

**WI Securities Law and Franchise Law Filings  
for 12/13/2010 through 12/23/2010**

<b>Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Number of Registration Filings: 0				

<b>Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Number of Exemption Filings: 0				

<b>Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
INVESTMENT MANAGERS SERIES TR 361 ABSOLUTE ALPHA FUND CLASS I	MILWAUKEE, WI	12/23/2010	00599868-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR 361 ABSOLUTE ALPHA FUND CLASS A	MILWAUKEE, WI	12/23/2010	00599867-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR IRONCLAD MANAGED RISK FUND	MILWAUKEE, WI	12/14/2010	00599017-03	Pending-Cleared
PROFESSIONAL MANAGED PORTFOLIOS DSM LARGE CAP GROWTH FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	12/14/2010	00599020-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR CENTER COAST MLP FOCUS FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	12/13/2010	00599016-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR CENTER COAST MLP FOCUS FUND CLASS A	MILWAUKEE, WI	12/13/2010	00599014-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR CENTER COAST MLP FOCUS FUND CLASS C	MILWAUKEE, WI	12/13/2010	00599015-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR SGA GLOBAL GROWTH FUND	MILWAUKEE, WI	12/13/2010	00598984-03	Pending-Cleared
Number of Investment Company Filings: 8				

**WI Securities Law and Franchise Law Filings  
for 12/13/2010 through 12/23/2010**

<b>Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
MADISON CAPITAL ENERGY INCOME FUND 2 LP	MADISON, WI	12/17/2010	00599219-07	Filed
GREENWOODS FINANCIAL GROUP INC	LAKE MILLS, WI	12/16/2010	00599184-07	Filed
Number of Regulation D Notice Filings: 2				