

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 2/14/2011 through 2/25/2011**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
84 FINANCIAL LP	JACKSONVILLE, FL	2/22/2011	00606991-01	Pending
CAPITAL ACQUISITION CORP 2	WASHINGTON, DC	2/18/2011	00606914-01	Pending
GLOBAL CORNERSTONE HOLDINGS LTD	NEW YORK, NY	2/14/2011	00606797-01	Pending
LENDINGCLUB CORP	REDWOOD CITY, CA	2/14/2011	00607043-01	Pending
RJO GLOBAL TR	CHICAGO, IL	2/14/2011	00606795-01	Pending
SCQ FINANCIAL ACQUISITION CORP	CHICAGO, IL	2/14/2011	00606796-01	Pending
Number of Registration Filings: 6				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
CHRIST CHRUCH OF THE VALLEY INC	APPLETON, WI	2/17/2011	00606904-08	Filed
OAKFIELD BANCORP INC	OAKFIELD, WI	2/16/2011	00606891-08	Not Disallowed
Number of Exemption Filings: 2				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ARTIO GLBOAL INVESTMENT FUNDS ARTIO LOCAL EMERGING MARKETS DEBT FUND CLASS A	NEW YORK, NY	2/25/2011	00607136-03	Pending-Cleared
ARTIO GLBOAL INVESTMENT FUNDS ARTIO LOCAL EMERGING MARKETS DEBT FUND CLASS I	NEW YORK, NY	2/25/2011	00607135-03	Pending-Cleared
1ST TR COMBINED SERIES 355 BUILD AMERICA BONDS PORTFOLIO SERIES 29	WHEATON, IL	2/24/2011	00607104-05	Pending-Cleared
FT 2826 MARKET STRENGTH ALLOCATION PLUS PORTFOLIO SERIES 6	WHEATON, IL	2/24/2011	00607105-05	Pending-Cleared

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GOLDMAN SACHS TR GOLDMAN SACHS N 11 EQUITY FUND INSTITUTIONAL SHARES	CHICAGO, IL	2/24/2011	00607127-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS N 11 EQUITY FUND CLASS A SHARES	CHICAGO, IL	2/24/2011	00607126-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS N 11 EQUITY FUND CLASS C SHARES	CHICAGO, IL	2/24/2011	00607128-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS N 11 EQUITY FUND CLASS IR SHARES	CHICAGO, IL	2/24/2011	00607129-03	Registered
HARBOR FUNDS HARBOR FLEXIBLE CAPITAL FUND ADMINISTRATIVE CLA SS	CHICAGO, IL	2/24/2011	00607108-03	Pending-Cleared
HARBOR FUNDS HARBOR FLEXIBLE CAPITAL FUND INSTITUTIONAL CLAS	CHICAGO, IL	2/24/2011	00607110-03	Pending-Cleared
HARBOR FUNDS HARBOR FLEXIBLE CAPITAL FUND INVESTOR CLASS	CHICAGO, IL	2/24/2011	00607109-03	Pending-Cleared
HEALTH CARE OPPORTUNITIES PORTFOLIO SERIES 2011 1	MONUMENT, CO	2/24/2011	00607107-05	Pending-Cleared
ING MUTUAL FUNDS ING INTERNATIONAL GROWTH FUND CLASS I	SCOTTSDALE, AZ	2/24/2011	00607125-03	Registered
LAZARD FUNDS INC LAZARD EMERGING MARKETS DEBT PORTFOLIO OPEN SHARES	NEW YORK, NY	2/24/2011	00607112-03	Pending-Cleared
LAZARD FUNDS INC LAZARD EMERGING MARKETS DEBT PROTFOLIO INSTITUTIONAL SHARES	NEW YORK, NY	2/24/2011	00607113-03	Pending-Cleared
LAZARD FUNDS INC LAZARD US MUNICIPAL PORTFOLIO INSTITUTIONAL SHARES	NEW YORK, NY	2/24/2011	00607002-03	Pending-Cleared
LAZARD FUNDS INC LAZARD US MUNICIPAL PORTFOLIO OPEN SHARES	NEW YORK, NY	2/24/2011	00607111-03	Pending-Cleared

