

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 12/27/2010 through 1/7/2011**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
MECKLENBURG COMMUNITY CHURCH	CHARLOTTE, NC	12/30/2010	00600211-02	Pending
Number of Registration Filings: 1				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ADHEREX TECHNOLOGIES INC	CHAPEL HILL, NC	1/6/2011	00603393-08	Not Disallowed
Number of Exemption Filings: 1				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 2751 DIVERSIFIED EQUITY STRATEGIC ALLOCATION PORT 1Q 11 TERM 4 18 12	WHEATON, IL	1/7/2011	00603707-05	Pending-Cleared
FT 2754 FINANCIALS SELECT PORTFOLIO SERIES 34	WHEATON, IL	1/7/2011	00603708-05	Pending-Cleared
FT 2754 PRECIOUS METALS SELECT PORTFOLIO SERIES 22	WHEATON, IL	1/7/2011	00603709-05	Pending-Cleared
FT 2764 GLOBAL BOND INCOME PLUS CLOSED END PORTFOLIO SERIES 19	WHEATON, IL	1/7/2011	00603710-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 754 DIVERSIFIED INCOME WAVE PORTFOLIO SERIES 18	LISLE, IL	1/7/2011	00603706-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 754 MULTIPLE ASSET PORTFOLIO PLUS 2 YEAR SERIES 36	LISLE, IL	1/7/2011	00603705-05	Pending-Cleared
SMART TR TAX ADVANTAGED GROWTH & INCOME TR 2011 SERIES A	PARSIPPANY, NY	1/7/2011	00603704-05	Pending-Cleared
1ST TR COMBINED SERIES 346 BUILD AMERICA BONDS PORTFOLIO 10 20 YEAR SERIES 14	WHEATON, IL	1/6/2011	00603383-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings  
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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 2746 INFLATION HEDGE OPPORTUNITY PORTFOLIO SERIES 7	WHEATON, IL	1/6/2011	00603381-05	Pending-Cleared
FT 2747 MUNICIPAL ADVANTAGE CLOSED END & ETF PORTFOLIO SERIES 4	WHEATON, IL	1/6/2011	00603382-05	Pending-Cleared
FT 2752 60 40 STRATEGIC ALLOCATION PORT 1Q 11 TERM 4 19 12	WHEATON, IL	1/6/2011	00603375-05	Pending-Cleared
FT 2752 75 25 STRATEGIC ALLOCATION PORT 1Q 11 TERM 4 19 12	WHEATON, IL	1/6/2011	00603374-05	Pending-Cleared
FT 2753 DIVERSIFIED FIXED INCOME ETF PORTFOLIO SERIES 4	WHEATON, IL	1/6/2011	00603380-05	Pending-Cleared
FT 2755 GLOBAL INFRASTRUCTURE PORTFOLIO SERIES 15	WHEATON, IL	1/6/2011	00603376-05	Pending-Cleared
FT 2756 MERGER & ACQUISITION PORTFOLIO SERIES 6	WHEATON, IL	1/6/2011	00603379-05	Pending-Cleared
FT 2765 REIT GROWTH & INCOME PLUS PORTFOLIO SERIES 6	WHEATON, IL	1/6/2011	00603378-05	Pending-Cleared
FT 2766 INTEREST RATE HEDGE PORTFOLIO SERIES 23	WHEATON, IL	1/6/2011	00603387-05	Pending-Cleared
