

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 12/13/2010 through 12/23/2010**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Number of Registration Filings: 0				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Number of Exemption Filings: 0				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
INVESTMENT MANAGERS SERIES TR 361 ABSOLUTE ALPHA FUND CLASS A	MILWAUKEE, WI	12/23/2010	00599867-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR 361 ABSOLUTE ALPHA FUND CLASS I	MILWAUKEE, WI	12/23/2010	00599868-03	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1064 CLOSED END STRATEGY DIVERSIFIED CREDIT OPPORTUNITIES PORTFOLIO SERIES 1	OAKBROOK, IL	12/23/2010	00599871-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1064 CLOSED END STRATEGY GLOBAL INCOME PORTFOLIO SERIES 5	OAKBROOK, IL	12/23/2010	00599870-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1064 CLOSED END STRATEGY SENIOR LOAN & LTD DURATION PORTFOLIO SERIES 27	OAKBROOK, IL	12/23/2010	00599869-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1065 CLOSED END STRATEGY MASTER INCOME PORTFOLIO SERIES 26	OAKBROOK, IL	12/23/2010	00599873-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1065 CLOSED END STRATEGY MASTER MUNICIPAL INCOME PORTFOLIO NATIONAL SERIES 22	OAKBROOK, IL	12/23/2010	00599872-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 12/13/2010 through 12/23/2010**

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
HENDERSON GLOBAL FUNDS HENDERSON EMERGING MARKETS OPPORTUNITIES FUND CLASS C	CHICAGO, IL	12/22/2010	00599855-03	Pending-Cleared
HENDERSON GLOBAL FUNDS HENDERSON EMERGING MARKETS OPPORTUNITIES FUND CLASS I	CHICAGO, IL	12/22/2010	00599857-03	Pending-Cleared
HENDERSON GLOBAL FUNDS HENDERSON EMERGING MARKETS OPPORTUNITIES FUND CLASS A	CHICAGO, IL	12/22/2010	00599856-03	Pending-Cleared
FT 2700 CAPITAL STRENGTH PORTFOLIO SERIES 10	WHEATON, IL	12/20/2010	00599263-05	Pending-Cleared
PREFERRED INCOME PORTFOLIO SEIRES 9	MONUMENT, CO	12/20/2010	00599262-05	Pending-Cleared
ALGER FUNDS 2 ALGER DYNAMIC OPPORTUNITIES FUND CLASS Z	NEW YORK, NY	12/17/2010	00599232-03	Registered
ALGER FUNDS 2 ALGER EMERGING MARKETS FUND CLASS A	NEW YORK, NY	12/17/2010	00599234-03	Registered
ALGER FUNDS 2 ALGER EMERGING MARKETS FUND CLASS C	NEW YORK, NY	12/17/2010	00599236-03	Registered
ALGER FUNDS 2 ALGER EMERGING MARKETS FUND CLASS I	NEW YORK, NY	12/17/2010	00599238-03	Registered
ALGER FUNDS 2 ALGER SPECTRA FUND CLASS Z	NEW YORK, NY	12/17/2010	00599235-03	Registered
ALGER FUNDS ALGER CAPITAL APPRECIATION FUND CLASS Z	NEW YORK, NY	12/17/2010	00599229-03	Registered
ALGER FUNDS ALGER GROWTH OPPORTUNITIES FUND CLASS Z	NEW YORK, NY	12/17/2010	00599237-03	Registered
ALGER FUNDS ALGER LARGE CAP GROWTH FUND CLASS Z	NEW YORK, NY	12/17/2010	00599230-03	Registered
ALGER FUNDS ALGER SMALL CAP GROWTH FUND CLASS Z	NEW YORK, NY	12/17/2010	00599231-03	Registered
ALGER FUNDS ALGER SMID CAP GROWTH FUND CLASS Z	NEW YORK, NY	12/17/2010	00599233-03	Registered
1ST TR SERIES FUND 1ST TR CONFLUENCE SMALL CAP VALUE FUND CLASS C	WHEATON, IL	12/16/2010	00599250-03	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 12/13/2010 through 12/23/2010**

