

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 2/7/2011 through 2/18/2011**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CAPITAL ACQUISITION CORP 2	WASHINGTON, DC	2/18/2011	00606914-01	Pending
RJO GLOBAL TR	CHICAGO, IL	2/14/2011	00606795-01	Pending
SCG FINANCIAL ACQUISITIONS CORP	CHICAGO, IL	2/14/2011	00606797-01	Pending
SCQ FINANCIAL ACQUISITION CORP	CHICAGO, IL	2/14/2011	00606796-01	Pending
HARTMAN SHORT TERM INCOME PROPERTIES 20 INC	HOUSTON, TX	2/9/2011	00606575-01	Pending
2ND MT OLIVE MISSIONARY BAPTIST CHURCH	CHICAGO, IL	2/7/2011	00606392-02	Pending
Number of Registration Filings: 6				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CHRIST CHRUCH OF THE VALLEY INC	APPLETON, WI	2/17/2011	00606904-08	Filed
OAKFIELD BANCORP INC	OAKFIELD, WI	2/16/2011	00606891-08	Filed
FABICK INC	MADISON, WI	2/10/2011	00606589-79	Pending
LAKE SAWYER CHRISTIAN CHURCH	BLACK DIAMOND, WA	2/8/2011	00606497-10	Pending
Number of Exemption Filings: 4				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GUGGENHEIM DEFINED PORTFOLIO SERIES 763 LARGE CAP CORE PORTFOLIO SERIES 15	LISLE, IL	2/18/2011	00606915-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 763 DIVIDEND STRENGTH PORTFOLIO SERIES 5	LISLE, IL	2/18/2011	00606916-05	Pending-Cleared
ING EQUITY TR ING EQUITY DIVIDEND FUND CLASS W	SCOTTSDALE, AZ	2/16/2011	00606895-03	Registered

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Firm Name	Location	Date Received	File Number	Status
ING EQUITY TR ING GROWTH OPPORTUNITIES FUND CLASS W	SCOTTSDALE, AZ	2/16/2011	00606899-03	Registered
ING EQUITY TR ING MIDCAP OPPORTUNITIES FUND CLASS W SHARES	SCOTTSDALE, AZ	2/16/2011	00606897-03	Registered
ING SERIES FUND INC ING CORE EQUITY RESEARCH FUND CLASS O SHARES	SCOTTSDALE, AZ	2/16/2011	00606898-03	Registered
ING SERIES FUND INC ING CORE EQUITY RESEARCH FUND CLASS W SHARES	SCOTTSDALE, AZ	2/16/2011	00606896-03	Registered
ING SERIES FUND INC ING SMALL CO FUND CLASS W SHARES	SCOTTSDALE, AZ	2/16/2011	00606894-03	Registered
STARBOARD INVESTMENT TR FMX GROWTH ALLOCATION FUND ADVISOR CLASS SHARES	ROCKY MOUNT, NC	2/16/2011	00606900-03	Registered
STARBOARD INVESTMENT TR FMX TOTAL RETURN FUND ADVISOR CLASS SHARES	ROCKY MOUNT, NC	2/16/2011	00606901-03	Registered
UBS THEMATIC INVESTMENT PORTFOLIO EMERGING CONSUMER SERIES 2011 1Q	MONUMENT, CO	2/16/2011	00606887-05	Pending-Cleared
ADVISORS CORPROATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 29	MONUMENT, CO	2/15/2011	00606876-05	Pending-Cleared
DOUBLELINE FUNDS TR DOUBLELINE MULTI ASSET GROWTH FUND CLASS A	MILWAUKEE, WI	2/15/2011	00606873-03	Pending-Cleared
DOUBLELINE FUNDS TR DOUBLELINE MULTI ASSET GROWTH FUND CLASS I	MILWAUKEE, WI	2/15/2011	00606874-03	Pending-Cleared