FT 2767 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 31	WHEATON, IL	1/6/2011	00603384-05	Pending-Cleared
FT 2777 PREFERRED INCOME PORTFOLIO SERIES 41	WHEATON, IL	1/6/2011	00603386-05	Pending-Cleared
FT 2778 STRATEGIC INCOME PLUS CLOSED END PORTFOLIO SERIES 28	WHEATON, IL	1/6/2011	00603385-05	Pending-Cleared
FT 2779 BAKER BOYER CORE EQUITY PORTFOLIO SERIES 3	WHEATON, IL	1/6/2011	00603388-05	Pending-Cleared
FT 2804 BRAZIL PORTFOLIO SERIES 7	WHEATON, IL	1/6/2011	00603377-05	Pending-Cleared
MORGAN STANLEY INSTITUTIONAL FUND INC ASIAN EQUITY PORTFOLIO CLASS P	NEW YORK, NY	1/6/2011	00603373-03	Pending-Cleared
MORGAN STANLEY INSTITUTIONAL FUND INC ASIAN EQUITY PORTFOLIO CLASS L	NEW YORK, NY	1/6/2011	00603371-03	Pending-Cleared

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MORGAN STANLEY INSTITUTIONAL FUND INC ASIAN EQUITY PORTFOLIO CLASS I	NEW YORK, NY	1/6/2011	00603370-03	Pending-Cleared
MORGAN STANLEY INSTITUTIONAL FUND INC ASIAN EQUITY PORTFOLIO CLASS H	NEW YORK, NY	1/6/2011	00603372-03	Pending-Cleared
MORGAN STANLEY INSTITUTIONAL FUND INC GLOBAL ADVANTAGE PORTFOLIO CLASS I	NEW YORK, NY	1/6/2011	00603368-03	Pending-Cleared
MORGAN STANLEY INSTITUTIONAL FUND INC GLOBAL ADVANTAGE PORTFOLIO CLASS L	NEW YORK, NY	1/6/2011	00603367-03	Pending-Cleared
MORGAN STANLEY INSTITUTIONAL FUND INC GLOBAL ADVANTAGE PORTFOLIO CLASS P	NEW YORK, NY	1/6/2011	00603366-03	Pending-Cleared
MORGAN STANLEY INSTITUTIONAL FUND INC GLOBAL ADVANTAGE PORTFOLIO CLASS H	NEW YORK, NY	1/6/2011	00603369-03	Pending-Cleared
MORGAN STANLEY INSTITUTIONAL FUND INC GLOBAL DISCOVERY PORTFOLIO CLASS H	NEW YORK, NY	1/6/2011	00603365-03	Pending-Cleared
MORGAN STANLEY INSTITUTIONAL FUND INC GLOBAL DISCOVERY PORTFOLIO CLASS P	NEW YORK, NY	1/6/2011	00603362-03	Pending-Cleared
MORGAN STANLEY INSTITUTIONAL FUND INC GLOBAL DISCOVERY PORTFOLIO CLASS L	NEW YORK, NY	1/6/2011	00603363-03	Pending-Cleared
MORGAN STANLEY INSTITUTIONAL FUND INC GLOBAL DISCOVERY PORTFOLIO CLASS I	NEW YORK, NY	1/6/2011	00603364-03	Pending-Cleared
MORGAN STANLEY INSTITUTIONAL FUND INC INTERNATIONAL ADVANTAGE PORTFOLIO CLASS I	NEW YORK, NY	1/6/2011	00603360-03	Pending-Cleared
MORGAN STANLEY INSTITUTIONAL FUND INC INTERNATIONAL ADVANTAGE PORTFOLIO CLASS L	NEW YORK, NY	1/6/2011	00603359-03	Pending-Cleared

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MORGAN STANLEY INSTITUTIONAL FUND INC INTERNATIONAL ADVANTAGE PORTFOLIO CLASS P	NEW YORK, NY	1/6/2011	00603358-03	Pending-Cleared
MORGAN STANLEY INSTITUTIONAL FUND INC INTERNATIONAL ADVANTAGE PORTFOLIO CLASS H	NEW YORK, NY	1/6/2011	00603361-03	Pending-Cleared
