

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 1/17/2011 through 1/28/2011**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Number of Registration Filings: 0				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
POINTS INTL LTD	,	1/25/2011	00605174-08	Pending
GRACE EVANGELICAL LUTHERAN CHURCH OF MENOMONEE FALLS WI	MENOMONEE FALLS, WI	1/24/2011	00605018-10	Pending
ST BAPTIST CHURCH OF SOUTH FULTON TN INC	SOUTH FULTON, TN	1/24/2011	00605014-10	Pending
SUNSET BAY CHAPEL INC	LITHIA, FL	1/24/2011	00605012-10	Pending
THRALL LP	,	1/24/2011	00605024-08	Pending
F & M BANK CORP	TIMBERVILLE, VA	1/20/2011	00604817-08	Pending
VINEYARD CHRISTIAN FILLOWSHIP DAYTON	BEAVERCREEK, OH	1/19/2011	00604792-10	Pending
Number of Exemption Filings: 7				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
GUGGENHEIM DEFINED PORTFOLIOS SERIES 760 RENEWABLE & ALTERN ATIVE ENERGY PORTFOLIO SERIES 13	LISLE, IL	1/27/2011	00605372-05	Pending-Cleared
1ST TRUST COMBINED SERIES 356 BUILD AMERICA BONDS PORTFOLIO 10 20 YEAR SERIES 15	WHEATON, IL	1/27/2011	00605369-05	Pending-Cleared
FT 2759 INCOME ALLOCATION CLOSED END FEB 11	WHEATON, IL	1/27/2011	00605371-05	Pending-Cleared
FT 2760 DOW TARGET 10 FEB 11 TERM 2 29 12	WHEATON, IL	1/27/2011	00605370-05	Pending-Cleared
FT 2760 DOW TARGET DVD FEB 11 TERM 4 30 12	WHEATON, IL	1/27/2011	00605376-05	Pending-Cleared
FT 2760 TARGET FOCUS 4 FEB 11 TERM 4 30 12	WHEATON, IL	1/27/2011	00605379-05	Pending-Cleared

