

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 3/28/2011 through 4/8/2011**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
PLYMOUTH OPPOTUNITY REIT INC	BOSTON, MA	4/7/2011	00609580-01	Pending
RESURRECTION BAPTIST CHURCH	SCHERTZ, TX	4/1/2011	00609062-02	Pending
MISSION INVESTMENT FUND OF THE EVANGELICAL LUTHERAN CHURCH IN AMERICA	CHICAGO, IL	3/31/2011	00608936-02	Pending
T3 MOTION INC	COSTA MESA, CA	3/30/2011	00608565-01	Pending
Number of Registration Filings: 4				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FSB BANCORP INC	WEVER, IA	4/5/2011	00609128-08	Pending
Number of Exemption Filings: 1				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ARIEL INVESTMENT TR ARIEL DISCOVERY FUND	MILWAUKEE, WI	4/8/2011	00609617-03	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 771 MULTIPLE ASSET PORTFOLIO PLUS SERIES 37	LISLE, IL	4/8/2011	00609619-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 771 STRATEGIC INCOME PORTFOLIO SERIES 51	LISLE, IL	4/8/2011	00609620-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 773 GUGGENHEIM REIT PORTFOLIO SERIES 4	LISLE, IL	4/8/2011	00609618-05	Pending-Cleared
FORWARD FUNDS FORWARD INTERNATIONAL FIXED INCOME FUND CLASS C SHARES	SAN FRANCISCO, CA	4/7/2011	00609607-03	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FRANKLIN MUNICIPAL SECURITIES TR FRANKLIN CA HIGH YIELD MUNICIPAL FUND ADVISOR CLASS	SAN MATEO, CA	4/7/2011	00609581-03	Pending-Cleared
MAXIM SERIES FUND INC MAXIM INTERNATIONAL INDEX PORTFOLIO	GREENWOOD VILLAGE, CO	4/7/2011	00609601-03	Registered
MAXIM SERIES FUND INC MAXIM LIFETIME 2015 PORTFOLIO 2 L	GREENWOOD VILLAGE, CO	4/7/2011	00609602-03	Registered
MAXIM SERIES FUND INC MAXIM LIFETIME 2025 PORTFOLIO 2 L	GREENWOOD VILLAGE, CO	4/7/2011	00609603-03	Registered
MAXIM SERIES FUND INC MAXIM LIFETIME 2035 PORTFOLIO 2 L	GREENWOOD VILLAGE, CO	4/7/2011	00609605-03	Registered
MAXIM SERIES FUND INC MAXIM LIFETIME 2045 PORTFOLIO 2 L	GREENWOOD VILLAGE, CO	4/7/2011	00609606-03	Registered
MAXIM SERIES FUND INC MAXIM LIFETIME 2055 PORTFOLIO 2 L	GREENWOOD VILLAGE, CO	4/7/2011	00609604-03	Registered
MAXIM SERIES FUND INC MAXIM S&P MIDCAP 400 INDEX PORTFOLIO	GREENWOOD VILLAGE, CO	4/7/2011	00609600-03	Registered
VAN KAMPEN NIT TR MUNICIPAL SERIES 973 QUALITY MUNICIPALS INCOME TR 38TH LTD MATURITY SEIRES	OAKBROOK, IL	4/7/2011	00609588-05	Pending-Cleared
VAN KAMPEN NIT TR SERIES 1083 SELECT 10INDUSTRIAL PORTFOLIO 2011 3	OAKBROOK, IL	4/7/2011	00609589-05	Pending-Cleared
VAN KAMPEN NIT TR SERIES 109 PREFERRED OPPORTUNITY PORTFOLIO SERIES 14	OAKBROOK, IL	4/7/2011	00609590-05	Pending-Cleared
VAN KAMPEN NIT TR SERIES 1096 DOW JONES LARGE CAP GROWTH STRATEGY 2011 2	OAKBROOK, IL	4/7/2011	00609587-05	Pending-Cleared
VAN KAMPEN NIT TR SERIES 1100 DIVERSIFIED HEALTHCARE PORTFOLIO SERIES 55	OAKBROOK, IL	4/7/2011	00609585-05	Pending-Cleared
VAN KAMPEN NIT TR SERIES 1100 ENERGY PORTFOLIO SERIES 38	OAKBROOK, IL	4/7/2011	00609584-05	Pending-Cleared

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VAN KAMPEN NIT TR SERIES 1100 FINANCIAL INSTITUTIONS PORTFOLIO SERIES 50	OAKBROOK, IL	4/7/2011	00609583-05	Pending-Cleared
VAN KAMPEN NIT TR SERIES 1100 REIT INCOME PORTFOLIO 2011 2	OAKBROOK, IL	4/7/2011	00609586-05	Pending-Cleared
VAN KAMPEN NIT TR SERIES 1100 UTILITY INCOME PORTFOLIO SERIES 35	OAKBROOK, IL	4/7/2011	00609582-05	Pending-Cleared
FT 2876 GLOBAL BOND INCOME PLUS CLOSED END PORTFOLIO SERIES 20	WHEATON, IL	4/6/2011	00609541-05	Pending-Cleared
FT 2879 DIVERSIFIED FIXED INCOME ITF PORTFOLIO SERIES 5	WHEATON, IL	4/6/2011	00609542-05	Pending-Cleared
LEGG MASON PARTNERS MONEY MARKET TR WESTERN ASSET LIQUID RESERVES CLASS C	NEW YORK, NY	4/6/2011	00609571-03	Registered
PROFESSIONALLY MANAGED PORTFOLIOS GGDHAVEN FUND GOODHAVEN	MILWAUKEE, WI	4/6/2011	00609540-03	Pending-Cleared
BALANCED PORTFOLIO SERIES 2011 1	MONUMENT, CO	4/4/2011	00609109-05	Pending-Cleared
FT 2874 DIVERSIFIED EQUITY STRATEGIC ALLOCATION PORT 2Q 11 TERM 7/18/12	WHEATON, IL	4/4/2011	00609114-05	Pending-Cleared
FT 2893 MUNICIPAL ADVANTAGE CLOSED END AND ETF PORTFOLIO SERIES 5	WHEATON, IL	4/4/2011	00609111-05	Pending-Cleared
FT 2938 CONVERTIBLE & INCOME SELECT CLOSED END PORTFOLIO SERIES 33	WHEATON, IL	4/4/2011	00609112-05	Pending-Cleared
FT 2941 INSURED MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 24	WHEATON, IL	4/4/2011	00609113-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 784 GUGGENHEIM GLOBAL EXPANSION & DIVIDEND PORTFOLIO SERIES 1	LISLE, IL	4/4/2011	00609108-05	Pending-Cleared

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GUGGENHEIM DEFINED PORTFOLIOS SERIES 784 GUGGENHEIM GLOBAL INFRASTRUCTURE & DIVIDEND PORTFOLIO SERIES 1	LISLE, IL	4/4/2011	00609107-05	Pending-Cleared
STRATEGIC HIGH 50 Q 50 DIVIDEND AND GROWTH PORTFOLIO SERIES 2011 2Q	MONUMENT, CO	4/4/2011	00609110-05	Pending-Cleared
DREMAN CONTRARIAN FUNDS DREMAN CONTRARIAN SMALL CAP VALUE FUND CLASS A	ASPEN, CO	4/1/2011	00609075-03	Registered
FT 2868 EMERGING MARKETS STRENGTH PORTFOLIO SERIES 9	WHEATON, IL	4/1/2011	00609054-05	Pending-Cleared
FT 2869 STRATEGIC DIVIDEND PLUS PORTFOLIO SERIES 2	WHEATON, IL	4/1/2011	00609052-05	Pending-Cleared
FT 2871 INFLATION HEDGE OPPORTUNITY PORTFOLIO SERIES 8	WHEATON, IL	4/1/2011	00609053-05	Pending-Cleared
FT 2875 BRAZIL PORTFOLIOS LP	WHEATON, IL	4/1/2011	00609051-05	Pending-Cleared
FT 2905 SENIOR LOAN & LIMITED DURATION PLUS CLOSED END PORTFOLIO SERIES 37	WHEATON, IL	4/1/2011	00609049-05	Pending-Cleared
FT 2926 STRATEGIC INCOME PLUS CLOSED END PORTFOLIO SERIES 30	WHEATON, IL	4/1/2011	00609050-05	Pending-Cleared
GOLDMAN SACHS TR GOLDMAN SACHS HIGH YIELD FLOATING RATE FUND INSTITUTIONAL SHARES	CHICAGO, IL	4/1/2011	00609070-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS HIGH YIELD FLOATING RATE FUND CLASS IR SHARES	CHICAGO, IL	4/1/2011	00609069-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS HIGH YIELD FLOATING RATE FUND CLASS C SHARES	CHICAGO, IL	4/1/2011	00609068-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS HIGH YIELD FLOATING RATE FUND CLASS A SHARES	CHICAGO, IL	4/1/2011	00609067-03	Registered