ABERDEEN FUNDS ABERDEEN EMERGING MARKETS DEBT LOCAL CURRENCY FUND INSTITUTIONAL CLASS SHARES	COLUMBUS, OH	1/5/2011	00603344-03	Pending-Cleared
ABERDEEN FUNDS ABERDEEN EMERGING MARKETS DEBT LOCAL CURRENCY FUND INSTITUTIONAL SERVICE CLASS SHARES	COLUMBUS, OH	1/5/2011	00603345-03	Pending-Cleared
ABERDEEN FUNDS ABERDEEN EMERGING MARKETS DEBT LOCAL CURRENCY FUND CLASS R SHARES	COLUMBUS, OH	1/5/2011	00603346-03	Pending-Cleared
ABERDEEN FUNDS ABERDEEN EMERGING MARKETS DEBT LOCAL CURRENCY FUND CLASS A SHARES	COLUMBUS, OH	1/5/2011	00603342-03	Pending-Cleared
ABERDEEN FUNDS ABERDEEN EMERGING MARKETS DEBT LOCAL CURRENCY FUND CLASS C SHARES	COLUMBUS, OH	1/5/2011	00603343-03	Pending-Cleared
ABERDEEN FUNDS ABERDEEN GLOBAL HIGH YIELD BOND FUND INSTITUTIONAL SERVICE CLASS SHARES	COLUMBUS, OH	1/5/2011	00603350-03	Registered
ABERDEEN FUNDS ABERDEEN GLOBAL HIGH YIELD BOND FUND INSTITUTIONAL CLASS SHARES	COLUMBUS, OH	1/5/2011	00603349-03	Registered
ABERDEEN FUNDS ABERDEEN GLOBAL HIGH YIELD BOND FUND CLASS A SHARES	COLUMBUS, OH	1/5/2011	00603347-03	Registered

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ABERDEEN FUNDS ABERDEEN GLOBAL HIGH YIELD BOND FUND CLASS C SHARES	COLUMBUS, OH	1/5/2011	00603348-03	Registered
ABERDEEN FUNDS ABERDEEN GLOBAL HIGH YIELD BOND FUND CLASS R SHARES	COLUMBUS, OH	1/5/2011	00603351-03	Registered
ABERDEEN FUNDS ABERDEEN ULTRA SHORT DURATION BOND FUND INSTITUTIONAL SERVICE CLASS SHARES	COLUMBUS, OH	1/5/2011	00603340-03	Registered
ABERDEEN FUNDS ABERDEEN ULTRA SHORT DURATION BOND FUND CLASS R SHARES	COLUMBUS, OH	1/5/2011	00603341-03	Registered
ABERDEEN FUNDS ABERDEEN ULTRA SHORT DURATION BOND FUND CLASS C SHARES	COLUMBUS, OH	1/5/2011	00603339-03	Registered
ABERDEEN FUNDS ABERDEEN ULTRA SHORT DURATION BOND FUND CLASS A SHARES	COLUMBUS, OH	1/5/2011	00603338-03	Registered
OCEANSTONE FUND	CARLSBAD, CA	1/5/2011	00600397-03	Pending-Cleared
PIMCO FUNDS PIMCO EM FUNDAMENTAL INDEXPLUS TR STRATEGY FUND CLASS P	NEWPORT BEACH, CA	1/5/2011	00603352-03	Registered
1ST TR COMBINED SERIES 345 BUILD AMERICA BONDS PORTFOLIO SERIES 24	WHEATON, IL	1/4/2011	00600290-05	Pending-Cleared
CATALYST FUNDS CAMELOT PREMIUM RETURN FUND CLASS A	WILLOW GROVE, PA	1/4/2011	00600283-03	Pending-Cleared
CATALYST FUNDS CAMELOT PREMIUM RETURN FUND CLASS C	WILLOW GROVE, PA	1/4/2011	00600282-03	Pending-Cleared
DIVIDEND RULER PORTFOLIO 2011A	MONUMENT, CO	1/4/2011	00600288-05	Pending-Cleared
EQUITY DIVIDEND & INCOME CLOSED END PORTFOLIO SERIES 2011 1	MONUMENT, CO	1/4/2011	00600287-05	Pending-Cleared
