

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 1/31/2011 through 2/11/2011**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
HARTMAN SHORT TERM INCOME PROPERTIES 20 INC	HOUSTON, TX	2/9/2011	00606575-01	Pending
2ND MT OLIVE MISSIONARY BAPTIST CHURCH	CHICAGO, IL	2/7/2011	00606392-02	Pending
RLJ ACQUISITION INC	BETHESDA, MD	2/3/2011	00606132-01	Pending
Number of Registration Filings: 3				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FABICK INC	MADISON, WI	2/10/2011	00606589-79	Pending
LAKE SAWYER CHRISTIAN CHURCH	BLACK DIAMOND, WA	2/8/2011	00606497-10	Pending
Number of Exemption Filings: 2				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GUGGENHEIM DEFINED PORTFOLIOS SERIES 762 ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO SERIES 12	LISLE, IL	2/11/2011	00606591-05	Pending-Cleared
NORTHERN LIGHTS FUND TR ALTEGRIS FUTURES STRATEGY FUND CLASS C	HAUPPAUGE, NY	2/10/2011	00606578-03	Pending-Cleared
BLUE CHIP PORTFOLIO SERIES 2011 1	MONUMENT, CO	2/9/2011	00606564-05	Pending-Cleared
FT 2793 GLOBAL INCOME CLOSED END PORTFOLIO SEIRES 15	WHEATON, IL	2/9/2011	00606569-05	Pending-Cleared
FT 2794 GREATER CHINA PORTFOLIO SERIES 25	WHEATON, IL	2/9/2011	00606567-05	Pending-Cleared
FT 2814 GLOBAL EQUITY INCOME OPPORTUNTIES CLOSED END PORTFOLIO SERIES 3	WHEATON, IL	2/9/2011	00606571-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 1/31/2011 through 2/11/2011**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 2819 MUNICIPAL INCOME PLUS CLOSED END PORTFOLIO SERIES 16	WHEATON, IL	2/9/2011	00606572-05	Pending-Cleared
FT 2833 GLOBAL BOND INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 3	WHEATON, IL	2/9/2011	00606568-05	Pending-Cleared
FT 2834 HIGH YIELD INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 3	WHEATON, IL	2/9/2011	00606570-05	Pending-Cleared
FT 2840 SENIOR LOAN & LTD DURATION OPPORTUNITIES CLOSED END PORTFOLIO SERIES 4	WHEATON, IL	2/9/2011	00606566-05	Pending-Cleared
GLOBAL GOLD INCOME PORTFOLIO SERIES 9 AN SMC PORTFOLIO	MONUMENT, CO	2/9/2011	00606563-05	Pending-Cleared
TACTICAL INCOME CLOSED END PORTFOLIO SERIES 2011 1	MONUMENT, CO	2/9/2011	00606565-05	Pending-Cleared
WORLD FUNDS INC REMS REAL ESTATE VALUE OPPORTUNITY FUND PLATFORM SHARES	RICHMOND, VA	2/9/2011	00606562-03	Pending-Cleared
ADVISORONE FUNDS	HAUPPAUGE, NY	2/7/2011	00606365-03	Pending-Cleared
CATALYST FUNDS	WILLOW GROV, PA	2/7/2011	00606372-03	Pending-Cleared
DUNHAM FUNDS	HAUPPAUGE, NY	2/7/2011	00606366-03	Pending-Cleared
DUNHAM FUNDS	HAUPPAUGE, NY	2/7/2011	00606367-03	Pending-Cleared
FT 2786 BICK PORTFOLIO SERIES 5	WHEATON, IL	2/7/2011	00606361-05	Pending-Cleared
FT 2789 CONVERTIBLE & INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 3	WHEATON, IL	2/7/2011	00606362-05	Pending-Cleared