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GOLDMAN SACHS TR GOLDMAN SACHS HIGH YIELD FLOATING RATE FUND CLASS R SHARES	CHICAGO, IL	4/1/2011	00609071-03	Registered
GPS FUNDS I GUIDEMARK CORE FIXED INCOME FUND INSTITUTIONAL SHARES	MILWAUKEE, WI	4/1/2011	00609034-03	Pending-Cleared
GPS FUNDS I GUIDEMARK LARGE CAP GROWTH FUND INSTITUTIONAL SHARES	MILWAUKEE, WI	4/1/2011	00609033-03	Pending-Cleared
GPS FUNDS I GUIDEMARK LARGE CAP VALUE FUND INSTITUTIONAL SHARES	MILWAUKEE, WI	4/1/2011	00609032-03	Pending-Cleared
GPS FUNDS I GUIDEMARK OPPORTUNISTIC EQUITY FUND INSTITUTIONAL SHARES	MILWAUKEE, WI	4/1/2011	00609035-03	Pending-Cleared
GPS FUNDS I GUIDEMARK OPPORTUNISTIC EQUITY FUND SERVICE SHARES	MILWAUKEE, WI	4/1/2011	00609036-03	Pending-Cleared
GPS FUNDS I GUIDEMARK SMALL MID CAP CORE FUND INSTITUTIONAL SHARES	MILWAUKEE, WI	4/1/2011	00609031-03	Pending-Cleared
GPS FUNDS I GUIDEMARK TAX EXEMPT FIXED INCOME FUND INSTITUTIONAL SHARES	MILWAUKEE, WI	4/1/2011	00609030-03	Pending-Cleared
GPS FUNDS I GUIDEMARK WORLD EX US FUND INSTITUTIONAL SHARES	MILWAUKEE, WI	4/1/2011	00609029-03	Pending-Cleared
GPS FUNDS II GUIDEMARK GLOBAL REAL RETURN FUND INSTITUTIONAL SHARES	MILWAUKEE, WI	4/1/2011	00609046-03	Pending-Cleared
GPS FUNDS II GUIDEMARK GLOBAL REAL RETURN FUND SERVICE SHARES	MILWAUKEE, WI	4/1/2011	00609045-03	Pending-Cleared
GPS FUNDS II GUIDEMARK OPPORTUNISTIC FIXED INCOME FUND INSTITUTIONAL SHARES	MILWAUKEE, WI	4/1/2011	00609044-03	Pending-Cleared
GPS FUNDS II GUIDEMARK OPPORTUNISTIC FIXED INCOME FUND SERVICE SHARES	MILWAUKEE, WI	4/1/2011	00609043-03	Pending-Cleared

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GPS FUNDS II GUIDEPATH ABSOLUTE RETURN ASSET ALLOCATION FUND INSTITUTIONAL SHARES	MILWAUKEE, WI	4/1/2011	00609047-03	Pending-Cleared
GPS FUNDS II GUIDEPATH ABSOLUTE RETURN ASSET ALLOCATION FUND SERVICE SHARES	MILWAUKEE, WI	4/1/2011	00609048-03	Pending-Cleared
GPS FUNDS II GUIDEPATH STRATEGIC ASSET ALLOCATION FUND SERVICE SHARES	MILWAUKEE, WI	4/1/2011	00609041-03	Pending-Cleared
GPS FUNDS II GUIDEPATH STRATEGIC ASSET ALLOCATION FUND INSTITUTIONAL SHARES	MILWAUKEE, WI	4/1/2011	00609042-03	Pending-Cleared
GPS FUNDS II GUIDEPATH TACTICAL CONSTRAINED ASSET ALLOCATION FUND INSTITUTIONAL SHARES	MILWAUKEE, WI	4/1/2011	00609040-03	Pending-Cleared
GPS FUNDS II GUIDEPATH TACTICAL CONSTRAINED ASSET ALLOCATION FUND SERVICE SHARES	MILWAUKEE, WI	4/1/2011	00609039-03	Pending-Cleared
GPS FUNDS II GUIDEPATH TACTICAL UNCONSTRAINED ASSET ALLOCATION FUND SERVICE SHARES	MILWAUKEE, WI	4/1/2011	00609037-03	Pending-Cleared
GPS FUNDS II GUIDEPATH TACTICAL UNCONSTRAINED ASSET ALLOCATION FUND INSTITUTIONAL SHARES	MILWAUKEE, WI	4/1/2011	00609038-03	Pending-Cleared
NUVEEN MUNICIPAL TR NUVEEN INFLATION PROTECTED MUNICIPAL BOND FUND CLASS I	CHICAGO, IL	4/1/2011	00609074-03	Registered
NUVEEN MUNICIPAL TR NUVEEN INFLATION PROTECTED MUNICIPAL BOND FUND CLASS C	CHICAGO, IL	4/1/2011	00609073-03	Registered
NUVEEN MUNICIPAL TR NUVEEN INFLATION PROTECTED MUNICIPAL BOND FUND CLASS A	CHICAGO, IL	4/1/2011	00609072-03	Registered
VAN KAMPEN UNIT TRS SERIES 1095 CLOSED END STRATEGY COVERED CALL ICOME PORTFOLIO 2011 2	OAKBROOK, IL	4/1/2011	00609059-05	Pending-Cleared

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VAN KAMPEN UNIT TRS SERIES 1095 CLOSED END STRATEGY MASTER INCOME PORTFOLIO SERIES 27	OAKBROOK, IL	4/1/2011	00609057-05	Pending-Cleared
VAN KAMPEN UNIT TRS SERIES 1095 CLOSED END STRATEGY MASTER MUNICIPAL INCOME PORTFOLIO NATIONAL SERIES 23	OAKBROOK, IL	4/1/2011	00609058-05	Pending-Cleared
VAN KAMPEN UNIT TRS SERIES 1095 CLOSED END STRATEGY VALUE EQUITY & INCOME PORTFOLIO 2011 2	OAKBROOK, IL	4/1/2011	00609060-05	Pending-Cleared
VAN KAMPEN UNIT TRS SERIES 1104 PRECIOUS METALS & MINING PORTFOLIO 2011 2	OAKBROOK, IL	4/1/2011	00609055-05	Pending-Cleared
VAN KAMPEN UNIT TRS SERIES 1106 ECONOMIC EXPANSION PORTFOLIO SERIES 4	OAKBROOK, IL	4/1/2011	00609056-05	Pending-Cleared
VAN KAMPEN UNIT TRS TAXABLE INCOME SERIES 315 BUILD AMERICA BONDS INCOME TR SERIES 71	OAKBROOK, IL	4/1/2011	00609061-05	Pending-Cleared
ADVISORS SERIES TRUST ORINDA MULTI MANAGER HEDGED EQUITY FUND CLASS C	MILWAUKEE, WI	3/31/2011	00608961-05	Pending-Cleared
ADVISORS SERIES TRUST ORINDA MULTI MANAGER HEDGED EQUITY FUND CLASS A	MILWAUKEE, WI	3/31/2011	00608960-05	Pending-Cleared
ADVISORS SERIES TRUST ORINDA MULTI MANAGER HEDGED EQUITY FUND CLASS I	MILWAUKEE, WI	3/31/2011	00608962-05	Pending-Cleared
CATALYST FUNDS EVENTIDE GILEAD FUND RETAIL SHARES	WILLOW GROVE, PA	3/31/2011	00608954-05	Pending-Cleared
COLUMBIA FUNDS SERIES TR 2 COLUMBIA ABSOLUTE RETURN EMERGING MARKETS MACRO FUND CLASS R	MINNEAPOLIS, MN	3/31/2011	00608984-03	Registered

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COLUMBIA FUNDS SERIES TR 2 COLUMBIA ABSOLUTE RETURN EMERGING MARKETS MACRO FUND CLASS B	MINNEAPOLIS, MN	3/31/2011	00608980-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA ABSOLUTE RETURN EMERGING MARKETS MACRO FUND CLASS C	MINNEAPOLIS, MN	3/31/2011	00608981-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA ABSOLUTE RETURN EMERGING MARKETS MACRO FUND CLASS A	MINNEAPOLIS, MN	3/31/2011	00608978-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA ABSOLUTE RETURN EMERGING MARKETS MACRO FUND CLASS W	MINNEAPOLIS, MN	3/31/2011	00608985-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA ABSOLUTE RETURN EMERGING MARKETS MACRO FUND CLASS Z	MINNEAPOLIS, MN	3/31/2011	00608987-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA ABSOLUTE RETURN ENHANCED MULTI STRATEGY FUND CLASS B	MINNEAPOLIS, MN	3/31/2011	00608986-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA ABSOLUTE RETURN ENHANCED MULTI STRATEGY FUND CLASS C	MINNEAPOLIS, MN	3/31/2011	00608971-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA ABSOLUTE RETURN ENHANCED MULTI STRATEGY FUND CLASS A	MINNEAPOLIS, MN	3/31/2011	00608979-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA ABSOLUTE RETURN ENHANCED MULTI STRATEGY FUND CLASS R	MINNEAPOLIS, MN	3/31/2011	00608972-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA ABSOLUTE RETURN ENHANCED MULTI STRATEGY FUND CLASS Z	MINNEAPOLIS, MN	3/31/2011	00608976-03	Registered

