

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 1/24/2011 through 2/4/2011**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
RLJ ACQUISITION INC	BETHESDA, MD	2/3/2011	00606132-01	Pending
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
POINTS INTL LTD	,	1/25/2011	00605174-08	Pending
GRACE EVANGELICAL LUTHERAN CHURCH OF MENOMONEE FALLS WI	MENOMONEE FALLS, WI	1/24/2011	00605018-10	Pending
ST BAPTIST CHURCH OF SOUTH FULTON TN INC	SOUTH FULTON, TN	1/24/2011	00605014-10	Pending
SUNSET BAY CHAPEL INC	LITHIA, FL	1/24/2011	00605012-10	Pending
THRALL LP	,	1/24/2011	00605024-08	Pending
Number of Exemption Filings: 5				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
1ST TR COMBINED SERIES 351 BUILD AMERICA BONDS PORTFOLIOS SERIES 27	WHEATON, IL	2/4/2011	00606154-05	Pending-Cleared
BLUE CHIP COVERED CALL PORTFOLIO SERIES 2011 1Q	MONUMENT, CO	2/4/2011	00606149-05	Pending-Cleared
FT 2785 ALTERNATIVE ENERGY PORTFOLIO SERIES 17	WHEATON, IL	2/4/2011	00606153-05	Pending-Cleared
FT 2787 DEFENSIVE EQUITY PORTFOLIO SERIES 14	WHEATON, IL	2/4/2011	00606159-05	Pending-Cleared
FT 2788 UTILITIES SELECT PORTFOLIO SERIES 16	WHEATON, IL	2/4/2011	00606152-05	Pending-Cleared
FT 2790 EQUITY OPPORTUNITIES CLOSED END PORTFOLIO SERIES 3	WHEATON, IL	2/4/2011	00606161-05	Pending-Cleared
FT 2791 MUNICIPAL INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 3	WHEATON, IL	2/4/2011	00606156-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
FT 2792 REAL ESTATE CLOSED END PORTFOLIO SERIES 3	WHEATON, IL	2/4/2011	00606160-05	Pending-Cleared
FT 2835 INSURED MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 22	WHEATON, IL	2/4/2011	00606155-05	Pending-Cleared
FT 2836 INTEREST RATE HEDGE PORTFOLIO SERIES 25	WHEATON, IL	2/4/2011	00606151-05	Pending-Cleared
FT 2837 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 33	WHEATON, IL	2/4/2011	00606158-05	Pending-Cleared
FT 2843 SMARTPHONE PORTFOLIOS SERIES 4	WHEATON, IL	2/4/2011	00606157-05	Pending-Cleared
GLOBAL COVERED CALL & INCOME STRATEGIES CLOSED END PORTFOLIO SERIES 2011 1	MONUMENT, CO	2/4/2011	00606148-05	Pending-Cleared
STRATEGIC HIGH 80 DIVIDEND PORTFOLIO SERIES 2011 1Q	MONUMENT, CO	2/4/2011	00606150-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 956 QUALITY MUNICIPALS INCOME TR 35TH LTD MATURITY SERIES	OAKBROOK, IL	2/4/2011	00606145-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 957 INVESTMENT GRADE MUNICIPAL TR SERIES 118	OAKBROOK, IL	2/4/2011	00606144-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 308 BUILD AMERICA BONDS INCOME TR SERIES 66	OAKBROOK, IL	2/4/2011	00606146-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 309 BUILD AMERICA BONDS INCOME TR SERIES 67	OAKBROOK, IL	2/4/2011	00606147-05	Pending-Cleared