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 2760 TARGET TRIAD FEB 11 TERM 4 30 12	WHEATON, IL	1/27/2011	00605378-05	Pending-Cleared
FT 2760 TARGET VIP FEB 11 TERM 4 30 12	WHEATON, IL	1/27/2011	00605377-05	Pending-Cleared
FT 2782 EQUITY CLOSED END PORTFOLIO SERIES 14	WHEATON, IL	1/27/2011	00605373-05	Pending-Cleared
FT 2809 MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 28	WHEATON, IL	1/27/2011	00605374-05	Pending-Cleared
FT2808 COVERED CALL SELECT CLOSED END PORTFOLIO SERIES 25	WHEATON, IL	1/27/2011	00605375-05	Pending-Cleared
ING FUNDS TR ING FLOATING RATE FUND CLASS A	SCOTTSDALE, AZ	1/26/2011	00605358-03	Registered
ING FUNDS TR ING FLOATING RATE FUND CLASS C	SCOTTSDALE, AZ	1/26/2011	00605359-03	Registered
ING FUNDS TR ING FLOATING RATE FUND CLASS I	SCOTTSDALE, AZ	1/26/2011	00605361-03	Registered
ING FUNDS TR ING FLOATING RATE FUND CLASS R	SCOTTSDALE, AZ	1/26/2011	00605360-03	Registered
ING FUNDS TR ING FLOATING RATE FUND CLASS W	SCOTTSDALE, AZ	1/26/2011	00605362-03	Registered
FT 2807 MLP CLOSED END FUND & ENERGY PORTFOLIO SERIES 8	WHEATON, IL	1/25/2011	00605171-05	Pending-Cleared
UNIFIED SERIES TR APPLESEED FUND INSTITUTIONAL CLASS	INDIANAPOLIS, IN	1/25/2011	00605354-03	Pending-Cleared
FRANKLIN TAX FREE TR FRANKLIN FEDERAL LTD TERM TAX FREE INCOME FUND ADVISOR CLASS	SAN MATEO, CA	1/24/2011	00605011-03	Pending-Cleared
NUVEEN INVESTMENT TR NUVEEN CONSERVATIVE ALLOCATION FUND CLASS R3 SHARES	CHICAGO, IL	1/21/2011	00605003-03	Registered
NUVEEN INVESTMENT TR NUVEEN GROWTH ALLOCATION FUND CLASS R3 SHARES	CHICAGO, IL	1/21/2011	00605004-03	Registered
NUVEEN INVESTMENT TR NUVEEN MODERATE ALLOCATION FUND CLASS R3 SHARES	CHICAGO, IL	1/21/2011	00605002-03	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
VAN KAMPEN UNIT TR MUNICIPAL SERIES 955 INVESTMENT GRADE MUNICIPAL TR INTERMEDIATE SERIES 17	OAKBROOK, IL	1/21/2011	00604943-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1075 GLOBAL EXPANSION & INFLATION DEFENSE PORTFOLIO 2011 SERIES	OAKBROOK, IL	1/21/2011	00604938-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1080 PREFERRED OPPORTTUNITY PORTFOLIO SERIES 13	OAKBROOK, IL	1/21/2011	00604937-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1081 DOW JONES SELECT MICROCAP ENHANCED INDEX STRATEGY PORTFOLIO 2011 2	OAKBROOK, IL	1/21/2011	00604939-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1081 MID CAP STRATEGY PORTFOLIO 2011 2	OAKBROOK, IL	1/21/2011	00604940-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1081 SMALL CAP STRATEGY PORTFOLIO 2011 2	OAKBROOK, IL	1/21/2011	00604941-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1081 SMID STRATEGY PORTFOLIO 2011 2	OAKBROOK, IL	1/21/2011	00604942-05	Pending-Cleared
AGELS PORTFOLIO SERIES 2011 1Q	MONUMENT, CO	1/20/2011	00604912-05	Pending-Cleared
BALANCED PORTFOLIO 15 MONTH SERIES 2011 1Q	MONUMENT, CO	1/20/2011	00604923-05	Pending-Cleared
FT 2775 GERMAN PORTFOLIO SERIES	WHEATON, IL	1/20/2011	00604916-05	Pending-Cleared
FT 2810 INSURE MUNICIPAL INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 3	WHEATON, IL	1/20/2011	00604915-05	Pending-Cleared
FT 2811 ECONOMIC EXPANSION PORTFOLIO SERIES	WHEATON, IL	1/20/2011	00604917-05	Pending-Cleared
GLOBAL COVERED CALL & INCOME STRATEGIES CLOSED END PORTFOLIO 15 MONTHLY SERIES 2011 1Q	MONUMENT, CO	1/20/2011	00604922-05	Pending-Cleared
SENIOR VARIABLE RATE & INCOME CLOSED END PORTFOLIO 15 MONTH SERIES 2011 1Q	MONUMENT, CO	1/20/2011	00604921-05	Pending-Cleared

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STEELPATH ALERIAN MLP & INCOME PORTFOLIO SERIES 2011 1	MONUMENT, CO	1/20/2011	00604914-05	Pending-Cleared
TACTICAL INCOME SLOED END PORTFOLIO 15 MONTH SERIES 2011 1Q	MONUMENT, CO	1/20/2011	00604920-05	Pending-Cleared
TX EX MUNICIPAL PORTFOLIO INTERMEDIATE SERIES 21 AN SMC FIM PORTFOLIO	MONUMENT, CO	1/20/2011	00604913-05	Pending-Cleared
CATALYST FUNDS SSMH TOTAL RETURN INCOME FUND	WILLOW GROVE, PA	1/19/2011	00604786-03	Pending-Cleared
COPELAND TR COPELAND RISK MANAGED DIVIDEND GROWTH FUND CLASS A	OMAHA, NE	1/19/2011	00604790-03	Pending-Cleared
EQUINOX FUNDS TR EQUINOX MARKET NEUTRAL COMMODITY STRATEGY FUND CLASS I	OMAHA, NE	1/19/2011	00604789-03	Pending-Cleared
EQUINOX FUNDS TR EQUINOX MARKET NEUTRAL COMMODITY STRATEGY FUND CLASS A	OMAHA, NE	1/19/2011	00604787-03	Pending-Cleared
EQUINOX FUNDS TR EQUINOX MARKET NEUTRAL COMMODITY STRATEGY FUND CLASS C	OMAHA, NE	1/19/2011	00604788-03	Pending-Cleared
FT 2771 HEALTH CARE SELECT PORTFOLIO SERIES 19	WHEATON, IL	1/19/2011	00604785-05	Pending-Cleared
FT 2781 HIGH DIVIDEND EQUITY PORTFOLIO SERIES 38	WHEATON, IL	1/19/2011	00604784-05	Pending-Cleared
OPPENHEIMER INTEGRITY FUNDS OPPENHEIMER CORE BOND FUND CLASS A	DENVER, CO	1/18/2011	00604714-03	Pending-Cleared
OPPENHEIMER MUNICIPAL FUND OPPENHEIMER LTD TERM MUNICIPAL FUND CLASS Y	DENVER, CO	1/18/2011	00604713-03	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 948 INSURED MUNICIPALS INCOME TR SERIES 625	OAKBROOK, IL	1/18/2011	00604730-05	Pending-Cleared