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COLUMBIA FUNDS SERIES TR 2 COLUMBIA ABSOLUTE RETURN ENHANCED MULTI STRATEGY FUND CLASS W	MINNEAPOLIS, MN	3/31/2011	00608974-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA ABSOLUTE RETURN MULTI STRATEGY FUND CLASS B	MINNEAPOLIS, MN	3/31/2011	00608982-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA ABSOLUTE RETURN MULTI STRATEGY FUND CLASS C	MINNEAPOLIS, MN	3/31/2011	00608975-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA ABSOLUTE RETURN MULTI STRATEGY FUND CLASS Z	MINNEAPOLIS, MN	3/31/2011	00608983-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA ABSOLUTE RETURN MULTI STRATEGY FUND CLASS A	MINNEAPOLIS, MN	3/31/2011	00608973-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA ABSOLUTE RETURN MULTI STRATEGY FUND CLASS R	MINNEAPOLIS, MN	3/31/2011	00608970-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA ABSOLUTE RETURN MULTI STRATEGY FUND CLASS W	MINNEAPOLIS, MN	3/31/2011	00608977-03	Registered
COLUMBIA FUNDS SERIES TR COLUMBIA OVERSEAS VALUE FUND CLASS W SHARES	BOSTON, MA	3/31/2011	00608988-03	Registered
EPIPHANY FUNDS DANA LARGE CAP CORE FUND CLASS A SHARES	FORT WORTH, TX	3/31/2011	00608958-05	Pending-Cleared
EPIPHANY FUNDS EPIPHANY FAITH & FAMILY VALUES 100 FUND CLASS A	FORT WORTH, TX	3/31/2011	00608955-05	Pending-Cleared
EPIPHANY FUNDS EPIPHANY FFV STRATEGIC INCOME FUND CLASS A	FORT WORTH, TX	3/31/2011	00608959-05	Pending-Cleared
FT 2870 INTERNATIONAL CAPITAL STRENGTH PORTFOLIO SERIES 7	WHEATON, IL	3/31/2011	00608943-05	Pending-Cleared
FT2870 SMID CAPITAL STRENGTH ORTFOLIO SERIES 7	WHEATON, IL	3/31/2011	00608942-05	Pending-Cleared

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FT2872 CLOUD COMPUTING PORTFOLIO SERIES 2	WHEATON, IL	3/31/2011	00608945-05	Pending-Cleared
FT2904 INTEREST RATE HEDGE PORTFOLIO SERIES 29	WHEATON, IL	3/31/2011	00608944-05	Pending-Cleared
FT2937 PREFERRED INCOME PORTFOLIO SERIES 42	WHEATON, IL	3/31/2011	00608946-05	Pending-Cleared
GAMCO WESTWOOD FUNDS GAMCO WESTWOOD SMALLCAP EQUITY FUND CLASS A SHARES	RYE, NY	3/31/2011	00608989-03	Registered
JPMORGAN TR I JPMORGAN DIVERSIFIED REAL RETURN FUND CLASS A SHARES	BOSTON, MA	3/31/2011	00608937-03	Pending-Cleared
JPMORGAN TR I JPMORGAN DIVERSIFIED REAL RETURN FUND CLASS C SHARES	BOSTON, MA	3/31/2011	00608938-03	Pending-Cleared
JPMORGAN TR I JPMORGAN DIVERSIFIED REAL RETURN FUND R2 CLASS SHARES	BOSTON, MA	3/31/2011	00608939-03	Pending-Cleared
JPMORGAN TR I JPMORGAN DIVERSIFIED REAL RETURN FUND R5 CLASS SHARES	BOSTON, MA	3/31/2011	00608940-03	Pending-Cleared
JPMORGAN TR I JPMORGAN DIVERSIFIED REAL RETURN FUND SELECT CLASS SHARES	BOSTON, MA	3/31/2011	00608941-03	Pending-Cleared
NORTHERN LIGHTS FUND TRUST EM CAPITAL INDIA GATEWAY FUND CLASS A	HAUPPAUGE, NY	3/31/2011	00608956-05	Pending-Cleared
NORTHERN LIGHTS FUND TRUST GRANT PARK MANAGED FUTURES STRATEGY FUND CLASS I	HAUPPAUGE, NY	3/31/2011	00608952-05	Pending-Cleared
NORTHERN LIGHTS FUND TRUST GRANT PARK MANAGED FUTURES STRATEGY FUND CLASS A	HAUPPAUGE, NY	3/31/2011	00608953-05	Pending-Cleared
SARATOGA ADVANTAGE TR TECHNOLOGY & COMMUNICATIONS PORTFOLIO CLASS A	HAUPPAUGE, NY	3/31/2011	00608957-05	Pending-Cleared
TRUST FOR PROFESSIONAL MANAGERS TWM GLOBAL EQUITY INCOME FUND INSTITUTIONAL CLASS SHARES	MILWAUKEE, WI	3/31/2011	00608963-05	Pending-Cleared

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ADVISORS INNER CIRCLE FUND ALPHAONE MICRO CAP EQUITY FUND INVESTOR CLASS SHARES	BOSTON, MA	3/30/2011	00608883-03	Registered
ADVISORS INNER CIRCLE FUND ALPHAONE MICRO CAP EQUITY FUND I CLASS SHARES	BOSTON, MA	3/30/2011	00608885-03	Registered
ADVISORS INNER CIRCLE FUND ALPHAONE MICRO CAP EQUITY FUND R CLASS SHARES	BOSTON, MA	3/30/2011	00608880-03	Registered
ADVISORS INNER CIRCLE FUND ALPHAONE SMALL CAP GROWTH FUND INVESTOR CLASS SHARES	BOSTON, MA	3/30/2011	00608879-03	Registered
ADVISORS INNER CIRCLE FUND ALPHAONE SMALL CAP GROWTH FUND I CLASS SHARES	BOSTON, MA	3/30/2011	00608878-03	Registered
ADVISORS INNER CIRCLE FUND ALPHAONE SMALL CAP GROWTH FUND R CLASS SHARES	BOSTON, MA	3/30/2011	00608881-03	Registered
ADVISORS INNER CIRCLE FUND ALPHAONE US EQUITY LONG SHORT FUND R CLASS SHARES	BOSTON, MA	3/30/2011	00608886-03	Registered
ADVISORS INNER CIRCLE FUND ALPHAONE US EQUITY LONG SHORT FUND INVESTOR CLASS SHARES	BOSTON, MA	3/30/2011	00608884-03	Registered
ADVISORS INNER CIRCLE FUND ALPHAONE US EQUITY LONG SHORT FUND I CLASS SHARES	BOSTON, MA	3/30/2011	00608882-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZ RCM ALL ALPHA FUND CLASS D	NEW YORK, NY	3/30/2011	00608874-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZ RCM ALL ALPHA FUND CLASS A	NEW YORK, NY	3/30/2011	00608875-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZ RCM ALL ALPHA FUND INSTITUTIONAL CLASS	NEW YORK, NY	3/30/2011	00608876-03	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZ RCM ALL ALPHA FUND CLASS C	NEW YORK, NY	3/30/2011	00608873-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZ RCM ALL ALPHA FUND CLASS P	NEW YORK, NY	3/30/2011	00608877-03	Registered
BLACKROCK FUNDS 3 BLACKROCK BOND INDEX FUND INSTITUTIONAL SHARES	SAN FRANCISCO, CA	3/30/2011	00608871-03	Registered
BLACKROCK FUNDS 3 BLACKROCK BOND INDEX FUND INVESTOR A SHARES	SAN FRANCISCO, CA	3/30/2011	00608868-03	Registered
BLACKROCK FUNDS 3 BLACKROCK RUSSELL 1000B INDEX FUND INVESTOR A SHARES	SAN FRANCISCO, CA	3/30/2011	00608869-03	Registered
BLACKROCK FUNDS 3 BLACKROCK RUSSELL 1000B INDEX FUND INSTITUTIONAL SHARES	SAN FRANCISCO, CA	3/30/2011	00608870-03	Registered
BLACKROCK FUNDS 3 BLACKROCK RUSSELL 1000B INDEX FUND CLASS K SHARES	SAN FRANCISCO, CA	3/30/2011	00608872-03	Registered
BLACKROCK INDEX FUNDS INC BLACKROCK INTERNATIONAL INDEX FUND CLASS K SHARES	WILMINGTON, DE	3/30/2011	00608864-03	Registered
BLACKROCK INDEX FUNDS INC BLACKROCK SMALL CAP INDEX FUND CLASS K SHARES	WILMINGTON, DE	3/30/2011	00608865-03	Registered
GUGGENHEIM DEFINED PORTFOLIO SERIES 770 GUGGENHEIM INTERNATIONAL DIVIDEND STRATEGY PORTFOLIO SERIES 11	LISLE, IL	3/30/2011	00608559-05	Pending-Cleared
HANCOCK JOHN FUNDS 2 HANCOCK JOHN EMERGING MARKETS FUND CLASS A	BOSTON, MA	3/30/2011	00608866-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN EMERGING MARKETS FUND CLASS I	BOSTON, MA	3/30/2011	00608867-03	Registered
CAPITAL PRIVATE CLIENT SERVICES FUNDS CAPITAL GLOBAL EQUITY FUND	IRVINE, CA	3/29/2011	00609460-03	Registered

