

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 1/10/2011 through 1/21/2011**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
CCPA PURCHASING PARTNERS LP	CHICAGO, IL	1/10/2011	00603925-02	Pending
Number of Registration Filings: 1				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
F & M BANK CORP	TIMBERVILLE, VA	1/20/2011	00604817-08	Pending
VINEYARD CHRISTIAN FELLOWSHIP DAYTON	BEAVERCREEK, OH	1/19/2011	00604792-10	Pending
Number of Exemption Filings: 2				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
VAN KAMPEN UNIT TR MUNICIPAL SERIES 955 INVESTMENT GRADE MUNICIPAL TR INTERMEDIATE SERIES 17	OAKBROOK, IL	1/21/2011	00604943-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1075 GLOBAL EXPANSION & INFLATION DEFENSE PORTFOLIO 2011 SERIES	OAKBROOK, IL	1/21/2011	00604938-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1080 PREFERRED OPPORTTUNITY PORTFOLIO SERIES 13	OAKBROOK, IL	1/21/2011	00604937-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1081 DOW JONES SELECT MICROCAP ENHANCED INDEX STRATEGY PORTFOLIO 2011 2	OAKBROOK, IL	1/21/2011	00604939-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1081 MID CAP STRATEGY PORTFOLIO 2011 2	OAKBROOK, IL	1/21/2011	00604940-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1081 SMALL CAP STRATEGY PORTFOLIO 2011 2	OAKBROOK, IL	1/21/2011	00604941-05	Pending-Cleared

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<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
VAN KAMPEN UNIT TR SERIES 1081 SMID STRATEGY PORTFOLIO 2011 2	OAKBROOK, IL	1/21/2011	00604942-05	Pending-Cleared
AGELS PORTFOLIO SERIES 2011 1Q	MONUMENT, CO	1/20/2011	00604912-05	Pending-Cleared
BALANCED PORTFOLIO 15 MONTH SERIES 2011 1Q	MONUMENT, CO	1/20/2011	00604923-05	Pending-Cleared
FT 2775 GERMAN PORTFOLIO SERIES	WHEATON, IL	1/20/2011	00604916-05	Pending-Cleared
FT 2810 INSURE MUNICIPAL INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 3	WHEATON, IL	1/20/2011	00604915-05	Pending-Cleared
FT 2811 ECONOMIC EXPANSION PORTFOLIO SERIES	WHEATON, IL	1/20/2011	00604917-05	Pending-Cleared
GLOBAL COVERED CALL & INCOME STRATEGIES CLOSED END PORTFOLIO 15 MONTHLY SERIES 2011 1Q	MONUMENT, CO	1/20/2011	00604922-05	Pending-Cleared
SENIOR VARIABLE RATE & INCOME CLOSED END PORTFOLIO 15 MONTH SERIES 2011 1Q	MONUMENT, CO	1/20/2011	00604921-05	Pending-Cleared
STEELPATH ALERIAN MLP & INCOME PORTFOLIO SERIES 2011 1	MONUMENT, CO	1/20/2011	00604914-05	Pending-Cleared
TACTICAL INCOME SLOED END PORTFOLIO 15 MONTH SERIES 2011 1Q	MONUMENT, CO	1/20/2011	00604920-05	Pending-Cleared
TX EX MUNICIPAL PORTFOLIO INTERMEDIATE SERIES 21 AN SMC FIM PORTFOLIO	MONUMENT, CO	1/20/2011	00604913-05	Pending-Cleared
CATALYST FUNDS SSMH TOTAL RETURN INCOME FUND	WILLOW GROVE, PA	1/19/2011	00604786-03	Pending-Cleared
COPELAND TR COPELAND RISK MANAGED DIVIDEND GROWTH FUND CLASS A	OMAHA, NE	1/19/2011	00604790-03	Pending-Cleared
EQUINOX FUNDS TR EQUINOX MARKET NEUTRAL COMMODITY STRATEGY FUND CLASS A	OMAHA, NE	1/19/2011	00604787-03	Pending-Cleared
EQUINOX FUNDS TR EQUINOX MARKET NEUTRAL COMMODITY STRATEGY FUND CLASS I	OMAHA, NE	1/19/2011	00604789-03	Pending-Cleared