DIVIDEND ADVANTAGE PORTFOLIO SEIRES 2011 1Q	MONUMENT, CO	2/1/2011	00606064-05	Pending-Cleared
FT 2784 DIVERSIFIED INCOME & GROWTH PORTFOLIO SPRING 2011 SERIES	WHEATON, IL	2/1/2011	00606063-05	Pending-Cleared
INFLATION INCOME STRATEGY PORTFOLIO SERIES 2011 1	MONUMENT, CO	2/1/2011	00606065-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
ADVISORS SERIES TR ALPHA DEFENSIVE GROWTH FUND CLASS I	MILWAUKEE, WI	1/31/2011	00606053-03	Pending-Cleared
ADVISORS SERIES TR ALPHA OPPORTUNISTIC GROWTH FUND CLASS I	MILWAUKEE, WI	1/31/2011	00606052-03	Pending-Cleared
BRANDES INVESTMENT TR BRANDES INSTITUTIONAL EMERGING MARKETS FUND CLASS S	MILWAUKEE, WI	1/31/2011	00606049-03	Pending-Cleared
BRANDES INVESTMENT TR BRANDES INSTITUTIONAL EMERGING MARKETS FUND CLASS I	MILWAUKEE, WI	1/31/2011	00606048-03	Pending-Cleared
BRANDES INVESTMENT TR BRANDES INSTITUTIONAL GLOBAL EQUITY FUND CLASS S	MILWAUKEE, WI	1/31/2011	00606050-03	Pending-Cleared
BRANDES INVESTMENT TR BRANDES INSTITUTIONAL INTERNATIONAL EQUITY FUND CLASS S	MILWAUKEE, WI	1/31/2011	00606051-03	Pending-Cleared
CALVERT WORDL VALUES FUND INC CALVERT CAPITAL ACCUMULATION FUND CLASS Y	BETHESDA, MD	1/31/2011	00606056-03	Pending-Cleared
FT 2783 EQUITY INCOME SELECT PORTFOLIO SERIES 26	WHEATON, IL	1/31/2011	00606055-05	Pending-Cleared
JPMORGAN TR 1 JPMORGAN MID CAP CORE FUND CLASS R6	BOSTON, MA	1/31/2011	00606054-03	Pending-Cleared
LORD ABBETT MUNICIPAL INCOME FUND INC LORD ABBETT INTERMEDIATE TAX FREE FUND CLASS I	JERSEY CITY, NJ	1/28/2011	00605393-03	Pending-Cleared
LORD ABBETT MUNICIPAL INCOME FUND INC LORD ABBETT CA TAX FREE INCOME FUND CLASS I	JERSEY CITY, NJ	1/28/2011	00605391-03	Pending-Cleared
LORD ABBETT MUNICIPAL INCOME FUND INC LORD ABBETT NJ TAX FREE INCOME FUND CLASS I	JERSEY CITY, NJ	1/28/2011	00605392-03	Pending-Cleared
LORD ABBETT MUNICIPAL INCOME FUND INC LORD ABBETT NY TAX FREE INCOME FUND CLASS I	JERSEY CITY, NJ	1/28/2011	00605394-03	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GUGGENHEIM DEFINED PORTFOLIOS SERIES 760 RENEWABLE & ALTERNATIVE ENERGY PORTFOLIO SERIES 13	LISLE, IL	1/27/2011	00605372-05	Pending-Cleared
1ST TRUST COMBINED SERIES 356 BUILD AMERICA BONDS PORTFOLIO 10 20 YEAR SERIES 15	WHEATON, IL	1/27/2011	00605369-05	Pending-Cleared
ADVISER MANAGED TR TACTICAL DEFENSIVE FUND	OAKS, PA	1/27/2011	00606128-03	Pending-Cleared
ADVISER MANAGED TR TACTICAL OFFENSIVE EQUITY FUND	OAKS, PA	1/27/2011	00606126-03	Pending-Cleared
ADVISER MANAGED TR TACTICAL OFFENSIVE FIXED INCOME FUND	OAKS, PA	1/27/2011	00606127-03	Pending-Cleared
CAUSEWAY CAPITAL MANAGEMENT TR CAUSEWAY GLOBAL VALUE FUND INVESTOR CLASS	LOS ANGELES, CA	1/27/2011	00606125-03	Pending-Cleared