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CAPITAL PRIVATE CLIENT SERVICES FUNDS CAPITAL NON US EQUITY FUND	IRVINE, CA	3/29/2011	00609459-03	Registered
CAPITAL PRIVATE CLIENT SERVICES FUNDS CAPITAL US EQUITY FUND	IRVINE, CA	3/29/2011	00609461-03	Registered
MFS SERIES TR 10 MFS ABSOLUTE RETURN FUND CLASS A SHARES	BOSTON, MA	3/29/2011	00609465-03	Registered
MFS SERIES TR 10 MFS ABSOLUTE RETURN FUND CLASS B SHARES	BOSTON, MA	3/29/2011	00609472-03	Registered
MFS SERIES TR 10 MFS ABSOLUTE RETURN FUND CLASS C SHARES	BOSTON, MA	3/29/2011	00609468-03	Registered
MFS SERIES TR 10 MFS ABSOLUTE RETURN FUND CLASS I SHARES	BOSTON, MA	3/29/2011	00609462-03	Registered
MFS SERIES TR 10 MFS ABSOLUTE RETURN FUND CLASS R1 SHARES	BOSTON, MA	3/29/2011	00609470-03	Registered
MFS SERIES TR 10 MFS ABSOLUTE RETURN FUND CLASS R2 SHARES	BOSTON, MA	3/29/2011	00609475-03	Registered
MFS SERIES TR 10 MFS ABSOLUTE RETURN FUND CLASS R3 SHARES	BOSTON, MA	3/29/2011	00609463-03	Registered
MFS SERIES TR 10 MFS ABSOLUTE RETURN FUND CLASS R4 SHARES	BOSTON, MA	3/29/2011	00609464-03	Registered
MFS SERIES TR 16 MFS GLOBAL MULTI ASSET FUND CLASS A SHARES	BOSTON, MA	3/29/2011	00609467-03	Registered
MFS SERIES TR 16 MFS GLOBAL MULTI ASSET FUND CLASS B SHARES	BOSTON, MA	3/29/2011	00609469-03	Registered
MFS SERIES TR 16 MFS GLOBAL MULTI ASSET FUND CLASS C SHARES	BOSTON, MA	3/29/2011	00609471-03	Registered
MFS SERIES TR 16 MFS GLOBAL MULTI ASSET FUND CLASS I SHARES	BOSTON, MA	3/29/2011	00609474-03	Registered
MFS SERIES TR 16 MFS GLOBAL MULTI ASSET FUND CLASS R1 SHARES	BOSTON, MA	3/29/2011	00609476-03	Registered

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MFS SERIES TR 16 MFS GLOBAL MULTI ASSET FUND CLASS R2 SHARES	BOSTON, MA	3/29/2011	00609477-03	Registered
MFS SERIES TR 16 MFS GLOBAL MULTI ASSET FUND CLASS R3 SHARES	BOSTON, MA	3/29/2011	00609473-03	Registered
MFS SERIES TR 16 MFS GLOBAL MULTI ASSET FUND CLASS R4 SHARES	BOSTON, MA	3/29/2011	00609466-03	Registered
CONVERTIBLE & INCOME CLOSED END PORTFOLIO SERIES 2011 1	MONUMENT, CO	3/28/2011	00608316-05	Pending-Cleared
FT 2855 INCOME ALLOCATION CLOSED END APR 11	WHEATON, IL	3/28/2011	00608313-05	Pending-Cleared
FT 2856 DOW TARGET 10 APR 11 TERM 4 30 12	WHEATON, IL	3/28/2011	00608338-05	Pending-Cleared
FT 2856 DOW TARGET DVD APR 11 TERM 6 29 12	WHEATON, IL	3/28/2011	00608337-05	Pending-Cleared
FT 2856 TARGET FOCUS 4 APR 11 TERM 6 29 12	WHEATON, IL	3/28/2011	00608336-05	Pending-Cleared
FT 2856 TARGET TRIAD APR 11 TERM 6 29 12	WHEATON, IL	3/28/2011	00608335-05	Pending-Cleared
FT 2856 TARGET VIP APR 11 TERM 6 9 12	WHEATON, IL	3/28/2011	00608334-05	Pending-Cleared
FT 2857 DOW TARGET 5 2Q 11 TERM 6 29 12	WHEATON, IL	3/28/2011	00608333-05	Pending-Cleared
FT 2857 GLOBAL TARGET 15 2Q 11 TERM 6 29 12	WHEATON, IL	3/28/2011	00608331-05	Pending-Cleared
FT 2857 MSCI EAFE TARGET 20 2Q 11 TERM 6 29 12	WHEATON, IL	3/28/2011	00608332-05	Pending-Cleared
FT 2857 NASDAQ TARGET 15 2Q 11 TERM 6 29 12	WHEATON, IL	3/28/2011	00608330-05	Pending-Cleared
FT 2857 NYSE INTL TARGET 25 2Q 11 TERM 6 29 12	WHEATON, IL	3/28/2011	00608329-05	Pending-Cleared
FT 2857 S&P TARGET SMID 60 2Q 11 TERM 6 29 12	WHEATON, IL	3/28/2011	00608328-05	Pending-Cleared
FT 2857 TARGET 50/50 2Q 11 TERM 6 29 12	WHEATON, IL	3/28/2011	00608326-05	Pending-Cleared
FT 2857 TARGET DBL PLAY 2Q 11 TERM 6 29 12	WHEATON, IL	3/28/2011	00608327-05	Pending-Cleared
FT 2857 TARGET DIVSD DVD 2Q 11 TERM 6 29 12	WHEATON, IL	3/28/2011	00608325-05	Pending-Cleared
FT 2857 TARGET FOCUS 5 2Q 11 TERM 6 29 12	WHEATON, IL	3/28/2011	00608318-05	Pending-Cleared

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 2857 TARGET GLOBAL DVD LEADERS 2Q 11 TERM 6 29 12	WHEATON, IL	3/28/2011	00608319-05	Pending-Cleared
FT 2857 TARGET VIP CONS EQTY 2Q 11 TERM 6 29 11	WHEATON, IL	3/28/2011	00608321-05	Pending-Cleared
FT 2860 TARGET DVD MULTI STRAT PLUS 2Q 11 TERM 6 29 12	WHEATON, IL	3/28/2011	00608314-05	Pending-Cleared
FT 2940 JAPAN PORTFOLIO SERIES 9	WHEATON, IL	3/28/2011	00608315-05	Pending-Cleared
FT2857 S&P TARGET 24 2Q 11 TERM 6 29 12	WHEATON, IL	3/28/2011	00608324-05	Pending-Cleared
FT2857 TARGET DVD MULTI STRAT 2Q 11 TERM 6 29 12	WHEATON, IL	3/28/2011	00608317-05	Pending-Cleared
FT2857 TARGET GROWTH 2Q 11 TERM 6 29 12	WHEATON, IL	3/28/2011	00608320-05	Pending-Cleared
FT2857 VALUE LINE DIVSD TARGET 40 2Q 11 TERM 6 29 12	WHEATON, IL	3/28/2011	00608322-05	Pending-Cleared
FT2857 VALUE LINE TARGET 25 2Q 11 TERM 6 29 12	WHEATON, IL	3/28/2011	00608323-05	Pending-Cleared
GOLDMAN SACHS TR GOLDMAN SACHS BRAZIL EQUITY FUND INSTITUTIONAL SHARES	CHICAGO, IL	3/28/2011	00608377-03	Pending-Cleared
GOLDMAN SACHS TR GOLDMAN SACHS BRAZIL EQUITY FUND CLASS A SHARES	CHICAGO, IL	3/28/2011	00608376-03	Pending-Cleared
GOLDMAN SACHS TR GOLDMAN SACHS BRAZIL EQUITY FUND CLASS C SHARES	CHICAGO, IL	3/28/2011	00608375-03	Pending-Cleared
GOLDMAN SACHS TR GOLDMAN SACHS BRAZIL EQUITY FUND CLASS IR SHARES	CHICAGO, IL	3/28/2011	00608378-03	Pending-Cleared
GOLDMAN SACHS TR GOLDMAN SACHS CHINA EQUITY FUND INSTITUTIONAL SHARES	CHICAGO, IL	3/28/2011	00608380-03	Pending-Cleared
GOLDMAN SACHS TR GOLDMAN SACHS CHINA EQUITY FUND CLASS A SHARES	CHICAGO, IL	3/28/2011	00608382-03	Pending-Cleared