FT 2745 BALANCED INCOME PORTFOLIO SERIES 27	WHEATON, IL	1/4/2011	00600297-05	Pending-Cleared

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FT 2748 EMERGING MARKETS STRENGTH PORTFOLIO SERIES 8	WHEATON, IL	1/4/2011	00600296-05	Pending-Cleared
FT 2749 INTERNATIONAL CAPITAL STRENGTH PORTFOLIO SERIES 6	WHEATON, IL	1/4/2011	00600292-05	Pending-Cleared
FT 2749 SMID CAPITAL STRENGTH PORTFOLIO SERIES 6	WHEATON, IL	1/4/2011	00600291-05	Pending-Cleared
FT 2773 DIVIDEND & INCOME PLUS CLOSED END PORTFOLIO SERIES 27	WHEATON, IL	1/4/2011	00600289-05	Pending-Cleared
FT 2776 INSURED MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 21	WHEATON, IL	1/4/2011	00600294-05	Pending-Cleared
FT 2780 STRATEGIC DIVIDEND PLUS PORTFOLIO SERIES	WHEATON, IL	1/4/2011	00600293-05	Pending-Cleared
FT 2803 MORGAN KEEGAN 2011 SELECT IDEAS PORTFOLIO	WHEATON, IL	1/4/2011	00600295-05	Pending-Cleared
GLENMEDE FUND INC SECURED OPTIONS PORTFOLIO	BOSTON, MA	1/4/2011	00600286-03	Pending-Cleared
MMA PRAXIS MUTUAL FUNDS MMA PRAXIS INTERNATIONAL INDEX FUND CLASS A	CINCINNATI, OH	1/4/2011	00600285-03	Pending-Cleared
NILE CAPITAL INVESTMENT TR NILE PAN AFRICA FUND CLASS A	NEW YORK, NY	1/4/2011	00600284-03	Pending-Cleared
NORTHERN LIGHTS FUND TR ARROW COMMODITY STRATEGY FUND CLASS A	HAUPPAGE, NY	1/4/2011	00600280-03	Pending-Cleared
NORTHERN LIGHTS FUND TR ARROW COMMODITY STRATEGY FUND CLASS C	HAUPPAGE, NY	1/4/2011	00600281-03	Pending-Cleared
ADVISORS SERIES TR PIA HIGH YIELD FUND INVESTOR CLASS	MILWAUKEE, WI	1/3/2011	00600235-03	Pending-Cleared
FMI FUNDS INC FMI INTERNATIONAL FUND	MILWAUKEE, WI	1/3/2011	00600237-03	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 753 GUGGENHEIM INTERNATIONAL DIVIDEND STRATEGY PORTFOLIO SERIES 10	LISLE, IL	1/3/2011	00600234-05	Pending-Cleared

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HENDERSON GLOBAL FUNDS HENDERSON INTERNATIONAL EQUITY FUND CLASS C	CHICAGO, IL	1/3/2011	00600244-03	Pending-Cleared
HENDERSON GLOBAL FUNDS HENDERSON INTERNATIONAL EQUITY FUND CLASS A	CHICAGO, IL	1/3/2011	00600245-03	Pending-Cleared
NATIONWIDE MUTUAL FUNDS NATIONWIDE DESTINATION 2055 FUND CLASS C	BOSTON, MA	1/3/2011	00600249-03	Pending-Cleared
NATIONWIDE MUTUAL FUNDS NATIONWIDE DESTINATION 2055 FUND CLASS A	BOSTON, MA	1/3/2011	00600248-03	Pending-Cleared
NATIONWIDE MUTUAL FUNDS NATIONWIDE DESTINATION 2055 FUND INSTITUTIONAL SERVICE CLASS	BOSTON, MA	1/3/2011	00600251-03	Pending-Cleared
NATIONWIDE MUTUAL FUNDS NATIONWIDE DESTINATION 2055 FUND CLASS R2	BOSTON, MA	1/3/2011	00600247-03	Pending-Cleared