FT 2759 INCOME ALLOCATION CLOSED END FEB 11	WHEATON, IL	1/27/2011	00605371-05	Pending-Cleared
FT 2760 DOW TARGET 10 FEB 11 TERM 2 29 12	WHEATON, IL	1/27/2011	00605370-05	Pending-Cleared
FT 2760 DOW TARGET DVD FEB 11 TERM 4 30 12	WHEATON, IL	1/27/2011	00605376-05	Pending-Cleared
FT 2760 TARGET FOCUS 4 FEB 11 TERM 4 30 12	WHEATON, IL	1/27/2011	00605379-05	Pending-Cleared
FT 2760 TARGET TRIAD FEB 11 TERM 4 30 12	WHEATON, IL	1/27/2011	00605378-05	Pending-Cleared
FT 2760 TARGET VIP FEB 11 TERM 4 30 12	WHEATON, IL	1/27/2011	00605377-05	Pending-Cleared
FT 2782 EQUITY CLOSED END PORTFOLIO SERIES 14	WHEATON, IL	1/27/2011	00605373-05	Pending-Cleared
FT 2809 MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 28	WHEATON, IL	1/27/2011	00605374-05	Pending-Cleared
FT2808 COVERED CALL SELECT CLOSED END PORTFOLIO SERIES 25	WHEATON, IL	1/27/2011	00605375-05	Pending-Cleared
FUNDVANTAGE TR BOSTON ADVISORS BROAD ALLOCATION STRATEGY FUND CLASS I	WILMINGTON, DE	1/27/2011	00606129-03	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ING FUNDS TR ING FLOATING RATE FUND CLASS A	SCOTTSDALE, AZ	1/26/2011	00605358-03	Registered
ING FUNDS TR ING FLOATING RATE FUND CLASS C	SCOTTSDALE, AZ	1/26/2011	00605359-03	Registered
ING FUNDS TR ING FLOATING RATE FUND CLASS I	SCOTTSDALE, AZ	1/26/2011	00605361-03	Registered
ING FUNDS TR ING FLOATING RATE FUND CLASS R	SCOTTSDALE, AZ	1/26/2011	00605360-03	Registered
ING FUNDS TR ING FLOATING RATE FUND CLASS W	SCOTTSDALE, AZ	1/26/2011	00605362-03	Registered
FT 2807 MLP CLOSED END FUND & ENERGY PORTFOLIO SERIES 8	WHEATON, IL	1/25/2011	00605171-05	Pending-Cleared
UNIFIED SERIES TR APPLESEED FUND INSTITUTIONAL CLASS	INDIANAPOLIS, IN	1/25/2011	00605354-03	Pending-Cleared
FRANKLIN TAX FREE TR FRANKLIN FEDERAL LTD TERM TAX FREE INCOME FUND ADVISOR CLASS	SAN MATEO, CA	1/24/2011	00605011-03	Pending-Cleared
Number of Investment Company Filings: 58				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PFM DIVERSIFIED OFFSHORE FUND LTD	CARMANA BAY,	2/3/2011	00606133-07	Filed
SIONIC MOBILE CORP	ATLANTA, GA	2/3/2011	00606162-07	Filed
CONNOR GROUP REAL ESTATE INCOME & GROWTH FUND 9 LLC	CENTERVILLE, OH	2/1/2011	00606077-07	Filed
ENERDIGM VENTURE FUND 8 LLC	HONOLULU, HI	2/1/2011	00606067-07	Filed
NATIONWIDE SPECIALTY FINANCE INC	DALLAS, TX	2/1/2011	00606068-07	Filed
PBC NETWORK CROSSING LP	AUSTIN, TX	2/1/2011	00606076-07	Filed
SOPOGY INC	HONOLULU, HI	2/1/2011	00606066-07	Filed
BLACKSTONE COMMERCIAL REAL ESTATE DEBT FUND LP	NEW YORK, NY	1/31/2011	00606057-07	Filed
CANDLEWOOD CREDIT VALUE FUND 2 LP	NEW YORK, NY	1/31/2011	00606059-07	Filed
CORE VEGA FUND LP	CHICAGO, IL	1/31/2011	00606058-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CYPRESS EQUIPMENT FUND 18 LLC	SAN FRANCISCO, CA	1/31/2011	00606060-07	Filed