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
GOLDMAN SACHS TR GOLDMAN SACHS CHINA EQUITY FUND CLASS C SHARES	CHICAGO, IL	3/28/2011	00608379-03	Pending-Cleared
GOLDMAN SACHS TR GOLDMAN SACHS CHINA EQUITY FUND CLASS IR SHARES	CHICAGO, IL	3/28/2011	00608381-03	Pending-Cleared
JP MORGAN TR I JPMORGAN TAX AWARE EQUITY FUND CLASS C	BOSTON, MA	3/28/2011	00608311-03	Pending-Cleared
JP MORGAN TR I JPMORGAN TAX AWARE EQUITY FUND SELECT CLASS	BOSTON, MA	3/28/2011	00608312-03	Pending-Cleared
JPMORGAN TRUST I JPMORGAN TAX AWARE EQUITY FUND CLASS A	BOSTON, MA	3/28/2011	00608310-03	Pending-Cleared
PRUDENTIAL INVESTMENT PORTFOLIOS 9 PRUDENTIAL ABSOLUTE RETURN BOND FUND CLASS Z	NEWARK, NJ	3/28/2011	00608393-03	Registered
PRUDENTIAL INVESTMENT PORTFOLIOS 9 PRUDENTIAL ABSOLUTE RETURN BOND FUND CLASS Q	NEWARK, NJ	3/28/2011	00608391-03	Registered
PRUDENTIAL INVESTMENT PORTFOLIOS 9 PRUDENTIAL ABSOLUTE RETURN BOND FUND CLASS A	NEWARK, NJ	3/28/2011	00608390-03	Registered
PRUDENTIAL INVESTMENT PORTFOLIOS 9 PRUDENTIAL ABSOLUTE RETURN BOND FUND CLASS C	NEWARK, NJ	3/28/2011	00608392-03	Registered
PRUDENTIAL INVESTMENT PORTFOLIOS INC 14 PRUDENTIAL FLOATING RATE INCOME FUND CLASS A	NEWARK, NJ	3/28/2011	00608387-03	Registered
PRUDENTIAL INVESTMENT PORTFOLIOS INC 14 PRUDENTIAL FLOATING RATE INCOME FUND CLASS C	NEWARK, NJ	3/28/2011	00608388-03	Registered
PRUDENTIAL INVESTMENT PORTFOLIOS INC 14 PRUDENTIAL FLOATING RATE INCOME FUND CLASS Z	NEWARK, NJ	3/28/2011	00608389-03	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
PRUDENTIAL WORLD FUND INC PRUDENTIAL EMERGING MARKETS DEBT LOCAL CURRENCY FUND CLASS Z	NEWARK, NJ	3/28/2011	00608386-03	Registered
PRUDENTIAL WORLD FUND INC PRUDENTIAL EMERGING MARKETS DEBT LOCAL CURRENCY FUND CLASS A	NEWARK, NJ	3/28/2011	00608383-03	Registered
PRUDENTIAL WORLD FUND INC PRUDENTIAL EMERGING MARKETS DEBT LOCAL CURRENCY FUND CLASS Q	NEWARK, NJ	3/28/2011	00608384-03	Registered
PRUDENTIAL WORLD FUND INC PRUDENTIAL EMERGING MARKETS DEBT LOCAL CURRENCY FUND CLASS C	NEWARK, NJ	3/28/2011	00608385-03	Registered
Number of Investment Company Filings: 209				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
SCS HEDGED OPPORTUNITIES FUND LLC	BOSTON, MA	4/8/2011	00609621-07	Filed
STHI HOLDINGS LLC	CHICAGO, IL	4/8/2011	00609622-07	Filed
DISCOUNT RETAIL PORTFOLIO DST	OAK BROOK, IL	4/7/2011	00609593-07	Filed
INLAND OPPORTUNITY FUND 2 LLC	OAK BROOK, IL	4/7/2011	00609591-07	Filed
NATIONAL RETAIL PORTFOLIO VENTURE DST	OAK BROOK, IL	4/7/2011	00609592-07	Filed
SE AURORA LLC	CHICAGO, IL	4/7/2011	00609594-07	Filed
DOUGLAS LAKE MINERALS INC	VANCOUVER BC V6C 3P6,	4/6/2011	00609544-07	Filed
SP PRIVATE INVESTMENTS LLC	SAN BRUNO, CA	4/6/2011	00609543-07	Filed
AMERICAN STANDARD ENERGY CORP	SCOTTSDALE, AZ	4/5/2011	00609124-07	Filed
IBG LLC	GREENWICH, CT	4/5/2011	00609127-07	Filed
PIVOTNORTH FUND I LP	MENLO PARK, CA	4/5/2011	00609125-07	Filed
TIDAL PETROLEUM INC	SCHERTZ, TX	4/5/2011	00609126-07	Filed
ADR BIZ BUILDER INC	THOUSAND OAKS, CA	4/4/2011	00609106-07	Filed

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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
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PIMCO BRAVO FUND SPECIAL ONSHORE FEEDER TE I LP	NEWPORT BEACH, CA	4/4/2011	00609105-07	Filed
BROOKLINE TRACON INVESTMENT FUND II LLC	BIRMINGHAM, AL	4/1/2011	00609027-07	Filed
ONE EARTH FARMS CORP	TORONTO M5J 2J2,	4/1/2011	00609028-07	Filed
TP INVESTORS LLC	ARLINGTON HEIGHTS, IL	4/1/2011	00609026-07	Filed
MMLISI FINANCIAL ALLIANCES LLC	SPRINGFIELD, MA	3/31/2011	00608935-07	Filed
BETHEL SOLAR 10 INC	ALPINE, CA	3/30/2011	00608561-07	Filed
EURASIAN MINERALS INC	VANCOUVER BC V6C 3P1,	3/30/2011	00608562-07	Filed
NORHAVEN CAPITAL FUND LP	MINNEAPOLIS, MN	3/30/2011	00608560-07	Filed
PAPUAN PRECIOUS METALS CORP	KELOWNA BC VIY 9Y2,	3/30/2011	00608566-07	Filed
VIRIDIAN FUND LP	NEW YORK, NY	3/30/2011	00608563-07	Filed
CHESTNUT MOSS BLUFF 3 JOINT VENTURE	RICHARDSON, TX	3/29/2011	00608415-07	Filed
BENGAL TIGER LTD LIABILITY CO	NEW YORK, NY	3/28/2011	00608307-07	Filed
CLOUDCOVER LTD	EAGAN, MN	3/28/2011	00608414-07	Filed
IAS ENERGY INC	RICHMOND BC V7A 5E9,	3/28/2011	00608308-07	Filed
SOUTHPAW CREDIT OPPORTUNITY PARTNERS LP	GREENWICH, CT	3/28/2011	00608309-07	Filed
STACY FIELD JOINT VENTURE	SAN ANTONIO, TX	3/28/2011	00608413-07	Filed
Number of Regulation D Notice Filings: 29				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Truly Nolen of America, Inc. / Truly Nolen of America, Inc.	Tucson, AZ	4/8/2011 5	602301	Registered
NEW HORIZONS FRANCHISING GROUP INC	ANAHEIM, CA	4/7/2011 1	602300	Registered
Minus5° International, LLC / Minus5° Ice Lounge	Las Vegas, NV	4/7/2011 3	602298	Registered
Monkey Bizness Franchising, LLC / Monkey Bizness	Greenwood Village, CO	4/7/2011 1	602296	Registered