FT 2818 CONVERTIBLE & INCOM SELECT CLOSED END PORTFOLIO SERIES 31	WHEATON, IL	2/7/2011	00606363-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 761 CLOSED END CORPORATE HIGH YIELD & INCOME PORTFOLIO SERIES 16	LISLE, IL	2/7/2011	00606360-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 1/31/2011 through 2/11/2011**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GUGGENHEIM DEFINED PORTFOLIOS SERIES 761 CLOSED END EQUITY & INCOME PORTFOLIO SERIES 28	LISLE, IL	2/7/2011	00606359-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 761 STRATEGIC INCOME PORTFOLIO SERIES 50	LISLE, IL	2/7/2011	00606357-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS, SERIES 761 CLOSED END SENIOR LOAN & INCOME PORTFOLIO SERIES 15	LISLE, IL	2/7/2011	00606358-05	Pending-Cleared
MILLER INVESTMENT TRUST	OMAHA, NE	2/7/2011	00606364-03	Pending-Cleared
NORTHERN LIGHTS FUND TRUST	HAUPPAUGE, NY	2/7/2011	00606371-03	Pending-Cleared
NORTHERN LIGHTS FUND TRUST	HAUPPAUGE, NY	2/7/2011	00606369-03	Pending-Cleared
NORTHERN LIGHTS FUND TRUST	HAUPPAUGE, NY	2/7/2011	00606368-03	Pending-Cleared
NORTHERN LIGHTS FUND TRUST	HAUPPAUGE, NY	2/7/2011	00606370-03	Pending-Cleared
1ST TR COMBINED SERIES 351 BUILD AMERICA BONDS PORTFOLIOS SERIES 27	WHEATON, IL	2/4/2011	00606154-05	Pending-Cleared
BLUE CHIP COVERED CALL PORTFOLIO SERIES 2011 1Q	MONUMENT, CO	2/4/2011	00606149-05	Pending-Cleared
FT 2785 ALTERNATIVE ENERGY PORTFOLIO SERIES 17	WHEATON, IL	2/4/2011	00606153-05	Pending-Cleared
FT 2787 DEFENSIVE EQUITY PORTFOLIO SERIES 14	WHEATON, IL	2/4/2011	00606159-05	Pending-Cleared
FT 2788 UTILITIES SELECT PORTFOLIO SERIES 16	WHEATON, IL	2/4/2011	00606152-05	Pending-Cleared
FT 2790 EQUITY OPPORTUNITIES CLOSED END PORTFOLIO SERIES 3	WHEATON, IL	2/4/2011	00606161-05	Pending-Cleared
FT 2791 MUNICIPAL INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 3	WHEATON, IL	2/4/2011	00606156-05	Pending-Cleared
FT 2792 REAL ESTATE CLOSED END PORTFOLIO SERIES 3	WHEATON, IL	2/4/2011	00606160-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 1/31/2011 through 2/11/2011**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 2835 INSURED MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 22	WHEATON, IL	2/4/2011	00606155-05	Registered
FT 2836 INTEREST RATE HEDGE PORTFOLIO SERIES 25	WHEATON, IL	2/4/2011	00606151-05	Registered
FT 2837 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 33	WHEATON, IL	2/4/2011	00606158-05	Registered
FT 2843 SMARTPHONE PORTFOLIOS SERIES 4	WHEATON, IL	2/4/2011	00606157-05	Registered
GLOBAL COVERED CALL & INCOME STRATEGIES CLOSED END PORTFOLIO SERIES 2011 1	MONUMENT, CO	2/4/2011	00606148-05	Pending-Cleared
STRATEGIC HIGH 80 DIVIDEND PORTFOLIO SERIES 2011 1Q	MONUMENT, CO	2/4/2011	00606150-05	Pending-Cleared
TRANSPARENT VALUE TR TRANSPARENT VALUE DOW JONES RBP US LARGE CAP MARKET INDEX FUND CLASS I SHARES	NEW YORK, NY	2/4/2011	00606404-03	Registered
TRANSPARENT VALUE TR TRANSPARENT VALUE DOW JONES RBP US LARGE CAP DEFENSIVE INDEX FUND CLASS C SHARES	NEW YORK, NY	2/4/2011	00606406-03	Registered
TRANSPARENT VALUE TR TRANSPARENT VALUE DOW JONES RBP US LARGE CAP AGGRESSIVE INDEX FUND CLASS C SHARES	NEW YORK, NY	2/4/2011	00606401-03	Registered
TRANSPARENT VALUE TR TRANSPARENT VALUE DOW JONES RBP US LARGE CAP AGGRESSIVE INDEX FUND CLASS I SHARES	NEW YORK, NY	2/4/2011	00606402-03	Registered