NATIONWIDE MUTUAL FUNDS NATIONWIDE DESTINATION 2055 FUND INSTITUTIONAL CLASS	BOSTON, MA	1/3/2011	00600250-03	Pending-Cleared
NATIONWIDE MUTUAL FUNDS NATIONWIDE DESTINATION 2055 FUND CLASS R1	BOSTON, MA	1/3/2011	00600246-03	Pending-Cleared
TR FOR PROFESSIONAL MANAGERS MORGAN DEMPSEY SMALL MICRO CAP VALUE FUND	MILWAUKEE, WI	1/3/2011	00600236-03	Pending-Cleared
CASH RESERVE FUND INC PRIME SERIES MANAGED SHARES	CHICAGO, IL	12/30/2010	00600216-03	Pending-Cleared
TCW FUNDS INC TCW EMERGING MARKETS LOCAL CURRENCY INCOME FUND CLASS I	LOS ANGELES, CA	12/30/2010	00600217-03	Registered
TCW FUNDS INC TCW EMERGING MARKETS LOCAL CURRENCY INCOME FUND CLASS N	LOS ANGELES, CA	12/30/2010	00600218-03	Registered
ADVISORS SERIES TR WBI ABSOLUTE RETURN BALANCED FUND INSTITUTIONAL SHARES	MILWAUKEE, WI	12/29/2010	00600027-03	Pending-Cleared

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ADVISORS SERIES TR WBI ABSOLUTE RETURN BALANCED FUND NO LOAD SHARES	MILWAUKEE, WI	12/29/2010	00600028-03	Pending-Cleared
ADVISORS SERIES TR WBI ABSOLUTE RETURN DIVIDEND GROWTH FUND NO LOAD SHARES	MILWAUKEE, WI	12/29/2010	00600025-03	Pending-Cleared
ADVISORS SERIES TR WBI ABSOLUTE RETURN DIVIDEND GROWTH FUND INSTITUTIONAL SHARES	MILWAUKEE, WI	12/29/2010	00600026-03	Pending-Cleared
ICON FUNDS ICON ENERGY FUND CLASS A	GREENWOOD VILLAGE, CO	12/29/2010	00600029-03	Pending-Cleared
PROFESSIONALLY MANAGED PORTFOLIOS BOSTON COMMON FUNDS BOSTON COMMON INTERNATIONAL FUND	MILWAUKEE, WI	12/29/2010	00600024-03	Pending-Cleared
RUSSELL INVESTMENT CO 2055 STRATEGY FUND CLASS R1 SHARES	SEATTLE, WA	12/29/2010	00600393-03	Registered
RUSSELL INVESTMENT CO 2055 STRATEGY FUND CLASS R2 SHARES	SEATTLE, WA	12/29/2010	00600394-03	Registered
RUSSELL INVESTMENT CO 2055 STRATEGY FUND CLASS R3 SHARES	SEATTLE, WA	12/29/2010	00600395-03	Registered
FT 2715 INCOME ALLOCATION CLOSED END JAN 11	WHEATON, IL	12/28/2010	00599937-05	Pending-Cleared
FT 2716 DOW TARGET 10 JAN 11 TERM 3 30 12	WHEATON, IL	12/28/2010	00599936-05	Pending-Cleared
FT 2716 DOW TARGET DVD JAN 11 TERM 3 30 12	WHEATON, IL	12/28/2010	00599935-05	Pending-Cleared
FT 2716 TARGET FOCUS 4 JAN 11 TERM 3 30 12	WHEATON, IL	12/28/2010	00599932-05	Pending-Cleared
FT 2716 TARGET TRIAD JAN 11 TERM 3 30 12	WHEATON, IL	12/28/2010	00599933-05	Pending-Cleared
FT 2716 TARGET VIP JAN 11 TERM 3 30 12	WHEATON, IL	12/28/2010	00599934-05	Pending-Cleared
FT 2717 DOW TARGET 5 1Q 11 TERM 3 30 12	WHEATON, IL	12/28/2010	00599938-05	Pending-Cleared