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Fish Window Cleaning Services, Inc. / FISH WINDOW CLEANING	Manchester, MO	4/7/2011 1	602295	Registered
Liquid Capital of America Corp. / Liquid Capital	Irving, TX	4/7/2011 9	602294	Registered
Slumberland Franchising, Inc. / Slumberland / Mattress Plus	Little Canada, MN	4/7/2011 9	602293	Registered
Chick-fil-A, Inc.	Atlanta, GA	4/7/2011 9	602292	Registered
Chick-fil-A, Inc.	Atlanta, GA	4/7/2011 9	602291	Registered
G'Day! Pet Care, LLC / G'Day! Pet Care	Englewood, CO	4/7/2011 9	602290	Registered
Dingo, Inc. / Bark Busters	Denver, CO	4/7/2011 9	602289	Registered
EWC Franchise Group, Inc. / European Wax Center - Unit	Aventura, FL	4/6/2011 5	602288	Registered
EWC Franchise Group, Inc. / European Wax Center - Area Representative	Aventura, FL	4/6/2011 5	602287	Registered
Furniture Medic Limited Partnership / Furniture Medic	Memphis, TN	4/6/2011 4	602286	Registered
Halloween Express, LLC	Owenton, KY	4/6/2011 3	602285	Registered
D.O.G. Development LLC / Camp Bow Wow	Boulder, CO	4/6/2011 1	602283	Registered
Orange Shoe Gym Holdings Incorporated / Orange Shoe Personal Fitness	Madison, WI	4/6/2011 1	602282	Registered
Keylingo Franco, LLC / Keylingo Translations	Atlanta, GA	4/6/2011 8	602281	Registered
Transworld Business Advisors, LLC	West Palm Beach, FL	4/6/2011 8	602280	Registered
COUNTRY VISIONS INC	VACAVILLE, CA	4/6/2011	602304	Registered
GC FRANCHISING SYSTEMS INC	CINCINNATI, OH	4/6/2011	602303	Registered
HOSPITALITY INTERNATIONAL INC	TUCKER, GA	4/6/2011	602302	Registered
FIRESTORM FRANCHISING LLC	ROSWELL, GA	4/5/2011 5	602278	Registered
AMERISPEC, INC. / AmeriSpec	Memphis, TN	4/5/2011 3	602275	Registered
MERRY MAIDS LIMITED PARTNERSHIP / Merry Maids	Memphis, TN	4/5/2011 1	602266	Registered
TROPICAL SMOOTHIE FRANCHISE DEVELOPMENT CORP / Unit	DESTIN, FL	4/5/2011 1	602264	Registered
7 ELEVEN INC	DALLAS, TX	4/5/2011	602272	Registered
AARON'S INC	ATLANTA, GA	4/5/2011	602273	Registered

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CELLARIRIS FRANCHISE INC	ALPHARETTA, GA	4/5/2011	602270	Registered
HOMEWELL SENIOR CARE INC / HomeWell Senior Care	Seattle, WA	4/5/2011	602271	Registered
PARABLE FRANCHISING LLC	SAN LUIS OBISPO, CA	4/5/2011	602269	Registered
PFG VENTURES LP	CLEVELAND, OH	4/5/2011	602276	Registered
TIM HORTONS USA INC	DUBLIN, OH	4/5/2011	602277	Registered
TX ROADHOUSE DEVELOPMENT CORP	LOUISVILLE, KY	4/5/2011	602274	Registered
SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP / Window and Carpet Cleaning Services	Memphis, TN	4/4/2011 4	602252	Registered
SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP / Small Business Services	Memphis, TN	4/4/2011 4	602251	Registered
SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP / Commercial Services	Memphis, TN	4/4/2011 4	602250	Registered
SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP / Residential Services	Memphis, TN	4/4/2011 4	602249	Registered
SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP / On-Location Services	Memphis, TN	4/4/2011 4	602248	Registered
SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP / Small Market Services	Memphis, TN	4/4/2011 3	602247	Registered
SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP / Janitorial Services	Memphis, TN	4/4/2011 3	602246	Registered

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SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP / Floor Care Services	Memphis, TN	4/4/2011 3	602245	Registered
SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP / Recovery Management Services	Memphis, TN	4/4/2011 3	602243	Registered
SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP / Disaster Restoration Services	Memphis, TN	4/4/2011 3	602242	Registered
COLLEGE PRO PAINTERS (U.S.) LTD	WOBURN, MA	4/4/2011 2	602241	Registered
Curves International, Inc. / Curves and Curves for Women	Woodway, TX	4/4/2011 1	602217	Registered
Taco John's International, Inc. / Taco John's	Cheyenne, WY	4/4/2011 8	602202	Registered
ACFN FRANCHISED INC	SAN JOSE, CA	4/4/2011	602254	Registered
CBC RESTAURANT CORP	DALLAS, TX	4/4/2011	602261	Registered
EARL OF SANDWICH USA LLC	ORLANDO, FL	4/4/2011	602265	Registered
FRANNET FRANCHISING LLC	LOUISVILLE, KY	4/4/2011	602255	Registered
GAC FRANCHISING LLC	NORCROSS, GA	4/4/2011	602297	Registered
LENNYS FRANCHISOR LLC	MEMPHIS, TN	4/4/2011	602257	Registered
PIU HOLDINGS LLC / Pump It Up	Tempe, AZ	4/4/2011	602260	Registered
PLAY N TRADE FRANCHISE INC / Area	NEW PORT BEACH, CA	4/4/2011	602268	Registered
PM FRANCHISING LLC	NORCROSS, GA	4/4/2011	602259	Registered
Pro Martial Arts Franchise Corp.	Berwyn, PA	4/4/2011	602256	Registered
SPHERION STAFFING LLC	FT LAUDERDALE, FL	4/4/2011	602263	Registered
TGI FRIDAYS INC	DALLAS, TX	4/4/2011	602258	Registered
Franchise Concepts, Inc. / Deck The Walls	St. Peters, MO	4/1/2011 7	602201	Registered
Franchise Concepts, Inc. / The Great Frame Up	St. Peters, MO	4/1/2011 6	602200	Registered
Capriotti's Sandwich Shop, Inc. / Capriotti's Sandwich Shop	Las Vegas, NV	4/1/2011 4	602199	Registered
Lillians Shoppes, LLC	Plymouth, MN	4/1/2011 1	602168	Registered

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<b>Franchise Law Filings Made with DFI's Securities Division</b>				
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BAYMONT FRANCHISE SYSTEMS INC	PARSIPPANY, NJ	4/1/2011	602240	Registered
BIJOUX TERNER FRANCHISE LLC	MIAMI, FL	4/1/2011	602213	Registered
Bin There USA, LLC	Mississauga Ontario, ON	4/1/2011	602220	Registered
CLOTHES MENTOR LLC	Minnetonka, MN	4/1/2011	602232	Registered
Community Insurance Services, LLC	Wauunakee, WI	4/1/2011	602236	Registered
Crunch Franchising, LLC / CRUNCH	Carlsbad, CA	4/1/2011	602215	Registered
DAYS INNS WORLDWIDE INC	PARSIPPANY, NJ	4/1/2011	602229	Registered
EMT USA LLC	ST LOUIS, MO	4/1/2011	602234	Registered
ESCAPE ENTERPRISES LTD / Steak Escape	COLUMBUS, OH	4/1/2011	602209	Registered
GOSH ENTERPRISES INC / Charley's	COLUMBUS, OH	4/1/2011	602212	Registered
GreenHomes America, LP	Houston, TX	4/1/2011	602231	Registered
HAND & STONE FRANCHISE CORP	TOMS RIVER, NJ	4/1/2011	602203	Registered
HAWTHORN SUITES FRANCHISING INC	ATLANTA, GA	4/1/2011	602198	Registered
HH FRANCHISING SYSTEMS INC	CINCINNATI, OH	4/1/2011	602237	Registered
HOWARD JOHNSON INTERNATIONAL INC	PARSIPPANY, NJ	4/1/2011	602224	Registered
HYATT FRANCHISING LLC	CHICAGO, IL	4/1/2011	602205	Registered
HYATT PLACE FRANCHISING LLC / Hyatt Place Hotels	CHICAGO, IL	4/1/2011	602206	Registered
IRR RESIDENTIAL LLC	WESTWOOD, KS	4/1/2011	602207	Registered
KNIGHTS FRANCHISE SYSTEMS INC	PARSIPPANY, NJ	4/1/2011	602225	Registered
LINC NETWORK LLC	APHARETTA, GA	4/1/2011	602233	Registered
LONG JOHN SILVERS INC / JLS CO-BRAND FRANCHISE PROGRAM	LOUISVILLE, KY	4/1/2011	602208	Registered
MARRIOTT INTERNATIONAL INC / Marriott ExecuStay	BETHESDA, MD	4/1/2011	602219	Registered
MICROTEL INNS & SUITES FRANCHISING INC	ATLANTA, GA	4/1/2011	602227	Registered
PLAY N TRADE FRANCHISE INC	NEW PORT BEACH, CA	4/1/2011	602214	Registered
RAMADA WORLDWIDE INC	PARSIPPANY, NJ	4/1/2011	602228	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
REAL DEALS INC	BUHL, ID	4/1/2011	602221	Registered
SUMMERFIELD HOTEL CO LLC	CHICAGO, IL	4/1/2011	602204	Registered
The Pond People, Inc.	Seymour, WI	4/1/2011	602211	Registered
TRAVELODGE HOTELS INC	PARSIPPANY, NJ	4/1/2011	602226	Registered
TRYP Hotels Worldwide, Inc. / TRYP by Wyndham	PARSIPPANY, NJ	4/1/2011	602230	Registered
VANGUARD CLEANING SYSTEMS INC	SAN MATEO, CA	4/1/2011	602239	Registered
WINGATE INNS INTERNATIONAL INC	PARSIPPANY, NJ	4/1/2011	602223	Registered
WYNDHAM HOTELS & RESORTS LLC	PARSIPPANY, NJ	4/1/2011	602222	Registered
YESCO Franchising, LLC / YESCO	Salt Lake City, UT	4/1/2011	602238	Registered
ZOUP! Systems, LLC / Zoup Restaurants	Southfield, MI	4/1/2011	602235	Registered
Sport Clips, Inc. / Sport Clips Area Development	Georgetown, TX	3/31/2011	602155	Registered
Right at Home, Inc. / RIGHT AT HOME	Omaha, NE	3/31/2011	602154	Registered
Lifetree, LLC / Lifetree Cafe	Loveland, CO	3/31/2011	602153	Registered
MUTUAL FUND STORE LLC / THE MUTUAL FUND STORE	OVERLAND PARK, KS	3/31/2011	602143	Registered
Maui Wowi Franchising, Inc. / Maui Wowi Hawaiian	Greenwood Village, CO	3/31/2011	602142	Registered
Sport Clips, Inc. / Sport Clips Single Unit	Georgetown, TX	3/31/2011	602137	Registered
Goddard Systems, Inc. / The Goddard School	King of Prussia, PA	3/31/2011	602136	Registered
AIRE SERV HEATING & AIR CONDITIONING, INC. / Aire Serv	WACO, TX	3/31/2011	602162	Registered
AMERICAN EXPRESS TRAVEL RELATED SERVICES CO INC	NEW YORK, NY	3/31/2011	602187	Registered
ANYTIME FITNESS INC	HASTINGS, MN	3/31/2011	602190	Registered
BETTER HOMES & GARDEN REAL ESTATE LLC	PARSIPPANY, NJ	3/31/2011	602170	Registered
CENTURY 21 REAL ESTATE LLC	PARSIPPANY, NJ	3/31/2011	602174	Registered
CLEANING AUTHORITY INC	COLUMBIA, MD	3/31/2011	602175	Registered
COLDWELL BANKER REAL ESTATE LLC	PARSIPPANY, NJ	3/31/2011	602176	Registered
COLDWELL BANKER REAL ESTATE LLC	PARSIPPANY, NJ	3/31/2011	602171	Registered