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EQUINOX FUNDS TR EQUINOX MARKET NEUTRAL COMMODITY STRATEGY FUND CLASS C	OMAHA, NE	1/19/2011	00604788-03	Pending-Cleared
FT 2771 HEALTH CARE SELECT PORTFOLIO SERIES 19	WHEATON, IL	1/19/2011	00604785-05	Pending-Cleared
FT 2781 HIGH DIVIDEND EQUITY PORTFOLIO SERIES 38	WHEATON, IL	1/19/2011	00604784-05	Pending-Cleared
OPPENHEIMER INTEGRITY FUNDS OPPENHEIMER CORE BOND FUND CLASS A	DENVER, CO	1/18/2011	00604714-03	Pending-Cleared
OPPENHEIMER MUNICIPAL FUND OPPENHEIMER LTD TERM MUNICIPAL FUND CLASS Y	DENVER, CO	1/18/2011	00604713-03	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 948 INSURED MUNICIPALS INCOME TR SERIES 625	OAKBROOK, IL	1/18/2011	00604730-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 949 INSURED MUNICIPALS INCOME TR SERIES 626	OAKBROOK, IL	1/18/2011	00604729-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 950 QUALITY MUNICIPALS INCOME TR 34TH LTD MATURITY SERIES	OAKBROOK, IL	1/18/2011	00604728-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 951 INVESTORS QUAILITY TX EX TR SERIES 135	OAKBROOK, IL	1/18/2011	00604726-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 952 INVESTORS QUAILITY TX EX TR SERIES 136	OAKBROOK, IL	1/18/2011	00604727-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 953 INVESTMENT GRADE MUNICIPAL TR SERIES 116	OAKBROOK, IL	1/18/2011	00604724-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 953 INVESTMENT GRADE MUNICIPAL TR SERIES 117	OAKBROOK, IL	1/18/2011	00604725-05	Pending-Cleared

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VAN KAMPEN UNIT TR SERIES 1076 DIVERSIFIED HEALTHCARE PORTFOLIO SERIES 54	OAKBROOK, IL	1/18/2011	00604720-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1076 ENERGY PORTFOLIO SERIES 37	OAKBROOK, IL	1/18/2011	00604719-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1076 FINANCIAL INSTITUTIONS PORTFOLIO SERIES 49	OAKBROOK, IL	1/18/2011	00604721-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1076 REIT INCOME PORTFOLIO 2011 1	OAKBROOK, IL	1/18/2011	00604722-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1076 UTILITY INCOME PORTFOLIO 2011 1	OAKBROOK, IL	1/18/2011	00604723-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1077 DOW JONES LARGE CAP GROWTH STRATEGY 2011 1	OAKBROOK, IL	1/18/2011	00604718-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1078 SELECT S&P GREATER CHINA PICKS PORTFOLIO SERIES 21	OAKBROOK, IL	1/18/2011	00604717-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1079 ALTERNATIVE ALLOCATION PORTFOLIO 2011 1	OAKBROOK, IL	1/18/2011	00604715-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1079 LONG SHORT STRATEGY PORTFOLIO 2011 1	OAKBROOK, IL	1/18/2011	00604716-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 306 BUILD AMERICA BONDS INCOME TR SERIES 64	OAKBROOK, IL	1/18/2011	00604732-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 307 BUILD AMERICA BONDS INCOME TR SERIES 65	OAKBROOK, IL	1/18/2011	00604731-05	Pending-Cleared
1ST AMERICAN INVESTMENT FUNDS INC NUVEEN INTERMEDIATE TERM BOND FUND CLASS C	CHICAGO, IL	1/14/2011	00604709-03	Pending-Cleared
1ST TR COMBINED SERIES 347 TX EX MUNICIPAL INCOME TR SERIES 263	WHEATON, IL	1/14/2011	00604662-05	Pending-Cleared