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LEGG MASON GLOBAL ASSET MANAGEMENT TR LEGG MASON BW ABSOLUTE RETURN OPPORTUNITIES FUND CLASS A	BALTIMORE, MD	2/24/2011	00607130-03	Pending-Cleared
LEGG MASON GLOBAL ASSET MANAGEMENT TR LEGG MASON BW ABSOLUTE RETURN OPPORTUNITIES FUND CLASS I	BALTIMORE, MD	2/24/2011	00607132-03	Pending-Cleared
LEGG MASON GLOBAL ASSET MANAGEMENT TR LEGG MASON BW ABSOLUTE RETURN OPPORTUNITIES FUND CLASS C	BALTIMORE, MD	2/24/2011	00607131-03	Pending-Cleared
MFS SERIES TR 12 MFS SECTOR ROTATIONAL FUND CLASS I SHARES	BOSTON, MA	2/24/2011	00607124-03	Registered
TAX EXEMPT SECURITIES TR NATIONAL TR 468	MONUMENT, CO	2/24/2011	00607106-05	Pending-Cleared
CATALYST FUNDS SMH TOTAL RETURN INCOME FUND CLASS C	WILLOW GROVE, PA	2/22/2011	00607001-03	Pending-Cleared
FT 2799 INCOME ALLOCATIONA CLOSED END MAR 11	WHEATON, IL	2/22/2011	00607004-05	Pending-Cleared
FT 2800 DOW TARGET 10 MAR 11 TERM 3 30 12	WHEATON, IL	2/22/2011	00607008-05	Pending-Cleared
FT 2800 DOW TARGET DVD MAR 11 TERM 3 30 12	WHEATON, IL	2/22/2011	00607009-05	Pending-Cleared
FT 2800 TARGET FOCUS 4 MAR 11 TERM 3 30 12	WHEATON, IL	2/22/2011	00607010-05	Pending-Cleared
FT 2800 TARGET TRIAD MAR 11 TERM 3 30 12	WHEATON, IL	2/22/2011	00607011-05	Pending-Cleared
FT 2800 TARGET VIP MAR 11 TERM 5 13 12	WHEATON, IL	2/22/2011	00607003-05	Pending-Cleared
FT 2815 EQUITY INCOME PORTFOLIO SERIES 29	WHEATON, IL	2/22/2011	00607006-05	Pending-Cleared
FT 2821 GLOBAL HARD ASSETS PORTFOLIO SERIES 7	WHEATON, IL	2/22/2011	00607007-05	Pending-Cleared
FT 2823 STRATEGIC INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 4	WHEATON, IL	2/22/2011	00607005-05	Pending-Cleared
INTERNATIONAL HIGH 30 DIVIDIEND PORTFOLIO SERIES 2011 1Q	MONUMENT, CO	2/22/2011	00607012-05	Pending-Cleared

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
NEUBERGER BERMAN EQUITY FUNDS NEUBERGER BERMAN LARGE CAP VALUE FUND CLASS C	NEW YORK, NY	2/22/2011	00606996-03	Pending-Cleared
NEUBERGER BERMAN EQUITY FUNDS NEUBERGER BERMAN LARGE CAP VALUE FUND CLASS A	NEW YORK, NY	2/22/2011	00606997-03	Pending-Cleared
NEUBERGER BERMAN EQUITY FUNDS NEUBERGER BERMAN LARGE CAP VALUE FUND INSTITUTIONAL CLASS	NEW YORK, NY	2/22/2011	00606995-03	Pending-Cleared
NORTHERN LIGHTS FUND TR BIONDO FOCUS FUND	HAUPPAUGE, NY	2/22/2011	00606999-03	Pending-Cleared
NORTHERN LIGHTS FUND TR BTS BOND ASSET ALLOCATION FUND CLASS A	HAUPPAUGE, NY	2/22/2011	00607048-03	Pending-Cleared
NORTHERN LIGHTS FUND TR NAVIGATOR EQUITY HEDGED FUND CLASS A	HAUPPAUGE, NY	2/22/2011	00606992-03	Pending-Cleared
NORTHERN LIGHTS FUND TR NAVIGATOR EQUITY HEDGED FUND CLASS C	HAUPPAUGE, NY	2/22/2011	00606993-03	Pending-Cleared
NORTHERN LIGHTS FUND TR NAVIGATOR EQUITY HEDGED FUND CLASS I	HAUPPAUGE, NY	2/22/2011	00606994-03	Pending-Cleared
OPPENHEIMER EQUITY INCOME FUND INC CLASS Y	DENVER, CO	2/22/2011	00607000-03	Pending-Cleared
TOUCHSTONE FUNDS GROUP TR FOCUSED EQUITY FUND CLASS A	CINCINNATI, OH	2/22/2011	00606998-03	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 963 QUALITY MUNICIPALS INCOME TR 36TH LTD MATURITY SERIES	OAKBROOK, IL	2/22/2011	00607023-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 964 QUALITY MUNICIPALS INCOME TR 37TH LTD MATURITY SERIES	OAKBROOK, IL	2/22/2011	00607022-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1087 DOW JONES TOTAL MARKET PORTFOLIO ENHANCED INDEX STRATEGY 2011 2	OAKBROOK, IL	2/22/2011	00607021-05	Pending-Cleared