FT 2717 GLOBAL TARGET 15 1Q 11 TERM 3 30 12	WHEATON, IL	12/28/2010	00599931-05	Pending-Cleared
FT 2717 MSCI EAFE TARGET 20 1Q 11 TERM 3 30 12	WHEATON, IL	12/28/2010	00599929-05	Pending-Cleared

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FT 2717 NASDAQ TARGET 15 1Q 11 TERM 3 30 12	WHEATON, IL	12/28/2010	00599930-05	Pending-Cleared
FT 2717 NYSE INTL TARGET 25 1Q 11 TERM 3 30 12	WHEATON, IL	12/28/2010	00599944-05	Pending-Cleared
FT 2717 S&P TARGET 24 1Q 11 TERM 3 30 12	WHEATON, IL	12/28/2010	00599945-05	Pending-Cleared
FT 2717 S&P TARGET SMID 60 1Q 11 TERM 3 30 12	WHEATON, IL	12/28/2010	00599942-05	Pending-Cleared
FT 2717 TARGET 50 50 1Q 11 TERM 3 30 12	WHEATON, IL	12/28/2010	00599943-05	Pending-Cleared
FT 2717 TARGET DBL PLAY 1Q 11 TERM 3 30 12	WHEATON, IL	12/28/2010	00599946-05	Pending-Cleared
FT 2717 TARGET DIVSD DVD 1Q 11 TERM 3 30 12	WHEATON, IL	12/28/2010	00599949-05	Pending-Cleared
FT 2717 TARGET DVD MULTI STRAT 1Q 11 TERM 3 30 12	WHEATON, IL	12/28/2010	00599948-05	Pending-Cleared
FT 2717 TARGET FOCUS 5 1Q 11 TERM 3 30 12	WHEATON, IL	12/28/2010	00599947-05	Pending-Cleared
FT 2717 TARGET GLOBAL DVD LEADERS 1Q 11 TERM 3 30 12	WHEATON, IL	12/28/2010	00599955-05	Pending-Cleared
FT 2717 TARGET GROWTH 1Q 11 TERM 3 30 12	WHEATON, IL	12/28/2010	00599952-05	Pending-Cleared
FT 2717 TARGET SMALL CAP 1Q 11 TERM 3 30 12	WHEATON, IL	12/28/2010	00599953-05	Pending-Cleared
FT 2717 TARGET VIP CPNS EQTY 1Q 11 TERM 3 30 12	WHEATON, IL	12/28/2010	00599954-05	Pending-Cleared
FT 2717 VALUE LINE DIVSD TARGET 40 1Q 11 TERM 3 30 12	WHEATON, IL	12/28/2010	00599950-05	Pending-Cleared
FT 2717 VALUE LINE TARGET 25 1Q 11 TERM 3 30 12	WHEATON, IL	12/28/2010	00599951-05	Pending-Cleared
FT 2720 TARGET DVD MULTI STRAT PLUS 1Q 11 TERM 3 30 12	WHEATON, IL	12/28/2010	00599939-05	Pending-Cleared
FT 2743 INTEREST RATE HEDGE PORTFOLIO SERIES 22	WHEATON, IL	12/28/2010	00599941-05	Pending-Cleared
FT 2744 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 30	WHEATON, IL	12/28/2010	00599940-05	Pending-Cleared
ASTON FUNDS ASTON RIVER ROAD INDEPENDENT VALUE FUND CLASS N SHARES	CHICAGO, IL	12/27/2010	00599899-03	Registered

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ASTON FUNDS ASTON RIVER ROAD LONG SHORT FUND CLASS N SHARES	CHICAGO, IL	12/27/2010	00599900-03	Registered
JANUS INVESTMENT FUND JANUS EMERGING MARKETS FUND CLASS A SHARES	DENVER, CO	12/27/2010	00599894-03	Registered
JANUS INVESTMENT FUND JANUS EMERGING MARKETS FUND CLASS C SHARES	DENVER, CO	12/27/2010	00599891-03	Registered