TRANSPARENT VALUE TR TRANSPARENT VALUE DOW JONES RBP US LARGE CAP MARKET INDEX FUND CLASS C SHARES	NEW YORK, NY	2/4/2011	00606403-03	Registered
TRANSPARENT VALUE TR TRANSPARENT VALUE DOW JONES RBP US LARGE CAP DEFENSIVE INDEX FUND CLASS I SHARES	NEW YORK, NY	2/4/2011	00606405-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 1/31/2011 through 2/11/2011**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
VAN KAMPEN UNIT TR MUNICIPAL SERIES 956 QUALITY MUNICIPALS INCOME TR 35TH LTD MATURITY SERIES	OAKBROOK, IL	2/4/2011	00606145-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 957 INVESTMENT GRADE MUNICIPAL TR SERIES 118	OAKBROOK, IL	2/4/2011	00606144-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 308 BUILD AMERICA BONDS INCOME TR SERIES 66	OAKBROOK, IL	2/4/2011	00606146-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 309 BUILD AMERICA BONDS INCOME TR SERIES 67	OAKBROOK, IL	2/4/2011	00606147-05	Pending-Cleared
ADVISORS INNER CIRCLE FUND WHG DIVIDEND GROWTH FUND INSTITUTIONAL SHARES	BOSTON, MA	2/3/2011	00606538-03	Registered
DIVIDEND ADVANTAGE PORTFOLIO SEIRES 2011 1Q	MONUMENT, CO	2/1/2011	00606064-05	Pending-Cleared
FT 2784 DIVERSIFIED INCOME & GROWTH PORTFOLIO SPRING 2011 SERIES	WHEATON, IL	2/1/2011	00606063-05	Registered
INFLATION INCOME STRATEGY PORTFOLIO SERIES 2011 1	MONUMENT, CO	2/1/2011	00606065-05	Pending-Cleared
ADVISORS SERIES TR ALPHA DEFENSIVE GROWTH FUND CLASS I	MILWAUKEE, WI	1/31/2011	00606053-03	Pending-Cleared
ADVISORS SERIES TR ALPHA OPPORTUNISTIC GROWTH FUND CLASS I	MILWAUKEE, WI	1/31/2011	00606052-03	Pending-Cleared
BRANDES INVESTMENT TR BRANDES INSTITUTIONAL EMERGING MARKETS FUND CLASS S	MILWAUKEE, WI	1/31/2011	00606049-03	Pending-Cleared
BRANDES INVESTMENT TR BRANDES INSTITUTIONAL EMERGING MARKETS FUND CLASS I	MILWAUKEE, WI	1/31/2011	00606048-03	Pending-Cleared
BRANDES INVESTMENT TR BRANDES INSTITUTIONAL GLOBAL EQUITY FUND CLASS S	MILWAUKEE, WI	1/31/2011	00606050-03	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 1/31/2011 through 2/11/2011**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BRANDES INVESTMENT TR BRANDES INSTITUTIONAL INTERNATIONAL EQUITY FUND CLASS S	MILWAUKEE, WI	1/31/2011	00606051-03	Pending-Cleared
CALVERT WORDL VALUES FUND INC CALVERT CAPITAL ACCUMULATION FUND CLASS Y	BETHESDA, MD	1/31/2011	00606056-03	Pending-Cleared
FT 2783 EQUITY INCOME SELECT PORTFOLIO SERIES 26	WHEATON, IL	1/31/2011	00606055-05	Pending-Cleared
JPMORGAN TR 1 JPMORGAN MID CAP CORE FUND CLASS R6	BOSTON, MA	1/31/2011	00606054-03	Pending-Cleared
Number of Investment Company Filings: 66				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
UNIVERSITY VENTURE DST	OAKBROOK, IL	2/11/2011	00606592-07	Filed
3RD AVE GLOBAL VALUE FUND LP	NEW YORK, NY	2/10/2011	00606584-07	Filed
ENCAP ENERGY CAPITAL FUND 8 B LP	HOUSTON, TX	2/10/2011	00606582-07	Filed
FLAT WORLD KNOWLEDGE INC	IRVINGTON, NY	2/10/2011	00606583-07	Filed
FSC DG ELIZABETH CITY NC LLC	AVON BY THE SEA, NJ	2/10/2011	00606585-07	Filed
SE WORTHINGTON LLC	CHICAGO, IL	2/10/2011	00606579-07	Filed