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1ST TR COMBINED SERIES 348 BUILD AMERICA BONDS PORTFOLIO SERIES 25	WHEATON, IL	1/14/2011	00604663-05	Pending-Cleared
ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 28	MONUMENT, CO	1/14/2011	00604658-05	Pending-Cleared
BRIC ADVANTAGE PORTFOLIO SERIES 2011 1Q	MONUMENT, CO	1/14/2011	00604657-05	Pending-Cleared
FT 2758 SELECT DSIP PORTFOLIO 1ST QUARTER 2011 SERIES	WHEATON, IL	1/14/2011	00604664-05	Pending-Cleared
FT 2768 INTEREST RATE HEDGE PORTFOLIO SERIES 24	WHEATON, IL	1/14/2011	00604661-05	Pending-Cleared
GLOBAL TECHNOLOGY PORTFOLIO 2011 1	MONUMENT, CO	1/14/2011	00604659-05	Pending-Cleared
HIGH YIELD & INCOME CLOSED END PORTFOLIO SERIES 2011 1	MONUMENT, CO	1/14/2011	00604660-05	Pending-Cleared
PRUDENTIAL INVESTMENT PORTFOLIOS INC 10 PRUDENTIAL JENNISON EQUITY INCOME FUND CLASS Q	NEWARK, NJ	1/14/2011	00604706-03	Registered
PRUDENTIAL INVESTMENT PORTFOLIOS INC 10 PRUDENTIAL JENNISON EQUITY INCOME FUND CLASS R	NEWARK, NJ	1/14/2011	00604707-03	Registered
PRUDENTIAL INVESTMENT PORTFOLIOS INC 10 PRUDENTIAL MID CAP VALUE FUND CLASS Q	NEWARK, NJ	1/14/2011	00604708-03	Registered
PRUDENTIAL JENNISON MID CAP GROWTH FUND INC CLASS Q	NEWARK, NJ	1/14/2011	00604710-03	Registered
WEITZ FUNDS RESEARCH FUND	OMAHA, NE	1/14/2011	00604655-03	Pending-Cleared
FT 2761 ENERGY SELECT PORTFOLIO SERIES 37	WHEATON, IL	1/13/2011	00604142-05	Pending-Cleared
FT 2761 WATER UTILITY & INFRASTRUCTURE SELECT PORTFOLIO SERIES 19	WHEATON, IL	1/13/2011	00604141-05	Pending-Cleared
FT 2762 STRATEGIC INCOME ADVANTAGE SELECT CLOSED END PORTFOLIO SERIES 24	WHEATON, IL	1/13/2011	00604139-05	Pending-Cleared

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 2769 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 32	WHEATON, IL	1/13/2011	00604140-05	Pending-Cleared
FT 2772 ETF GROWTH & INCOME JAN 11	WHEATON, IL	1/13/2011	00604138-05	Pending-Cleared
GLOBAL CLOSED END PORTFOLIO SERIES 2011 1	MONUMENT, CO	1/13/2011	00604132-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 730 GUGGENHEIM BUILD AMERICA BONDS TR SERIES 7	LISLE, IL	1/13/2011	00604134-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 755 FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 24	LISLE, IL	1/13/2011	00604133-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 756 GUGGENHEIM INFLATION DEFENSE & DIVIDEND PORTFOLIO SERIES 2	LISLE, IL	1/13/2011	00604137-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 758 BNY MELLON BRAZIL RUSSIA INDIA & CHINA PORTFOLIO SERIES 10	LISLE, IL	1/13/2011	00604136-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 759 UTILITIES PORTFOLIO SERIES 13	LISLE, IL	1/13/2011	00604135-05	Pending-Cleared
OPPENHEIMER CAPITAL INCOME FUND CLASS Y SHARES	DENVER, CO	1/11/2011	00603914-03	Pending-Cleared
PRINCIPAL FUNDS INC INTERNATIONAL VALUE FUND I INSTITUTIONAL CLASS	DES MOINES, IA	1/11/2011	00603935-03	Registered
FT 2757 MUNICIPAL INCOME CLOSED END PORTFOLIO SERIES 39	WHEATON, IL	1/10/2011	00603814-05	Pending-Cleared
Number of Investment Company Filings: 72				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
OVATION HOLDINGS INC	NAPLES, FL	1/21/2011	00604944-07	Filed