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VAN KAMPEN UNIT TR SERIES 1087 ENHANCED SECTOR STRATEGY SECTOR ROTATION PORTFOLIO 2011 2	OAKBROOK, IL	2/22/2011	00607020-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1088 ETF ALLOCATION PORTFOLIO SERIES 6	OAKBROOK, IL	2/22/2011	00607019-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1088 ETF DIVERSIFIED INCOME PORTFOLIO SERIES 4	OAKBROOK, IL	2/22/2011	00607018-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1089 BRIC OPPORTUNITY PORTFOLIO 2011 2	OAKBROOK, IL	2/22/2011	00607016-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1089 GLOBAL ALTERNATIVE ENERGY PORTFOLIO 2011 2	OAKBROOK, IL	2/22/2011	00607017-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1090 TECHNOLOGY PORTFOLIO 2011 2	OAKBROOK, IL	2/22/2011	00607015-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1091 GREAT INTERNATIONAL FRANCHISE PORTFOLIO SERIES 43	OAKBROOK, IL	2/22/2011	00607014-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1091 US MULTINATIONAL 50 PORTFOLIO SERIES 15	OAKBROOK, IL	2/22/2011	00607013-05	Pending-Cleared
1ST TR COMBINED SERIES 354 BUILD AMERICA BONDS PORTFOLIO SERIES 28	WHEATON, IL	2/18/2011	00606924-05	Pending-Cleared
ENERGY OPPORTUNITIES PORTFOLUIO SERIES 2011 1	MONUMENT, CO	2/18/2011	00606917-05	Pending-Cleared
FT 2802 CORE HOLDINGS GROWTH TR 2011 SPRING SERIES	WHEATON, IL	2/18/2011	00606929-05	Pending-Cleared
FT 2812 DIVIDEND OPPORTUNITY TR	WHEATON, IL	2/18/2011	00606990-05	Pending-Cleared
FT 2816 GLOBAL AGRICULTURE PORTFOLIO SERIES 16	WHEATON, IL	2/18/2011	00606921-05	Pending-Cleared
FT 2817 GLOBAL COMMODITIES PORTFOLIO SERIES 15	WHEATON, IL	2/18/2011	00606926-05	Pending-Cleared
FT 2822 NATURAL GAS PORTFOLIO SERIES 9	WHEATON, IL	2/18/2011	00606925-05	Pending-Cleared