DOW VALUE 10 PORTFOLIO SEIRES 2011 1Q	MONUMENT, CO	2/15/2011	00606875-05	Pending-Cleared
DREMAN CONTRARIAN FUNDS DREMAN CONTRARIAN SMALL CAP VALUE FUND INSTITUTIONAL CLASS	ASPEN, CO	2/15/2011	00606885-03	Registered
FT 2795 INVESTMENT GRADE SELECT CLOSED END PORTFOLIO SERIES 22	WHEATON, IL	2/15/2011	00606878-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
FT 2796 COVERED CALL OPPORTUNITIES CLOSED END PORTFOLIO SERIES 4	WHEATON, IL	2/15/2011	00606879-05	Pending-Cleared
FT 2797 DIVIDEND STRENGTH PORTFOLIO SERIES 9	WHEATON, IL	2/15/2011	00606882-05	Pending-Cleared
FT 2798 GLOBAL DIVIDEND PORTFOLIO SERIES 24	WHEATON, IL	2/15/2011	00606877-05	Pending-Cleared
FT 2801 MEGA CAP PORTFOLIO SERIES 18	WHEATON, IL	2/15/2011	00606880-05	Pending-Cleared
FT 2813 DIVIDEND & INCOME OPPORTNITIES CLOSED END PORTFOLIO SERIES 3	WHEATON, IL	2/15/2011	00606881-05	Pending-Cleared
MAINGATE TR MAINGATE MLP FUND CLASS A	MILWAUKEE, WI	2/15/2011	00606871-03	Pending-Cleared
MAINGATE TR MAINGATE MLP FUND CLASS I	MILWAUKEE, WI	2/15/2011	00606872-03	Pending-Cleared
AMERICAN BEACON FUNDS AMERICAN BEACON SIM HIGH YIELD OPPORTUNITIES FUND A CLASS	FORT WORTH, TX	2/14/2011	00606863-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON SIM HIGH YIELD OPPORTUNITIES FUND C CLASS	FORT WORTH, TX	2/14/2011	00606864-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON SIM HIGH YIELD OPPORTUNITIES FUND INVESTOR CLASS	FORT WORTH, TX	2/14/2011	00606865-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON SIM HIGH YIELD OPPORTUNITIES FUND Y CLASS	FORT WORTH, TX	2/14/2011	00606867-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON SIM HIGH YIELD OPPORTUNITIES FUND INSTITUTIONAL CLASS	FORT WORTH, TX	2/14/2011	00606866-03	Registered
DE GROUP GLOBAL & INTERNATIONAL FUNDS DE EMERGING MARKETS FUND R CLASS	PHILADELPHIA, PA	2/14/2011	00606868-03	Registered
RUSSELL INVESTMENT CO IN RETIREMENT FUND CLASS A SHARES	SEATTLE, WA	2/14/2011	00606869-03	Registered
VAN KAMPEN UNIT TR SERIES 1082 SELECT 10 INDUSTRIAL PORTFOLIO 2011 2	OANKBROOK, IL	2/14/2011	00606803-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
VAN KAMPEN UNIT TR SERIES 1084 GLOBAL AGRICULTURAL PORTFOLIO 2011 2	OANKBROOK, IL	2/14/2011	00606800-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1084 GLOBAL INFRASTRUCTURE STRATEGY 2011 2	OANKBROOK, IL	2/14/2011	00606802-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1084 GLOBAL WATER PORTFOLIO 2011 2	OANKBROOK, IL	2/14/2011	00606799-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1086 CONSERVATIVE INCOME ALLOCATION PORTFOLIO SERIES 7	OANKBROOK, IL	2/14/2011	00606801-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 310 BUILD AMERICA BONDS INCOME TR 10 20 YEAR SERIES 28	OANKBROOK, IL	2/14/2011	00606798-05	Pending-Cleared
