

**WI Securities Law and Franchise Law Filings
for 12/6/2010 through 12/17/2010**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INVESTMENT MANAGERS SERIES TR IRONCLAD MANAGED RISK FUND	MILWAUKEE, WI	12/14/2010	00599017-03	Pending-Cleared
PROFESSIONAL MANAGED PORTFOLIOS DSM LARGE CAP GROWTH FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	12/14/2010	00599020-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR CENTER COAST MLP FOCUS FUND CLASS A	MILWAUKEE, WI	12/13/2010	00599014-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR CENTER COAST MLP FOCUS FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	12/13/2010	00599016-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR CENTER COAST MLP FOCUS FUND CLASS C	MILWAUKEE, WI	12/13/2010	00599015-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR SGA GLOBAL GROWTH FUND	MILWAUKEE, WI	12/13/2010	00598984-03	Pending-Cleared
IRONBRIDGE FUNDS INC IRONBRIDGE HORIZON FUND	MILWAUKEE, WI	12/10/2010	00598960-03	Pending-Cleared
MARSHALL FUNDS INC MARSHALL INTERMEDIATE TAX FREE FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	12/8/2010	00598683-03	Pending-Cleared
Number of Investment Company Filings: 8				

**WI Securities Law and Franchise Law Filings
for 12/6/2010 through 12/17/2010**

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GREENWOODS FINANCIAL GROUP INC	LAKE MILLS, WI	12/16/2010	00599184-07	Filed
Number of Regulation D Notice Filings: 1				