CREDIT SUISSE GAINS COMMODITY PLUS LP	NEW YORK, NY	2/9/2011	00606573-07	Filed
DIMPLEDOUGH INC	INDEPENDENCE, OH	2/8/2011	00606496-07	Filed
AMERICAN STANDARD ENERGY CORP	SCOTTSDALE, AZ	2/7/2011	00606375-07	Filed
BOOK OF MORMON BROADWAY LTD LIABILITY CO	NEW YORK, NY	2/7/2011	00606373-07	Filed
IBG LLC	GREENWICH, CT	2/7/2011	00606393-07	Filed
OAKTREE HIGHT INCOME CONVERTIBLE FUND	LOS ANGELES, CA	2/7/2011	00606374-07	Filed
VOYAGER AOIL & GAS INC	BILLINGS, MT	2/7/2011	00606394-07	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 1/31/2011 through 2/11/2011**

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PFM DIVERSIFIED OFFSHORE FUND LTD	CARMANA BAY,	2/3/2011	00606133-07	Filed
SIONIC MOBILE CORP	ATLANTA, GA	2/3/2011	00606162-07	Filed
CONNOR GROUP REAL ESTATE INCOME & GROWTH FUND 9 LLC	CENTERVILLE, OH	2/1/2011	00606077-07	Filed
ENERDIGM VENTURE FUND 8 LLC	HONOLULU, HI	2/1/2011	00606067-07	Filed
NATIONWIDE SPECIALTY FINANCE INC	DALLAS, TX	2/1/2011	00606068-07	Filed
PBC NETWORK CROSSING LP	AUSTIN, TX	2/1/2011	00606076-07	Filed
SOPOGY INC	HONOLULU, HI	2/1/2011	00606066-07	Filed
BLACKSTONE COMMERCIAL REAL ESTATE DEBT FUND LP	NEW YORK, NY	1/31/2011	00606057-07	Filed
CANDLEWOOD CREDIT VALUE FUND 2 LP	NEW YORK, NY	1/31/2011	00606059-07	Filed
CORE VEGA FUND LP	CHICAGO, IL	1/31/2011	00606058-07	Filed
CYPRESS EQUIPMENT FUND 18 LLC	SAN FRANCISCO, CA	1/31/2011	00606060-07	Filed
Number of Regulation D Notice Filings: 24				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
OTA FRANCHISE CORP	IRVINE, CA	2/10/2011	601797	Registered
Brooklyn Water Bagel Franchise Co., Inc.	Delray Beach, FL	2/9/2011	601794	Registered
NATIONAL PROPERTY INSPECTIONS INC	OMAHA, NE	2/9/2011	601796	Registered
Onion Nation LLC	Chicago, IL	2/9/2011	601799	Registered
Global CFO's, Inc.	Troy, MI	2/8/2011	601795	Registered
Century Oaks Franchising, Inc. / Century Oaks	Oshkosh, WI	2/7/2011	601800	Registered
Her Life Magazine, LLC / HERLIFE	Overland Park, KS	2/7/2011	601801	Registered
Jack in the Box Inc. / Jack in the Box	San Diego, CA	2/4/2011 1	601773	Registered
Home-Grown Industries of Georgia, Inc.	Atlanta, GA	2/4/2011	601785	Registered
VENTI CORP	BOCA ROTON, FL	2/4/2011	601786	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 1/31/2011 through 2/11/2011**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
REALTY EXECUTIVES INTERNATIONAL INC / Realty Executives	PHOENIX, AZ	2/3/2011 1	601770	Registered
CERTA PROPAINTERS LTD	OAKS, PA	2/3/2011	601789	Incomplete
DALE CARNEGIE & ASSOC INC	HAUPPAUGE, NY	2/3/2011	601791	Registered
Merendino Cemetary Care, LLC	Linden, NJ	2/3/2011	601790	Registered
Qdoba Restaurant Corporation / Qdoba Mexican Grill	Wheat Ridge, CO	1/31/2011	601760	Registered
INSPECT IT 1ST LLC	INDEPENDENCE, OH	1/31/2011	601784	Registered
INTERFACE FINANCIAL CORP	IRVINE, CA	1/31/2011	601768	Registered
TDM Franchising, LLC / Taco Del mar	Miami springs, FL	1/31/2011	601776	Registered
Number of Franchise Filings: 18				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	