FINANCIAL INVESTORS TR ALPS KOTAK INDIA GROWTH FUND CLASS A SHARES	DENVER, CO	2/11/2011	00606593-03	Registered
FINANCIAL INVESTORS TR ALPS KOTAK INDIA GROWTH FUND CLASS C SHARES	DENVER, CO	2/11/2011	00606594-03	Registered
FINANCIAL INVESTORS TR ALPS KOTAK INDIA GROWTH FUND CLASS I SHARES	DENVER, CO	2/11/2011	00606595-03	Registered
FUNDVANTAGE TR TW SMALL CAP GROWTH FUND CLASS A	WILMINGTON, DE	2/11/2011	00606596-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 762 ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO SERIES 12	LISLE, IL	2/11/2011	00606591-05	Pending-Cleared
HANCOCK JOHN FUNDS 3 HANCOCK JOHN INTERNATIONAL VALUE EQUITY FUND CLASS I	BOSTON, MA	2/11/2011	00606597-03	Registered
NORTHERN LIGHTS FUND TR ALTEGRIS FUTURES STRATEGY FUND CLASS C	HAUPPAUGE, NY	2/10/2011	00606578-03	Pending-Cleared
BLUE CHIP PORTFOLIO SERIES 2011 1	MONUMENT, CO	2/9/2011	00606564-05	Pending-Cleared
FT 2793 GLOBAL INCOME CLOSED END PORTFOLIO SEIRES 15	WHEATON, IL	2/9/2011	00606569-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
FT 2794 GREATER CHINA PORTFOLIO SERIES 25	WHEATON, IL	2/9/2011	00606567-05	Pending-Cleared
FT 2814 GLOBAL EQUITY INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 3	WHEATON, IL	2/9/2011	00606571-05	Pending-Cleared
FT 2819 MUNICIPAL INCOME PLUS CLOSED END PORTFOLIO SERIES 16	WHEATON, IL	2/9/2011	00606572-05	Pending-Cleared
FT 2833 GLOBAL BOND INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 3	WHEATON, IL	2/9/2011	00606568-05	Pending-Cleared
FT 2834 HIGH YIELD INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 3	WHEATON, IL	2/9/2011	00606570-05	Pending-Cleared
FT 2840 SENIOR LOAN & LTD DURATION OPPORTUNITIES CLOSED END PORTFOLIO SERIES 4	WHEATON, IL	2/9/2011	00606566-05	Pending-Cleared
GLOBAL GOLD INCOME PORTFOLIO SERIES 9 AN SMC PORTFOLIO	MONUMENT, CO	2/9/2011	00606563-05	Pending-Cleared
TACTICAL INCOME CLOSED END PORTFOLIO SERIES 2011 1	MONUMENT, CO	2/9/2011	00606565-05	Pending-Cleared
WORLD FUNDS INC REMS REAL ESTATE VALUE OPPORTUNITY FUND PLATFORM SHARES	RICHMOND, VA	2/9/2011	00606562-03	Pending-Cleared
ADVISORONE FUNDS	HAUPPAUGE, NY	2/7/2011	00606365-03	Pending-Cleared
CATALYST FUNDS	WILLOW GROV, PA	2/7/2011	00606372-03	Pending-Cleared
DUNHAM FUNDS	HAUPPAUGE, NY	2/7/2011	00606366-03	Pending-Cleared
DUNHAM FUNDS	HAUPPAUGE, NY	2/7/2011	00606367-03	Pending-Cleared
FT 2786 BICK PORTFOLIO SERIES 5	WHEATON, IL	2/7/2011	00606361-05	Pending-Cleared
FT 2789 CONVERTIBLE & INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 3	WHEATON, IL	2/7/2011	00606362-05	Pending-Cleared
FT 2818 CONVERTIBLE & INCOM SELECT CLOSED END PORTFOLIO SERIES 31	WHEATON, IL	2/7/2011	00606363-05	Pending-Cleared