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FT 2838 INTEREST RATE HEDGE PORTFOLIO SERIES 26	WHEATON, IL	2/18/2011	00606923-05	Pending-Cleared
FT 2839 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 34	WHEATON, IL	2/18/2011	00606927-05	Pending-Cleared
FT 2841 DIVIDEND & INCOME PLUS CLOSED END PORTFOLIO SERIES 28	WHEATON, IL	2/18/2011	00606922-05	Pending-Cleared
FT 2842 STRATEGIC INCOME PLUS CLOSED END PORTFOLIO SERIES 29	WHEATON, IL	2/18/2011	00606920-05	Pending-Cleared
FT 2849 TACTICAL PORTFOLIO SERIES	WHEATON, IL	2/18/2011	00606928-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIO SERIES 763 LARGE CAP CORE PORTFOLIO SERIES 15	LISLE, IL	2/18/2011	00606915-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 763 DIVIDEND STRENGTH PORTFOLIO SERIES 5	LISLE, IL	2/18/2011	00606916-05	Pending-Cleared
SELECT DIVIDEND ETF PORTFOLIO SERIES 2011 1Q	MONUMENT, CO	2/18/2011	00606918-05	Pending-Cleared
SELECT EMERGING MARKETS ETF PORTFOLIO SERIES 2011 1Q	MONUMENT, CO	2/18/2011	00606919-05	Pending-Cleared
ROYCE FUND ROYCE GLOBAL VALUE FUND INVESTMENT CLASS	NEW YORK, NY	2/17/2011	00606988-03	Registered
ING EQUITY TR ING EQUITY DIVIDEND FUND CLASS W	SCOTTSDALE, AZ	2/16/2011	00606895-03	Registered
ING EQUITY TR ING GROWTH OPPORTUNITIES FUND CLASS W	SCOTTSDALE, AZ	2/16/2011	00606899-03	Registered
ING EQUITY TR ING MIDCAP OPPORTUNITIES FUND CLASS W SHARES	SCOTTSDALE, AZ	2/16/2011	00606897-03	Registered
ING SERIES FUND INC ING CORE EQUITY RESEARCH FUND CLASS O SHARES	SCOTTSDALE, AZ	2/16/2011	00606898-03	Registered
ING SERIES FUND INC ING CORE EQUITY RESEARCH FUND CLASS W SHARES	SCOTTSDALE, AZ	2/16/2011	00606896-03	Registered
ING SERIES FUND INC ING SMALL CO FUND CLASS W SHARES	SCOTTSDALE, AZ	2/16/2011	00606894-03	Registered

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STARBOARD INVESTMENT TR FMX GROWTH ALLOCATION FUND ADVISOR CLASS SHARES	ROCKY MOUNT, NC	2/16/2011	00606900-03	Registered
STARBOARD INVESTMENT TR FMX TOTAL RETURN FUND ADVISOR CLASS SHARES	ROCKY MOUNT, NC	2/16/2011	00606901-03	Registered
UBS THEMATIC INVESTMENT PORTFOLIO EMERGING CONSUMER SERIES 2011 1Q	MONUMENT, CO	2/16/2011	00606887-05	Pending-Cleared
ADVISORS CORPROATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 29	MONUMENT, CO	2/15/2011	00606876-05	Pending-Cleared
DOUBLELINE FUNDS TR DOUBLELINE MULTI ASSET GROWTH FUND CLASS I	MILWAUKEE, WI	2/15/2011	00606874-03	Pending-Cleared
DOUBLELINE FUNDS TR DOUBLELINE MULTI ASSET GROWTH FUND CLASS A	MILWAUKEE, WI	2/15/2011	00606873-03	Pending-Cleared
DOW VALUE 10 PORTFOLIO SEIRES 2011 1Q	MONUMENT, CO	2/15/2011	00606875-05	Pending-Cleared
DREMAN CONTRARIAN FUNDS DREMAN CONTRARIAN SMALL CAP VALUE FUND INSTITUTIONAL CLASS	ASPEN, CO	2/15/2011	00606885-03	Registered
FT 2795 INVESTMENT GRADE SELECT CLOSED END PORTFOLIO SERIES 22	WHEATON, IL	2/15/2011	00606878-05	Pending-Cleared
FT 2796 COVERED CALL OPPORTUNITIES CLOSED END PORTFOLIO SERIES 4	WHEATON, IL	2/15/2011	00606879-05	Pending-Cleared
FT 2797 DIVIDEND STRENGTH PORTFOLIO SERIES 9	WHEATON, IL	2/15/2011	00606882-05	Pending-Cleared
FT 2798 GLOBAL DIVIDEND PORTFOLIO SERIES 24	WHEATON, IL	2/15/2011	00606877-05	Pending-Cleared
FT 2801 MEGA CAP PORTFOLIO SERIES 18	WHEATON, IL	2/15/2011	00606880-05	Pending-Cleared
FT 2813 DIVIDEND & INCOME OPPORTNITIES CLOSED END PORTFOLIO SERIES 3	WHEATON, IL	2/15/2011	00606881-05	Pending-Cleared
MAINGATE TR MAINGATE MLP FUND CLASS A	MILWAUKEE, WI	2/15/2011	00606871-03	Pending-Cleared
MAINGATE TR MAINGATE MLP FUND CLASS I	MILWAUKEE, WI	2/15/2011	00606872-03	Pending-Cleared