DEBAROLO OPPORTUNITY FUND 1 LP	TAMPA, FL	1/26/2011	00605278-07	Filed
HALL WINE OF NAPA LP	ST HELENA, CA	1/26/2011	00605279-07	Filed
OCM EMERGING MARKETS ABSOLUTE RETURN FEEDER FUND LP	LOS ANGELES, CA	1/26/2011	00605280-07	Filed
MESA WEST REAL ESTATE INCOME FUND 2 PF2 LP	LOS ANGELES, CA	1/25/2011	00605172-07	Filed
NU TECH FOODS INC	APPLE VALLEY, MN	1/25/2011	00605173-07	Filed
ALLIANCE BERNSTEIN US REAL ESTATE HNW FUND LP	NEW YORK, NY	1/24/2011	00605023-07	Filed
ASGI AURORA OPPORTUNITIES FUND LLC	CHARLOTTE, NC	1/24/2011	00605021-07	Filed
ASGI CORBIN MULTI STRATEGY FUND LLC	CHARLOTTE, NC	1/24/2011	00605020-07	Filed
ASSOC COMMUNITY BANCORP INC	FAIFIELD, CT	1/24/2011	00605022-07	Filed
AZTEC 11 B OIL & GAS LP AZTEC ENERGY LLC	HOUSTON, TX	1/24/2011	00605013-07	Filed
GOLDEN PHOENIX MINERALS INC	SPARKS, NV	1/24/2011	00605016-07	Filed
INDEPENDENCE EQUITY 1 LP	NORTHBROOK, IL	1/24/2011	00605017-07	Filed
NEWLINK GENETICS CORP	AMES, IA	1/24/2011	00605015-07	Filed
TPG FPC LLC	FORT WORTH, TX	1/24/2011	00605019-07	Filed
Number of Regulation D Notice Filings: 25				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Jack in the Box Inc. / Jack in the Box	San Diego, CA	2/4/2011 1	601773	Registered
REALTY EXECUTIVES INTERNATIONAL INC / Realty Executives	PHOENIX, AZ	2/3/2011 1	601770	Registered
Qdoba Restaurant Corporation / Qdoba Mexican Grill	Wheat Ridge, CO	1/31/2011	601760	Registered
INTERFACE FINANCIAL CORP	IRVINE, CA	1/31/2011	601768	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
CPR-Cell Phone Repair Franchise Systems, Inc. / CPR - Cell Phone Repair	Summerville, GA	1/28/2011	601767	Registered
GREAT HARVEST FRANCHISING INC	DILLON, MT	1/28/2011	601766	Registered
HBH FRANCHISE CO LLC / Honey Baked Ham Co., & Cafe	NORCROSS, GA	1/26/2011	601753	Registered
PLAY N TRADE FRANCHISE INC / Area Developer	NEW PORT BEACH, CA	1/26/2011	601755	Registered
STEAK N SHAKE ENTERPRISES INC	INDIANAPOLIS, IN	1/26/2011	601752	Registered
CareMinders Home Care, Inc.	ALPHARETTA, GA	1/25/2011	601750	Registered
Clean Franchising, LLC / Araya Clean - Unit	Phoenix, AZ	1/25/2011	601756	Registered
Clean Franchising, LLC / Araya Clean - Regional Developer	Phoenix, AZ	1/25/2011	601757	Registered
FRESHWAY FOOD SYSTEMS INC	SIOUX FALLS, SD	1/24/2011	601745	Registered
GOLDS GYM FRANCHISING LLC / Heritage program	IRVING, TX	1/24/2011	601743	Registered
Perrysberg Resources, LLC	Torrance, CA	1/24/2011	601742	Registered
TRYP Hotels Worldwide, Inc. / TRYP by Wyndham	PARSIPPANY, NJ	1/24/2011	601744	Registered
Number of Franchise Filings: 16				

Corporate Take-Over Law Filings Made with DFI's Securities Division

Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	