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CONRAD FRANCHISE LLC	McLean, VA	3/31/2011	602182	Registered
CRESTCOM INTERNATIONAL LLC / Executive Program	GREENWOOD VILLAGE, CO	3/31/2011	602191	Registered
CRESTCOM INTERNATIONAL LLC / Area Developer	GREENWOOD VILLAGE, CO	3/31/2011	602144	Registered
CULVER FRANCHISING SYSTEM INC	PRAIRIE DU SAC, WI	3/31/2011	602185	Registered
DAZBOG COFFEE FRANCHISING LLC	DENVER, CO	3/31/2011	602188	Registered
DOUBLETREE FRANCHISE LLC	McLean, VA	3/31/2011	602183	Registered
DUCTZ INTERNATIONAL LLC	ANN ARBOR, MI	3/31/2011	602146	Registered
EMBASSY SUITES FRANCHISE LLC	McLean, VA	3/31/2011	602149	Registered
ERA FRANCHISE SYSTEMS LLC	PARSIPPANY, NJ	3/31/2011	602173	Registered
EVERYTHING YOGURT BRANDS LLC / Green Leaf's Beyond Great Salads/Bananas Smoothies & Frozen Yogurt	MORRISTOWN, NJ	3/31/2011	602163	Registered
GoWaiter	Tallahassee, FL	3/31/2011	602189	Registered
HAAGEN DAZS SHOPPE COMPANY INC	MINNEAPOLIS, MN	3/31/2011	602159	Registered
HAMPTON INNS FRANCHISE LLC	McLean, VA	3/31/2011	602150	Registered
Handyman Matters Franchise Corp. / Handyman Matters	Lakewood, CO	3/31/2011	602166	Registered
HILTON FRANCHISE LLC	McLean, VA	3/31/2011	602148	Registered
HILTON GARDEN INNS FRANCHISE LLC	McLean, VA	3/31/2011	602180	Registered
HLT ESP Franchise LLC / Home2 Suites b Hilton	McLean, VA	3/31/2011	602181	Registered
HOMEWOOD SUITES FRANCHISE LLC	McLean, VA	3/31/2011	602179	Registered
HOODZ INTERNATIONAL LLC	ANN ARBOR, MI	3/31/2011	602165	Registered
IHOP Franchise Company, LLC / IHop Express, IHop 'N Go, IHop U	GLENDALE, CA	3/31/2011	602157	Registered
IHOP Franchise Company, LLC / Non Traditional	GLENDALE, CA	3/31/2011	602186	Registered
LA QUINTA FRANCHISING LLC	IRVING, TX	3/31/2011	602147	Registered
MADE IN JAPAN JAPANESE RESTAURANTS USA LTD	OAKVILLE ONTARIO, ON	3/31/2011	602156	Registered

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MIRACLE EAR INC	PLYMOUTH, MN	3/31/2011	602177	Registered
MONEY MAILER FRANCHISE CORP	GARDEN GROVE, CA	3/31/2011	602151	Registered
MR APPLIANCE CORP	WACO, TX	3/31/2011	602194	Registered
MR ELECTRIC CORP	WACO, TX	3/31/2011	602164	Registered
MR ROOTER CORP	WACO, TX	3/31/2011	602195	Registered
OXI FRESH FRANCHISING CO INC	LAKEWOOD, CO	3/31/2011	602145	Registered
Pandora Franchising, LLC / Pandora	Columbia, MD	3/31/2011	602158	Registered
PAPA MURPHYS INTERNATIONAL INC	VANCOUVER, WA	3/31/2011	602161	Registered
RAINBOW INTERNATIONAL CARPET DYEING & CLEANING CO. / Rainbow International	WACO, TX	3/31/2011	602193	Registered
SMOOTHIE KING FRANCHISES INC	COVINGTON, LA	3/31/2011	602172	Registered
SOTHEBYS INTERNATIONAL REALTY AFFILIATES LLC	PARSIPPANY, NJ	3/31/2011	602169	Registered
SUPER 8 MOTELS INC	PARSIPPANY, NJ	3/31/2011	602197	Registered
SYNERGISTIC INTERNATIONAL LLC / Glass Doctor	WACO, TX	3/31/2011	602216	Registered
SYSTEMFORWARD AMERICA, INC / POP A LOCK	LAFAYETTE, LA	3/31/2011	602160	Registered
Tailored Living, LLC / Standard	Orange, CA	3/31/2011	602167	Registered
The Bar Method Franchising, Inc.	San Francisco, CA	3/31/2011	602192	Registered
The Grounds Guys, LLC	Waco, TX	3/31/2011	602184	Registered
WALDORF ASTORIA FRANCHISE LLC	McLean, VA	3/31/2011	602178	Registered
WZ FRANCHISE CORP / Wing Zone	ATLANTA, GA	3/31/2011	602218	Registered
Y oasis Franchising Systems, LLC	Salt Lake City, UT	3/31/2011	602196	Registered
Old Chicago Franchising LLC / Rock Bottom	Louisville, CO	3/30/2011	602134	Registered
TAB Boards International, Inc. / The Alternative Board	Westminster, CO	3/30/2011	602113	Registered
D.O.G. Development LLC / Home Buddies by Camp Bow Wow	Boulder, CO	3/30/2011	602097	Registered
Sir Speedy, Inc. / Sir Speedy Printing	Mission Viejo, CA	3/30/2011	602088	Registered

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UNISHIPPERS GLOBAL LOGISTICS LLC / Unishippers	SALT LAKE CITY, UT	3/30/2011	602087	Registered
GrandStay Hospitality, LLC / GrandStay	Saint Augusta, MN	3/30/2011	602086	Registered
Old Chicago Franchising LLC / Old Chicago	Louisville, CO	3/30/2011	602085	Registered
ABC INC	FARGO, ND	3/30/2011	602135	Registered
AMCHECK NATIONAL FRANCHISE CORP	PHOENIX, AZ	3/30/2011	602140	Registered
AVIS CAR RENT A CAR SYSTEM, LLC	PARSIPPANY, NJ	3/30/2011	602123	Registered
BP PRODUCTS NORTH AMERICA INC	WARRENVILLE, IL	3/30/2011	602132	Registered
BUDGET RENT A CAR SYSTEM INC / BUDGET CAR SALES	PARSIPPANY, NJ	3/30/2011	602120	Registered
BUDGET RENT A CAR SYSTEM INC	PARSIPPANY, NJ	3/30/2011	602138	Registered
COSI INC	NEW YORK, NY	3/30/2011	602116	Registered
EI FRANCHISE CO LLC	MIDDLETON, MA	3/30/2011	602124	Registered
FLOORCOVERINGS INTERNATIONAL LTD	SMYRNA, GA	3/30/2011	602119	Registered
GOLF ETC OF AMERICA INC	GRANBURY, TX	3/30/2011	602114	Registered
HOLIDAY HOSPITALITY FRANCHISING INC / Holiday Inn	ATLANTA, GA	3/30/2011	602118	Registered
HOLIDAY HOSPITALITY FRANCHISING INC / Candlewood Suites	ATLANTA, GA	3/30/2011	602106	Registered
HOLIDAY HOSPITALITY FRANCHISING INC / Crowne Plaza Hotels & Resorts	ATLANTA, GA	3/30/2011	602107	Registered
HOLIDAY HOSPITALITY FRANCHISING INC / Hotel Indigo	ATLANTA, GA	3/30/2011	602133	Registered
HOLIDAY HOSPITALITY FRANCHISING INC / Intercontinental Hotels & Resorts	ATLANTA, GA	3/30/2011	602108	Registered
HOLIDAY HOSPITALITY FRANCHISING INC / Staybridge Suites	ATLANTA, GA	3/30/2011	602109	Registered
LAWN DOCTOR INC	HOMDEL, NJ	3/30/2011	602152	Registered
LITTLE CAESAR ENTERPRISES INC	DETROIT, MI	3/30/2011	602117	Registered
RENAISSANCE EXECUTIVE FORUMS INC	LA JOLLA, CA	3/30/2011	602121	Registered