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VAN KAMPEN UNIT TR MUNICIPAL SERIES 949 INSURED MUNICIPALS INCOME TR SERIES 626	OAKBROOK, IL	1/18/2011	00604729-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 950 QUALITY MUNICIPALS INCOME TR 34TH LTD MATURITY SERIES	OAKBROOK, IL	1/18/2011	00604728-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 951 INVESTORS QUAILITY TX EX TR SERIES 135	OAKBROOK, IL	1/18/2011	00604726-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 952 INVESTORS QUAILITY TX EX TR SERIES 136	OAKBROOK, IL	1/18/2011	00604727-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 953 INVESTMENT GRADE MUNICIPAL TR SERIES 117	OAKBROOK, IL	1/18/2011	00604725-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 953 INVESTMENT GRADE MUNICIPAL TR SERIES 116	OAKBROOK, IL	1/18/2011	00604724-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1076 DIVERSIFIED HEALTHCARE PORTFOLIO SERIES 54	OAKBROOK, IL	1/18/2011	00604720-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1076 ENERGY PORTFOLIO SERIES 37	OAKBROOK, IL	1/18/2011	00604719-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1076 FINANCIAL INSTITUTIONS PORTFOLIO SERIES 49	OAKBROOK, IL	1/18/2011	00604721-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1076 REIT INCOME PORTFOLIO 2011 1	OAKBROOK, IL	1/18/2011	00604722-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1076 UTILITY INCOME PORTFOLIO 2011 1	OAKBROOK, IL	1/18/2011	00604723-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1077 DOW JONES LARGE CAP GROWTH STRATEGY 2011 1	OAKBROOK, IL	1/18/2011	00604718-05	Pending-Cleared

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VAN KAMPEN UNIT TR SERIES 1078 SELECT S&P GREATER CHINA PICKS PORTFOLIO SERIES 21	OAKBROOK, IL	1/18/2011	00604717-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1079 ALTERNATIVE ALLOCATION PORTFOLIO 2011 1	OAKBROOK, IL	1/18/2011	00604715-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1079 LONG SHORT STRATEGY PORTFOLIO 2011 1	OAKBROOK, IL	1/18/2011	00604716-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 306 BUILD AMERICA BONDS INCOME TR SERIES 64	OAKBROOK, IL	1/18/2011	00604732-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 307 BUILD AMERICA BONDS INCOME TR SERIES 65	OAKBROOK, IL	1/18/2011	00604731-05	Pending-Cleared
Number of Investment Company Filings: 66				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
DEBARTOLO OPPORTUNITY FUND 1 LP	TAMPA, FL	1/26/2011	00605278-07	Filed
HALL WINE OF NAPA LP	ST HELENA, CA	1/26/2011	00605279-07	Filed
OCM EMERGING MARKETS ABSOLUTE RETURN FEEDER FUND LP	LOS ANGELES, CA	1/26/2011	00605280-07	Filed
MESA WEST REAL ESTATE INCOME FUND 2 PF2 LP	LOS ANGELES, CA	1/25/2011	00605172-07	Filed
NU TECH FOODS INC	APPLE VLLLEY, MN	1/25/2011	00605173-07	Filed
ALLIANCE BERNSTEIN US REAL ESTATE HNW FUND LP	NEW YORK, NY	1/24/2011	00605023-07	Filed
ASGI AURORA OPPORTUNITIES FUND LLC	CHARLOTTE, NC	1/24/2011	00605021-07	Filed
ASGI CORBIN MULTI STRATEGY FUND LLC	CHARLOTTE, NC	1/24/2011	00605020-07	Filed
ASSOC COMMUNITY BANCORP INC	FAIFIELD, CT	1/24/2011	00605022-07	Filed
AZTEC 11 B OIL & GAS LP AZTEC ENERGY LLC	HOUSTON, TX	1/24/2011	00605013-07	Filed