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for 2/7/2011 through 2/18/2011**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GUGGENHEIM DEFINED PORTFOLIOS SERIES 761 CLOSED END CORPORATE HIGH YIELD & INCOME PORTFOLIO SERIES 16	LISLE, IL	2/7/2011	00606360-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 761 CLOSED END EQUITY & INCOME PORTFOLIO SERIES 28	LISLE, IL	2/7/2011	00606359-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 761 STRATEGIC INCOME PORTFOLIO SERIES 50	LISLE, IL	2/7/2011	00606357-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS, SERIES 761 CLOSED END SENIOR LOAN & INCOME PORTFOLIO SERIES 15	LISLE, IL	2/7/2011	00606358-05	Pending-Cleared
MILLER INVESTMENT TRUST	OMAHA, NE	2/7/2011	00606364-03	Pending-Cleared
NORTHERN LIGHTS FUND TRUST	HAUPPAUGE, NY	2/7/2011	00606368-03	Pending-Cleared
NORTHERN LIGHTS FUND TRUST	HAUPPAUGE, NY	2/7/2011	00606369-03	Pending-Cleared
NORTHERN LIGHTS FUND TRUST	HAUPPAUGE, NY	2/7/2011	00606370-03	Pending-Cleared
NORTHERN LIGHTS FUND TRUST	HAUPPAUGE, NY	2/7/2011	00606371-03	Pending-Cleared
TURNER FUNDS TURNER MARKET NEUTRAL FUND CLASS C SHARES	BERWYN, PA	2/7/2011	00606793-03	Registered
TURNER FUNDS TURNER MARKET NEUTRAL FUND INSTITUTIONAL CLASS	BERWYN, PA	2/7/2011	00606792-03	Registered
TURNER FUNDS TURNER MARKET NEUTRAL FUND INVESTOR CLASS	BERWYN, PA	2/7/2011	00606791-03	Registered
TURNER FUNDS TURNER MEDICAL SCIENCES LONG SHORT FUND INSTITUTIONAL CLASS	BERWYN, PA	2/7/2011	00606789-03	Registered
TURNER FUNDS TURNER MEDICAL SCIENCES LONG SHORT FUND INVESTOR CLASS	BERWYN, PA	2/7/2011	00606788-03	Registered

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Firm Name	Location	Date Received	File Number	Status
TURNER FUNDS TURNER MEDICAL SCIENCES LONG SHORT FUND CLASS C SHARES	BERWYN, PA	2/7/2011	00606790-03	Registered
TURNER FUNDS TURNER TITAN FUND CLASS C SHARES	BERWYN, PA	2/7/2011	00606787-03	Registered
TURNER FUNDS TURNER TITAN FUND INSTITUTIONAL CLASS	BERWYN, PA	2/7/2011	00606786-03	Registered
TURNER FUNDS TURNER TITAN FUND INVESTOR CLASS	BERWYN, PA	2/7/2011	00606785-03	Registered
Number of Investment Company Filings: 80				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FORWARD RESIDENTIAL FUND 1 LLC	LOS ANGELES, CA	2/17/2011	00606906-07	Filed
SISTER ACT BROADWAY LP	NEW YORK, NY	2/17/2011	00606905-07	Filed
FIBROCELL SCIENCE INC	EXTON, PA	2/16/2011	00606890-07	Filed
PERELLA WEINBERG PARTNERS XERION FUND LP	NEW YORK, NY	2/16/2011	00606889-07	Filed
MAGNITUDE US PARTNERS	GRAND CAYMAN KY1-1205,	2/15/2011	00606884-07	Filed
NDI HEALTHCARE FUND LLC	CLEVELAND, OH	2/15/2011	00606883-07	Filed
CCI PARENT CORP	SAN FRANCISCO, CA	2/14/2011	00606813-07	Filed
CHILTON CHINA OPPORTUNITIES LP	STAMFORD, CT	2/14/2011	00606818-07	Filed
DISCOVERY GLOBAL OPPORTUNITY PARTNERS LP	NORWALK, CT	2/14/2011	00606806-07	Filed
IFC HOLDINGS INC FL	TAMPA, FL	2/14/2011	00606809-07	Filed