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<b>Franchise Law Filings Made with DFI's Securities Division</b>				
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ROBEKS FRANCHISE CORP	MANHATTAN BEACH, CA	3/30/2011	602122	Registered
ROTO ROOTER CORP	WEST DES MOINES, IA	3/30/2011	602141	Registered
SHERATON LLC / Aloft Hotels	WHITE PLAINS, NY	3/30/2011	602125	Registered
SHERATON LLC / Four Points	WHITE PLAINS, NY	3/30/2011	602126	Registered
SHERATON LLC / The Luxury Collection	WHITE PLAINS, NY	3/30/2011	602127	Registered
SHERATON LLC / Sheraton Hotels	WHITE PLAINS, NY	3/30/2011	602128	Registered
Starwood (M) International, Inc. / Le Meridien, Le Meridien Hotels and Starwood (M) International, Inc.	WHITE PLAINS, NY	3/30/2011	602129	Registered
TACO BELL CORP / Taco Bell Traditional Franchise Program	IRVINE, CA	3/30/2011	602130	Registered
TACO BELL CORP / Taco Bell Express Franchise Program	IRVINE, CA	3/30/2011	602110	Registered
WENDYS INTERNATIONAL INC	DUBLIN, OH	3/30/2011	602139	Registered
Westin Hotel Management, LP / Westin, Westin Hotels, Westin Hotels & Resourts and Westin Hotel Management, LP	WHITE PLAINS, NY	3/30/2011	602112	Registered
Westin Hotel Management, LP / Element, Element Hotels and Westin Hotel Management, LP	WHITE PLAINS, NY	3/30/2011	602131	Registered
Pancho's Franchise Corporation / Pancho's	Coralville, IA	3/29/2011	602080	Registered
Knockouts, LLC / Knockouts	Irving, TX	3/29/2011	602079	Registered
Compound Profit Corp. / Compound Profit	McKinney, TX	3/29/2011	602078	Registered
Million Air Interlink, Inc. / Million Air	Houston, TX	3/29/2011	602077	Registered
TeamLogic, Inc. / TeamLogicIT	Mission Viejo, CA	3/29/2011	602074	Registered
Applebee's Franchising LLC / Applebee's Neighborhood Grill & Bar	Lenexa, KS	3/29/2011	602043	Registered
Swimtastic Corpoation / Swimtastic Swim School	Waukesha, WI	3/29/2011	602031	Registered
WKSA, LLC / WKSA	Tomball, TX	3/29/2011	602029	Registered
Which Wich Franchise, Inc. / Which Wich	Dallas, TX	3/29/2011	602025	Registered

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Soccer Shots Franchising, LLC	Middletown, PA	3/29/2011	602024	Registered
Zoom Room, Inc.	Los Angeles, CA	3/29/2011	602023	Registered
ACE SUSHI FRANCHISE CORP	GARDENA, CA	3/29/2011	602090	Registered
AFC ENTERPRISES INC / POPEYES LOUISIANA KITCHEN	ATLANTA, GA	3/29/2011	602101	Registered
BRIDGESTONE BANDAG LLC	MUSCATINE, IA	3/29/2011	602081	Registered
BUDGET BLINDS INC	ORANGE, CA	3/29/2011	602094	Registered
CA CLOSET CO INC	SAN RAFAEL, CA	3/29/2011	602096	Registered
ENGEL & VOLKERS US HOLDINGS INC	NAPLES, FL	3/29/2011	602093	Registered
Griswold International, LLC / Griswold Special Care	Erdenheim, PA	3/29/2011	602082	Registered
MASSAGE LUXE INTERNATIONAL LLC	FENTON, MO	3/29/2011	602095	Registered
MURPHY BUSINESS & FINANCIAL CORP	CLEARWATER, FL	3/29/2011	602100	Registered
NOVUS FRANCHISING INC	Savage, MN	3/29/2011	602099	Registered
ORIGINAL PANCAKE HOUSE FRANCHISING INC	PORTLAND, OR	3/29/2011	602091	Registered
ROSATIS FRANCHISING INC	ELGIN, IL	3/29/2011	602089	Registered
SH FRANCHISING LLC / Senior Helpers	TOWSON, MD	3/29/2011	602102	Registered
SMASHBURGER FRANCHISING LLC	DENVER, CO	3/29/2011	602103	Registered
TOTAL GOLF ADVENTURES FRANCHISE LLC	EL SEGUNDO, CA	3/29/2011	602083	Registered
TRUE VALUE SPECIALTY CO LLC	CHICAGO, IL	3/29/2011	602098	Registered
Midas International Corporation	Itasca, IL	3/28/2011	602014	Registered
Midas International Corporation; SpeedDee Worldwide Corporation	Itasca, IL	3/28/2011	602021	Registered
American Dairy Queen Corporation / Orange Julius® territory franchise	Minneapolis, MN	3/28/2011	601993	Registered
Orange Julius of America / Orange Julius® franchise	Minneapolis, MN	3/28/2011	601992	Registered
American Dairy Queen Corporation / Dairy Queen®/Limited Brazier® franchise	Minneapolis, MN	3/28/2011	601990	Registered

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American Dairy Queen Corporation / DQ®/Orange Julius® franchise	Minneapolis, MN	3/28/2011	601989	Registered
American Dairy Queen Corporation / DQ Grill & Chill® franchise	Minneapolis, MN	3/28/2011	601988	Registered
ADVENTURES IN ADVERTISING FRANCHISE LLC	NEENAH, WI	3/28/2011	602073	Registered
AMERIPRISE FINANCIAL SERVICES INC	MINNEAPOLS, MN	3/28/2011	602299	Incomplete
AMERIPRISE FINANCIAL SERVICES, INC.	Minneapolis, MN	3/28/2011	602115	Incomplete
BUFFALO WINGS & RINGS LLC	Cincinnati, OH	3/28/2011	602069	Registered
CICI ENTERPRISES LP	COPPELL, TX	3/28/2011	602068	Registered
CROWN TROPHY INC	HAWTHORNE, NY	3/28/2011	602062	Registered
EINSTEIN & NOAH CORP	GOLDEN, CO	3/28/2011	602057	Registered
EXPRESS SERVICES INC	OKLAHOMA CITY, OK	3/28/2011	602067	Registered
HOBBY TOWN UNLIMITED INC	LINCOLN, NE	3/28/2011	602060	Registered
IMAGE MARKETING ENTERPRISES LLC	CHESTERFIELD, MO	3/28/2011	602055	Registered
KILWINS CHOCOLATES FRANCHISE INC	PETOSKEY, MI	3/28/2011	602071	Registered
LONG JOHN SILVERS INC	LOUISVILLE, KY	3/28/2011	602066	Registered
PANERA LLC	RICHMOND HEIGHTS, MO	3/28/2011	602065	Registered
PARADISE BAKERY & CAFE INC	ASPEN, CO	3/28/2011	602070	Registered
PAUL DAVIS RESTORATION INC / Paul Davis Emergency Services	JACKSON VILLE, FL	3/28/2011	602061	Registered
PAUL DAVIS RESTORATION INC	JACKSON VILLE, FL	3/28/2011	602058	Registered
PUROSYSTEMS INC	TAMARAC, FL	3/28/2011	602064	Registered
PUROSYSTEMS INC	TAMARAC, FL	3/28/2011	602072	Registered
QFA ROYALTIES LLC / Individual Unit Franchise Program	DENVER, CO	3/28/2011	602020	Registered
QFA ROYALTIES LLC / Area Director / Territory Developer Franchise Program	DENVER, CO	3/28/2011	602054	Registered
RELAX THE BACK CORP	La Palma, CA	3/28/2011	602052	Registered

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SPRING GREEN LAWN CARE CORP	PLAINFIELD, IL	3/28/2011	602053	Registered
TASTI D LITE LLC	FRANKLIN, TN	3/28/2011	602059	Registered
VALSPAR CORP	Minneapolis, MN	3/28/2011	602056	Registered
VILLA PIZZA LLC	MORRISTOWN, NJ	3/28/2011	602063	Registered
Number of Franchise Filings: 253				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
Number of Takeover Filings: 0	