AZ          | 5/5/2011             | 602619             | Registered    |

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/2/2011 through 5/13/2011**

| Franchise Law Filings Made with DFI's Securities Division          |                     |               |             |            |
|--|---------------------|---------------|-------------|------------|
| Legal Name / Trade Name  | Location            | Date Received | File Number | Status     |
| Coffee News USA, Inc. / Coffee News                                | Bangor, ME          | 5/4/2011 1    | 602614      | Registered |
| Ram Jack Distribution Systems, LLC / Ram Jack Foundation Solutions | Ada, OK             | 5/4/2011 1    | 602612      | Registered |
| BENNIGANS FRANCHISING CO LP  | PLANO, TX           | 5/4/2011      | 602618      | Registered |
| SIT MEANS SIT FRANCHISE INC / SIT MEANS SIT                        | LAS VEGAS, NV       | 5/3/2011 6    | 602611      | Registered |
| PRIMROSE SCHOOL FRANCHISING CO / PRIMROSE SCHOOLS                  | ACWORTH, GA         | 5/3/2011 2    | 602602      | Registered |
| Bull Chicks Franchise, LLC / unit                                  | Corpus Christi, TX  | 5/3/2011      | 602604      | Registered |
| Bull Chicks Franchise, LLC / Developer                             | Corpus Christi, TX  | 5/3/2011      | 602603      | Registered |
| DAEKYO AMERICA INC / E.nopi Learning Centers                       | HACKENSACK, NJ      | 5/3/2011      | 602610      | Registered |
| Fairway Divorce Franchising, Inc.                                  | Orem, UT            | 5/3/2011      | 602606      | Registered |
| MAILCOUPS INC / SUPER COUPS  | East Taunton, MA    | 5/3/2011      | 602609      | Registered |
| NEXTAGE REALTY INTERNATIONAL LLC                                   | JOHNS CREEK, GA     | 5/3/2011      | 602605      | Registered |
| OILERIE USA INC  | FISH CREEK, WI      | 5/3/2011      | 602608      | Registered |
| Astral Health & Beauty, Inc. / ALOETTE                             | Atlanta, GA         | 5/2/2011 1    | 602528      | Registered |
| BORDER MAGIC LLC   | RANTOUL, IL         | 5/2/2011      | 602589      | Registered |
| BurgerFi International, LLC  | Delray Beach, FL    | 5/2/2011      | 602599      | Registered |
| CHESTERS INTERNATIONAL LLC   | BIRMINGHAM, AL      | 5/2/2011      | 602585      | Registered |
| CURRENTSAFE CORP   | PITTSBURGH, PA      | 5/2/2011      | 602574      | Registered |
| Equitax, Inc.  | NORCROSS, GA        | 5/2/2011      | 602582      | Registered |
| FARRELLS EXTREME BODYSHAPING INC                                   | WEST DES MOINES, IA | 5/2/2011      | 602584      | Registered |
| FirstLight HomeCare Franchising, LLC                               | Cincinnati, OH      | 5/2/2011      | 602578      | Registered |
| GENERAL NUTRITION CORP / GNC                                       | PITTSBURG, PA       | 5/2/2011      | 602530      | Registered |
| GREEN MILL RESTAURANTS INC   | ARDEN HILLS, MN     | 5/2/2011      | 602573      | Registered |
| HARRIS RESEARCH INC / N-HANCE                                      | LOGAN, UT           | 5/2/2011      | 602581      | Registered |
| HARRIS RESEARCH INC / CHEM DRY                                     | LOGAN, UT           | 5/2/2011      | 602577      | Registered |

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/2/2011 through 5/13/2011**

| <b>Franchise Law Filings Made with DFI's Securities Division</b> |                       |                      |                    |               |
|--|-----------------------|----------------------|--------------------|---------------|
| <b>Legal Name / Trade Name</b>                                   | <b>Location</b>       | <b>Date Received</b> | <b>File Number</b> | <b>Status</b> |
| Heits Building Services, Inc.                                    | Hasbrouck Heights, NJ | 5/2/2011             | 602586             | Registered    |
| KAMPGROUNDS OF AMERICA INC                                       | BILLINGS, MT          | 5/2/2011             | 602588             | Registered    |
| MEDI WEGHTLOSS FRANCHISING USA LLC                               | TAMPA, FL             | 5/2/2011             | 602575             | Registered    |
| MENCHIES GROUP INC   | ENCINO, CA            | 5/2/2011             | 602572             | Registered    |
| PASSPORT HEALTH INC  | BALTIMORE, MD         | 5/2/2011             | 602591             | Registered    |
| PONDEROSA FRANCHISING CO   | PLANO, TX             | 5/2/2011             | 602587             | Registered    |
| Shula Burger, LLC  | Ft. Lauderdale, FL    | 5/2/2011             | 602593             | Registered    |
| SYLVAN LEARNING INC  | BALTIMORE, MD         | 5/2/2011             | 602579             | Registered    |
| SYLVAN LEARNING INC / Ace It!                                    | BALTIMORE, MD         | 5/2/2011             | 602576             | Registered    |
| Number of Franchise Filings: 56                                  |                       |                      |                    |               |

| <b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b> |                      |
|--|----------------------|
| <b>Takeover Filing Reference</b>   | <b>Date Received</b> |
| Number of Takeover Filings: 0  |                      |