JANUS INVESTMENT FUND JANUS EMERGING MARKETS FUND CLASS I SHARES	DENVER, CO	12/27/2010	00599893-03	Registered
JANUS INVESTMENT FUND JANUS EMERGING MARKETS FUND CLASS S SHARES	DENVER, CO	12/27/2010	00599895-03	Registered
JANUS INVESTMENT FUND JANUS EMERGING MARKETS FUND CLASS T SHARES	DENVER, CO	12/27/2010	00599897-03	Registered
JANUS INVESTMENT FUND JANUS GLOBAL BOND FUND CLASS A SHARES	DENVER, CO	12/27/2010	00599888-03	Registered
JANUS INVESTMENT FUND JANUS GLOBAL BOND FUND CLASS C SHARES	DENVER, CO	12/27/2010	00599889-03	Registered
JANUS INVESTMENT FUND JANUS GLOBAL BOND FUND CLASS I SHARES	DENVER, CO	12/27/2010	00599890-03	Registered
JANUS INVESTMENT FUND JANUS GLOBAL BOND FUND CLASS S SHARES	DENVER, CO	12/27/2010	00599892-03	Registered
JANUS INVESTMENT FUND JANUS GLOBAL BOND FUND CLASS T SHARES	DENVER, CO	12/27/2010	00599896-03	Registered
RIVERNORTH FUNDS RIVERNORTH DOUBLELINE STRATEGIC INCOME FUND CLASS R	CHICAGO, IL	12/27/2010	00599898-03	Registered
RIVERNORTH FUNDS RIVERNORTH DOUBLELINE STRATEGIC INCOME FUND CLASS I	CHICAGO, IL	12/27/2010	00599901-03	Registered
Number of Investment Company Filings: 137				

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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
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ADDISON CLARK OFFSHORE FUND LTD	GEORGE TOWN GRAND CAYMAN,	1/7/2011	00603702-07	Filed
ARGONAUT MARCRO PARTNERSHIP LP	NEW YORK, NY	1/7/2011	00603701-07	Filed
CENTERBRIDGE CAPITAL PARTNERS 2 LP	NEW YORK, NY	1/7/2011	00603703-07	Filed
EL ALEPH FUND A LP	NEW YORK, NY	1/7/2011	00603700-07	Filed
COMMUNITY PHARMACY FINANCIAL LLC	RIO, WI	1/6/2011	00603389-07	Filed
ENTERPRISE HOUSING PARTNERS 20 LP	COLUMBIA, MD	1/6/2011	00603391-07	Filed
SANDSTORM METAL & ENERGY LTD	VANCOUVER BC V6C 2T6,	1/6/2011	00603392-07	Filed
VFF 1 FAF LP	SAN FRANCISCO, CA	1/6/2011	00603390-07	Filed
GOLDRICH MINING CO	SPOKANE, WA	1/5/2011	00600398-07	Filed
HEARTWOOD FORESLAND REIT 2 LLC	CHAPEL HILL, NC	1/5/2011	00600399-07	Filed
ORTHOSENSOR INC	SUNRISE, FL	1/5/2011	00600402-07	Filed
PKC HOLDING CORP	NEW YORK, NY	1/5/2011	00600400-07	Filed
PRETIUM RESOURCES INC	VANCOUVER BC V6E 3X2,	1/5/2011	00600401-07	Filed
BHM DISCRETIONARY FUTURES FUND LP	NEW YORK, NY	1/4/2011	00600300-07	Filed
GLADIUS TACTICAL TRADING US LLC	CHICAGO, IL	1/4/2011	00600299-07	Filed
MAPLE LEAF FOODS INC	TORONTO ON M4V 3A2,	1/4/2011	00600298-07	Filed
D&L ENERGY 2010 A LTD	YOUNGSTOWN, OH	1/3/2011	00600253-07	Filed
HANNAHS HILL ENTERPRISES LLC	PHOENIX, AZ	1/3/2011	00600252-07	Filed