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
1ST TR SERIES FUND 1ST TR CONFLUENCE SMALL CAP VALUE FUND CLASS F	WHEATON, IL	12/16/2010	00599251-03	Pending-Cleared
1ST TR SERIES FUND 1ST TR CONFLUENCE SMALL CAP VALUE FUND CLASS A	WHEATON, IL	12/16/2010	00599246-03	Pending-Cleared
1ST TR SERIES FUND 1ST TR CONFLUENCE SMALL CAP VALUE FUND CLASS R3	WHEATON, IL	12/16/2010	00599249-03	Pending-Cleared
1ST TR SERIES FUND 1ST TR CONFLUENCE SMALL CAP VALUE FUND CLASS I	WHEATON, IL	12/16/2010	00599247-03	Pending-Cleared
1ST TR SERIES FUND 1ST TR PREFERRED SECURITIES & INCOME FUND CLASS F	WHEATON, IL	12/16/2010	00599253-03	Pending-Cleared
1ST TR SERIES FUND 1ST TR PREFERRED SECURITIES & INCOME FUND CLASS I	WHEATON, IL	12/16/2010	00599254-03	Pending-Cleared
1ST TR SERIES FUND 1ST TR PREFERRED SECURITIES & INCOME FUND CLASS C	WHEATON, IL	12/16/2010	00599252-03	Pending-Cleared
1ST TR SERIES FUND 1ST TR PREFERRED SECURITIES & INCOME FUND CLASS R3	WHEATON, IL	12/16/2010	00599255-03	Pending-Cleared
1ST TR SERIES FUND 1ST TR PREFERRED SECURITIES & INCOME FUND CLASS A	WHEATON, IL	12/16/2010	00599248-03	Pending-Cleared
ACADIA MUTUAL FUNDS ACADIA PRINCIPAL CONSERVATION FUND	NEW YORK, NY	12/16/2010	00599174-03	Pending-Cleared
FEDERATED EQUITY FUNDS FEDERATED CLOVER SMALL VALUE FUND CLASS R SHARES	WARRENDALE, PA	12/16/2010	00599261-03	Registered
FEDERATED INCOME SECURITIES TR FEDERATED MUNI & STOCK ADVANTAGE FUND INSTITUTIONAL SHARES	WARRENDALE, PA	12/16/2010	00599260-03	Pending-Cleared
FT 2701 INTERNATIONAL HIGH DIVIDEND EQUITY PORTFOLIO SERIES 3	WHEATON, IL	12/16/2010	00599176-05	Pending-Cleared
FT 2702 PHARMACEUTICAL SELECT PORTFOLIO SERIES 36	WHEATON, IL	12/16/2010	00599180-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 12/13/2010 through 12/23/2010**

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 2736 MUNICIPAL INCOME PLUS CLOSED END PORTFOLIO SERIES 15	WHEATON, IL	12/16/2010	00599181-05	Pending-Cleared
FT 2738 STRATEGIC INCOME CLOSED END PORTFOLIO SERIES 33	WHEATON, IL	12/16/2010	00599179-05	Pending-Cleared
FT 2739 CANADIAN ENERGY & INCOME PORTFOLIO SERIES 20	WHEATON, IL	12/16/2010	00599182-05	Pending-Cleared
FT 2740 SENIOR LOAN & LTD DURATION OPPORTUNITIES CLOSED END PORTFOLIO SERIES 3	WHEATON, IL	12/16/2010	00599178-05	Pending-Cleared
FT 2763 TAX ADVANTAGED MUNICIPAL CLOSED END PORTFOLIO SERIES 16	WHEATON, IL	12/16/2010	00599177-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIO SERIES 725 GUGGENHEIM INTERMEDIATE INVESTMENT GRADE CORPORATE TR SERIES 27	LISLE, IL	12/16/2010	00599175-05	Pending-Cleared
SENTINEL GROUP FUNDS INC SENTINEL CONSERVATIVE STRATEGIES FUND CLASS I	MONTPELIER, VT	12/16/2010	00599257-03	Pending-Cleared
SENTINEL GROUP FUNDS INC SENTINEL TOTAL RETURN BOND FUND CLASS C	MONTPELIER, VT	12/16/2010	00599259-03	Pending-Cleared
SENTINEL GROUP FUNDS INC SENTINEL TOTAL RETURN BOND FUND CLASS A	MONTPELIER, VT	12/16/2010	00599258-03	Pending-Cleared
SENTINEL GROUP FUNDS INC SENTINEL TOTAL RETURN BOND FUND CLASS I	MONTPELIER, VT	12/16/2010	00599256-03	Pending-Cleared
ADVISORS INNER CIRCLE FUND ACADIAN EMERGING MARKETS DEBT FUND INSTITUTIONAL CLASS SHARES	BOSTON, MA	12/15/2010	00599808-03	Registered
FIDELITY INVESTMENT TR FIDELITY JAPAN FUND FIDELITY ADVISOR JAPAN FUND CLASS T	BOSTON, MA	12/15/2010	00599812-03	Registered
FIDELITY INVESTMENT TR FIDELITY JAPAN FUND FIDELITY ADVISOR JAPAN FUND CLASS C	BOSTON, MA	12/15/2010	00599810-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 12/13/2010 through 12/23/2010**