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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
GOLDEN PHOENIX MINERALS INC	SPARKS, NV	1/24/2011	00605016-07	Filed
INDEPENDENCE EQUITY 1 LP	NORTHBROOK, IL	1/24/2011	00605017-07	Filed
NEWLINK GENETICS CORP	AMES, IA	1/24/2011	00605015-07	Filed
TPG FPC LLC	FORT WORTH, TX	1/24/2011	00605019-07	Filed
OVATION HOLDINGS INC	NAPLES, FL	1/21/2011	00604944-07	Filed
FEIGEDA ELECTRONIC TECHNOLOGY INC	GUANGDONG PROVINCE CHINA,	1/20/2011	00604820-07	Filed
HAMPDEN AGENCIES LTD	LONDON EC3V OAA UK,	1/20/2011	00604819-07	Filed
PIMCO BRAVO FUND SPECIAL ONSHORE FEEDER I LP	NEWPORT BEACH, CA	1/20/2011	00604818-07	Filed
2010 2011 YEAR END DRILLING PROGRAM LP	BOWLING GREEN, KY	1/19/2011	00604793-07	Filed
2D2C INC	LINCOLNSHIRE, IL	1/19/2011	00604794-07	Filed
HYDROMEDICA LLC	ALACHUA, FL	1/19/2011	00604796-07	Filed
SIONIX CORP	SANTA MONICA, CA	1/19/2011	00604795-07	Filed
WJA ALTERNATIVE INVESTMENT STRATEGIES 1 LLC	NEW YORK, NY	1/19/2011	00604791-07	Filed
AMERICAN TRAILER WORKS INC	SOUTHLAKE, TX	1/18/2011	00604738-07	Filed
CHF TECHNOLOGIES INC	SAN RAMON, CA	1/18/2011	00604733-07	Filed
LANSDOWNE EUROPEAN EQUITY FUND LTD	DUBLIN 1,	1/18/2011	00604737-07	Filed
LANSDOWNE UK EQUITY FUND LTD	DUBLIN 1,	1/18/2011	00604736-07	Filed
LEXDEBT SERIES 1 LLC	CHICAGO, IL	1/18/2011	00604734-07	Filed
US DEBT RECOVERY 8 LP	INCLINE VILLAGE, CA	1/18/2011	00604735-07	Filed
Number of Regulation D Notice Filings: 29				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
HBH FRANCHISE CO LLC / Honey Baked Ham Co., & Cafe	NORCROSS, GA	1/26/2011	601753	Registered

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<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
PLAY N TRADE FRANCHISE INC / Area Developer	NEW PORT BEACH, CA	1/26/2011	601755	Registered
STEAK N SHAKE ENTERPRISES INC	INDIANAPOLIS, IN	1/26/2011	601752	Registered
CareMinders Home Care, Inc.	ALPHARETTA, GA	1/25/2011	601750	Registered
Clean Franchising, LLC / Araya Clean - Unit	Phoenix, AZ	1/25/2011	601756	Registered
Clean Franchising, LLC / Araya Clean - Regional Developer	Phoenix, AZ	1/25/2011	601757	Registered
FRESHWAY FOOD SYSTEMS INC	SIOUX FALLS, SD	1/24/2011	601745	Registered
GOLDS GYM FRANCHISING LLC / Heritage program	IRVING, TX	1/24/2011	601743	Registered
Perrysberg Resources, LLC	Torrance, CA	1/24/2011	601742	Registered
TRYP Hotels Worldwide, Inc. / TRYP by Wyndham	PARSIPPANY, NJ	1/24/2011	601744	Registered
SONIC INDUSTRIES LLC - Number 7 License Agreement	OKLAHOMA CITY, OK	1/21/2011	601732	Registered
SONIC INDUSTRIES LLC - Number 6A License Agreement	OKLAHOMA CITY, OK	1/21/2011	601731	Registered
CAMILLES FRANCHISE SYSTEM LLC	TULSA, OK	1/21/2011	601746	Registered
HAPPY JOES FRANCHISING INC	BETTENDORF, IA	1/20/2011	601730	Registered
INFRASYS INC	SANDUSKY, OH	1/20/2011	601729	Registered
Hurricane AMT, LLC / Hurricane Grill and Wings (Area Director)	West Palm Beach, FL	1/19/2011	601716	Registered
Fitness Revolution International, LLC	Elizabethtown, KY	1/18/2011	601715	Registered
COLOR ME MINE FRANCHISING INC	NORTH HOLLYWOOD, CA	1/18/2011	601737	Registered
GOLDS GYM FRANCHISING LLC / Gold's Gym Express	IRVING, TX	1/18/2011	601736	Registered
Number of Franchise Filings: 19				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
Number of Takeover Filings: 0	