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MARSHALL FUNDS INC MARSHALL SMALL CAP VALUE FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	2/15/2011	00607046-03	Pending-Cleared
MARSHALL FUNDS INC MARSHALL SMALL CAP VALUE FUND INVESTOR CLASS	MILWAUKEE, WI	2/15/2011	00607047-03	Pending-Cleared
AMERICAN BEACON FUNDS AMERICAN BEACON SIM HIGH YIELD OPPORTUNITIES FUND C CLASS	FORT WORTH, TX	2/14/2011	00606864-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON SIM HIGH YIELD OPPORTUNITIES FUND INSTITUTIONAL CLASS	FORT WORTH, TX	2/14/2011	00606866-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON SIM HIGH YIELD OPPORTUNITIES FUND Y CLASS	FORT WORTH, TX	2/14/2011	00606867-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON SIM HIGH YIELD OPPORTUNITIES FUND INVESTOR CLASS	FORT WORTH, TX	2/14/2011	00606865-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON SIM HIGH YIELD OPPORTUNITIES FUND A CLASS	FORT WORTH, TX	2/14/2011	00606863-03	Registered
DE GROUP GLOBAL & INTERNATIONAL FUNDS DE EMERGING MARKETS FUND R CLASS	PHILADELPHIA, PA	2/14/2011	00606868-03	Registered
RUSSELL INVESTMENT CO IN RETIREMENT FUND CLASS A SHARES	SEATTLE, WA	2/14/2011	00606869-03	Registered
VAN KAMPEN UNIT TR SERIES 1082 SELECT 10 INDUSTRIAL PORTFOLIO 2011 2	OANKBROOK, IL	2/14/2011	00606803-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1084 GLOBAL AGRICULTURAL PORTFOLIO 2011 2	OANKBROOK, IL	2/14/2011	00606800-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1084 GLOBAL INFRASTRUCTURE STRATEGY 2011 2	OANKBROOK, IL	2/14/2011	00606802-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1084 GLOBAL WATER PORTFOLIO 2011 2	OANKBROOK, IL	2/14/2011	00606799-05	Pending-Cleared

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VAN KAMPEN UNIT TR SERIES 1086 CONSERVATIVE INCOME ALLOCATION PORTFOLIO SERIES 7	OANKBROOK, IL	2/14/2011	00606801-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 310 BUILD AMERICA BONDS INCOME TR 10 20 YEAR SERIES 28	OANKBROOK, IL	2/14/2011	00606798-05	Pending-Cleared