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FIDELITY INVESTMENT TR FIDELITY JAPAN FUND FIDELITY ADVISOR JAPAN FUND CLASS B	BOSTON, MA	12/15/2010	00599811-03	Registered
FIDELITY INVESTMENT TR FIDELITY JAPAN FUND FIDELITY ADVISOR JAPAN FUND INSTITUTIONAL CLASS	BOSTON, MA	12/15/2010	00599813-03	Registered
FIDELITY INVESTMENT TR FIDELITY JAPAN FUND FIDELITY ADVISOR JAPAN FUND CLASS A	BOSTON, MA	12/15/2010	00599809-03	Registered
FUNDVANTAGE TR FORMULA INVESTING INTERNATIONAL VALUE 400 FUND CLASS A	WILMINGTON, DE	12/14/2010	00599038-03	Registered
FUNDVANTAGE TR FORMULA INVESTING INTERNATIONAL VALUE SELECT FUND CLASS A	WILMINGTON, DE	12/14/2010	00599039-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 735 GUGGENHEIM SHORT DURATION HIGH YIELD TR SERIES 7	LISLE, IL	12/14/2010	00599019-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 752 DOW JONES VALUE RBP DIVIDEND FOCUS PORTFOLIO SERIES 4	LISLE, IL	12/14/2010	00599018-05	Pending-Cleared
INVESTMENT MANAGERS SERIES TR IRONCLAD MANAGED RISK FUND	MILWAUKEE, WI	12/14/2010	00599017-03	Pending-Cleared
PROFESSIONAL MANAGED PORTFOLIOS DSM LARGE CAP GROWTH FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	12/14/2010	00599020-03	Pending-Cleared
FT 2734 CONVERTIBLE & INCOME SELECT CLOSED END PORTFOLIO SERIES 30	WHEATON, IL	12/13/2010	00598985-05	Pending-Cleared
INVESTMENT MANAGERS SERIES TR CENTER COAST MLP FOCUS FUND CLASS C	MILWAUKEE, WI	12/13/2010	00599015-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR CENTER COAST MLP FOCUS FUND CLASS A	MILWAUKEE, WI	12/13/2010	00599014-03	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 12/13/2010 through 12/23/2010**

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
INVESTMENT MANAGERS SERIES TR CENTER COAST MLP FOCUS FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	12/13/2010	00599016-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR SGA GLOBAL GROWTH FUND	MILWAUKEE, WI	12/13/2010	00598984-03	Pending-Cleared