HPI APARTMENT OPPORTUNITY FUND 1 LLC	OLD LYME, CT	1/3/2011	00600239-07	Filed
OLD WESTBRUY PRIVATE EQUITY FUND 11 LLC	NEW YORK, NY	1/3/2011	00600238-07	Filed
PSCU FINANCIAL SERVICES INC	ST PETERSBURG, FL	1/3/2011	00600240-07	Filed
BRISTOL INDUSTRIAL 2 LP	SAN FRANCISCO, CA	12/30/2010	00600213-07	Filed
SPOT MOBILE INTERNATIONAL LTD	MIAMI BEACH, FL	12/30/2010	00600215-07	Filed
UBC KENOSHA LLC	MILWAUKEE, WI	12/30/2010	00600212-07	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 12/27/2010 through 1/7/2011**

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ZEO INC	NEWTON, MA	12/30/2010	00600214-07	Filed
EXCELLERE CAPITAL FUND 2 LP	DENVER, CO	12/29/2010	00600030-07	Filed
RADISH SYSTEMS LLC	BOULDER, CO	12/29/2010	00600031-07	Filed
2010 YEAR END DRILLING PROGRAM LP	BOWLING GREEN, KY	12/28/2010	00599961-07	Filed
ALPHA ENERGY PARTNERS DRILLING PROGRAM 2010 B LP	GETZVILLE, NY	12/28/2010	00599962-07	Filed
ALPHA ENERGY PARTNERS DRILLING PROGRAM 2010 C LP	GETZVILLE, NY	12/28/2010	00599963-07	Filed
BRADFORD DRILLING ASSOC 29 LP	SARASOTA, FL	12/28/2010	00599964-07	Filed
CROWN PARK SPRINGS 1 JOINT VENTURE	CARROLLTON, TX	12/28/2010	00599960-07	Filed
DEL MAR ENERGY INC	FRISCO, TX	12/28/2010	00599959-07	Filed
ENERVEST ENERGY INSTITUTIONAL CO INVESTMENT 12 1A LP	HOUSTON, TX	12/28/2010	00599956-07	Filed
IL CRYOTHERAPY ENTERPRISE LP	AUSTIN, TX	12/28/2010	00599957-07	Filed
MEDICAL CONNECTIONS HOLDINGS INC	BOCA RATON, FL	12/28/2010	00599958-07	Filed
Number of Regulation D Notice Filings: 36				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Perfection Bakeries, Inc.	Fort Wayne, IN	1/4/2011	601695	Registered
SYNERGY HOMECARE FRANCHISING LLC	GILBERT, AZ	1/3/2011	601684	Registered
VENDLINK	CHARLOTTE, NC	1/3/2011	601686	Incomplete
SB Acquisition, LLC / Pizza Pit	Madison, WI	12/30/2010	601676	Registered
JUST A BUCK LICENSING INC	NEW WINDSOR, NY	12/30/2010	601679	Registered
INST-I-GLASS, LLC	Louisville, KY	12/29/2010	601677	Registered
QnLincoln, LLC / Josie's Self Serve Frozen Yogurt	Henderson, NV	12/28/2010	601672	Registered
Cabin Coffee Franchising, Inc.	Clear Lake, IA	12/28/2010	601682	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 12/27/2010 through 1/7/2011**

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Luby's Fuddruckers Restaurants, LLC / Fuddruckers	Houston, TX	12/28/2010	601681	Registered
SANDLER SYSTEMS INC	Owings Mills, MD	12/28/2010	601680	Registered
Number of Franchise Filings: 10				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
Number of Takeover Filings: 0	