Number of Investment Company Filings: 108

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
SPRING BROOK CAPITAL FUND 2 LLC	NAPERVILLE, IL	2/25/2011	00607137-07	Filed
AESC HOLDING CORP	PORT WASHINGTON, WI	2/24/2011	00607114-07	Filed
AEI BRIGHTSOURCE INVESTMENTS 2 LLC	CHICAGO, IL	2/23/2011	00607088-07	Filed
JCF 3 COINVEST BTG LP	NEW YORK, NY	2/23/2011	00607089-07	Filed
ELENZA INC	ROANOKE, VA	2/22/2011	00607024-07	Filed
TAZINOS INC	OAKBROOK, WI	2/22/2011	00607025-07	Filed
CROWN STRAWN 1 JOINT VENTURE	CARROLTON, TX	2/18/2011	00606930-07	Filed
TIDAL PETROLEUM INC	SCHERTZ, TX	2/18/2011	00606931-07	Filed
FORWARD RESIDENTIAL FUND 1 LLC	LOS ANGELES, CA	2/17/2011	00606906-07	Filed
SISTER ACT BROADWAY LP	NEW YORK, NY	2/17/2011	00606905-07	Filed
FIBROCELL SCIENCE INC	EXTON, PA	2/16/2011	00606890-07	Filed
PERELLA WEINBERG PARTNERS XERION FUND LP	NEW YORK, NY	2/16/2011	00606889-07	Filed
MAGNITUDE US PARTNERS	GRAND CAYMAN KY1-1205,	2/15/2011	00606884-07	Filed
NDI HEALTHCARE FUND LLC	CLEVELAND, OH	2/15/2011	00606883-07	Filed
CCI PARENT CORP	SAN FRANCISCO, CA	2/14/2011	00606813-07	Filed
CHILTON CHINA OPPORTUNITIES LP	STAMFORD, CT	2/14/2011	00606818-07	Filed

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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
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DISCOVERY GLOBAL OPPORTUNITY PARTNERS LP	NORWALK, CT	2/14/2011	00606806-07	Filed
IFC HOLDINGS INC FL	TAMPA, FL	2/14/2011	00606809-07	Filed
INRANGE SYSTEMS INC	ALTOONA, PA	2/14/2011	00606805-07	Filed
INVESTMENT CENTERS OF AMERICA INC	BISMARCK, ND	2/14/2011	00606812-07	Filed
ITB PREMIUM FUND 2 LTD	LAKELAND, FL	2/14/2011	00606814-07	Filed
JACKSON LIFE INSURANCE CO	LANSING, MI	2/14/2011	00606810-07	Filed
PERSONICS HOLDINGS INC	BOCA RATON, FL	2/14/2011	00606808-07	Filed
SAB EQUITY PARTNERSHIP LP	SAN ANTONIO, TX	2/14/2011	00606816-07	Filed
SAB EQUITY PARTNERSHIP 3 LP	SAN ANTONIO, TX	2/14/2011	00606815-07	Filed
SAB VALUE OPPORTUNITIES FUND LP	SAN ANTONIO, TX	2/14/2011	00606817-07	Filed
SII INVESTMENTS INC WI	APPLETON, WI	2/14/2011	00606811-07	Filed
US PREVENTATIVE MEDICINE INC	MCKINNEY, TX	2/14/2011	00606807-07	Filed
Number of Regulation D Notice Filings: 28				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
MAMA FU'S FRANCHISE GROUP, LLC / MAMA FU'S	AUSTIN, TX	2/24/2011	601822	Registered
STROLLER STRIDES LLC	SAN MARCOS, CA	2/24/2011	601823	Registered
Xtend Holdings, LLC	Boca Raton, FL	2/24/2011	601824	Registered
Blue Coast Financial Group / dba Blue Coast Savings Consultants	Summerland Key, FL	2/23/2011	601818	Registered
Stratus Franchising, L.L.C.	St. Louis, MO	2/23/2011	601817	Registered
MESSAGE ENVY FRANCHISING, LLC / regional developer ginger hartman	LAKE MILLS, WI	2/23/2011	601826	Registered
MESSAGE ENVY FRANCHISING, LLC / Massage Envy	Scottsdale, AZ	2/23/2011	601827	Registered
COLLEGE NANNIES & TUTORS DEVELOPMENT INC	WAYZATA, MN	2/22/2011	601821	Registered
SNAP ON TOOLS CO LLC	KENOSHA, WI	2/18/2011	601825	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 2/14/2011 through 2/25/2011**

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
OWENS CORNING REMODELING SYSTEMS, LLC	TOLEDO, OH	2/17/2011	601809	Registered
YOUNG REMBRANDTS FRANCHISE INC	ELGIN, IL	2/17/2011	601810	Registered
City Wide Development Group, Inc. / Citywide Sewer & Drain	Carle Place, NY	2/16/2011	601814	Registered
KS La Crosse Investments, LLC	La Crosse, WI	2/14/2011	601813	Registered
Number of Franchise Filings: 13				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
Number of Takeover Filings: 0	