Number of Investment Company Filings: 64

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
TEXACO NICKERSON 21 RE ENTRY	RICHARDSON, TX	12/23/2010	00599874-07	Filed
RCP FUND LP	CHICAGO, IL	12/22/2010	00599858-07	Filed
ANNAI SYSTEMS INC	SUNNYVALE, CA	12/21/2010	00599848-07	Filed
CAERUS HOSPITALITY PARTNERS 1 LP	MIDLAND, TX	12/21/2010	00599849-07	Filed
HIGH DESERT GOLD CORP	VANCOUVER BC V6C 3B6,	12/21/2010	00599850-07	Filed
LINUX GOLD CORP	RICHMOND BC V7A 5E9,	12/21/2010	00599847-07	Filed
DETOUR GOLD CORP	TORONTO ON M5J 2J1,	12/20/2010	00599265-07	Filed
VALUE 1ST INC	ST PAUL, MN	12/20/2010	00599264-07	Filed
H&R ACCOUNTS INC	MOLINE, IL	12/17/2010	00599220-07	Filed
MADISON CAPITAL ENERGY INCOME FUND 2 LP	MADISON, WI	12/17/2010	00599219-07	Filed
MANAGED FUTURES CHARTER GRAHAM LP	NEW YORK, NY	12/17/2010	00599221-07	Filed
PARK STREET CAPITAL PRIVATE EQUITY FUND 10 LP	BOSTON, MA	12/17/2010	00599218-07	Filed
COMSTOCK MINING INC	VIRGINIA CITY, NV	12/16/2010	00599185-07	Filed
EDULENDER INC	CHICAGO, IL	12/16/2010	00599183-07	Filed
GREENWOODS FINANCIAL GROUP INC	LAKE MILLS, WI	12/16/2010	00599184-07	Filed
JRMB INC	LAWTON, OK	12/16/2010	00599186-07	Filed
COATUE QUALIFIED PARTNERS LP	NEW YORK, NY	12/15/2010	00599042-07	Filed
GRAND ENERGY 2010 82 LTD	ADDISON, TX	12/15/2010	00599041-07	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 12/13/2010 through 12/23/2010**

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
BROWNSTONE MORTGAGE FUND 1 LP	SANTA ANA, CA	12/14/2010	00599023-07	Filed
SYNERGY RESOURCES CORP	PLATTEVILLE, CO	12/14/2010	00599024-07	Filed
TIDAL PETROLEUM INC	SCHERTZ, TX	12/14/2010	00599026-07	Filed
AEI FISHER INVESTMENTS 4 LLC	CHICAGO, IL	12/13/2010	00599021-07	Filed
SE INDY LLC	CHICAGO, IL	12/13/2010	00598986-07	Filed
ZEPPELINN ENERGY LP	SAN ANTONIO, TX	12/13/2010	00599022-07	Filed
Number of Regulation D Notice Filings: 24				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Peak Franchising, Inc. / Max Muscle (Regional Director)	Orange, CA	12/23/2010	601651	Registered
Peak Franchising, Inc. / Max Muscle (Unit)	Orange, CA	12/23/2010	601650	Registered
HERTZ SYSTEM INC	PARK RIDGE, NJ	12/22/2010	601655	Registered
METAL SUPERMARKETS CORP	Mississauga ON L6T 4Z5, ON	12/22/2010	601648	Registered
SEATTLE'S BEST COFFEE LLC	SEATTLE, WA	12/22/2010	601649	Registered
Learning Express, Inc. / Learning Express	Devens, MA	12/21/2010	601643	Registered
FASTFRAME USA INC	NEWBURY PARK, CA	12/21/2010	601647	Registered
Lifetree, LLC / Lifetree Cafe	Loveland, CO	12/15/2010	601628	Registered
BEVERAGE FRANCHISE LLC / Mountain mudd	BILLINGS, MT	12/15/2010	601635	Registered
Transworld Business Advisors, LLC	West Palm Beach, FL	12/14/2010	601626	Registered
Valiant Health Care, Inc. / Accessible Home Health Care	Coral Springs, FL	12/14/2010	601625	Registered
BAR LOUIE DEVELOPMENT INC	GLENVIEW, IL	12/14/2010	601631	Registered
PHILLY DAWGZ FRANCHISE SYSTEM INC	LITTLE CANADA, MN	12/14/2010	601632	Registered
CANDY BOUQUET INTERNATIONAL INC	LITTLE ROCK, AR	12/13/2010	601621	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 12/13/2010 through 12/23/2010**

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Valvoline Instant Oil Change Franchising Inc.	Lexington, KY	12/13/2010	601622	Registered
Number of Franchise Filings: 15				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
Number of Takeover Filings: 0	