INRANGE SYSTEMS INC	ALTOONA, PA	2/14/2011	00606805-07	Filed
INVESTMENT CENTERS OF AMERICA INC	BISMARCK, ND	2/14/2011	00606812-07	Filed
ITB PREMIUM FUND 2 LTD	LAKELAND, FL	2/14/2011	00606814-07	Filed
JACKSON LIFE INSURANCE CO	LANSING, MI	2/14/2011	00606810-07	Filed
PERSONICS HOLDINGS INC	BOCA RATON, FL	2/14/2011	00606808-07	Filed
SAB EQUITY PARTNERSHIP LP	SAN ANTONIO, TX	2/14/2011	00606816-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SAB EQUITY PARTNERSHIP 3 LP	SAN ANTONIO, TX	2/14/2011	00606815-07	Filed
SAB VALUE OPPORTUNITIES FUND LP	SAN ANTONIO, TX	2/14/2011	00606817-07	Filed
SII INVESTMENTS INC WI	APPLETON, WI	2/14/2011	00606811-07	Filed
US PREVENTATIVE MEDICINE INC	MCKINNEY, TX	2/14/2011	00606807-07	Filed
UNIVERSITY VENTURE DST	OAKBROOK, IL	2/11/2011	00606592-07	Filed
3RD AVE GLOBAL VALUE FUND LP	NEW YORK, NY	2/10/2011	00606584-07	Filed
ENCAP ENERGY CAPITAL FUND 8 B LP	HOUSTON, TX	2/10/2011	00606582-07	Filed
FLAT WORLD KNOWLEDGE INC	IRVINGTON, NY	2/10/2011	00606583-07	Filed
FSC DG ELIZABETH CITY NC LLC	AVON BY THE SEA, NJ	2/10/2011	00606585-07	Filed
SE WORTHINGTON LLC	CHICAGO, IL	2/10/2011	00606579-07	Filed
CREDIT SUISSE GAINS COMMODITY PLUS LP	NEW YORK, NY	2/9/2011	00606573-07	Filed
DIMPLEDOUGH INC	INDEPENDENCE, OH	2/8/2011	00606496-07	Filed
AMERICAN STANDARD ENERGY CORP	SCOTTSDALE, AZ	2/7/2011	00606375-07	Filed
BOOK OF MORMON BROADWAY LTD LIABILITY CO	NEW YORK, NY	2/7/2011	00606373-07	Filed
IBG LLC	GREENWICH, CT	2/7/2011	00606393-07	Filed
OAKTREE HIGHT INCOME CONVERTIBLE FUND	LOS ANGELES, CA	2/7/2011	00606374-07	Filed
VOYAGER AOIL & GAS INC	BILLINGS, MT	2/7/2011	00606394-07	Filed
Number of Regulation D Notice Filings: 33				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
OWENS CORNING REMODELING SYSTEMS, LLC	TOLEDO, OH	2/17/2011	601809	Registered
YOUNG REMBRANDTS FRANCHISE INC	ELGIN, IL	2/17/2011	601810	Registered
City Wide Development Group, Inc. / Citywide Sewer & Drain	Carle Place, NY	2/16/2011	601814	Registered
KS La Crosse Investments, LLC	La Crosse, WI	2/14/2011	601813	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
BAB SYSTEMS INC / BIG APPLE BAGELS	CHICAGO, IL	2/11/2011	601805	Registered
OTA FRANCHISE CORP	IRVINE, CA	2/10/2011	601797	Registered
Brooklyn Water Bagel Franchise Co., Inc.	Delray Beach, FL	2/9/2011	601794	Registered
NATIONAL PROPERTY INSPECTIONS INC	OMAHA, NE	2/9/2011	601796	Registered
Onion Nation LLC	Chicago, IL	2/9/2011	601799	Registered
Global CFO's, Inc.	Troy, MI	2/8/2011	601795	Registered
Century Oaks Franchising, Inc. / Century Oaks	Oshkosh, WI	2/7/2011	601800	Registered
Her Life Magazine, LLC / HERLIFE	Overland Park, KS	2/7/2011	601801	Registered
Number of Franchise Filings: 12				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	