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FEIGEDA ELECTRONIC TECHNOLOGY INC	GUANGDONG PROVINCE CHINA,	1/20/2011	00604820-07	Filed
HAMPDEN AGENCIES LTD	LONDON EC3V OAA UK,	1/20/2011	00604819-07	Filed
PIMCO BRAVO FUND SPECIAL ONSHORE FEEDER I LP	NEWPORT BEACH, CA	1/20/2011	00604818-07	Filed
2010 2011 YEAR END DRILLING PROGRAM LP	BOWLING GREEN, KY	1/19/2011	00604793-07	Filed
2D2C INC	LINCOLNSHIRE, IL	1/19/2011	00604794-07	Filed
HYDROMEDICA LLC	ALACHUA, FL	1/19/2011	00604796-07	Filed
SIONIX CORP	SANTA MONICA, CA	1/19/2011	00604795-07	Filed
WJA ALTERNATIVE INVESTMENT STRATEGIES 1 LLC	NEW YORK, NY	1/19/2011	00604791-07	Filed
AMERICAN TRAILER WORKS INC	SOUTHLAKE, TX	1/18/2011	00604738-07	Filed
CHF TECHNOLOGIES INC	SAN RAMON, CA	1/18/2011	00604733-07	Filed
LANSDOWNE EUROPEAN EQUITY FUND LTD	DUBLIN 1,	1/18/2011	00604737-07	Filed
LANSDOWNE UK EQUITY FUND LTD	DUBLIN 1,	1/18/2011	00604736-07	Filed
LEXDEBT SERIES 1 LLC	CHICAGO, IL	1/18/2011	00604734-07	Filed
US DEBT RECOVERY 8 LP	INCLINE VILLAGE, CA	1/18/2011	00604735-07	Filed
BROOKWOOD COMMERCE IVESTORS LLC	BEVERLY, MA	1/14/2011	00604665-07	Filed
JAMES ALPHA SMALL CAP FUND LTD	GEORGE TOWN GRAND CAYMAN,	1/14/2011	00604666-07	Filed
BARCLAYS BAND PLC ENG	LONDON E14 5HP,	1/13/2011	00604144-07	Filed
BLACKSTONE STRATEGIC ALLIANCE FUND 2 LP	NEW YORK, NY	1/13/2011	00604145-07	Filed
HBK OFFSHORE FUND 2 LP	DALLAS, TX	1/13/2011	00604146-07	Filed
KEEN FOCUS FUND 2 LP	CHARLOTTE, NC	1/13/2011	00604143-07	Filed
CELLERATION INC	EDEN PRAIRIE, MN	1/11/2011	00603920-07	Filed
HALEK ENERGY ND LLC	LAS VEGAS, NV	1/11/2011	00603919-07	Filed
SAB EQUITY PARTNERSHIP 3 LP	SAN ANTONIO, TX	1/11/2011	00603922-07	Filed

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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
SAB EQUITY PARTNERSHIP LP	SAN ANTONIO, TX	1/11/2011	00603923-07	Filed
SAB VALUE OPPORTUNITIES FUND LP	SAN ANTONIO, TX	1/11/2011	00603921-07	Filed
TITAN SPINE LLC	MEQUON, WI	1/11/2011	00603924-07	Filed
Number of Regulation D Notice Filings: 27				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
SONIC INDUSTRIES LLC - Number 7 License Agreement	OKLAHOMA CITY, OK	1/21/2011	601732	Registered
SONIC INDUSTRIES LLC - Number 6A License Agreement	OKLAHOMA CITY, OK	1/21/2011	601731	Registered
HAPPY JOES FRANCHISING INC	BETTENDORF, IA	1/20/2011	601730	Registered
INFRASYS INC	SANDUSKY, OH	1/20/2011	601729	Registered
Hurricane AMT, LLC / Hurricane Grill and Wings (Area Director)	West Palm Beach, FL	1/19/2011	601716	Registered
Fitness Revolution International, LLC	Elizabethtown, KY	1/18/2011	601715	Registered
Renters Warehouse USA LLC / Renters Warehouse	Golden Valley, MN	1/14/2011	601714	Registered
Moonvision Enterprises, Inc. / Moonvision Enterprises, Inc.	Greenville, NC	1/11/2011	601700	Registered
FITNESS TOGETHER FRANCHISE CORP / Area Director Franchise Program	Highlands Ranch, CO	1/10/2011	601701	Registered
Number of Franchise Filings: 9				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
Number of Takeover Filings: 0	